

IN THE SUPREME COURT OF NEVADA

* * * * *

VIVIAN MARIE LEE HARRISON,

Appellant,

vs.

NORTON A. ROITMAN, M.D.,

Respondent.

Electronically Filed
Jun 10 2014 02:20 p.m.
Case No. 64569 Tracie K. Lindeman
Clerk of Supreme Court

Direct Appeal from the District Court's Order of Dismissal
Eighth Judicial District Court
Case No. A-13-687300-C
Honorable Kenneth Cory

JOINT APPENDIX

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Norton A. Roitman, M.D.

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8 *Vivian Marie Lee Harrison*

9 **IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA**
10 **IN AND FOR THE COUNTY OF WASHOE**

11 ** ** **

12 VIVIAN MARIE LEE HARRISON, Case No.
13 Plaintiff, Dept. No.
14 vs.

15 NORTON A. ROITMAN, M.D.; DOES I-X and
16 ROE CORPORATIONS I-X,

17 Defendants

18 **COMPLAINT**

19 (Exempt from Arbitration pursuant to NAR 3(A)
20 Cause of Action for Medical Malpractice)

21 Plaintiff, VIVIAN MARIE LEE HARRISON, by and through her attorney, JOHN
22 OHLSON, state and allege as follows:

23 **GENERAL AND FACTUAL ALLEGATIONS**

24 1. Plaintiff, VIVIAN MARIE LEE HARRISON ("Ms. Harrison"), is a resident of
25 Boulder City, Clark County, Nevada.

26 2. Defendant, NORTON A. ROITMAN, M.D. ("Dr. Roitman"), is, and at all relevant
27 times was, a resident of Las Vegas, Clark County, Nevada.

28 3. Dr. Roitman is a psychiatrist who is licensed to practice in Nevada by the Nevada
State Board of Medical Examiners pursuant to NRS Chapter 630.

4. Dr. Roitman is in private practice in Las Vegas, Nevada.

5. Dr. Roitman's private practice includes child, adolescent, and adult psychiatry.

6. The true names and capacities, whether individual, corporate, associate or otherwise of Defendants Does and Corporations 1 through 10, inclusive, are unknown to Plaintiff, who therefore sues those Defendants by such fictitious names. Plaintiffs are informed, believe, and allege that each of the Defendants designated by such a fictitious name are in some manner responsible for the events and happenings referred to and proximately caused foreseeable damage to Plaintiff. Plaintiff will seek leave of the Court to amend this Complaint to show their true names and capacities when the true identities of the fictitious Defendants have been ascertained.

FACTUAL ALLEGATIONS

7. In and during 2011 and 2012, Ms. Harrison was a party in a case being litigated in Clark County, Nevada (“the Harrison litigation”).

8. In an effort to advance his position in the case and gain an advantage over Ms. Harrison, the adverse party to Ms. Harrison in the Harrison litigation submitted to the Court a June 9, 2011, Report that was signed by Dr. Roitman, in which he provided a “psychiatric analysis” of Ms. Harrison.

9. In his June 9, 2011, Report, Dr. Roitman, among other things, diagnosed Ms. Harrison as having a narcissistic personality disorder.

10. In rendering his diagnosis of Ms. Harrison, Dr. Roitman concluded that Ms. Harrison's "pathological narcissistic personality disorder is near impossible to treat and her prognosis is very poor" and further stated that "if [Ms. Harrison's] character were stronger, she might have a shot at [improving with treatment], but unfortunately, she is shallow and critical, and lacks internal structure."

11. Dr. Roitman's June 9, 2011, Report also offered opinions and conclusions as to what the outcome of the Harrison litigation in reference to Ms. Harrison should be.

12. Dr. Roitman provided his psychiatric analysis, conclusions, and diagnosis regarding Ms. Harrison despite that Dr. Roitman had never, and has never, met or seen Ms. Harrison.

13. Dr. Roitman submitted his report for the Harrison litigation based solely on the information provided to him by the adverse party to Ms. Harrison in the Harrison litigation, who

1 had requested that psychiatric analysis of Ms. Harrison to inform the court of her mental condition
2 and functional limitations.

3 14. Despite that Ms. Harrison, in response to Dr. Roitman's report, voluntarily
4 underwent comprehensive and direct clinical and psychometric assessments by other mental
5 health professionals and that the opinions of those professionals about Ms. Harrison were contrary
6 to those stated by Dr. Roitman, Dr. Roitman's report regarding Ms. Harrison did significant
7 damage to Ms. Harrison in the Harrison litigation and to her reputation generally, caused her
8 emotional and physical suffering, and caused unnecessary delays in and substantially increased
9 the attorneys fees and expert costs to Ms. Harrison of the Harrison litigation.

10
11 **FIRST CAUSE OF ACTION**
(Medical Malpractice)

12 15. The preceding paragraphs of this Complaint are alleged and incorporated by
13 reference as if fully stated here.

14 16. In rendering his services as a licensed psychiatrist in the State of Nevada, Dr.
15 Roitman had a duty to meet the standard of care required of psychiatrists and use reasonable care,
16 skill, or knowledge ordinarily used under similar circumstances.

17 17. To a reasonable degree of medical certainty, Dr. Roitman fell below the standard
18 of care required of psychiatrists in both his written diagnosis of Ms. Harrison and in the
19 conclusions he reached about her without ever having met or seen Ms. Harrison and without
20 conducting an evaluation of Ms. Harrison. *See* Affidavit of Paul S. Appelbaum, M.D., Exhibit
21 "1" and Affidavit of Ole J. Thienhaus, M.D., M.B.A., FACPsych, Exhibit "2."

22 18. To a reasonable degree of medical certainty, Dr. Roitman fell below the standard
23 of care required of psychiatrists in both his written diagnosis of Ms. Harrison and in the
24 conclusions he reached about her based solely on narratives provided to him by the adverse party
25 to Ms. Harrison in the Harrison litigation. *See* Affidavit of Paul S. Appelbaum, M.D., Exhibit "1"
26 and Affidavit of Ole J. Thienhaus, M.D., M.B.A., FACPsych, Exhibit "2."

27 19. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison in his "psychiatric
28 analysis" of her were manifestly incorrect.

1 20. As a direct and proximate result of Dr. Roitman's conduct, Ms. Harrison has
2 suffered actual and special injury and damages in an amount in excess of (\$10,000.00), the full
3 extent of which was not discovered and realized until the conclusion of the Harrison litigation, as
4 follows:

5 a. Severe emotional distress and physical injury and illness and resulting
6 damages in an amount to be proven at trial;

7 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
8 and expert costs in the defense of the claims made in the Harrison litigation
9 supported by Dr. Roitman's allegations.

10 21. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
11 was required to hire an attorney to represent her in this matter and seeks an award of her
12 attorneys' fees and costs.

13
14 **SECOND CAUSE OF ACTION**
 (Negligent Infliction of Emotional Distress)

15 22. The preceding paragraphs of this Complaint are alleged and incorporated by
16 reference as if fully stated here.

17 23. In rendering his services as a licensed psychiatrist in the State of Nevada, Dr.
18 Roitman had a duty to meet the standard of care required of psychiatrists and use reasonable care,
19 skill, or knowledge ordinarily used under similar circumstances.

20 24. Dr. Roitman breached that duty by providing to the Court in the Harrison litigation
21 a written "psychiatric analysis" of Ms. Harrison that included both a diagnosis of and conclusions
22 he reached about her without ever having met or seen Ms. Harrison and without conducting an
23 evaluation of Ms. Harrison.

24 25. Dr. Roitman breached that duty by providing to the Court in the Harrison litigation
25 a written "psychiatric analysis" of Ms. Harrison that included both a diagnosis of and conclusions
26 he reached about her based solely on narratives provided to him by the adverse party in the
27 Harrison litigation.

1 26. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison as stated in his
2 "psychiatric analysis" of Ms. Harrison were patently incorrect.

3 27. As a direct and proximate result of Dr. Roitman's conduct, Ms. Harrison has
4 suffered actual and special injury and damages in an amount in excess of (\$10,000.00), the full
5 extent of which was not discovered and realized until the conclusion of the Harrison litigation, as
6 follows:

7 a. Severe emotional distress and physical injury and illness and resulting
8 damages in an amount to be proven at trial;

9 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
10 and expert costs in the defense of the claims made in the Harrison litigation
11 supported by Dr. Roitman's allegations.

12 28. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
13 was required to hire an attorney to represent her in this matter and seeks an award of her
14 attorneys' fees and costs.

15 **THIRD CAUSE OF ACTION**
16 (Intentional Infliction of Emotional Distress)

17 29. The preceding paragraphs of this Complaint are alleged and incorporated by
18 reference as if fully stated here.

19 30. At the direction of and based solely on information provided by the adverse party
20 to Ms. Harrison in the Harrison litigation, and without ever having met, seen, or personally
21 evaluated Ms. Harrison, Dr. Roitman provided a written "psychiatric analysis" of Ms. Harrison
22 that included both a diagnosis of and conclusions he reached about her that substantially
23 undermined her position in the Harrison litigation.

24 31. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison in his "psychiatric
25 analysis" of her were manifestly incorrect.

26 32. Dr. Roitman's conduct was extreme and outrageous, and his efforts on behalf of
27 the adverse party to Ms. Harrison in the Harrison litigation was with the intention of, or reckless
28 disregard for, causing emotional distress to Ms. Harrison.

1 33. As a direct and proximate result of Dr. Roitman's conduct, Ms. Harrison has
2 suffered actual and special injury and damages in an amount in excess of (\$10,000.00), the full
3 extent of which was not discovered and realized until the conclusion of the Harrison litigation, as
4 follows:

5 a. Severe emotional distress and physical injury and illness and resulting
6 damages in an amount to be proven at trial;

7 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
8 and expert costs in the defense of the claims made in the Harrison litigation
9 supported by Dr. Roitman's allegations.

10 34. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
11 was required to hire an attorney to represent her in this matter and seeks an award of her
12 attorneys' fees and costs.

13 35. Dr. Roitman's intentional conduct was intentional, malicious, and oppressive, for
14 which Ms. Harrison is entitled to recover punitive and exemplary damages in excess of Ten
15 Thousand dollars (\$10,000.00).

16
17 **FOURTH CAUSE OF ACTION**
 (Civil Conspiracy)

18 36. The preceding paragraphs of this Complaint are alleged and incorporated by
19 reference as if fully stated here.

20 37. By way of agreement with and based solely on information provided by the
21 adverse party to Ms. Harrison in the Harrison litigation, and without ever having met, seen, or
22 personally evaluated Ms. Harrison, Dr. Roitman, in violation of his duties as a practicing
23 psychiatrist, provided a written "psychiatric analysis" of Ms. Harrison that included both a
24 diagnosis of and conclusions he reached about her.

25 38. Dr. Roitman and the adverse party to Ms. Harrison in the Harrison litigation
26 intended to advance Dr. Roitman's "psychiatric analysis" of Ms. Harrison for the purpose of
27 harming Ms. Harrison in the Harrison litigation and in order to give the adverse party to Ms.
28 Harrison an undue, unfair and unlawful advantage in that case.

1 39. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison in his "psychiatric
2 analysis" of her were manifestly incorrect and substantially undermined her position in the
3 Harrison litigation.

4 40. As a direct and proximate result of Dr. Roitman's conspiracy with the party
5 adverse to Ms. Harrison in the Harrison litigation, Ms. Harrison has suffered actual and special
6 injury and damages in excess of Ten Thousand dollars (\$10,000.00), as follows:

7 a. Severe emotional distress and physical injury and illness and resulting
8 damages in an amount to be proven at trial;

9 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
10 and expert costs in the defense of the claims made in the Harrison litigation
11 supported by Dr. Roitman's allegations.

12 41. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
13 was required to hire an attorney to represent her in this matter and seeks an award of her
14 attorney's fees and costs.

15 42. Dr. Roitman's conduct was intentional, malicious, and oppressive, for which Ms.
16 Harrison is entitled to recover punitive and exemplary damages.

17 WHEREFORE, Ms. Harrison prays for:

18 1. Judgment in her favor and against the Defendants on all claims in this Complaint;

19 2. An award of damages in her favor and against the Defendants, according to proof.

20 3. An award of exemplary and punitive damages in her favor and against the
21 Defendants, according to proof, on all applicable claims in this Complaint.

22 4. An award to her and against the Defendants of her interests, costs and attorney's
23 fees;

24 ////

25 ////

26 ////

27 ////

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1 5. For such other and further relief as the Court deems just and proper.

2
3 **AFFIRMATION**
 Pursuant to NRS 239B.030

4 The undersigned does hereby affirm that the preceding document does not contain the
5 social security number of any person.

6
7 DATED this 26th day of June, 2013.

8
9 By: /s/ John Ohlson
10 JOHN OHLSON, ESQ.
11 Bar Number 1672
12 275 Hill Street, Suite 230
13 Reno, Nevada 89501
14 Telephone: (775) 323-2700
15 Attorney for the Plaintiff
16 Vivian Marie Lee Harrison
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SCHEDULE OF EXHIBITS

- EXHIBIT 1: Affidavit of Paul S. Appelbaum, M.D.
- EXHIBIT 2: Affidavit of Ole J. Thienhaus, M.D., M.B.A., FACPpsych

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Joey Orduna Hastings

Clerk of the Court

Transaction # 3817783

EXHIBIT 1

EXHIBIT 1

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1 was the Fritz Redlich Fellow at the Center for Advanced Study in the Behavioral Sciences, and
2 have been elected to the Institute of Medicine of the National Academy of Sciences.

3 8. I have authored numerous articles and books on law and ethics in clinical practice.

4 9. In June 2013, I was asked to review documents and materials that related to the
5 opinions offered by Norton A. Roitman, MD regarding Vivian Marie Harrison ("Ms. Harrison")
6 in connection with litigation in which Ms. Harrison was a party ("the Harrison litigation"). In
7 particular, I was asked to consider whether Dr. Roitman met the standard of care of a psychiatrist
8 in reaching and offering his diagnostic assessment of Ms. Harrison and in drawing certain
9 conclusions about her related to the Harrison litigation.

10 10. After reviewing all of the documents and information related to and associated
11 with Dr. Roitman's June 9, 2011, Report and the applicable ethical guidelines, it is my opinion,
12 based on more than 30 years experience as a psychiatrist and forensic psychiatrist and my
13 expertise in the ethics of psychiatry and forensic psychiatry, that Dr. Roitman's evaluation and
14 formulation of his opinions fell below the standard of care of psychiatrists in two respects:

- 15 - His diagnosis of Ms. Harrison as having narcissistic personality disorder; and
- 16 - His conclusions that Ms. Harrison's "pathological narcissistic personality
17 disorder is near impossible to treat and her prognosis is very poor" and his
18 statement that "if her character were stronger, she might have a shot at
[improving with treatment], but unfortunately, she is shallow and critical, and
lacks internal structure."

19 11. Dr. Roitman fell below the standard of care of psychiatrists in those respects
20 because: (1) he never met Ms. Harrison; and (2) because he based his diagnosis entirely on the
21 information provided to him by the adverse party to Ms. Harrison in the Harrison litigation, whom
22 he described as anticipating court proceedings and requested Dr. Roitman's psychiatric evaluation
23 of Ms. Harrison "to inform the court of Ms. Harrison's mental condition and functional
24 limitations."

25 - In the best of circumstances, diagnoses made exclusively on the basis of
26 information provided by third parties are of dubious reliability. When psychiatrists cannot
27 conduct an examination, they are unable to ask the questions necessary to elicit the
28

1 information necessary to confirm diagnoses and to rule out alternative explanations for a
2 person's behavior.

3 - In the context of litigation, to rely exclusively on information provided by
4 an adverse party with an interest in portraying the other person in an unfavorable light is to
5 fall below the standard of care with regard to diagnostic practices. When the only
6 information that an evaluator has been provided comes from a party with a direct interest
7 in the evaluator reaching a judgment adverse to the person whose condition is being
8 described, no reliable opinion can be rendered.

9 - Although the ethics guidelines of the American Academy of Psychiatry and
10 the Law (AAPL) acknowledge that "if, after appropriate effort, it is not feasible to conduct
11 a personal examination, an opinion may nonetheless be rendered n the basis of other
12 information," that statement presumes that other objective data are available to render that
13 judgment. Such data might include records of psychiatric evaluation and treatment by
14 other psychiatrists, affidavits of non-party witnesses, police records, school records,
15 military records, and the like.

16 - While Dr. Roitman included a statement in his June 9, 2011, Report that the
17 opinions rendered by him were preliminary and subject to change based upon a psychiatric
18 examination of Ms. Harrison, that limitation disclaimer does not conform to the guidelines
19 of the American Academy of Psychiatry and the Law that certain comments about
20 someone who has not been interviewed be qualified and the data for the opinion clearly
21 indicated.

22 o Rather than "qualifying" his conclusions, i.e., limiting them
23 appropriately given the biased source of the data available to him, Dr. Roitman
24 expressed his conclusions with reasonable medical certainty – a degree of certainty
25 unobtainable in these circumstances.

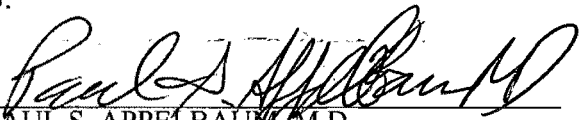
26 o In the "Discussion" section of his report, the cautionary clause
27 "given the limitations of a reconstructive analysis" is followed by five pages of
28 firmly stated conclusions regarding, among other things, Ms. Harrison's diagnosis,

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character flaws, and a firm opinion regarding what the outcome of the Harrison litigation should be in reference to Ms. Harrison.


o Reaching such conclusions without examining the person in question does not constitute appropriately qualifying one's conclusions and falls below the profession's clear standard of care.

DATED this 17 day of June, 2013.

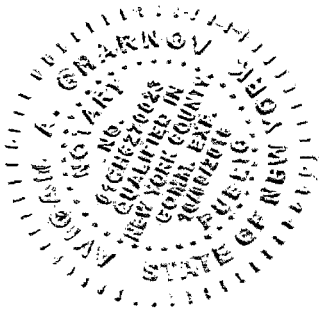

PAUL S. APPELBAUM, M.D.

STATE OF NEW YORK)
: ss.
COUNTY OF NEW YORK)

Signed and sworn to before me
by PAUL S. APPELBAUM, M.D. on June 17, 2013.



Notarial Officer



AVIGAIL A. CHARNOV
NOTARY PUBLIC-STATE OF NEW YORK
No. 01CH6270023
Qualified in New York County
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Joey Orduna Hastings

Clerk of the Court

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EXHIBIT 2

EXHIBIT 2

1 **AFFIDAVIT OF OLE J. THIENHAUS, M.D., M.B.A., FACPsych**

2 STATE OF ARIZONA)

3 COUNTY OF PIMA)

4 I, Ole J. Thienhaus, M.D., M.B.A., FACPsych, having first been duly sworn and under
5 penalty of perjury, hereby state as follows:

6 1. I am a psychiatrist, certified by the American Board of Psychiatry and Neurology,
7 with an additional qualification in geriatric psychiatry, and licensed by the Arizona Board of
8 Medical Examiners.

9 2. I earned my medical degree from the Free University of Berlin, West Germany, in
10 1978, and my MBA in marketing and management from the University of Cincinnati in 1985. I
11 completed an internship in general surgery in Germany in 1979, a residency in psychiatry at the
12 University of Cincinnati in 1983, and a fellowship in geriatric psychiatry in 1984.

13 3. I am currently the head of the Department of Psychiatry at the University of
14 Arizona College of Medicine in Tucson, Arizona.

15 4. Previously, I was a professor and chairman of the Department of Psychiatry and
16 Behavioral Sciences at the University of Nevada School of Medicine in Las Vegas and Reno,
17 where I also temporarily served as dean of the School of Medicine from 2008 to 2010.

18 5. Prior to joining the University of Nevada, I was with the University of Cincinnati,
19 where I served as chief clinical officer of University Hospital Cincinnati, associate professor of
20 psychiatry and emergency medicine and vice chair of the Department of Psychiatry at the
21 University of Cincinnati College of Medicine, and director of the Psychiatric Emergency Service
22 at the University of Cincinnati Medical Center. I was also a psychiatric consultant to the
23 Cincinnati V.A. Medical Center.

24 6. I serve as a senior examiner for specialty board examinations and as a member of
25 the Maintenance of Certification Committee of the American Board of Psychiatry and Neurology.

26 7. I am also a consultant to the Center for Medicare and Medicaid Services of the
27 Hospital Accreditation Program in the U.S. Department of Health and Human Services, and an ad
28

1 hoc study section reviewer for the National Institutes of Health (epidemiology) and the National
2 Institute of Mental Health (geriatrics).

3 8. I am a distinguished fellow of the American Psychiatric Association and a fellow
4 of the American College of Psychiatrists and the Arnold P. Gold Humanism Honor Society.

5 9. I have received numerous awards and honors, including the Cancro Academic
6 Leadership Award of the American Academy of Child and Adolescent Psychiatry, presented in
7 2011; and the *Nevada Business Journal's* Healthcare Hero Award, the Senatorial Recognition for
8 Service on the Sanford Center for Aging Scholarship Committee and the U.S. Senate Certificate
9 of Commendation: Celebration of Scholars in Aging, all presented in 2010. I was elected to the
10 Alpha Omega Alpha national medical honor society in 2009. And, I have been a visiting
11 professor at Phramongkutklao College of Medicine, Bangkok, the University of Chang Mai
12 School of Medicine, Chang Mai, Thailand, and the University of Kyrgyzstan College of Medicine
13 in Bishkek.

14 10. I am the author or editor of several books, including "Correctional Psychiatry,
15 Volumes I and II;" "Manual of Hospital Psychiatry" and, in a different vein altogether, "Jewish-
16 Christian Dialogue – The Example of Gilbert Crispin." My scientific journal articles have
17 appeared in many publications, including the *Journal of Clinical Psychiatry*, *American Family*
18 *Physician*, *American Journal of Psychiatry*, *Psychiatric Services*, *Journal of Psychoactive Drugs*,
19 *Harvard Mental Health Letter* and *Psychiatric Times*. I serve on the editorial boards of the
20 *International Journal of Offender Therapy and Comparative Criminology* and the *Annals of*
21 *Pharmacotherapy*.

22 11. My professional memberships include the Maricopa County Medical Society, the
23 American Medical Association, the American Psychiatric Association and the American College
24 of Psychiatrists.

25 12. On August 11, 2011, I conducted a psychiatric examination of Vivian Harrison in
26 the context of litigation in which she was a party ("the Harrison litigation"). I again evaluated
27 Ms. Harrison on September 19, 2011, in specific response to a June 9, 2011, "psychiatric
28 analysis" of Ms. Harrison that was prepared by Dr. Norton Roitman and submitted to the court by

1 the adverse party in the Harrison litigation. Dr. Roitman's "psychiatric analysis" included certain
2 conclusions about Ms. Harrison, including a diagnosis of narcissistic personality disorder, even
3 though Dr. Roitman had never met or personally evaluated Ms. Harrison.

4 13. Based on my personal examinations and evaluations of Ms. Harrison, she did not
5 meet the diagnostic criteria for any mental disorder defined in the Diagnostic and Statistical
6 Manual of Mental Disorders and, specifically, she did not have a personality disorder.

7 14. In May 2013, I again reviewed Dr. Roitman's "psychiatric analysis" of Ms.
8 Harrison and the associated documents that had been submitted to the court in the Harrison
9 litigation in the context of whether Dr. Roitman met or fell below the standard of care of a
10 psychiatrist in formulating and publishing an opinion or diagnosis of Ms. Harrison for use in the
11 Harrison litigation.

12 15. Based on my review of those documents and information, it is my opinion that Dr.
13 Roitman fell below the standard of care of a psychiatrist in formulating and publishing a diagnosis
14 of and offering his derivative opinions and conclusions about Ms. Harrison based on that
15 diagnosis for use in litigation in which Ms. Harrison was a party, as follows:

16 - In relevant part, the American Association of Psychiatry and the Law
17 (AAPL) states in its professional ethics guidelines (www.aapl.org/ethics.htm) that:

18 *Psychiatrists should not distort their opinion in the service of the retaining*
19 *party. Honesty, objectivity and the adequacy of the clinical evaluation*
20 *may be called into question when an expert opinion is offered without a*
21 *personal examination. For certain evaluations (such as record reviews*
22 *for malpractice cases), a personal examination is not required. In all*
23 *other forensic evaluations, if, after appropriate effort, it is not feasible to*
24 *conduct a personal examination, an opinion may nonetheless be rendered*
25 *on the basis of other information. Under these circumstances, it is the*
26 *responsibility of psychiatrists to make earnest efforts to ensure that their*
27 *statements, opinions and any reports or testimony based on those*
28 *opinions, clearly state that there was no personal examination and note*
any resulting limitations to their opinions.

- Dr. Roitman did not directly examine or evaluate Ms. Harrison.

o No one should make a psychiatric diagnosis without examining the
individual whose mental illness is alleged.

1 ○ While Dr. Roitman appropriately mentions that the failure to
2 evaluate Ms. Harrison directly constitutes “a weakness” in his “psychiatric
3 analysis,” he proceeds to treat his inferences as though they had first-rate validity.

4 ○ At a minimum, an expert who decides not to examine the person he
5 diagnoses should elaborate on the reasons that prompted him to forego a direct
6 assessment. I do not find such an explanation in the material I reviewed.

7 ○ Dr. Roitman’s claim that his conclusions have greater validity than
8 those by other mental health professionals who based their opinions on direct
9 clinical or psychometric assessments of Mr. Harrison is astounding.

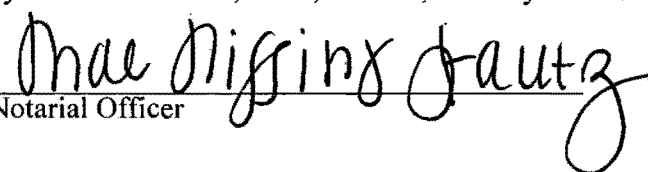
10 - Dr. Roitman based his “psychiatric analysis” on narratives provided by the
11 adverse party in the Harrison litigation, who is arguably the least objective observer
12 imaginable. This is especially disturbing. While Dr. Roitman’s argument that collateral
13 information is an important source of information in the absence of a direct interview is
14 plausible, the collateral information on which he relied – the adverse party in the Harrison
15 litigation and two witnesses whose affidavits are virtually identical and used much of the
16 same phraseology as the adverse party’s information – were not reliably objective sources
17 of information.

18
19 DATED this 17 day of June, 2013.

20 
21 Ole J. Thienhaus, M.D., M.B.A., FACPpsych

22 STATE OF ARIZONA)
23 : ss.
24 COUNTY OF PIMA)

25 Signed and sworn to before me
26 by Ole J. Thienhaus, M.D., M.B.A., FACPpsych on June 17th, 2013.

27 
28 Notarial Officer



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FILED

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Joey Orduna Hastings

Clerk of the Court

Transaction # 3854582

CODE 4085

IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA
IN AND FOR THE COUNTY OF WASHOE

VIVIAN HARRISON

Petitioner(s)/Plaintiff(s),

vs.

Case No. CV13-01403

NORDON ROITMAN, MD

Respondent(s)/Defendant(s).

Dept. No. 1

SUMMONS

TO THE DEFENDANT: YOU HAVE BEEN SUED. THE COURT MAY DECIDE AGAINST YOU WITHOUT YOUR BEING HEARD UNLESS YOU RESPOND IN WRITING WITHIN 20 DAYS. READ THE INFORMATION BELOW VERY CAREFULLY.

A civil complaint or petition has been filed by the plaintiff(s) against you for the relief as set forth in that document (see complaint or petition). When service is by publication, add a brief statement of the object of the action. See Nevada Rules of Civil Procedure, Rule 4(b).

The object of this action is: MEDICAL MALPRACTICE

1. If you intend to defend this lawsuit, you must do the following within 20 days after service of this summons, exclusive of the day of service:
 - a. File with the Clerk of the Court, whose address is shown below, a **formal written answer** to the complaint or petition, along with the appropriate filing fees, in accordance with the rules of the Court, and;
 - b. Serve a copy of your answer upon the attorney or plaintiff(s) whose name and address is shown below.

2. Unless you respond, a default will be entered upon application of the plaintiff(s) and this Court may enter a judgment against you for the relief demanded in the complaint or petition.

Dated this JUN 27 day of 2013, 20

Issued on behalf of Plaintiff(s):

Name: JOHN ORTISON
Address: 275 Hill St. #230
RENO NV 89501
Phone Number: 775-323-2700

JOEY ORDUNA HASTINGS
CLERK OF THE COURT

By: [Signature]
Deputy Clerk
Second Judicial District Court
75 Court Street
Reno, Nevada 89501

1 **AFFET**

2 John Ohlson, Esq.

3 John Ohlson, Esq.

4 555 South Center Street

5 Reno, NV 89501

6 State Bar No.: 1672

7 Attorney(s) for: Plaintiff(s)

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SECOND JUDICIAL DISTRICT COURT
WASHOE COUNTY NEVADA

Case No.: CV13-01403

Dept. No.: I

Date:

Time:

Vivian Marie Lee Harrison

vs

Plaintiff(s)

Norton A. Roitman, M.D.; et al.

Defendant(s)

AFFIDAVIT OF SERVICE

I, Myla Carson, being duly sworn deposes and says: That at all times herein affiant was and is a citizen of the United States, over 18 years of age, licensed to serve civil process in the State of Nevada under license #604, and not a party to or interested in the proceeding in which this affidavit is made. The affiant received 1 copy(ies) of the: Summons; Complaint on the 9th day of July, 2013 and served the same on the 9th day of July, 2013 at 11:19 am by delivering and leaving a copy with the Defendant(s), Norton A. Roitman, M.D at 2340 Paseo Del Prado, Las Vegas, NV 89102.

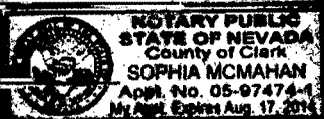
J.A. 21

State of Nevada, County of Clark

SUBSCRIBED AND SWORN to before me on this

10th day of July, 2013

Notary Public Sophia McMahan



Affiant Myla Carson

#: R-067968

Legal Process Service

License # 604

WorkOrderNo 1305421

NOT FOR DISTRIBUTION OUTSIDE THE COURT SYSTEM

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**SECOND JUDICIAL DISTRICT COURT
COUNTY OF WASHOE, STATE OF NEVADA**

**AFFIRMATION
Pursuant to NRS 239B.030**

The undersigned does hereby affirm that the preceding document, _____

Summons

(Title of Document)

filed in case number: **CV13-01403**



Document does not contain the social security number of any person

-OR-



Document contains the social security number of a person as required by:



A specific state or federal law, to wit:

(State specific state or federal law)

-or-



For the administration of a public program

-or-



For an application for a federal or state grant

-or-



Confidential Family Court Information Sheet
(NRS 125.130, NRS 125.230 and NRS 125B.055)

Date: 7/15/13


(Signature)

Robert May
(Print Name)

PLAINTIFF
(Attorney for)

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VIVIAN HARRISON VS. NORTON R 2 Pages
District Court 07/16/2013 04:10 PM
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JOEY GORDON HASTINGS
CLERK OF THE COURT

BY *M. Chandra*
DEPUTY

IN THE SECOND JUDICIAL DISTRICT COURT OF THE
STATE OF NEVADA IN AND FOR THE
COUNTY OF WASHOE

-oOo-

VIVIAN MARIE LEE HARRISON,
Plaintiff,

Case No. CV13 01403
Dept. No. 1

vs.

NORTON A. ROITMAN, M.D.;
DOES I-X and ROE CORPORATIONS I-X,
Defendants.

STIPULATION FOR CHANGE OF VENUE

Plaintiff Vivian Marie Lee Harrison, by and through counsel, JOHN
OHLSON, ESQ., and Defendant Norton A. Roitman, M.D., by and through
counsel, EDWARD J. LEMONS, ESQ., LEMONS, GRUNDY & EISENBERG,
hereby stipulate as follows:

That venue for the within matter shall be moved from the Second Judicial
District Court, State of Nevada, County of Washoe, to the Eighth Judicial District
Court, State of Nevada, County of Clark.

///
///
///
///

1 As grounds therefore it is agreed that both Plaintiff and Defendant reside
2 in Clark County and the events involved in this case occurred within Clark
3 County.

4 **AFFIRMATION**

5 Pursuant to NRS 239B.030, the undersigned does hereby affirm that the
6 preceding document DOES NOT contain the Social Security Number of any
7 person.

8 DATED this 15 day of July, 2013.

DATED this 15th day of July, 2013.

9 **LAW OFFICE OF JOHN OHLSON**
10 *Attorney for Plaintiff*

LEMONS, GRUNDY & EISENBERG
Attorneys for Defendant

11 BY: 
12

13 JOHN OHLSON, ESQ.
14 Nevada Bar No. 1672

11 BY: 
12

13 EDWARD J. LEMONS, ESQ.
14 Nevada Bar No. 699

2885

IN THE SECOND JUDICIAL DISTRICT COURT OF THE
STATE OF NEVADA IN AND FOR THE
COUNTY OF WASHOE

-o0o-

VIVIAN MARIE LEE HARRISON,

Plaintiff,

vs.

NORTON A. ROITMAN, M.D.;
DOES I-X and ROE CORPORATIONS I-X,

Defendants.

Case No. CV13 01403

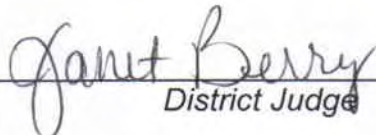
Dept. No. 1

ORDER CHANGING VENUE

PURSUANT TO THE STIPULATION entered into by and between parties,

IT IS HEREBY ORDERED that venue shall be transferred to the Eighth Judicial
District Court, Clark County, State of Nevada.

Dated this 10th day of July, 2013.


District Judge

1 **2540**

2 Edward J. Lemons, Esq.

3 State Bar No. 699

4 **LEMONS, GRUNDY & EISENBERG**

5 6005 Plumas Street

6 Third Floor

7 Reno, Nevada 89519

8 *Attorneys for Defendan*

9 *Norton A. Roitman, M.D.*

10 IN THE SECOND JUDICIAL DISTRICT COURT OF THE
11 STATE OF NEVADA IN AND FOR THE
12 COUNTY OF WASHOE

13 -o0o-

14 VIVIAN MARIE LEE HARRISON,

15 Plaintiff,

16 vs.

17 NORTON A. ROITMAN, M.D.;
18 DOES I-X and ROE CORPORATIONS I-X,

19 Defendants.

Case No. CV13 01403

Dept. No. 1

20
21 **NOTICE OF ENTRY OF ORDER**

22 **PLEASE TAKE NOTICE** that the Order transferring venue in connection
23 with the above-entitled matter was entered on the 18th day of July, 2013. A true
24 and correct copy of said Order is attached hereto.

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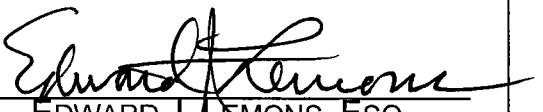
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AFFIRMATION

Pursuant to NRS 239B.030, the undersigned does hereby affirm that the preceding document DOES NOT contain the Social Security Number of any person.

DATED this 18th day of July, 2013.

LEMONS, GRUNDY & EISENBERG
Attorneys for Defendant

BY: 
EDWARD J. LEMONS, ESQ.
Nevada Bar No. 699

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On July 18, 2013, following the ordinary business practice, I caused to be served to the addressee(s) listed below, a true copy of the foregoing document(s) and described as **Notice of Entry of Order**.

_____ **BY PERSONAL SERVICE:** in an envelope to be hand delivered this date;

____ **BY FACSIMILE:** by transmitting by facsimile to the respective fax telephone phone number(s).

John Ohlson
275 Hill Street
Suite 230
Reno, Nevada 89501

C. B. Lee

1 **2885**

2
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5
6 **IN THE SECOND JUDICIAL DISTRICT COURT OF THE**
7 **STATE OF NEVADA IN AND FOR THE**
8 **COUNTY OF WASHOE**

8 -o0o-

9 **VIVIAN MARIE LEE HARRISON,**

Case No. CV13 01403

10 Plaintiff,

Dept. No. 1

11 vs.

12 **NORTON A. ROITMAN, M.D.;**
13 **DOES I-X and ROE CORPORATIONS I-X,**

14 **Defendants.**

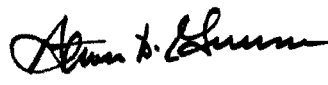
15
16 **ORDER CHANGING VENUE**

17 **PURSUANT TO THE STIPULATION** entered into by and between parties,

18 **IT IS HEREBY ORDERED** that venue shall be transferred to the Eighth Judicial
19 District Court, Clark County, State of Nevada.

20 Dated this 10th day of July, 2013.

21
22 
23 Janet Berry
District Judge


CLERK OF THE COURT

JOHN H. COTTON, ESQ.
Nevada Bar No. 005268
BRIANNA SMITH, ESQ.
Nevada Bar No. 11795
COTTON, DRIGGS, WALCH,
HOLLEY, WOLOSON & THOMPSON
400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Telephone: 702/791-0308
Facsimile: 702/791-1912
Attorneys for Defendant Norton A. Roitman, M.D.

DISTRICT COURT
CLARK COUNTY, NEVADA

VIVIAN MARIE LEE HARRISON,

Plaintiff,

v.

NORTON A. ROITMAN, M.D.; DOES I-X and
ROE CORPORATIONS I-X,

Defendants.

Case No.: A-13-687300-C
Dept. No.: 1

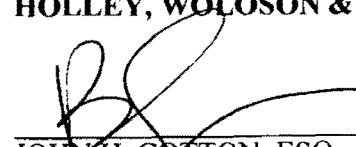
DEFENDANT
NORTON A. ROITMAN, M.D.'S
MOTION TO DISMISS
PLAINTIFF'S COMPLAINT

Defendant Norton A. Roitman, M.D. (hereinafter "Defendant"), by and through his counsel of record John H. Cotton, Esq. and Brianna Smith, Esq., of the law firm of COTTON, DRIGGS, WALCH, HOLLEY, WOLOSON, & THOMPSON, hereby submits this Motion to Dismiss Plaintiff's Complaint based upon NRC 12(b)(5), for failure to state a claim for relief.

This Motion is made and based on NRC 12(b)(5), the accompanying Memorandum of Points and Authorities, and any oral argument of counsel that the Court may entertain at the time of hearing.

Dated this 4th day of September 2013.

COTTON, DRIGGS, WALCH
HOLLEY, WOLOSON & THOMPSON


JOHN H. COTTON, ESQ.
BRIANNA SMITH, ESQ.
NEVADA BAR NO. 11795
Attorneys for Defendant Norton A. Roitman, M.D.

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Dated this 4th day of September, 2013.

JOHN H. COTTON, ESQ.
BRIANNA SMITH, ESQ.
Nevada Bar No. 11795
Attorneys for Defendant Norton A. Roitman, M.D.

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I.**

3 **INTRODUCTION**

4 This matter arises from a family court divorce proceeding in which expert opinions were
5 disclosed by the Plaintiff/wife Vivian Harrison and Defendant/husband Kirk Harrison. In a
6 transparent attempt to circumvent the litigation privilege, Plaintiff Vivian Harrison ("Plaintiff")
7 has sued Mr. Harrison's expert from the divorce proceeding, Norton Roitman, M.D., for alleged
8 medical malpractice, negligent infliction of emotional distress, intentional emotional distress and
9 conspiracy. The frivolous nature of this Complaint aside, Plaintiff has failed to plead any
10 cognizable claim for relief for any of her alleged causes of action. Consequently, Plaintiff's
11 Complaint must be dismissed in its entirety.
12

13 **II.**

14 **STATEMENT OF FACTS PER COMPLAINT**

15 Per the Complaint, in and during years 2011 and 2012, Plaintiff Vivian Harrison
16 ("Plaintiff") was a party to a family court divorce case against her then-husband, Kirk Harrison
17 (herein "the divorce proceeding"). (*Complaint*, ¶7). During the divorce proceeding, Mr. Harrison
18 retained a forensic psychiatric expert, Norton Roitman, M.D., to render a preliminary psychiatric
19 analysis of Ms. Harrison. As is standard practice in litigation proceedings, both parties disclosed
20 experts and their expert's respective opinions. In summary, Plaintiff alleges that Mr. Harrison's
21 expert, Dr. Roitman, analysis dated June 9, 2011, diagnosed Plaintiff with narcissistic personality
22 disorder and provided an analysis, conclusions and diagnosis regarding Plaintiff without having
23 met Plaintiff. (*Complaint*, ¶¶9-14). By rendering these opinions, Plaintiff claims Dr. Roitman fell
24 below the standard of care and caused injury and harm to Plaintiff.
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III.

ARGUMENT

A. Motion to Dismiss Standard.

NRCP 12(b)(5) allows a district court to dismiss a complaint for failure to state a claim upon which relief can be granted. A court must accept Plaintiff's factual allegations as true, but the allegations must be legally sufficient to constitute the elements of the claims asserted. *Sanchez ex rel. Sanchez v. Wal-Mart Stores, Inc.*, 125 Nev. 818, 823, 221 P.3d 1276, 1280 (2009). "Dismissal under NRCP 12(b) is appropriate where the allegations in a complaint, 'taken at 'face value,' ... [and] construed favorably in the [plaintiffs'] behalf,' fail to state a cognizable claim for relief." *Morris v. Bank of Am. Nevada*, 110 Nev. 1274, 1276, 886 P.2d 454, 456 (1994) (quoting *Edgar v. Wagner*, 101 Nev. 226, 227-28, 699 P.2d 110, 111-12 (1985)). There must be "specific allegations sufficient to constitute the elements of a claim on which this court can grant relief." *Malfabon v. Garcia*, 111 Nev. 793, 796, 898 P.2d 107, 108 (1995).

Although the Nevada Supreme Court has not explicitly adopted the federal standard, it has not declined to do so either. See *Garcia v. Prudential Ins. Co. of Am.*, 129 Nev. Adv. Op. 3, 293 P.3d 869, 871 n.2 (2013). Under current federal pleading standards, a threadbare recitation of the cause of action's elements without supporting factual allegations that demonstrate that the pleader is plausibly entitled to relief will not suffice. *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009). Moreover, even though all factual allegations are presumed to be true, legal conclusions couched as facts do not need to be accepted. *Id.*

A complaint must contain sufficient factual matter, accepted as true, to "state a claim to relief that is plausible on its face." *Id.* A complaint has facial plausibility when the party pleads factual content that allows the court to draw the reasonable inference that the movant is liable for the alleged misconduct. *Id.* In other words, for the nonmovant to succeed, "the non-conclusory

1 'factual content,' and reasonable inferences from that content, must be plausibly suggestive of a
2 claim entitling the plaintiff to relief." *Moss v. U.S. Secret Serv.*, 572 F.3d 962, 969 (9th Cir.
3 2009).

4 **B. Plaintiff Failed to State a Claim for Relief for Medical Malpractice Because**
5 **Dr. Roitman Did Not Owe Any Duty To Plaintiff.**

6 Plaintiff's first cause of action against Dr. Roitman is for medical malpractice. Medical
7 malpractice, like any other form of negligence, involves a breach of duty which causes injury.
8 To be tortiously liable, a physician must owe a duty which departed from the accepted standard
9 of medical care in a manner that results in injury to their patient. *Fernandez v. Admirand*, 108
10 Nev. 963, 843 P.2d 354 (1992).
11

12 In the Complaint, Plaintiff entirely fails to plead the foremost element of a medical
13 malpractice cause of action: duty. Indeed, Plaintiff could not in good faith argue that Dr.
14 Roitman owed a duty to Plaintiff. Dr. Roitman was retained as an expert in the divorce
15 proceeding by then-husband Mr. Kirk Harrison. It is uncontroverted that at no point did Dr.
16 Roitman establish a physician-patient relationship with Ms. Harrison and has never been retained
17 as an expert by Plaintiff. Accordingly, Dr. Roitman could not breach a duty of care to Ms.
18 Harrison because no duty ever existed. Thus, Plaintiff has failed to state a claim for relief
19 pursuant to NRCP 12(b)(5).
20

21 **C. Plaintiff's Derivative Claims for Negligent Infliction of Emotional Distress**
22 **and Intentional Infliction of Emotional Distress Also Fail.**

23 The Nevada Supreme Court has held that claims for negligent infliction and intentional
24 infliction of emotional distress are derivative of the primary claim for medical malpractice. See
25 *Fierle v. Perez*, 125 Nev. 728, 129 P.3d 906, 909 (2009)(derivative claims cannot stand alone
26 without a valid cause of action). Because Plaintiff's claim for medical malpractice fails to state a
27 claim pursuant to NRCP 12(b)(5), these causes of action cannot be maintained and must be
28

1 dismissed.

2 **D. Plaintiff Fails to State a Cognizable Claim for Civil Conspiracy.**

3 An actionable civil conspiracy consists of “a combination of two or more persons who,
4 by some concerted action, intend to accomplish an unlawful objective for the purpose of harming
5 another, and damage results from the act or acts.” *Dow Chemical Co. v. Mahlum*, 114 Nev. 1468,
6 1489, 970 P.2d 98, 112 (1998), *overruled in part on other grounds, GES, Inc. v. Corbett*, 117
7 Nev. 265, 21 P.3d 11 (2001). Intent to harm another must be specifically pled. *Jordan v. State ex*
8 *rel. Dept. of Motor Vehicles and Public Safety*, 121 Nev. 44, 75, 110 P.3d 30, 51 (2005),
9 *abrogated on other grounds, Buzz Stew, LLC v. City of North Las Vegas*, 124 Nev. 224, 228 n. 6,
10 118 P.3d 670, 672 n. 6 (2003). In addition, mere proof of an agreement is insufficient “because it
11 is essential that the conduct of each tortfeasor be in itself tortious.” *Id.*
12

13 A civil conspiracy is a serious tort, alleged by Plaintiff to entitle her to an award of
14 punitive damages against Dr. Roitman. (*Complaint*, pg. 7). As a result, a generalized pleading of
15 conspiracy is inadequate to state a claim and is properly dismissed. *See e.g., Nevada*
16 *Associateion Services, Inc. v. First American Title Insurance Co.*, 2012 WL 9096706, *5-6
17 (D.Nev. 2012), explaining why a general assertion of a civil conspiracy must be dismissed:
18

19 To establish a civil conspiracy claim, a plaintiff must demonstrate that: 1) the defendants were part
20 of a combination of two or more persons; 2) the defendants intended to achieve an unlawful
21 objective for the purpose of harming another; 3) damage resulted from the action; 4) defendants
22 committed an underlying tort; and 5) an agreement existed between the defendants to commit that
23 tort. [...]

24 Plaintiff does not allege that Dr. Roitman intended to harm or injure Plaintiff. Instead, Plaintiff
25 alleges that “Dr. Roitman and the adverse party to Ms. Harrison in the Harrison litigation
26 intended to advance Dr. Roitman’s ‘psychiatric analysis’ of Ms. Harrison for purposes of
27 harming Ms. Harrison in the Harrison litigation and in order give the adverse party to Ms.
28 Harrison an undue, unfair and unlawful advantage in that case.” (*Complaint*, ¶38). Where a

1 conspiracy claim fails to allege an agreement, intent, purpose and act to bring about the harm
2 complained of – here injury and emotional distress to Plaintiff – it is insufficiently pled and must
3 be dismissed. *See Consolidated Generator-Nevada, Inc. v. Cummins Engine Co.*, 114 Nev. 1304,
4 1311, 971 P.2d 1251, 1256 (1998)(affirming district court’s dismissal by summary judgment of a
5 conspiracy claim where there was no evidence of defendants’ intent to harm plaintiff).
6

7 **E. The Statute of Limitations Has Long Expired.**

8 Even assuming, *arguendo*, that Dr. Roitman owed a duty to Plaintiff, which is
9 indisputable that he did not, the statute of limitations on any such claim has expired. The statute
10 of limitations for medical malpractice cases is governed by NRS 41A.097, which provides, that a
11 plaintiff has one year from the day they knew or should have known of a potential cause of
12 action.
13

14 NRS 41A.097(2) governs the instant matter because Plaintiff has alleged medical
15 malpractice. In the Complaint, Plaintiff alleges that Dr. Roitman committed malpractice when he
16 submitted a “June 9, 2011, Report...in which he provided a ‘psychiatric analysis’ of Ms.
17 Harrison.” (*Complaint*, ¶8). Thus, the statute of limitations commenced on June 9, 2011, and ran
18 on June 12, 2012. This Complaint was filed June 26, 2013, over two years after the report was
19 disclosed and over one year after the statute limitations expired.
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IV.

CONCLUSION

For the reasons stated above, Plaintiff has failed to state a viable claim for all of her causes of actions. Consequently, Plaintiff's Complaint must be dismissed.

Dated this 4th day of September, 2013.

**COTTON, DRIGGS, WALCH
HOLLEY, WOLOSON & THOMPSON**

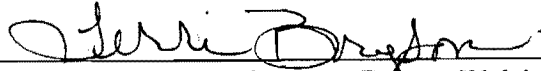


JOHN H. COTTON, ESQ.
BRIANNA SMITH, ESQ.
NEVADA BAR NO. 11795
Attorneys for Defendant Norton A. Roitman, M.D.

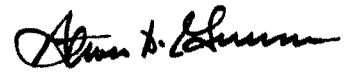
1 **CERTIFICATE OF MAILING**

2 I hereby certify that on this 4th day of Septmber 2013, I sent a true and correct copy
3 of the foregoing **DEFENDANT NORTON A. ROITMAN, M.D.'S MOTION TO DISMISS**
4 **PLAINTIFF'S COMPLAINT** by U.S. Mail, postage prepaid, addressed to the following:

5
6 John Ohlson, Esq.
7 275 Hill Street, Suite 230
8 Reno, Nevada 89501
Attorneys for Plaintiff

9
10 

11 An Employee of Cotton, Driggs, Walch,
12 Holley, Woloson & Thompson, Ltd.
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CLERK OF THE COURT

1 JOHN OHLSON, ESQ.
2 Bar Number 1672
275 Hill Street, Suite 230
3 Reno, Nevada 89501
Telephone: (775) 323-2700
4 *Attorney for Plaintiff*
Vivian Marie Lee Harrison

5
6 **DISTRICT COURT**
7 **CLARK COUNTY, NEVADA**

8 *** ** *

9 VIVIAN MARIE LEE HARRISON, Case No. A-13-687300
10 Plaintiff, Dept. No. 1
11 vs.
12 NORTON A. ROITMAN, M.D.; DOES I-X and
13 ROE CORPORATIONS I-X,
14 Defendants

15 **PLAINTIFF'S OPPOSITION TO DEFENDANT'S MOTION TO DISMISS**

16 Plaintiff, VIVIAN MARIE LEE HARRISON, by and through her attorney, JOHN
17 OHLSON, opposes the motion by Defendant Norton A. Roitman, M.D. ("Dr. Roitman"). This
18 opposition is made and based upon the rigorous standard applied to motions to dismiss pursuant to
19 NRCP 12(b)(5), and is further supported by the following points and authorities.

20 **SUPPORTING POINTS AND AUTHORITIES**

21 **I. OVERVIEW**

22 Plaintiff Vivian Harrison ("Ms. Harrison") has sued Defendant Norton A. Roitman ("Dr.
23 Roitman") for medical malpractice, negligent and intentional emotional distress, and civil
24 conspiracy as it concerns a June 9, 2011, psychological report prepared by Dr. Roitman that
25 provided a psychological analysis and conclusions concerning Ms. Harrison, and diagnosed Ms.
26 Harrison with narcissistic personality disorder. It was a report that was prepared and written by
27 Dr. Roitman (despite that he had never, and has never, met or seen Ms. Harrison) and was
28

1 submitted in litigation to which Ms. Harrison was a party ("the Harrison litigation") by the
2 adverse party in that case.

3 Dr. Roitman prepared and submitted his report for the Harrison litigation based solely on
4 the information provided to him by the adverse party to Ms. Harrison in the Harrison litigation,
5 who had requested that psychiatric analysis of Ms. Harrison to advance his position in the case
6 and gain an advantage over Ms. Harrison. Ms. Harrison, in response to Dr. Roitman's report,
7 voluntarily underwent comprehensive and direct clinical and psychometric assessments by other
8 mental health professionals. The opinions of those professionals about Ms. Harrison were
9 contrary to those stated by Dr. Roitman. Nonetheless, Dr. Roitman's report regarding Ms.
10 Harrison did significant damage to Ms. Harrison in the Harrison litigation and to her reputation
11 generally, caused her emotional and physical suffering, and caused unnecessary delays in and
12 substantially increased the attorneys fees and expert costs to Ms. Harrison in the Harrison
13 litigation. The extent of those damages could not have been not known to or discovered by Ms.
14 Harrison until the Harrison litigation concluded July 12, 2012, and formed the basis of Ms.
15 Harrison's June 26, 2013, lawsuit against Dr. Roitman.

16 Dr. Roitman has moved to dismiss Ms. Harrison's complaint pursuant to NRCP 12(b)(5)
17 for failing to state a claim upon which relief can be granted. Dr. Roitman asserts that, by suing
18 him, Ms. Harrison is attempting to circumvent the litigation privilege, and substantively
19 challenges each of Ms. Harrison's causes of action. Dr. Roitman's motion, however, is without
20 merit. Initially, his report is not subject to the litigation privilege. Moreover, Dr. Roitman's
21 motion is fraught with citations to authority that are misleading and misstated, are otherwise
22 inapposite. As a consequence, Ms. Harrison requests that this Court deny Dr. Roitman's motion.

23 **II. ARGUMENT**

24 Under NRCP 12(b)(5)'s failure-to-state-a-claim dismissal standard, a complaint should not
25 be dismissed unless it appears *to a certainty* that the plaintiff could prove no set of facts that
26 would entitle him or her to relief. *Holcomb Condo. Homeowners' Ass'n, Inc. v. Stewart Venture,*
27 *LLC*, 129 Nev. ____ (Adv. Op. No. 18) (2013), citing *Cohen v. Mirage Resorts, Inc.*, 119 Nev. 1,
28 22, 62 P.3d 720, 732 (2003). This is a *rigorous standard*, as the reviewing court construes the

1 pleading liberally, drawing every inference in favor of the nonmoving party. *Holcomb Condo.*
2 *Homeowners' Ass'n, supra*, citing *Citizens for Cold Springs v. City of Reno*, 125 Nev. 625, 629,
3 218 P.3d 847, 850 (2009); *see also* *Lubin v. Kunin*, 117 Nev. 107, n. 1, 17 P.3d 422, n. 1 (2001)
4 (reciting the well-recognized standard for considering motions to dismiss), citing *Vacation Village*
5 *v. Hitachi America*, 110 Nev. 481, 484, 874 P.2d 744 (1994).

6 In this case, the litigation privilege does not apply. Ms. Harrison has stated a valid claim
7 for medical malpractice based upon the statutory duty of care imposed upon Dr. Roitman.
8 Because her claim for medical malpractice is valid, so are her derivative claims for negligent and
9 intentional emotional distress. Ms. Harrison has also sufficiently and adequately alleged her
10 cause of action for civil conspiracy. Finally, Ms. Harrison filed her lawsuit against Dr. Roitman
11 within the applicable statute of limitations. Thus, Dr. Roitman is not entitled to an order
12 dismissing Ms. Harrison's lawsuit against him.

13 ***A. The litigation privilege is not applicable to this case.***

14 While Dr. Roitman does not make any substantive or supported challenge to Ms.
15 Harrison's complaint based upon the litigation privilege, rather relegating that reference to a one-
16 liner in reference to her efforts to circumvent it by way of her claims against him, it is nonetheless
17 an issue requiring disposal. To the extent Dr. Roitman is raising the litigation privilege as a basis
18 on which the complaint should be dismissed, it is not applicable in this case. Notwithstanding
19 that Ms. Harrison has not asserted a claim against Dr. Roitman for defamation, Dr. Roitman was
20 not a party in the Harrison litigation. *Clark County Sch. Dist. V. Virtual Educ.*, 125 Nev. 374, 213
21 P.3d 496, 502-3 (2009) (the privilege applies to attorneys and parties to litigation). Thus, there is
22 not basis on which Dr. Roitman can assert the litigation privilege in this case.

23 ***B. Ms. Harrison has stated a valid claim for medical malpractice based upon Dr.***
24 ***Roitman's statutory duty of care.***

25 Dr. Roitman asserts that Ms. Harrison's first cause of action against him for medical
26 malpractice should be dismissed because Dr. Roitman and Ms. Harrison did not have a physician-
27 patient relationship and, therefore, Dr. Roitman had no duty to Ms. Harrison that he could breach.
28 In support of his assertion, Dr. Roitman cites *Fernandez v. Admirand*, 108 Nev. 963, 843 P.2d 354

1 (1992) as stating that a physician must owe a duty which departed from the accepted standard of
2 medical care that results in injury to their patient.

3 Dr. Roitman, however, misstates the standard for medical malpractice claims as it was
4 stated in *Fernandez*, and ignores that the standard of care imposed on him is applicable under the
5 circumstances of this case.

6 1. *Nevada's statutory scheme governing medical malpractice cases is based*
7 *on general negligence principles and does not require a physician-patient*
8 *relationship.*

9 In *Fernandez*, the Court recited the definition of medical malpractice as “the failure of a
10 physician, hospital or employee of a hospital, in rendering services, to use the reasonable care,
11 skill, or knowledge ordinarily used under similar circumstances.” *Fernandez*, 108 Nev. at 968,
12 quoting NRS 41A.009.¹ The Court went on to explain that in order to prove medical malpractice,
13 the claimant must establish the *accepted standard of medical care or practice*, and then must
14 show that the doctor’s conduct departed from that standard and legally caused the injuries
15 suffered. *Fernandez*, 108 Nev. at 968-9, citing *Orcutt v. Miller*, 95 Nev. 408, 411, 595 P.2d 1191,
1193 (1979) and NRS 41A.100.²

16 Indeed, that standard was recited and applied in *Fernandez* in the context of a medical
17 malpractice case regarding the care doctors gave to a patient with whom they had personally
18 interacted. Contrary to Dr. Roitman’s suggestion, however, nothing in *Fernandez* limits the broad
19 language of the general definition and standard that governs medical malpractice cases as
20 prescribed by NRS 41A.009 and 41A.100 *only* to circumstances in which the doctor has
21 personally or directly interacted with the patient or has an established doctor-patient relationship.

22
23 ¹ The language of NRS 41A.009 as quoted by the *Fernandez* Court has remained the same
24 since 1989.

25 ² The current version of NRS 41A.100 states the same standard, as follows:

26 “Liability for personal injury or death is not imposed upon any provider of
27 medical care based upon alleged negligence in the performance of that care unless
28 evidence consisting of expert medical testimony, material from recognized
medical texts or treatises or the regulations of the licensed medical facility
wherein the alleged negligence occurred is presented to demonstrate *the alleged*
deviation from the accepted standard of care in the specific circumstances of the
case and to prove causation of the alleged personal injury or death....”

1 Nevada's statutory scheme does not require a physician-patient relationship as a predicate
2 to a medical malpractice claim. Chapter 41A of the Nevada Revised Statutes (entitled "Actions
3 for Medical or Dental Malpractice") generally governs actions for medical malpractice. The
4 Chapter defines "medical malpractice" as the failure of a physician, hospital, or employee of a
5 hospital, in rendering services, to use the reasonable care, skill or knowledge ordinarily used
6 under similar circumstances (NRS 41A.009). "Professional negligence" as the negligent act or
7 omission to act by a provider of health care in the rendering of professional services, which act or
8 omission is the proximate cause of a personal injury (NRS 41A.015).

9 To prevail in a medical malpractice action, the plaintiff must establish that: (1) the
10 doctor's conduct departed from the accepted standard of medical care or practice; (2) that the
11 doctor's conduct was both the actual and proximate cause of the plaintiff's injury; and (3) that the
12 plaintiff suffered damages. *Prabhu v. Levine*, 112 Nev. 1538, 1543, 930 P.2d 103 (1996), citing
13 *Perez v. Las Vegas Medical Center*, 107 Nev. 1, 4, 805 P.2d 589, 590-91 (1991) and *Orcutt v.*
14 *Miller*, 95 Nev. 408, 411-12, 595 P.2d 1191, 1193 (1979). To that end, claims for medical
15 malpractice in Nevada are based on general negligence principles. *Id.*

16 The statutes governing medical malpractice cases in Washington are similarly stated to
17 those in Nevada. Section 7.70.030 of the Revised Code of Washington defines three separate
18 causes of action for medical malpractice, one of which, like Nevada, is based upon the failure to
19 follow the accepted standard of care. *See* RCW § 7.70.030(1).

20 Pursuant to RCW § 7.70.040, proof that injury resulted from the failure of a health care
21 provider to follow the accepted standard of care requires that: (1) the health care provider failed to
22 exercise that degree of care, skill, and learning expected of a reasonably prudent health care
23 provider at that time in the profession or class to which he or she belongs acting in the same or
24 similar circumstances; and (2) that failure was the proximate cause of the injury complained of.
25 Based upon the general negligence principles of those provisions, there is no requirement that a
26 plaintiff asserting a medical malpractice claim under them be a patient. *See Daly v. U.S.*, 946
27 F.2d 1467, 1469 (9th Cir. 1991) (acknowledging that the broad nature of the statutory scheme
28 evidences the legislature's intent to impose liability beyond the context of a physician-patient

relationship); *see also Eelbode v. Chec Medical Centers, Inc* , 97 Wash.App. 462, 984 P.2d 436, 438-9 (1999) (a claim of failure to follow the accepted standards of care does not require a physician-patient relationship).

In this case, Ms. Harrison has asserted a general medical malpractice claim pursuant to the negligence principles applicable to NRS Chapter 41A. She alleges that Dr. Roitman, a licensed psychiatrist (who rendered opinions and conclusions about Ms. Harrison's mental health and diagnosed her with narcissistic personality disorder) had a *duty to meet the standard of care* required of psychiatrists and to use reasonable care, skill, or knowledge ordinarily used under similar circumstances. *See Complaint*, ¶ 16.

To that end, Ms. Harrison has alleged that, to a degree of medical certainty (*as supported by two psychiatric experts*), Dr. Roitman "*fell below the standard of care* required of psychiatrists in both his written diagnosis of Ms. Harrison and in the conclusions he reached about her without ever having met or seen Ms. Harrison and without conducting an evaluation of Ms. Harrison." *Id.* at ¶ 17 (emphasis added). Ms. Harrison has also alleged that, to a degree of medical certainty (*and also supported by two psychiatric experts*), Dr. Roitman "*fell below the standard of care* required of psychiatrists in both his written diagnoses of Ms. Harrison and in the conclusions he reached about her based solely on narratives provided to him by the adverse party to Ms. Harrison in the Harrison litigation." *Id.* at ¶ 18 (emphasis added). Ms. Harrison has further alleged that Dr. Roitman's diagnosis and conclusions about Ms. Harrison were incorrect, and that they damaged her in the Harrison litigation, the extent of which was not known until the conclusion of the Harrison litigation.

Indeed, Ms. Harrison's allegations that Dr. Roitman "fell below the standard of care" is a direct reference to the preceding allegation that Dr. Roitman "had a duty to meet the standard of care" and is an allegation that he breached that duty. *Id.* at ¶ 16. As a consequence, *and drawing every reasonable inference in her favor (see, supra)*, Ms. Harrison has adequately alleged: (1) that Dr. Roitman had a duty to her by way of her allegations that he departed from the accepted standard of medical practice; (2) that his conduct caused injury to Ms. Harrison; (3) and that Ms.

1 Harrison suffered damages, as required by *Prabhu, supra*. As a consequence, Ms. Harrison has
2 adequately alleged and stated a valid claim for medical malpractice.

3 2. *To the extent that a physician-patient relationship is required by Nevada's*
4 *statutory scheme governing malpractice cases, it has been established by*
 Dr. Roitman's conduct.

5 Even if Nevada's general negligence-based statutory scheme for medical malpractice cases
6 could be construed as requiring a physician-patient relationship, that issue is subject to
7 consideration and analysis beyond just whether a patient visited and was personally evaluated by
8 a physician. Indeed, courts have recently begun recognizing that just because a physician does
9 not deal directly with a patient does not necessarily preclude the existence of a physician-patient
10 relationship. *See, i.e., Mead v. Legacy Health Sys.*, 352 Or. 267, 283 P.3d 904 (Or. 2012), *citing*
11 *St. John v. Pope*, 901 S.W.2d 420, 424 (Tex. 1995) and *McKinney v. Schlatter*, 692 N.E.2d 1045,
12 1050-51 (Ohio Ct.App. 1997), *overruled on other grounds, Lownsbury v. VanBuren*, 762 N.E.2d
13 354, 362 (Ohio 2002) (it was a question of fact for the jury whether an on-call cardiologist who
14 had discussed a patient's symptoms and test results with an emergency room physician entered
15 into a physician-patient relationship with the person seeking treatment).

16 "In light of the increasing complexity of the health care system, in which patients
17 routinely are diagnosed by pathologists or radiologists or other consulting
18 physicians who might not ever see the patient face-to-face, it is simply unrealistic
to apply a narrow definition of the physician-patient relationship in determining
whether such a relationship exists for purposes of a medical malpractice case."

19 *Mead*, 283 P.3d at 910, *quoting Kelley v. Middle Tennessee Emergency Physicians*, 133 S.W.3d
20 587, 596 (Tenn. 2004), *cf. Eads v. Borman*, 351 Or. 729, 743-744, 277 P.3d 503 (2012) (noting
21 that changes in the way health care is delivered affects apparent agency analysis).

22 Thus, a physician-patient relationship may be implied when a *physician affirmatively*
23 *undertakes to diagnose* and/or treat a patient, or affirmatively participates in such diagnosis
24 and/or treatment. *Mead*, 283 P.3d at 910, *quoting Kelley*, 133 S.W.3d at 596. With that, the
25 Oregon Supreme Court has held that the standard for determining whether there is a physician-
26 patient relationship is whether a physician who has not personally seen a patient either knows or
27 reasonably should know that he or she is diagnosing a patient's condition or treating the patient.
28 *Mead*, 283 P.3d at 910. If the physician either knew or reasonably should have known that he or

1 she was diagnosing the patient's condition or providing treatment to the patient, then an implied
2 physician-patient relationship exists and the physician owes the patient a duty of reasonable care.
3 *Id.*

4 The application of Oregon's standard of determining a physician-patient relationship in
5 the absence of having personally seen and evaluated the patient is consistent with Nevada's broad
6 statutory standard of care, and the liability imposed on a physician who fails to use the reasonable
7 care, skill, or knowledge ordinarily used under similar circumstances. *See supra; see also*
8 *Cleghorn v. Hess*, 109 Nev. 544, 853 P.2d 1260 (1993) (embracing a "liberal definition of
9 'patient'" in the context of psychological evaluations of employees conducted on behalf of
10 employers as "in harmony with the legislative intent....").

11 In this case, and applying the principles and contemporary realities of health care as
12 addressed by, *i.e.*, *Mead* and *Kelley*, *supra*, Dr. Roitman ***created a physician-patient relationship***
13 ***with Ms. Harrison*** when he undertook a *comprehensive evaluation and diagnosis* of Ms. Harrison
14 based upon information from an third party and without ever having met or seen her. He knew
15 that it was information that would be considered and used against Ms. Harrison in a litigation to
16 which she was a party.

17 It is an interesting irony that Dr. Roitman now claims he is not liable for his actions in
18 diagnosing Ms. Harrison without ever having laid eyes on her or ever having heard her voice. His
19 claim that she was not a patient as a bar to the suit is similar to the prisoner who kills his parents
20 plea for mercy because he is an orphan. Dr. Roitman violated the standard of care by diagnosing
21 Ms. Harrison. She was not a patient in the traditional sense, but he owed her every duty
22 nonetheless.

23 Based on the Ms. Harrison's supporting allegations for her medical malpractice claim
24 (Complaint, ¶¶ 16-21, outlined above), *and drawing every reasonable inference in her favor (see,*
25 *supra)*, Ms. Harrison has adequately alleged and stated a valid claim for medical malpractice.

26
27 **C. Ms. Harrison's emotional distress claims are asserted as alternative claims for**
28 **relief and are independent of her claim for medical malpractice.**

1 Dr. Roitman next asserts that Ms. Harrison's claims for negligent and intentional infliction
2 of emotional distress are derivative of her primary claim for medical malpractice, and based on
3 his challenge to her medical malpractice claim, Ms. Harrison cannot maintain them. In support of
4 his assertion, Dr. Roitman cites *Fierle v. Perez*, 125 Nev. 728, 129 P.3d 906 (2009) as stating that
5 derivative claims cannot stand alone without a valid cause of action. The authority Dr. Roitman
6 cites, however, does not support his assertion.

7 Initially, for the reasons stated above, Ms. Harrison has stated a valid cause of action for
8 medical malpractice. Thus, to the extent her emotional distress claims are attendant to her
9 medical malpractice claim, they remain viable claims.

10 Be that as it may, Dr. Roitman's recitation of *Fierle* is misleading. *Fierle* concerned the
11 requirement of an affidavit in medical and professional malpractice cases, the extent to which a
12 complaint that lacks a required affidavit can be amended, and whether an affidavit is required for
13 claims based on the doctrine of *res ipsa loquitur*. The Court held that claims based upon *res ipsa*
14 *loquitur* do not require an affidavit. That the medical malpractice claims that were asserted
15 without a supporting affidavit could not be amended to relate back to the complaint containing the
16 surviving *res ipsa loquitur* claims because they are void *ab initio* and, therefore, do not legally
17 exist.³ *Id.*, 219 P.3d at 913-914. In footnote 2 to the case, the Court addressed the plaintiff's
18 *husband's* loss of consortium claim in the context of the medical malpractice claims that were
19 dismissed based upon the lack of the required affidavits:

20
21 "Regarding the loss of consortium claims, in *Turner v. Mandalay Sports Entm't*,
22 we determined that *a spouse's* claim for loss of consortium is derivative, and thus,
23 its success is dependent on the other spouse having a valid cause of action against
24 the defendant. 124 Nev. ___, ___ n. 31, 180 P.3d 1172, 1178 n. 31 (2008)
(citing *Gunlock v. New Frontier Hotel*, 78 Nev. 182, 185 n. 1, 370 P.2d 682, 684
n. 1 (1962)). Thus, we conclude that because of the derivative nature of the
claims, only the loss of consortium claims that arise from the surviving *res ipsa*
loquitur claims endure on remand."

25 *Fierle*, 125 Nev. 728 at n. 2 (emphasis added).

26
27
28 ³ *Egan v. Chambers*, 129 Nev. ___ (Adv. Op. 25) (2013) overruled the holding in *Fierle*
that the affidavit requirement applied to all professional negligence actions.

1 Nothing in *Fiere* states, or even suggests, that a plaintiff's emotional distress claims in a
2 medical malpractice case are "derivative" of the primary malpractice claim. And in this case, Ms.
3 Harrison has not asserted derivative claims of others as *Fiere* contemplates them. Rather, Ms.
4 Harrison has asserted several different causes of action based on injury to her, which she is
5 entitled to do. See *Countrywide Home Loans v. Thitchener*, 124 Nev. 725, 192 P.3d 243, 248
6 (2008) (plaintiffs are permitted to plead alternative or different theories of relief based on the
7 same facts), citing *Topaz Mut. Co., Inc. v. Marsh*, 839 P.2d 606, 108 Nev. 845, 852 (Nev., 1992)
8 (a plaintiff may assert several claims for relief and be awarded damages on different theories).
9 Thus, Ms. Harrison's emotional distress claims are independent claims. As a consequence, *Fiere*
10 is not applicable as cited by Dr. Roitman.

11 ***D. Ms. Harrison has sufficiently and adequately alleged her cause of action for civil***
12 ***conspiracy.***

13 In his challenge to Ms. Harrison's civil conspiracy claim, Dr. Roitman asserts that the
14 supporting allegations in the complaint fail to allege that Dr. Roitman intended to harm or injure
15 Ms. Harrison and, therefore, the claim is not sufficiently pled. In support of his challenge, Dr.
16 Roitman cites various cases that recite the elements of an actionable civil conspiracy claim and,
17 on those bases, concludes that the claim, as alleged, must be dismissed. Dr. Roitman's challenge,
18 however, is without merit.

19 Indeed, the authority on which Dr. Roitman relies is helpful. In fact, it is determinative.
20 *But it is helpful and determinative in favor of Ms. Harrison.* For instance, in *Nevada Association*
21 *Services, Inc. v. First American Title Insurance Co.*, 2012 WL 9096706 (D.Nev. 2012), the
22 Federal District Court of Nevada, stated that:

23 (1) a plaintiff pleading conspiracy must plead enough facts to raise a reasonable
24 expectation that discovery will reveal evidence of the existence of a conspiracy
25 (*Id.*, quoting *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 556 (2007)); and (2) that
26 the cause of action must be pled with particular specificity as to "the manner in
27 which a defendant joined in the conspiracy and how he participated in it (*Id.*,
28

1 quoting *Arroyo v. Wheat*, 591 F.Supp.141, 144 (D. Nev. 1984) and *Futch v. BAC*
2 *Home Loans Servicing, LP*, 2011 WL 4544006 (D.Nev. 2011).

3 There does not appear to be any dispute by Dr. Roitman that Ms. Harrison has satisfied those
4 pleading requirements.

5 *Jordan v. State ex. Rel. Dept. of Motor Vehicles and Public Safety*, 121 Nev. 44, 110 P.3d
6 30 (2005), which was also cited by Dr. Roitman,⁴ requires that “[i]ntent must be specifically
7 alleged.” *Jordan*, 121 Nev. at 51. The complaint, on its face, specifically alleges the requisite
8 intent by Dr. Roitman. For instance, the introductory paragraph to Ms. Harrison’s civil
9 conspiracy claim incorporates by reference all of the preceding paragraphs of the complaint.
10 Complaint, ¶ 36. Included in those preceding paragraphs are the supporting factual allegations
11 that outline, in detail, the actions of Dr. Roitman and the party adverse to Ms. Harrison in the
12 Harrison litigation that gave rise to her civil conspiracy claim, as follows:

- 13 • In and during 2011 and 2012, Ms. Harrison was a party in a case being litigated in
14 Clark County, Nevada (“the Harrison litigation”).
- 15 • In an effort to advance his position in the case and gain an advantage over Ms.
16 Harrison, the adverse party to Ms. Harrison in the Harrison litigation submitted to
17 the Court a June 9, 2011, Report that was prepared and signed by Dr. Roitman, in
18 which he provided a “psychiatric analysis” of Ms. Harrison.
- 19 • In his June 9, 2011, Report, Dr. Roitman, among other things, diagnosed Ms.
20 Harrison as having a narcissistic personality disorder.
- 21 • In rendering his diagnosis of Ms. Harrison, Dr. Roitman concluded that Ms.
22 Harrison’s “pathological narcissistic personality disorder is near impossible to treat
23 and her prognosis is very poor” and further stated that “if [Ms. Harrison’s]
24 character were stronger, she might have a shot at [improving with treatment], but
25 unfortunately, she is shallow and critical, and lacks internal structure.”
- 26 • Dr. Roitman’s June 9, 2011, Report also offered opinions and conclusions as to
27 what the outcome of the Harrison litigation in reference to Ms. Harrison should be.
- 28 • Dr. Roitman provided his psychiatric analysis, conclusions, and diagnosis
29 regarding Ms. Harrison despite that Dr. Roitman had never, and has never, met or
30 seen Ms. Harrison.
- 31 • Dr. Roitman prepared and submitted his report for the Harrison litigation based
32 solely on the information provided to him by the adverse party to Ms. Harrison in

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34 ⁴ Dr. Roitman cites *Jordan* as stating on page 75 of 121 Nev. 44 that “intent to harm
35 another must be specifically pled.” Motion at 6:8-9. However, there is no page 75 for *Jordan*,
36 121 Nev. 44.

1 the Harrison litigation, who had requested that psychiatric analysis of Ms. Harrison
2 to inform the court of her mental condition and functional limitations.

- 3 • Despite that Ms. Harrison, in response to Dr. Roitman's report, voluntarily
4 underwent comprehensive and direct clinical and psychometric assessments by
5 other mental health professionals and that the opinions of those professionals about
6 Ms. Harrison were contrary to those stated by Dr. Roitman, Dr. Roitman's report
7 regarding Ms. Harrison did significant damage to Ms. Harrison in the Harrison
8 litigation and to her reputation generally, caused her emotional and physical
9 suffering, and caused unnecessary delays in and substantially increased the
10 attorneys fees and expert costs to Ms. Harrison of the Harrison litigation.

11 See Complaint, ¶¶ 7-14.

12 With those factual allegations as the backdrop of her civil conspiracy claim, Ms. Harrison
13 alleges that:

14 "By way of agreement with and based solely on information provided by the
15 adverse party to Ms. Harrison in the Harrison litigation, and without ever having
16 met, seen, or personally evaluated Ms. Harrison, Dr. Roitman, in violation of his
17 duties as a practicing psychiatrist, prepared and provided a written "psychiatric
18 analysis" of Ms. Harrington that included both a diagnosis of and conclusions he
19 reached about her" Complaint, ¶ 37.

20 Clearly, Ms. Harrison is not alleging that Dr. Roitman prepared his psychiatric analysis
21 and offered his incorrect diagnosis of her by accident. Rather, this allegation satisfies the element
22 of civil conspiracy that requires a concerted action – an intended action – and agreement by two
23 or more people.

24 "Dr. Roitman and the adverse party to Ms. Harrison in the Harrison litigation
25 intended to advance Dr. Roitman's "psychiatric analysis" of Ms. Harrison for the
26 purpose of harming Ms. Harrison in the Harrison litigation and in order to give the
27 adverse party to Ms. Harrison an advantage in that case." Complaint, ¶ 38.

28 This allegation – the allegation with which Dr. Roitman takes issue as insufficient to
allege intent – actually alleges intent, by using the word "intent," in the context of how Dr.
Roitman's written "psychiatric analysis" of Ms. Harrison was intended to harm Ms. Harrison in
the Harrison litigation.

To the extent that this allegation can be technically read as omitting the requisite
allegation of intent as asserted by Dr. Roitman, it can certainly be understood and implied in the
context of all of the preceding factual allegations if Ms. Harrison is granted all reasonable
inferences to be drawn in her favor as required by *Holcomb Condo. Homeowners' Ass'n, Inc. v.*

1 *Stewart Venture, LLC*, 129 Nev. ____ (Adv. Op. No. 18) (2013) and *Cohen v. Mirage Resorts, Inc.*,
2 119 Nev. 1, 22, 62 P.3d 720, 732 (2003).

3 “Dr. Roitman’s diagnosis of and conclusions about Ms. Harrison in his
4 “psychiatric analysis” of her were manifestly incorrect and substantially
undermined her position in the Harrison litigation.” Complaint, ¶¶ 39.

5 In other words, and drawing all reasonable inferences in favor of Ms. Harrison (*Holcomb*
6 *Condo. Homeowners’ Ass’n, supra*), Dr. Roitman’s “psychiatric analysis” of Ms. Harrison, which
7 included a diagnosis and conclusions about Ms. Harrison based solely on information provided by
8 a third party adverse to Ms. Harrison and without Dr. Roitman ever having met or seen Ms.
9 Harrison – had its “intended” effect on Ms. Harrison. It harmed her in the Harrison litigation. It
10 also caused severe emotional distress, physical injury, and monetary damages to Ms. Harrison
11 based upon the harm it caused her in the Harrison litigation, all injuries that are necessarily part
12 and parcel of harming Ms. Harrison in the Harrison litigation. Complaint, ¶ 40.

13
14 “Dr. Roitman’s conduct was intentional, malicious, and oppressive, for which Ms.
Harrison is entitled to recover punitive and exemplary damages.” Complaint, ¶ 42.

15 To sum it up, Ms. Harrison alleges that Dr. Roitman’s conduct in reference to the civil
16 conspiracy claim was “intentional,” an allegation that necessarily refers to what he did – provide a
17 psychiatric analysis of Ms. Harrison that included a diagnosis and conclusions about her based on
18 information from an adverse third party without ever having met or seen her – and why he did it –
19 to help advance the adverse party’s position in the Harrison litigation by using that information
20 against Ms. Harrison’s in that litigation. Finally, Dr. Roitman need not have intended Ms.
21 Harrison harm. His intention to join the conspiracy is sufficient.

22
23 ***E. Ms. Roitman’s medical malpractice claim was filed within the applicable statute***
of limitations.

24 Finally, Dr. Roitman contends that Ms. Harrison’s medical malpractice claim is barred by
25 the statute of limitations stated in NRS 41A.097(2) because it was filed more than one year after
26 the June 9, 2011, report. Dr. Roitman’s assessment of when the statute of limitations was
27 triggered, however, is incorrect.

1 NRS 41A.097(2) requires that a plaintiff bring a claim against a health care provider
2 within 3 years after the date of the injury or 1 year after the plaintiff discovers or should have
3 discovered the injury, whichever occurs first. The accrual date for the one-year discovery period
4 under NRS 41A.097(2) generally presents a question of fact to be decided by the jury. *Winn v.*
5 *Sunrise Hosp. & Med. Ctr.*, 128 Nev. ____ (Adv. Op. No 23), 277 P.3d 458, 462 (2012).
6 Dismissal on statute of limitations grounds is only appropriate when the evidence of the discovery
7 period is uncontroverted and irrefutable. *Bemis v. Estate of Bemis*, 114 Nev. 1021, 1025, 967
8 P.2d 437, 440 (1998); *Nevada Power Co. v. Monsanto Co.*, 955 F.2d 1304, 1307 (9th Cir. 1992).
9 In this case, Dr. Roitman does not offer uncontroverted evidence of when Ms. Harrison
10 discovered her injuries, or could reasonably discover her injuries. The date of the report, itself,
11 does not give rise to the requisite discovery by Ms. Harrison. Initially, evidence will show that
12 Dr. Roitman's report, though dated June 9, 2011, was not known to Ms. Harrison or submitted to
13 the court in the Harrison litigation until several months after it was dated.

14 Moreover, as alleged in the complaint, the extent of the actual and special injury and
15 damages to Ms. Harrison that resulted from Dr. Roitman's malpractice were not discovered
16 (incurred) by Ms. Harrison until it became clear that Ms. Harrison was done spending money
17 defending her sanity. For instance, in response to Dr. Roitman's "psychiatric analysis" of Ms.
18 Harrison, which was not known to her until several months after it was dated, Ms. Harrison
19 voluntarily underwent comprehensive and direct clinical and psychometric assessments by other
20 mental health professionals that made contrary findings and conclusions. Complaint, ¶ 14.

21 Ms. Harrison became aware of the existence of the report several months after its signature
22 date, June 9, 2011. As soon as Ms. Harrison knew of the report she was faced with two
23 alternatives: (1) do nothing and lose custody of her children; or (2) fight the report with real
24 professional psychiatric analysis. She chose the second—but that was expensive. Those expenses
25 in legal and expert costs were ongoing. They did not end until her adversary realized the Roitman
26 ploy had failed and stipulated to joint custody. That stipulation is dated July 12, 2012—the date
27 the statute of limitations started to run and the date of the concurrence of knowledge and injury.
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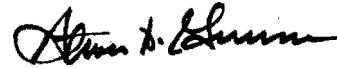
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CLERK OF THE COURT

JOHN H. COTTON, ESQ.
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Attorneys for Defendant Norton A. Roitman, M.D.

DISTRICT COURT
CLARK COUNTY, NEVADA

VIVIAN MARIE LEE HARRISON,

Plaintiff,

v.

NORTON A. ROITMAN, M.D.; DOES I-X and
ROE CORPORATIONS I-X,

Defendants.

Case No.: A-13-687300-C

Dept. No.: 1

Hearing Date: 10/8/2013

Hearing Time: 9:00 a.m.

**DEFENDANT NORTON A. ROITMAN, M.D.'S REPLY IN SUPPORT OF
MOTION TO DISMISS PLAINTIFF'S COMPLAINT WITH PREJUDICE**

Defendant Norton A. Roitman, M.D. (hereinafter "Defendant"), by and through his counsel of record John H. Cotton, Esq. and Brianna Smith, Esq., of the law firm of COTTON, DRIGGS, WALCH, HOLLEY, WOLOSON, & THOMPSON, hereby submits this Reply in support of his Motion to Dismiss Plaintiff's Complaint based upon NRCP 12(b)(5), for failure to state a claim for relief. In addition, Defendant invokes witness immunity pursuant to long-standing case law and dismissal, with prejudice, is appropriate.

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1 This Reply is made and based on NRC 12(b)(5), the accompanying the original Motion,
2 the below Memorandum of Points and Authorities, and any oral argument of counsel that the
3 Court may entertain at the time of hearing.

4 Dated this 3rd day of October 2013.

5 **COTTON, DRIGGS, WALCH**
6 **HOLLEY, WOLOSON & THOMPSON**

7 

8 JOHN H. COTTON, ESQ.

9 Nevada Bar No. 5268

10 BRIANNA SMITH, ESQ.

11 Nevada Bar No. 11795

12 *Attorneys for Defendant Norton A. Roitman, M.D.*

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I. INTRODUCTION

The instant Motion to Dismiss before the Court is simple: Plaintiff did not plead duty, an essential element to all of Plaintiff's claims, pursuant to NRCP 12(b)(5). In Plaintiff's brief opposing Dr. Roitman's Motion to Dismiss, Plaintiff fails to cite to any case law that imposes a duty of care on Dr. Roitman, an expert witness who was retained by the opposing party (Mr. Harrison) in Plaintiff's divorce proceeding. In fact, Plaintiff could not – and does not – in good faith as “warranted by existing law” adequately plead a duty of care because Dr. Roitman could never owe a duty to Plaintiff as any duty is absolved by witness immunity. NRCP 11(b)(2).

II. PERTINENT FACTS CITED IN COMPLAINT

Per the Complaint, in and during years 2011 to 2012, Plaintiff was a party to a family court divorce proceeding against her then-husband, Kirk Harrison (herein “the divorce proceeding”). (*Complaint* ¶7). During the divorce proceeding, both parties retained psychiatric experts. Mr. Harrison retained forensic psychiatric expert, (now Defendant) Norton Roitman, M.D., to render a preliminary psychiatric analysis. (*Complaint* ¶8). Both parties disclosed their experts and their experts’ respective opinions. Plaintiff alleges in her Complaint that Dr. Roitman’s psychiatric analysis “did significant damage to Ms. Harrison” “and to her reputation generally, caused her emotional and physical suffering, and caused unnecessary delays in and substantially increased the attorneys fees and expert costs to Ms. Harrison” in the divorce proceeding. (*Complaint* ¶14).

Plaintiff now sues Dr. Roitman, Mr. Harrison's expert witness from the divorce proceeding, for medical malpractice, negligent infliction of emotional distress, intentional infliction of emotional distress, and conspiracy.

1 **II. ARGUMENT**

2 **A. Failure to State a Claim for Relief for Medical Malpractice.**

3 **1. Plaintiff Did Not Plead Duty.**

4 As previously set forth in Defendant's original Motion, to prevail on a negligence theory,
5 including medical malpractice, a plaintiff must show that: (1) the defendant had a duty to
6 exercise due care towards the plaintiff; (2) the defendant breached the duty [to plaintiff]; (3) the
7 breach was an actual cause of the plaintiff's injury; (4) the breach was the proximate cause of the
8 injury; and (5) the plaintiff suffered damage. *Perez v. Las Vegas Med. Ctr.*, 107 Nev. 1, 4-5, 905
9 P.2d 589, 590-91 (1991).

10
11 As this Court is aware, this Motion is brought pursuant to NRCP 12(b)(5) for failure to
12 state a claim upon which relief could be granted. In Plaintiff's Complaint, she pleads "In
13 rendering his services as a licensed psychiatrist in the State of Nevada, Dr. Roitman had a duty to
14 meet the standard of care required of psychiatrists and use reasonable care, skill, or knowledge
15 used under similar circumstances." (*Complaint* ¶23). Just like Plaintiff's Complaint, Plaintiff
16 omits from her opposition where it is plead in Plaintiff's Complaint that Dr. Roitman owed a
17 duty to Plaintiff or that Dr. Roitman breached the duty owed to Plaintiff. That's because long-
18 standing precedent providing witness immunity instructs us that Dr. Roitman did not -- and could
19 never -- owe a duty to Plaintiff.
20

21 **2. Dr. Roitman Never Owed a Duty Because of Witness Immunity.**

22
23 Dr. Roitman never owed a duty to Plaintiff because he is absolutely immune from this
24 lawsuit. "Absolute immunity [is granted] to all statements made in the course of, or incidental
25 to, a judicial proceeding, so long as they are relevant to the proceedings." *Sahara Gaming Corp.*
26 *v. Culinary Workers Union Local 226*, 115 Nev. 212, 218, 984 P.2d 164, 168 (1999)(citations
27 omitted). "This has been the policy and rule in Nevada for the last seventy years and the
28

1 privilege includes administrative hearings, quasi-judicial proceedings as well as judicial actions.
2 It is in the public's right to know what transpires in the legal proceedings of this state and that is
3 paramount to the fact someone may occasionally make false and malicious statements." *Id.*, 115
4 Nev. at 219, 984 P.2d at 168.

5 Like Nevada, other jurisdictions similarly hold that "[w]itnesses in judicial pleadings are
6 absolutely immune from suit based on their testimony." *Bruce v. Byrne-Stevens & Associates*
7 *Engineers, Inc. et al.*, 113 Wn.2d 123, 776 P.2d 666 (1989)(case attached hereto). "The
8 immunity of parties and witnesses from subsequent damages liability for their testimony in
9 judicial proceedings was well established in English common law." *Id.* (citing *Cutler v. Dixon*, 4
10 Co. Rep. 14b, 76 Eng. Rep. 886 (Q. B. 1585); *Anfield v. Feverhill*, 2 Bulst. 269, 80 Eng. Rep.
11 1113 (K. B. 1614); *Henderson v. Broomhead*, 4 H. & N. 569, 578, 157 Eng. Rep. 964, 968 (Ex.
12 1859); see *Dawkins v. Lord Rokeby*, 4 F. & F. 806, 833-834, 176 Eng. Rep. 800, 812 (C. P.
13 1866). *Briscoe v. LaHue*, 460 U.S. 325, 330-31, 75 L. Ed. 2d 96, 103 S. Ct. 1108 (1983). "The
14 rule is equally well established in American common law." *Id.* (citing *Lawson v. Hicks*, 38 Ala.
15 279, 285-88 (1862); *Myers v. Hodges*, 53 Fla. 197, 208-10, 44 So. 357, 357-61 (1907); *Smith v.*
16 *Howard*, 28 Iowa 51, 56-57 (1869); *Gardemal v. McWilliams*, 43 La. Ann. 454, 457-58, 9 So.
17 106, 108 (1891); *Burke v. Ryan*, 36 La. Ann. 951, 951-52 (1884); *McLaughlin v. Cowley*, 127
18 Mass. 316, 319-20 (1879); *Cooper v. Phipps*, 24 Or. 357, 363-64, 33 P. 985, 986-87 (1893);
19 *Shadden v. McElwee*, 86 Tenn. 146, 149-54, 5 S.W. 602, 603-05 (1887); *Cooley v. Galyon*, 109
20 Tenn. 1, 13-14, 70 S.W. 607, 610 (1902); *Chambliss v. Blau*, 127 Ala. 86, 89-90, 28 So. 602, 603
21 (1900)). The immunity extends not only to expert testimony, but also acts, communications and
22 expert reports which occur in connection with the preparation of their testimony. *Bruce v. Byrne-*
23 *Stevens & Associates Engineers, Inc. et al.*, 113 Wn.2d at 136, 776 P.2d at 673 (1989).

24 It is immaterial that the expert witness is retained by a party. *Id.* 113 Wn.2d at 129, 776
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1 P.2d at 669. This principle was established in *Kahn v. Burman*, 673 F. Supp. 210 (E.D. Mich.
2 1987)(cited in *Byrne, supra*) where the court granted immunity to a medical doctor who was
3 retained as an expert in a medical malpractice case and who allegedly made defaming statements
4 in reports to the attorney investigating the case. *Id.* The court held that witness immunity extends
5 to reports prepared by both potential and retained expert witnesses. *Id.* The immunity not only
6 extends to the expert's testimony, but also to acts and communications which occur in
7 connection with the preparation of that testimony. *Id.*, 113 Wn.2d at 136, 776 P.2d at 673.

9 In this case, Plaintiff is suing an expert witness for authoring a psychiatric analysis and
10 testimony in the divorce proceeding. While Plaintiff did not even plead the basic elements to
11 establish a malpractice claim against Dr. Roitman, Dr. Roitman is shielded from liability by the
12 absolute witness immunity doctrine. Accordingly, dismissal is warranted.

13
14 **2. *Strong Public Policy Favors Dismissal with Prejudice.***

15 Strong public policy favors dismissal of this case with prejudice. The "purpose of
16 witness immunity is to preserve the integrity of the judicial process by encouraging full and
17 frank testimony." *Bruce v. Byrne-Stevens & Associates Engineers, Inc. et al., supra*, 113 Wn.2d
18 at 126, 776 P.3d at 667. There are several significant policy concerns that derive from the
19 fundamental policy of ensuring frank and objective testimony. First, the *Bruce* court states that
20 there will be a loss of objectivity which is contrary to the fundamental reason for expert
21 testimony, which is to assist the finder of fact in a matter which is beyond its capabilities. *Id.*,
22 113 Wn.2d at 130, 776 P.2d at 670. Second, the threat of civil liability will discourage expert
23 witnesses from testifying in all areas of litigation. *Id.*, 113 Wn.2d at 130, 776 P.2d at 670. The
24 fear of a potential lawsuit would certainly discourage non-professional expert witnesses from
25 testifying because they would need to carry professional liability insurance to guard against such
26 liability. *Id.* It would discourage the one-time expert, like a university professor for example,
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1 who ordinarily approach their duty to the court with great objectivity and professionalism. *Id.*,
2 113 Wn.2d at 130-131, 776 P.2d at 670.

3 To allow the instant case to go forward in the face of absolute witness immunity would
4 certainly open the floodgates to litigation against lay witnesses, expert witnesses, jurors, and it
5 could be a slippery slope into the penetration of the litigation privilege enjoyed by attorneys and
6 judges. Consequently, and in light of the grave policy concerns this specious lawsuit implicates,
7 Plaintiff's Complaint must be dismissed with prejudice.
8

9 **C. Plaintiff's Derivative Claims for Negligent Infliction of Emotional Distress**
10 **Intentional Infliction of Emotional Distress, and Civil Conspiracy Also Fail.**

11 **I. Dr. Roitman is also Immune from Plaintiff's Claims for NIED, IED and**
12 **Conspiracy.**

13 In her Complaint, Plaintiff recites the same allegations set forth in Plaintiff's claim for
14 medical malpractice for her other three causes of action. Accordingly, those claims all stem from
15 Dr. Roitman's psychiatric analysis of Plaintiff. There is nothing in the policy rationale
16 underlying witness immunity which would limit its applicability to any specific type of cause of
17 action. *Bruce v. Byrne-Stevens & Associates Engineers, Inc. et al.*, 113 Wn.2d 123, 132, 776
18 P.2d 666, 670 (1989). "Witness immunity is premised on the chilling effect of the threat of
19 subsequent litigation. The threat of subsequent litigation is the same regardless of the theory on
20 which that subsequent litigation is based." *Id.* Consequently, Dr. Roitman is also immune from
21 these derivative claims and they are also subject to dismissal with prejudice.
22

23 **D. The Statute of Limitations Has Long Expired.**

24 Due to Dr. Roitman's immunity from this lawsuit, his argument pertaining to the statute
25 of limitation is withdrawn at this time.
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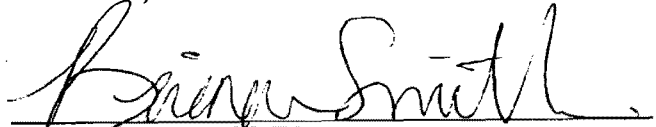
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1
2 **III. CONCLUSION**

3 For the reasons stated above, Plaintiff has failed to state a viable claim for all of her
4 causes of actions. Consequently, Plaintiff's Complaint must be dismissed in its entirety, with
5 prejudice.

6 Dated this 3rd day of October, 2013.

7 **COTTON, DRIGGS, WALCH**
8 **HOLLEY, WOLOSON & THOMPSON**

9 

10 **JOHN H. COTTON, ESQ.**

11 **BRIANNA SMITH, ESQ.**

12 Nevada Bar No. 11795

13 *Attorneys for Defendant Norton A. Roitman, M.D.*

14 **CERTIFICATE OF MAILING**

15 I hereby certify that on this 3rd day of October 2013, I sent a true and correct copy of
16 the foregoing **DEFENDANT NORTON A. ROITMAN, M.D.'S REPLY IN SUPPORT OF**
17 **MOTION TO DISMISS PLAINTIFF'S COMPLAINT** by U.S. Mail, postage prepaid,
18 addressed to the following:

19 John Ohlson, Esq.
20 275 Hill Street, Suite 230
21 Reno, Nevada 89501
22 *Attorneys for Plaintiff*

23 

24 An Employee of Cotton, Driggs, Walch,
25 Holley, Woloson & Thompson, Ltd.



2 of 100 DOCUMENTS



Caution

As of: Oct 01, 2013

Robert L. Bruce, et al, Respondents, v. Byrne-Stevens & Associates Engineers, Inc.,
et al, Petitioners

No. 55250-9

SUPREME COURT OF WASHINGTON

113 Wn.2d 123; 776 P.2d 666; 1989 Wash. LEXIS 92

July 20, 1989

CASE SUMMARY:

PROCEDURAL POSTURE: Respondent landowners filed an action against petitioner engineer that alleged that the engineer was negligent in his analysis and testimony during their trial against another landowner who caused damage to their lands. The trial court granted summary judgment in favor of the engineer based on witness immunity. The landowners appealed. The Court of Appeals (Washington) reversed, and the engineer appealed.

OVERVIEW: The landowners filed an action against the engineer that alleged he was negligent in preparing his analysis and testimony in a previous action against another landowner for causing damage to their land. The court held that the engineer who testified as an expert witness on behalf of the landowners was entitled to immunity based on his testimony. The fact that an expert witness was retained by a party had no bearing on the underlying rationale of witness immunity. The court rejected the argument that witness immunity was restricted to defamation cases. The immunity of expert witnesses extended not only to their testimony, but also to acts and communications that occurred in connection with the preparation of that testimony. The policies that justified witness immunity applied. The court held that the engineer was entitled to the absolute privilege accorded statements made in the course of or preliminary to judi-

cial proceedings that formed the basis of his courtroom testimony.

OUTCOME: The court reversed the appellate court's decision that reversed the trial court's grant of summary judgment in favor of the engineer. The court reinstated the trial court's order of dismissal.

LexisNexis(R) Headnotes

Evidence > Testimony > General Overview
Torts > Negligence > Defenses > General Overview
Torts > Public Entity Liability > Immunity > Judicial Immunity

[HN1] Witnesses in judicial proceedings are absolutely immune from actions against them based on their testimony.

Criminal Law & Procedure > Witnesses > Presentation
Evidence > Testimony > General Overview
Torts > Negligence > Defenses > General Overview
[HN2] The scope of witness immunity is broad.

Criminal Law & Procedure > Witnesses > Presentation
Evidence > Testimony > Experts > Admissibility
Evidence > Testimony > Experts > Helpfulness

113 Wn.2d 123, *; 776 P.2d 666, **;
1989 Wash. LEXIS 92, ***

[HN3] As a matter of law the expert serves the court. The admissibility and scope of the expert's testimony is a matter within the court's discretion. That admissibility turns primarily on whether the expert's testimony will be of assistance to the finder of fact. *Wash. R. Evid.* 702. The court retains the discretion to question expert witnesses. *Wash. R. Evid.* 614(b). The mere fact that the expert is retained and compensated by a party does not change the fact that, as a witness, he is a participant in a judicial proceeding. It is that status on which witness immunity rests.

**Criminal Law & Procedure > Witnesses > Presentation
Torts > Intentional Torts > False Imprisonment >
General Overview**

**Torts > Intentional Torts > Intentional Infliction of
Emotional Distress > General Overview**

[HN4] Psychiatrists and physicians are immune from actions against them based on diagnoses rendered in the course of judicial proceedings.

Evidence > Testimony > General Overview

Torts > Negligence > Defenses > General Overview

[HN5] The privilege or immunity is not limited to what a person may say under oath while on the witness stand. It extends to statements or communications in connection with a judicial proceeding.

**Criminal Law & Procedure > Witnesses > Presentation
Evidence > Testimony > Experts > General Overview**

Torts > Negligence > Defenses > General Overview

[HN6] The immunity of expert witnesses extends not only to their testimony, but also to acts and communications that occur in connection with the preparation of that testimony.

Evidence > Testimony > Experts > General Overview

Torts > Negligence > Defenses > General Overview

[HN7] Absolute immunity extends to acts and statements of experts that arise in the course of or preliminary to judicial proceedings.

SUMMARY:

[***1] **Nature of Action:** After restoring lateral support on their land, the plaintiffs sought damages from an engineer who, in a previous action, had testified on their behalf that the cost of the restoration work would be half of what it turned out to be.

Superior Court: The Superior Court for Pierce County, No. 84-2-02763-6, Donald H. Thompson, J., on

June 27, 1986, dismissed the action for failure to state a claim

Court of Appeals: At 51 Wn. App. 199, the court held that the engineer's statements as a witness were not immune from a negligence claim and *reversed* the judgment.

Supreme Court: Holding that the witness was absolutely immune from liability for any negligence in his testimony or work preliminary to it, the court *reverses* the decision of the Court of Appeals and *reinstates* the judgment.

HEADNOTES

WASHINGTON OFFICIAL REPORTS HEADNOTES

[1] **Witnesses -- Immunity -- Scope -- Expert Testimony -- Retained Witness** The absolute immunity generally accorded witnesses from liability resulting from their testimony serves to preserve the integrity of the judicial process, encourages objective testimony, applies to any type of action, and is applicable to an expert retained by one of the parties to an action.

[2] **Evidence -- Opinion Evidence -- Expert Testimony -- Purpose** Whether an expert witness is retained by a party to an action or appointed by the court, such a witness acts on the court's behalf to assist the trier of fact in understanding the evidence and [***2] is immune from liability resulting from the testimony given.

[3] **Witnesses -- Immunity -- Scope -- Defamation Action** The absolute immunity generally accorded witnesses from liability resulting from their testimony is not restricted to actions for defamation.

[4] **Witnesses -- Immunity -- Scope -- Expert Testimony -- Preliminary Activities** The absolute immunity generally accorded witnesses from liability resulting from their testimony includes any acts or communications by an expert witness which arose in the course of preparing to testify in judicial proceedings.

[Note: Only 4 Justices concur in all of the above statements.]

COUNSEL: Kane, Vandeberg, Hartinger & Walker, by Harold T. Hartinger, for petitioners.

Sinnitt & Sinnitt, P.S., by Paul Sinnitt, for respondents.

Russell C. Love on behalf of Washington Defense Trial Lawyers, amicus curiae for petitioners.

113 Wn.2d 123, *, 776 P.2d 666, **;
1989 Wash. LEXIS 92, ***

Robert H. Whaley and Bryan P. Harnetiaux [***3] on behalf of Washington State Trial Lawyers Association, amici curiae for respondents.

JUDGES: En Banc. Dore, J. Durham and Smith, JJ., and Quinn, J. Pro Tem., concur. Andersen, J., concurs in the result only; Pearson, Utter, Brachtenbach, and Dolliver, JJ., dissent by separate opinion; Callow, C.J., did not participate in the disposition of this case.

OPINION BY: DORE

OPINION

[*124] [**666] We hold that an engineer who testified as an expert witness on behalf of respondents at a previous trial is entitled to immunity from suit based on his testimony.

Facts

Respondents Bruce and Smallwood own separate parcels of property on Clear Lake in Pierce County. In 1979 a neighbor, John Nagle, conducted excavation work on his property, resulting in subsidence in the soil of the Bruce and Smallwood properties. They sued Nagle and retained petitioner Byrne-Stevens & Associates Engineers, Inc. (Byrne-Stevens) to calculate and testify as to the cost of stabilizing the soil on their land. The principal of the firm, Patrick J. Byrne, testified at the trial that the cost of restoring lateral support would be \$ 10,020 on the Bruce property and \$ 11,020 on the Smallwood property. The respondents obtained a judgment against Nagle [***4] for damages of \$ 10,020 to Bruce and \$ 11,020 to Smallwood.

[*125] Bruce and Smallwood sued Byrne-Stevens and Byrne alleging that the cost of restoring lateral support later proved to be double the amount of Byrne's estimate at trial. They contend that Byrne was negligent in preparing his analysis and testimony and that, but for Byrne's low estimate [**667] of the cost of restoring lateral support, they would have obtained judgment against Nagle for the true cost of the restoration.

The trial court granted the defendants' motion to dismiss based on witness immunity. The Court of Appeals reversed. *Bruce v. Byrne-Stevens & Assocs. Eng'rs, Inc.*, 51 Wn. App. 199, 752 P.2d 949, review granted, 111 Wn.2d 1001 (1988). We reverse the Court of Appeals and dismiss the suit.

Witnesses Are Absolutely Immune From Suit

[1] As a general rule, [HN1] witnesses in judicial proceedings are absolutely immune from suit based on their testimony.

The immunity of parties and witnesses from subsequent damages liability for their testimony in judicial proceedings was well established in English common law. *Cutler v. Dixon*, 4 Co. Rep. 14b, 76 Eng. Rep. 886 (Q. B. 1585); [***5] *Anfield v. Feverhill*, 2 Bulst. 269, 80 Eng. Rep. 1113 (K. B. 1614); *Henderson v. Broomhead*, 4 H. & N. 569, 578, 157 Eng. Rep. 964, 968 (Ex. 1859); see *Dawkins v. Lord Rokeby*, 4 F. & F. 806, 833-834, 176 Eng. Rep. 800, 812 (C. P. 1866).

(Footnotes omitted.) *Briscoe v. LaHue*, 460 U.S. 325, 330-31, 75 L. Ed. 2d 96, 103 S. Ct. 1108 (1983). The rule is equally well established in American common law. See *Lawson v. Hicks*, 38 Ala. 279, 285-88 (1862); *Myers v. Hodges*, 53 Fla. 197, 208-10, 44 So. 357, 357-61 (1907); *Smith v. Howard*, 28 Iowa 51, 56-57 (1869); *Gardemal v. McWilliams*, 43 La. Ann. 454, 457-58, 9 So. 106, 108 (1891); *Burke v. Ryan*, 36 La. Ann. 951, 951-52 (1884); *McLaughlin v. Cowley*, 127 Mass. 316, 319-20 (1879); *Cooper v. Phipps*, 24 Or. 357, 363-64, 33 P. 985, 986-87 (1893); *Shadden v. McElwee*, 86 Tenn. 146, 149-54, 5 S.W. 602, 603-05 (1887); [***6] *Cooley v. Galyon*, 109 Tenn. 1, 13-14, 70 S.W. 607, 610 (1902); *Chambliss v. Blau*, 127 Ala. 86, 89-90, 28 So. 602, 603 (1900).

[*126] The purpose of the rule is to preserve the integrity of the judicial process by encouraging full and frank testimony.

In the words of one 19th-century court, in damages suits against witnesses, "the claims of the individual must yield to the dictates of public policy, which requires that the paths which lead to the ascertainment of truth should be left as free and unobstructed as possible." *Calkins v. Sumner*, 13 Wis. 193, 197 (1860). A witness' apprehension of subsequent damages liability might induce two forms of self-censorship. First, witnesses might be reluctant to come forward to testify. See *Henderson v. Broomhead*, [4 H. & N. 569, 578-79] 157 Eng. Rep., at 968. And once a witness is on the stand, his testimony might be distorted by the fear of subsequent liability. See *Barnes v. McCrate*, 32 Me. 442, 446-447 (1851). Even within the constraints of the witness' oath there may be various ways to give an account [***7] or to state an opinion. These alternatives may be more or less

113 Wn.2d 123, *; 776 P.2d 666, **;
1989 Wash. LEXIS 92, ***

detailed and may differ in emphasis and certainty. A witness who knows that he might be forced to defend a subsequent lawsuit, and perhaps to pay damages, might be inclined to shade his testimony in favor of the potential plaintiff, to magnify uncertainties, and thus to deprive the finder of fact of candid, objective, and undistorted evidence.

Briscoe, at 332-33.

In addition to the benefits obtained by extending immunity, the rule also rests on the safeguards against false or inaccurate testimony which inhere in the judicial process itself. A witness' reliability is ensured by his oath, the hazard of cross examination and the threat of prosecution for perjury. *Briscoe*, at 332. See *Engelmohr v. Bache*, 66 Wn.2d 103, 401 P.2d 346 (witness immunity not applicable to statements made in administrative hearing which did not resemble a judicial proceeding), cert. dismissed, 382 U.S. 950 (1965). In light of these safeguards, the detriments of imposing civil liability on witnesses outweigh the benefits.

[HN2] The scope of witness immunity is broad. Immunity has been [***8] extended to witnesses before grand juries. *Macko v. Byron*, 760 F.2d 95, 97 [**668] (6th Cir. 1985); *Kincaid v. Eberle*, 712 F.2d 1023 (7th Cir.), cert. denied, 464 U.S. 1018 (1983). Witnesses in other pretrial proceedings are also absolutely immune. *Holt v. Castaneda*, 832 F.2d 123, 125 (9th Cir. 1987); *Williams v. Hepting*, 844 F.2d 138 (3d Cir. 1988).

[*127] Guardians, therapists and attorneys who submit reports to family court are absolutely immune. *Myers v. Morris*, 810 F.2d 1437, 1466 (8th Cir.), cert. denied, 484 U.S. 828 (1987). Probation officers who allegedly include false statements in pretrial bond reports have been held immune. *Tripati v. United States Immigration & Naturalization Serv.*, 784 F.2d 345, 348 (10th Cir. 1986), cert. denied, 484 U.S. 1028 (1988).

The respondents and amicus curiae on behalf of Washington State Trial Lawyers Association have offered three reasons why the general rule of witness immunity should not apply: (a) the expert is retained and compensated by a party for his testimony; [***9] (b) witness immunity is limited to defamation cases; and, (c) witness immunity is limited to statements made at trial. None of these arguments has merit.

Privately Retained and Compensated Expert Witnesses Are Immune

The Washington case most on point here is *Bader v. State*, 43 Wn. App. 223, 716 P.2d 925 (1986). In *Bader*,

Eastern State Hospital evaluated a criminal defendant, Morris Roseberry, for the purpose of determining whether he was competent to stand trial. Roseberry was diagnosed as paranoid schizophrenic and manic depressive, but was found competent to stand trial. Roseberry was acquitted and released, conditioned on his submitting to treatment. He later murdered a neighbor and the victim's estate sued Eastern State for negligence in its evaluation of Roseberry.

Division Three of the Court of Appeals held Eastern State immune from suit on grounds of judicial immunity. *Bader*, at 226. Accord, *Tobis v. State*, 52 Wn. App. 150, 758 P.2d 534 (1988); *Moses v. Parwatikar*, 813 F.2d 891, 892 (8th Cir. 1987); *Burkes v. Callion*, 433 F.2d 318, 319 (9th Cir. 1970); *Bartlett v. Weimer*, 268 F.2d 860 (7th Cir. 1959); [***10] *In re Scott Cy. Master Docket*, 618 F. Supp. 1534, 1575 (D. Minn. 1985); *Kravitz v. State*, 8 Cal. App. 3d 301, 87 Cal. [**128] Rptr. 352 (1970); *Linder v. Foster*, 209 Minn. 43, 45, 295 N.W. 299 (1940).

The Court of Appeals found *Bader* distinguishable, arguing: "Such immunity certainly would not apply to an expert retained by a party to litigation, because such an expert does not act on the court's behalf." *Bruce*, 51 Wn. App. at 201 n.1. Reasoning along the same lines, the Court of Appeals held that the general rule of witness immunity should not apply here because:

Byrne is a professional, with a pecuniary motive for testifying. He voluntarily undertook to render his expert opinion in the original action, knowing that the parties and the court would rely on that opinion. He was not merely a bystander who fortuitously came to have information relevant to the claim, nor was he subject to contempt of court if he refused to assume this undertaking.

Bruce, 51 Wn. App. at 201.

The fact that Byrne was retained and compensated [***11] by a party does not deprive him of witness immunity. The Court of Appeals assumed that participants in adversarial judicial proceedings derive their immunity from their relationship to the judge, who is himself immune from suit. In many instances, that is correct. See *Adkins v. Clark Cy.*, 105 Wn.2d 675, 717 P.2d 275 (1986) (immunity of bailiff). However, the rationale behind quasi-judicial immunity, as set out in *Briscoe*, sweeps more broadly. The purpose of granting immunity to participants in judicial proceedings is to preserve and enhance the judicial process. "The central focus of our analysis has been the nature of the judicial

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proceeding itself." *Briscoe*, 460 U.S. at 334. The various grants of immunity for judges and witnesses, as well as for prosecutors and bailiffs, are all particular [**669] applications of this central policy. They are best described as instances of a single immunity for participants in judicial proceedings.¹

1 Arizona courts use this terminology. See, e.g., *Western Technologies, Inc. v. Sverdrup & Parcel, Inc.*, 154 Ariz. 1, 4, 739 P.2d 1318, 1321 (Ct. App. 1986). The Arizona Supreme Court has written:

The socially important interests promoted by the absolute privilege in this area include the fearless prosecution and defense of claims which leads to complete exposure of pertinent information for a tribunal's disposition. . . .

The privilege protects judges, parties, lawyers, witnesses and jurors. *Green Acres Trust v. London*, 141 Ariz. 609, 613, 688 P.2d 617 (1984).

[***12]

[*129] The principles set forth in *Pierson v. Ray* [, 386 U.S. 547, 18 L. Ed. 2d 288, 87 S. Ct. 1213 (1967)] to protect judges and in *Imbler v. Pachtman* [, 424 U.S. 409, 47 L. Ed. 2d 128, 96 S. Ct. 984 (1976)] to protect prosecutors also apply to witnesses, who perform a somewhat different function in the trial process but whose participation in bringing the litigation to a just -- or possibly unjust -- conclusion is equally indispensable.

Briscoe v. LaHue, 460 U.S. 325, 345-46, 75 L. Ed. 2d 96, 103 S. Ct. 1108 (1983). In the *Bader* case, Eastern State was immune, not because it partook of the judge's immunity, but because it took part in judicial proceedings.

In this light, it is immaterial that an expert witness is retained by a party rather than appointed by the court. The basic policy of ensuring frank and objective testimony obtains regardless of how the witness comes to court. This was recognized recently in *Kahn v. Burman*, 673 F. Supp. 210 (E.D. Mich. 1987) in which the court granted immunity to a medical [***13] doctor who was

retained as an expert in a medical malpractice case and who allegedly made defaming statements in reports to the attorney investigating the case.

As a matter of policy, also, witness immunity should extend to reports prepared by both potential and retained expert witnesses. Justice Stevens reasoned in *Briscoe* that damage suits against witnesses must "yield to the dictates of public policy, which requires that the paths which lead to the ascertainment of truth should be left as free and unobstructed as possible." This policy of providing for reasonably unobstructed access to the relevant facts and issues mandates the extension of immunity to Dr. Burman for all statements that he made in his reports to Attorney Gray. The overriding concern for disclosure of pertinent and instructive expert opinions before and during medical malpractice actions is no less significant than the clearly-recognized need for all relevant factual evidence during the course of litigation.

(Citation omitted.) *Kahn*, at 213.

[2] In addition, the Court of Appeals is simply wrong to say that an expert witness "does not act on the court's behalf." 51 Wn. App. at 201 n.1. [***14] While it may be that many [*130] expert witnesses are retained with the expectation that they will perform as "hired guns" for their employer, [HN3] as a matter of law the expert serves the court. The admissibility and scope of the expert's testimony is a matter within the court's discretion. *Orion Corp. v. State*, 103 Wn.2d 441, 462, 693 P.2d 1369 (1985). That admissibility turns primarily on whether the expert's testimony will be of assistance to the finder of fact. ER 702. The court retains the discretion to question expert witnesses. ER 614(b). The mere fact that the expert is retained and compensated by a party does not change the fact that, as a witness, he is a participant in a judicial proceeding. It is that status on which witness immunity rests.

The Court of Appeals noted the fact that an expert witness is compensated for his testimony, but did not explain how that affects the basic rationale for witness immunity. Contrary to that court's conclusion, the economics of expert testimony dictate in favor of granting immunity to retained expert witnesses for at least two reasons. Both derive from the fundamental policy of ensuring frank and [***15] objective testimony, as stated in *Briscoe*.

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[**670] First, unless expert witnesses are entitled to immunity, there will be a loss of objectivity in expert testimony generally. The threat of civil liability based on an inadequate final result in litigation would encourage experts to assert the most extreme position favorable to the party for whom they testify. It runs contrary to the fundamental reason for expert testimony, which is to assist the finder of fact in a matter which is beyond its capabilities. To the extent experts function as advocates rather than impartial guides, that fundamental policy is undermined.

Second, imposing civil liability on expert witnesses would discourage anyone who is not a full-time professional expert witness from testifying. Only professional witnesses will be in a position to carry insurance to guard against such liability. The threat of liability would discourage the 1-time expert -- the university professor, for example -- from [*131] testifying. Such 1-time experts, however, can ordinarily be expected to approach their duty to the court with great objectivity and professionalism.

The main argument to the contrary is that the threat of liability [***16] would encourage experts to be more careful, resulting in more accurate, reliable testimony. While there is some merit to this contention, possible gains of this type have to be weighed against the threatened losses in objectivity described above. We draw that balance in favor of immunity. Civil liability is too blunt an instrument to achieve much of a gain in reliability in the arcane and complex calculations and judgments which expert witnesses are called upon to make. The threat of liability seems more likely to result in experts offering opinions motivated by litigants' interests rather than professional standards and in driving all but the full-time expert out of the courtroom.

In sum, the fact that an expert witness is retained by a party has no bearing on the underlying rationale of witness immunity. That basic rationale -- ensuring objective, reliable testimony -- dictates in favor of immunity for experts. As a policy matter, the economics of expert testimony generally also favor immunity as a means of ensuring that a wide cross section of impartial experts are not deterred from testifying by the threat of liability.

Witness Immunity Is Not Limited to Defamation Cases

[***17] Amicus curiae on behalf of Washington State Trial Lawyers Association argues at length that the rule of witness immunity applies only to defamation actions. Amicus is incorrect.

First, the leading case on witness immunity, *Briscoe v. LaHue*, *supra*, is not a defamation case. In *Briscoe*, two criminal defendants sued police officers under 42

U.S.C. § 1983, alleging that the police officers' false testimony violated their civil rights. Amicus fails even to cite *Briscoe*, much less account for it.

[*132] [3] Second, there is nothing in the policy rationale underlying witness immunity which would limit its applicability to defamation cases. Witness immunity is premised on the chilling effect of the threat of subsequent litigation. The threat of subsequent litigation is the same regardless of the theory on which that subsequent litigation is based.

Third, a rule limiting witness immunity to defamation cases would be easy to evade by recasting one's claim under other theories. The New Jersey court noted this fact over 30 years ago in holding that the immunity available in a defamation case is equally applicable to allegations [***18] of malicious prosecution and tortious interference.

If the policy, which in defamation actions affords an absolute privilege or immunity to statements made in judicial and quasi-judicial proceedings, is really to mean anything then we must not permit its circumvention by affording an almost equally unrestricted action under a different label.

Rainier's Dairies v. Raritan Vly. Farms, Inc., 19 N.J. 552, 564, 117 A.2d 889 (1955). See also *Lone v. Brown*, 199 N.J. Super. 420, 429-30, 489 A.2d 1192 (1985); *Procacci v. Zacco*, 402 So. 2d 425, 427 (Fla. Dist. Ct. App. 1981).

[**671] Fourth, as the above citations suggest, there are a large number of cases in a wide range of jurisdictions in which witness immunity has been granted to bar causes of action other than defamation. See *Brody v. Montalbano*, 87 Cal. App. 3d 725, 738, 151 Cal. Rptr. 206, 215 (1978) (malicious prosecution, conspiracy to interfere with contract, conspiracy to intentionally inflict emotional distress); *O'Barr v. Feist*, 292 Ala. 440, 296 So. 2d 152 (1974) (negligence, [***19] false imprisonment); *Bencomo v. Morgan*, 210 So. 2d 236 (Fla. Dist. Ct. App. 1968) (intentional infliction of emotional distress); *Snyder v. Faget*, 295 Ala. 197, 326 So. 2d 113 (1976) (negligence); *Dunbar v. Greenlaw*, 152 Me. 270, 128 A.2d 218 (1956) (negligence); *Fisher v. Payne*, 93 Fla. 1085, 113 So. 378 (1927) (malicious prosecution); *Hurley v. Towne*, 155 Me. 433, 156 A.2d 377 (1959) (false imprisonment); *Dabkowski v. Davis*, 364 Mich. 429, 111 N.W.2d 68 (1961) (false imprisonment, assault and battery).

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[*133] Amicus cites one case to the contrary. *James v. Brown*, 637 S.W.2d 914 (Tex. 1982). *James* arose out of a commitment proceeding against Marguerite James in which three psychiatrists filed reports with the probate court stating that Mrs. James was mentally ill and likely to cause injury. Mrs. James later was freed on a writ of habeas corpus and brought suit against the psychiatrists for negligence, false imprisonment and malicious prosecution. The trial court dismissed [***20] the action, relying on *Clark v. Grigson*, 579 S.W.2d 263 (Tex. Civ. App. 1978).² The Texas court repudiated *Clark* in *James*, limiting witness immunity to defamation actions.

2 Clark, a criminal defendant, retained a psychiatrist, Grigson, to examine him for purposes of advancing an insanity plea. Clark did not advance the plea and was convicted. Grigson was called by the State at the sentencing phase. Clark later brought suit against Grigson alleging that the psychiatrist was negligent in his diagnosis and related testimony. The appeals court held that Grigson was entitled to witness immunity:

Consequently, we hold that no civil liability exists on the part of an expert witness who forms an opinion and states that opinion in the course of his testimony in a judicial proceeding, even though he may have been negligent in the process.

Clark, 579 S.W.2d at 265.

While the doctors' communications to the court of their diagnoses of Mrs. James' mental condition, regardless [***21] of how negligently made, cannot serve as the basis for a defamation action, the diagnoses themselves may be actionable on other grounds. In this regard we disapprove the language of *Clark v. Grigson* . . . inasmuch as it extended to psychiatrists testifying in mental health proceedings a blanket immunity from all civil liability. The unavailability of a defamation action does not preclude a plaintiff from pursuing other remedies at law. Mrs. James is not prevented from

recovering from the doctors for negligent misdiagnosis-medical malpractice merely because their diagnoses were later communicated to a court in the due course of judicial proceedings.

(Citations omitted.) *James*, at 917-18.

We see no reason to follow the Texas court's holding in *James*. The opinion is contrary to the majority rule. As indicated above, a majority of courts have held that psychiatrists are entitled to witness immunity where their diagnoses are offered in the course of judicial proceedings. [*134] *James* discusses neither those cases nor their logic, failing to consider that the policies which justify witness immunity in defamation cases apply equally to other subsequent suits. [***22] Most significantly, *James* turns on a specific Texas statute which preempted the common law rule of immunity. Tex. Rev. Civ. Stat. Ann. art. 5547-18 (Vernon supp. 1989) grants immunity to persons who "without negligence" perform examinations and other acts required by Texas' mental health code. The Texas court reasoned that: "The plain implication of art. 5547-18 is that persons acting . . . negligently in connection with mental health proceedings are not free from liability." 637 S.W.2d at 918. Therefore, *James* is distinguishable from the present case, where there is no such preempting statute.

[**672] We reject the argument that witness immunity is restricted to defamation cases.

Immunity Extends to Actions Forming the Basis of the Testimony

Respondents and amicus argue that the mere fact that Byrne testified on the basis of his engineering work should not insulate him from liability for negligence in the performance of that work. Put another way, it has been argued that Bruce and Smallwood are suing for negligent engineering, not for negligent testifying.

We disagree. Suppose Bruce and Smallwood relied on Byrne-Stevens' engineering work in restoring their property, [***23] that the restoration was not successful and that Bruce and Smallwood sued for negligence, claiming as damages the cost of new engineering studies and further work on the land. In that case, it could be said that Bruce and Smallwood were suing Byrne-Stevens for negligent engineering.

Here, however, the damage Bruce and Smallwood complain of is an inadequate recovery in litigation. They do not allege that Byrne-Stevens' engineering work would not enable a contractor to restore their land; they allege that the firm's estimate of the cost of such work was too low. However, that low estimate would not

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have damaged Bruce [*135] and Smallwood but for the fact that they relied on it in litigation. In short, it simply is not the case that the defendants were sued for negligent engineering. Bruce and Smallwood complain of negligence in providing expert testimony.

Furthermore, the "negligent engineering" argument is inconsistent with witness immunity even in those cases in which respondents and amicus concede it is justified. For example, *Bader* represents the majority rule that [HN4] psychiatrists and physicians are immune from suit based on diagnoses rendered in the course of judicial [***24] proceedings. *Bader v. State*, 43 Wn. App. 223, 716 P.2d 925 (1986). The cases so holding include claims for negligence, *Snyder v. Faget*, *supra*; *Dunbar v. Greenlaw*, *supra*, as well as for intentional infliction of emotional distress, false imprisonment and defamation. In each of these cases, one could argue that the wrong complained of was the erroneous diagnosis, rather than the testimony or report to the court. However, that argument has rarely been made and has never prevailed.

There is a good reason for this. Witness immunity must extend to the basis of the witness' testimony, or the policies underlying such immunity would be undermined. An expert's courtroom testimony is the last act in a long, complex process of evaluation and consultation with the litigant. There is no way to distinguish the testimony from the acts and communications on which it is based. Unless the whole, integral enterprise falls within the scope of immunity, the chilling effect of threatened litigation will result in the adverse effects described above, regardless of the immunity shielding the courtroom testimony.

[***25] The New Jersey court recognized this long ago in *Middlesex Concrete Prods. & Excavating Corp. v. Carteret Indus. Ass'n*, 68 N.J. Super. 85, 172 A.2d 22 (1961). *Middlesex* sued Carteret over progress payments on a sewage treatment plant. Carteret retained Philip B. Streander as a consulting engineer. *Middlesex* subsequently added Streander as a defendant, alleging tortious interference [*136] based on Streander's negative reports. The trial court dismissed the suit against Streander on grounds of witness immunity. *Middlesex* appealed and argued that Streander's report to Carteret:

was not a step in a judicial proceeding and it afforded those harmed by it none of the protection which a judicial proceeding affords in that the report was not under oath; its author was not subject to prosecution for perjury; and the truth or falsity of its contents was not subject to the "searching light" of cross-examination.

Middlesex, 68 N.J. Super. at 90. Nevertheless, the court held that Streander did fall within the broad scope of immunity for participants in judicial proceedings:

[HN5] The privilege or immunity is not limited [***26] to what a person may say under oath [**673] while on the witness stand. It extends to statements or communications in connection with a judicial proceeding. . . .

If this were not so, every expert who acts as a consultant for a client with reference to proposed or actual litigation, and thereafter appears as an expert witness, would be liable to suit at the hands of his client's adversary on the theory that while the expert's testimony was privileged, his preliminary conferences with and reports to his client were not, and could form the basis of a suit for tortious interference.

Middlesex, 68 N.J. Super. at 92. See also *Western Technologies, Inc. v. Sverdrup & Parcel, Inc.*, 154 Ariz. 1, 739 P.2d 1318 (Ct. App. 1986); *Adams v. Peck*, 43 Md. App. 168, 403 A.2d 840 (1979).

[4] In sum, [HN6] the immunity of expert witnesses extends not only to their testimony, but also to acts and communications which occur in connection with the preparation of that testimony. Any other rule would be unrealistically narrow, would not reflect the realities of litigation and would undermine the gains in forthrightness [***27] on which the rule of witness immunity rests.

Respondents and amicus argue that Byrne-Stevens should not be shielded from liability merely because its calculations were later used in court. If the issue is stated that way, we agree; but that is not the issue before us. We do not hold that any professional negligence is immunized [*137] whenever an expert later relies on it in court. In accord with existing law, we hold only that [HN7] absolute immunity extends to acts and statements of experts which arise in the course of or preliminary to judicial proceedings.

It may be helpful to contrast this case with our holding in *Twelker v. Shannon & Wilson, Inc.*, 88 Wn.2d 473, 564 P.2d 1131 (1977). *Twelker*, a soils engineer, was retained to evaluate the risk of subsidence on certain property for the insurer of a contractor who had been retained to perform work there. Following a landslide, the contractor's insurer, fearing litigation, hired Shannon & Wilson to prepare a report on the same property.

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That report allegedly defamed Twelker. Twelker sued and Shannon & Wilson asserted absolute immunity based on the fact that its report was used in a subsequent [***28] lawsuit against Twelker and the contractor. We refused to grant absolute witness immunity to Shannon & Wilson because the statements at issue were uttered before the initiation of judicial proceedings. We cited *Middlesex* with approval and distinguished it on the ground that, in the New Jersey case, judicial proceedings were already underway when the tortious conduct allegedly took place.

The extraordinary breadth of absolute privilege seems to us to require some compelling public policy justification for its existence. Where a lawsuit has been filed, the court in *Middlesex* found the need for uninhibited preliminary conferences and reports sufficient to establish such a justification. . . . [W]e decline to apply the absolute privilege accorded statements made in the course of or preliminary to judicial proceedings to the circumstances of this case.

Twelker, at 478.

The present case is clearly more like *Middlesex* than *Twelker*. Byrne-Stevens was hired specifically for litigation purposes. As we have explained above, the policies which justify witness immunity apply here, and Byrne-Stevens is entitled to "the absolute privilege accorded statements made [***29] in the course of or preliminary to judicial proceedings . . ." *Twelker*, at 478. Byrne-Stevens' background work, [*138] which formed the basis of Byrne's courtroom testimony, therefore falls within the scope of the absolute privilege.

Since immunity must extend to the basis of the witness' testimony, Byrne-Stevens cannot be held liable for negligence in engineering in disregard of the fact that that work formed the basis of its principal's courtroom testimony.

Conclusion

Byrne-Stevens and Byrne are immune from suit under the general rule of witness [**674] immunity. We therefore reverse the Court of Appeals and reinstate the trial court's order of dismissal.

DISSENT BY: PEARSON

DISSENT

Pearson, J.

I dissent. The question in this case is not whether an expert witness is immune from subsequent suit for defamatory statements made in a court of law. That question is well settled. Rather, today we are asked whether a professional's act of malpractice outside the courtroom is somehow immunized by the subsequent articulation of that negligently formed opinion in a judicial proceeding. Neither the law of absolute immunity nor sound public policy dictates the result reached by the majority. I would hold [***30] that a client's action for malpractice is not barred by the defense of absolute immunity merely because the professional subsequently publishes his or her opinion in a court of law at the client's request. Accordingly, I would affirm the unanimous decision of the Court of Appeals.

The majority properly states the common law rule that a witness is absolutely immune from suit for *defamatory statements* uttered in a judicial proceeding. *McClure v. Stretch*, 20 Wn.2d 460, 147 P.2d 935 (1944); *Johnston v. Schlarb*, 7 Wn.2d 528, 110 P.2d 190, 134 A.L.R. 474 (1941). Unfortunately, with a broad cite to the general rule of immunity for *defamation*, and with no legal authority for the present proposition, the majority extends the rule to shield otherwise actionable professional malpractice.

[*139] While this court has never ruled on this issue, other jurisdictions considering the question have not allowed the doctrine of immunity to shield negligent experts. In *James v. Brown*, 637 S.W.2d 914 (Tex. 1982), the court affirmed the dismissal of a libel action against three doctors who had previously [***31] testified against the plaintiff in a competency proceeding. However, the court reversed the dismissal of the plaintiff's cause of action based upon the doctors' acts of malpractice in negligently assessing the plaintiff's mental condition:

While the doctors' communications to the court of their diagnoses of Mrs. James' mental condition, regardless of how negligently made, cannot serve as the basis for a defamation action, the diagnoses themselves may be actionable on other grounds. . . . The unavailability of a defamation action does not preclude a plaintiff from pursuing other remedies at law. . . . Mrs. James is not prevented from recovering from the doctors for negligent misdiagnosis-medical malpractice merely because their diagnoses were later communicated to a court in the due course of judicial proceedings.

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James v. Brown, 637 S.W.2d at 917-18.

In *Steck v. Sakowitz, Inc.*, 659 S.W.2d 91 (Tex. Ct. App. 1983), rev'd on other grounds, 669 S.W.2d 105 (Tex. 1984), while dismissing the plaintiff's libel cause of action, the court permitted the plaintiff to proceed on her claim for tortious interference with her employment [***32] relationship, even though that cause of action arose out of the same allegedly libelous publication. In essence, merely because a defamation action is precluded by immunity, the rule does not sweep so broadly as to extinguish all causes of action.

Finally, in *Levine v. Wiss & Co.*, 97 N.J. 242, 478 A.2d 397 (1984), the court held immunity was not available to shield an accountant's malpractice, even though the professional was hired to prepare an appraisal for a judicial proceeding:

[Immunity] should not be available to shield from liability for negligence appraisers or other experts performing limited functions, as part of their regular professional responsibilities, in the context of judicial proceedings. . . . To do so would not only stretch beyond social need and utility the policy that encourages arbitration, but would also create an additional [*140] legal immunity -- a consequence contrary to our prevailing philosophy and practice that strive to provide redress for wrongful injury.

[**675] *Levine v. Wiss & Co.*, 97 N.J. at 251; accord, Annot., *Accountant's Malpractice Liability to Client*, 92 A.L.R.3d 396, 409 (1979). [***33]

The case law cited by the majority simply does not support the extension of immunity to the situation at bench. The Court of Appeals in considering the question properly distinguished *Bader v. State*, 43 Wn. App. 223, 716 P.2d 925 (1986). That case was not a malpractice action brought against the party's own expert witness. Rather, a victim, who was not a party to the first action brought suit, not against his own expert but against an expert hired by the court. Accordingly, immunity was appropriate since, as will be discussed, the rigors of cross examination protect against negligently formed opinions except as to the party hiring the expert. Additionally, in *Bader* there is no privity between the victim and the expert witness.

The majority holds that the policies underlying witness immunity for defamation actions apply equally to an expert's act of malpractice. In reality, a distinction must be drawn between defamation and acts of professional

malpractice subsequently published in the courtroom. Despite the professed reliance upon the policies underlying immunity, in the end the majority holds that immunity stems merely from taking [***34] "part in judicial proceedings." Majority opinion, at 129. A simple analogy demonstrates the invalidity of this holding: There is no question that an attorney's defamatory statement during a judicial proceeding is shielded from subsequent attack under the doctrine of immunity. However, the same attorney remains liable to his or her own client for any acts of malpractice that occur in that very forum. Accordingly, from this day forward under the majority's new rule, professionals, particularly attorneys, are provided with a new defense in malpractice actions.

[*141] The basis for the majority's extension of the rule of immunity is the claimed desire to promote "full and frank testimony." Majority opinion, at 126. A careful analysis of an expert witness's role reveals that such a rule provides no such impetus. Again, distinctions must be drawn between a lay witness and a party's hired expert. Eyewitnesses, while possessing knowledge essential to the action, possess no professional expertise and have no incentive to testify. Faced with the prospect of civil liability for defamation, an eyewitness's unavailability might severely hamper the fact-finding function of the court. [***35] Such is not the case with hired experts. First, an expert does not possess unique knowledge. Accordingly, even if malpractice liability intimidates some experts, others will rise to fill the need. Second, the majority's argument assumes that these professionals are not otherwise subject to liability. In point of fact, it is merely fortuitous whether an expert is hired to prepare his or her opinion for testimony, or merely for purposes of an amicable settlement. Only under the majority's new rule would a different result attach, depending on a circumstance outside the expert's control.

Additionally, the majority argues, "the hazard of cross examination and the threat of prosecution for perjury" remove the justification for malpractice liability. Majority opinion, at 126. Such an analysis misses the mark. The threat of perjury and the rigors of cross examination only protect against intentional misstatements and those negligent statements the opponent wishes to expose. Thus, these safeguards, in addition to other considerations, do justify the rule of immunity for eyewitnesses. For eyewitnesses are subject to attack from both sides in those areas where their testimony [***36] discredits either party's theory. In addition, when an expert overvalues his client's claim, the opponent of course will attempt to discredit the testimony. However, in an instance where an expert negligently reaches his opinion and undervalues his client's claim, as in this case, if cross examination bears that out, not only has [*142]

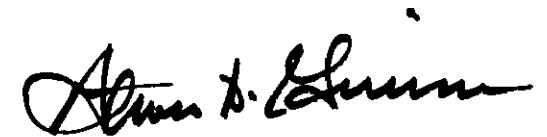
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the expert committed malpractice, but arguably the opponent's attorney has as well.

In *Jarrard v. Seifert*, 22 Wn. App. 476, 479, 591 P.2d 809 (1979), the court stated:

The defendants were employed as professional engineers and land surveyors because of their superior knowledge in [**676] that field. The plaintiffs were entitled to rely on that superior knowledge and to expect that such professionals would fulfill the duty of reasonable diligence, skill, and ability.

Today, the majority holds that such a rule is inapplicable to a negligent engineer who prepared his opinion anticipating its use as testimony at trial. However, in the case at hand, had the engineer merely been hired for the purpose of obtaining a settlement with the tortfeasor, the plaintiff's subsequent malpractice action against the engineer would [***37] not be barred. I fail to grasp any basis upon which the majority can rightfully distinguish the two situations. Both the law and common sense do not support such a judicially created rule. I would hold that the doctrine of absolute immunity does not bar the client's action against his or her own expert for a negligently rendered professional opinion that is subsequently published in a judicial proceeding. Accordingly, I dissent.



CLERK OF THE COURT

RTRAN

DISTRICT COURT
CLARK COUNTY, NEVADA

VIVIAN LEE HARRISON

Plaintiff

vs.

NORTON ROITMAN, M.D.

Defendant

CASE NO. A687300

DEPT. NO. I

BEFORE THE HONORABLE KENNETH C. CORY, DISTRICT COURT JUDGE

TUESDAY, OCTOBER 08, 2013

RECORDER'S TRANSCRIPT RE:
DEFENDANT'S MOTION TO DISMISS COMPLAINT

APPEARANCES:

For the Plaintiff:

JOHN OHLSON, ESQ.

For the Defendant:

BRIANNA SMITH, ESQ.

RECORDED BY: BEVERLY SIGURNIK, COURT RECORDER

1 LAS VEGAS, NEVADA, TUESDAY, OCTOBER 08, 2013, 10:17 A.M.

2 * * * * *

3 THE CLERK: Vivian Lee Harrison v. Norton Roitman, Case No.
4 A687300.

5 MS. SMITH: Good morning, Your Honor, Brianna Smith on behalf of
6 Dr. Roitman.

7 THE COURT: Good morning.

8 MR. OHLSON: Good morning, Your Honor, John Ohlson on behalf of
9 Vivian Harrison, the plaintiff. She's present in court. We're ready to proceed.

10 THE COURT: Good morning. I have a disclosure to make. I know that
11 you would be able to perhaps act on it and tell me whether there's a problem with
12 that today, and that is that my wife reminded me last night that at one point years
13 ago we took my stepson, her son, to Dr. Roitman, and I believe he was treated for a
14 short period and I think, she reminds me, that something was prescribed. So, you
15 know, I don't know if that causes a problem. My view on recusal is with as many
16 judges as we have it's not like frontier days anymore and whether or not it's
17 absolutely required I would leave it open to either side. If either side wishes me to
18 recuse I'll be happy to.

19 MR. OHLSON: Your Honor, on behalf of the plaintiff we've been sitting
20 here all morning and watching Your Honor dispense even-handed justice and we've
21 been impressed and even though we drive a Toyota pickup and not a shiny Porsche
22 car. We're not challenging Dr. Roitman's entire career.

23 THE COURT: Understood.

24 MR. OHLSON: So, I don't think it affects anything and we're happy to
25 proceed with you.

1 THE COURT: All right.

2 Are you able to say on behalf of your client whether –

3 MS. SMITH: Yes, Your Honor.

4 THE COURT: Do you wish to – do you wish to speak to him or –

5 MS. SMITH: No, we have no issue with that.

6 THE COURT: Very good. All right, let's proceed with the motion.

7 MS. SMITH: Your Honor, I first want to just start with saying that this is
8 a really important case, not only to our office, but to the general practice of law, and
9 I want to tell you a little bit about the facts of the case.

10 My client, Dr. Roitman, was retained as a forensic expert in a divorce
11 proceeding some two years ago. After the – in the divorce proceeding he rendered
12 a psychiatric analysis regarding Ms. Harrison. He was retained by Mr. Harrison, the
13 husband. At the conclusion of the case, presumably, I think the case is over, Ms.
14 Harrison now sues Dr. Roitman for med-mal, medical malpractice, intentional
15 infliction of emotional distress, intentional negligent [sic] and conspiracy, all
16 stemming from the report that he rendered in the divorce proceeding, his psychiatric
17 analysis.

18 The basis of my motion is simply that plaintiff failed to plead what duty
19 of care Dr. Roitman owed to plaintiff. He wasn't retained as plaintiff's expert. He
20 wasn't a – she was not a patient of Dr. Roitman. And, as I began to research the
21 issue a little bit more in depth – and it did take quite a few hours for me – I realized
22 that that's because they didn't plead a duty because he doesn't have a duty
23 because he is immune from this lawsuit due to absolute witness immunity.

24 Absolute witness immunity is what it sounds like. It's absolute from any
25 false opinions that they are alleging or any malicious statements and that extends to

1 the expert report that he authored in the divorce proceeding and any
2 communications, acts, anything that occurred during that judicial proceeding. And
3 for those reasons this case is subject to not only dismissal, but it should be
4 dismissed with prejudice.

5 THE COURT: Okay. You want to argue that first and then we'll move
6 on to the rest?

7 MR. OHLSON: Sure.

8 THE COURT: Okay.

9 MR. OHLSON: Counsel wants to –

10 THE COURT: Sounds reasonable, doesn't it?

11 MR. OHLSON: Counsel wants to sit he can – she can do so.

12 MS. SMITH: No, I'm fine.

13 MR. OHLSON: Your Honor, I don't think I've ever seen a case that
14 wasn't important to the litigants. This case is important to Mrs. Harrison, but what I
15 want to traverse is the representation of the facts of this case because they are
16 different, significantly different than represented to you.

17 MR. OHLSON: Sometime ago, oh, probably before 2010 the parties
18 developed – or not the parties, but Mrs. Harrison and her husband developed
19 marital difficulties and they began discussing the dissolution of their marriage, and at
20 around the time of 2012 when Dr. Roitman's affidavit was filed Mr. Harrison retained
21 Dr. Roitman and said this to him: Dr. Roitman, I want you to prepare an affidavit for
22 me – or to sign an affidavit for me that affects my wife's mental capacity because
23 we're going to contest custody. And he said, furthermore, I've got a draft of it right
24 here, it's 42 pages long, and I prepared this and I've gotten all this other information
25 from other third parties for your use in this thing. And he gave the draft to Dr.

1 Roitman, and Dr. Roitman made some minor changes in the draft but mainly
2 followed the draft, the 42-page affidavit, and signed the thing under oath.

3 It was attached to Mr. Harrison's motion for custody of the children, and
4 it was filed, but not served on Mrs. Harrison for a significant period of time while the
5 parties were supposedly negotiating custody. And about five months after it was
6 executed then it was eventually disclosed to Mrs. Harrison. She was ambushed by
7 it. She was kicked out of –

8 THE COURT: Well, okay. But now I'm getting a little concerned
9 because you seem to be imputing to Dr. Roitman the actions of counsel in the case;
10 right?

11 MR. OHLSON: I'm imputing –

12 THE COURT: You're complaining that she was ambushed –

13 MR. OHLSON: Yes.

14 THE COURT: -- and I assume that Dr. Roitman didn't have the control
15 over that –

16 MR. OHLSON: That's right.

17 THE COURT: -- it would've been –

18 MR. OHLSON: That's right.

19 THE COURT: -- it would've been counsel.

20 MR. OHLSON: That's right. What Dr. Roitman did have the control of
21 was the fact that he did not need to and should not have signed this affidavit absent
22 examination of Mrs. Harrison, which, if you've seen the complaint and you've seen
23 the affidavit supporting the complaint in this case, is affied to by probably the most
24 prominent forensic psychiatrist in the country out of New York. That caused Mrs.
25 Harrison to be evicted from her house, separated from her children, and caused her

1 to have to respond to a battle the likes of which I've never even seen before. Right
2 now in that litigation, that divorce litigation, there's a thousand-page opposition to
3 Mrs. Harrison's motion for attorney's fees filed by Mr. Harrison, and that's the kind of
4 battle it was.

5 THE COURT: They don't have a limit on those, huh? We – 30 pages
6 in my court and that's it.

7 MR. OHLSON: No. That was my thought, too, but somehow these
8 things get filed or sent and –

9 THE COURT: Okay.

10 MR. OHLSON: -- you know, that Family Court does something, but –
11 and then there she is, and she spends an excessive amount of money on counsel
12 and on expert fees. She's examined. Remember that what Dr. Roitman did in his
13 affidavit was not only diagnose Mrs. Harrison as having a narcissistic personality
14 disorder, he said that she was so shallow that she's not amenable to treatment and
15 she's never gonna get well. That's what he did in that without ever having seen her.

16 THE COURT: Well, let me ask you a question 'cause I read – I read
17 what both of you said –

18 MR. OHLSON: Sure.

19 THE COURT: -- on both sides. It strikes me that if there is a problem,
20 particularly if there's a problem of the dimension that you argue and that your
21 forensic psychiatrist is prepared to testify, isn't that best addressed to the trial court
22 that originally had the matter? In other words, if – you know, you know what the law
23 is regarding expert opinions in Nevada –

24 MR. OHLSON: Right. Right.

25 THE COURT: -- and we have had a lot of activity in that area in the last

1 few years – I think probably Chaz Higgs’ case is the last big one that I can think of,
2 Hallmark before that – that determine to what extent – or when it can be admissible
3 at all –

4 MR. OHLSON: Right.

5 THE COURT: -- and then perhaps to what extent, and certainly
6 everything that you’ve pointed out here to this point would – could be argued in
7 terms of admissibility, you know, from the get-go before it’s even read by the Court
8 and, secondarily, makes it imminently impeachable.

9 MR. OHLSON: And that –

10 THE COURT: So, isn’t that the place to deal with this rather than
11 create stage two – or phase two of the litigation when you go after the expert?

12 MR. OHLSON: Well, that might be the case except for two things.

13 THE COURT: Okay.

14 MR. OHLSON: First of all, in Family Court, and one of the reasons I
15 don’t go there anymore, in Family Court they do things oftentimes without plenary
16 hearings and this motion is submitted to the Court as an emergency-type motion
17 supported by this huge affidavit and it’s a fait accompli.

18 THE COURT: Yeah.

19 MR. OHLSON: The temporary custody order is evinced and that case
20 proceeds. And, the second thing –

21 THE COURT: Is there – is there then – I’m sorry to interrupt –

22 MR. OHLSON: Yeah, go ahead.

23 THE COURT: -- but I always do this because it – I have to –

24 MR. OHLSON: I’ve been watching you, and it’s just fine.

25 THE COURT: I have to ask the question when it occurs to me, and this

1 is why. I can't remember what I was going to say. No, but presumably there is also
2 available an equally quick recoil to bring the matter back before the Court and point
3 out to the Court the deficiency of having acted so automatically and in this instance
4 reinstating the person in the home.

5 MR. OHLSON: What did happen to convince the Court that Mrs.
6 Harrison was safe around her very own children was that eventually she consulted a
7 psychologist, who wrote the DSM VI, or whatever it is, on narcissistic personality
8 disorder and a psychiatrist who cleared her of these things. Having done so then,
9 about a year later, her husband says, okay, fine, I'll – you know, here, sign this; we'll
10 have joint custody. And then there she is left and what about the half a million
11 dollars I spent getting here to get you to drop this.

12 THE COURT: And that's why I'm asking does it not make more sense
13 to address that within the court where that entire course of conduct took place and, if
14 it is so untoward what happened, require the opposing party that employed that
15 tactic to pay enormous attorney's fees or other sanctions of the court. If it were – if it
16 were egregious to the point of being actionable then why would it not have also
17 been actionable within the four corners of that litigation?

18 MR. OHLSON: I don't think – well, you're right, I guess if a Family
19 Court judge wanted to do something he could assess those kinds of costs in the
20 case and now that there's a battle going on. But, on the other hand, this was a
21 foreseeable event and a foreseeable course of Dr. Roitman signing this affidavit
22 under circumstances when – I mean, it's almost *res ipsa loquitur* that he should
23 never have done so, and there he is. And if she doesn't get that compensation
24 because remember this, Your Honor, if the Family Court judge says to Mrs.
25 Harrison's husband, okay, take this money out of the bank account and reimburse

1 her with it, she's paying for half of it because it's their money.

2 THE COURT: Can the court not say, you know – I don't know, can the
3 court not make some kind of adjustment to make sure that it comes entirely from the
4 individual that they think has done wrongly?

5 MR. OHLSON: I guess. Similarly they could do that as a court could
6 say, well, wait a minute, your insurance company covered those medical expenses
7 and so, you know, we won't worry about the tortfeasor over here.

8 THE COURT: Mm-hmm. Mm-hmm.

9 MR. OHLSON: It is – in looking at this I, too, in my research looking at
10 this which, by the way, I believe is fact-intentioned as well, this motion, the
11 difference between bumbling and grievous bumbling is foreseeability, and in this
12 case Dr. Roitman knew what the affidavit was for. He knew he was violating a
13 standard; he signed it anyway. I believe and the deposition taken in the family law
14 case he wasn't asked how much he was paid for it, but he will be asked later on if
15 this case proceeds. I'm gonna presume that it will if it does. And he is a tortfeasor
16 and the fact that a third party might pay some of this is no different from the fact that
17 an insurance company might have paid some medical bills in a tort case, or a third-
18 party payer. It's his responsibility and his responsibility to avoid that, and he can't
19 escape that responsibility because, well, okay, these two can sort it out and if I sign
20 this then it's all good.

21 THE COURT: Okay. What do you say to the rest of the argument
22 though that it is such an important public policy that the courts have – that people
23 who testify in court not be vulnerable to exactly this sort of lawsuit that immunity
24 protects what has happened, which is not to say that there is no remedy, but that the
25 remedy might not include instituting another lawsuit directly against the witness?

1 MR. OHLSON: Sure. What I say is that if we were sitting in Seattle
2 instead of Las Vegas that I might be real concerned. The cases relied on by
3 counsel basically are Washington state cases –

4 THE COURT: Yes, that's true.

5 MR. OHLSON: -- and the law in Nevada is different.

6 THE COURT: Okay.

7 MR. OHLSON: In Nevada – and there are cases and I can give 'em to
8 you if you wish – you probably already read them. In Nevada we follow the
9 traditional common law rule about witness immunity and we relate them to
10 defamation cases –

11 THE COURT: Mm-hmm. Mm-hmm.

12 MR. OHLSON: -- and we apply the immunity to parties and attorneys,
13 and we apply quasi judicial immunity to people who are acting in an official capacity,
14 like social workers and the like so that they – they're not chilled. Third parties, our
15 Supreme Court has not gone that far, and I don't believe they were intended to go
16 that far, especially third parties. It would be different if Dr. Roitman was hauled into
17 court and asked as a percipient witness if he happened to be in the ER and worked
18 on somebody and said, yeah, I don't want to be here, but I did.

19 THE COURT: Yeah.

20 MR. OHLSON: In this case he was a paid expert.

21 So that's my response to that.

22 THE COURT: I'm curious. You mentioned defamation, and I don't
23 believe that there is a defamation cause of action in this.

24 MR. OHLSON: And intentionally so. Before – when we were
25 preparing the complaint – and we research all these issues when we prepare the

1 complaint – but when we were preparing the complaint I considered a defamation
2 claim and realized that I was skating close to the line in terms of the witness
3 immunity because that's the only matters in which it has been applied. I know – I
4 think the most recent and I've got, I think, 3 or 4 of those cases here, a psychologist
5 was appointed by a judge to do an evaluation. He gave the evaluation and it was
6 deemed defamatory and away you go. That's the reason we left it out.

7 THE COURT: Anything else on immunity itself?

8 MR. OHLSON: Pardon?

9 THE COURT: On immunity –

10 MR. OHLSON: No.

11 THE COURT: -- or argument that –

12 MR. OHLSON: I think –

13 THE COURT: -- there is an absolute immunity in the case.

14 MR. OHLSON: No, no more arguments.

15 THE COURT: Okay.

16 MR. OHLSON: Unless you want me to supply you with the cases,
17 which I have here.

18 THE COURT: They're the same ones you cited or are they different
19 ones?

20 MR. OHLSON: Well, she raised that in her reply points and authorities.

21 THE COURT: Right.

22 MR. OHLSON: And so I didn't have a chance to plead those cases, but
23 let me give 'em to you now.

24 THE COURT: All right. And do you have a copy for her or you can tell
25 her what they are?

1 MR. OHLSON: You know, I got the – I got the reply yesterday and I'll
2 certainly hand 'em to her and she can read them –

3 THE COURT: Well, let's do this instead of that. This is, to my mind, an
4 important issue that ultimately I can't see me resolving it. I think it's gonna have to
5 go to the Supreme Court, but I have this –

6 MR. OHLSON: Well, let's find out what the damages are first, Your
7 Honor.

8 THE COURT: I'm sorry?

9 MR. OHLSON: Let's find out what the damages are first before it goes
10 to the Supreme Court.

11 THE COURT: Well, unless – I don't know whether that even, you know,
12 just jurisprudentially, is the better course. This is – this is a front-end issue, and I –
13 you know, I, for one, wish that it could be resolved before either side expends all the
14 money to go all the way through with this, but I'm not – I'm not telling you how to run
15 the case I'm just saying that, you know, I wish that it could be resolved.

16 Here's the question for you. You heard me say to others before you
17 that issued – that argued things that are public policy. It wasn't immunity. But if, as
18 a matter of public policy, immunity should protect your client entirely, why would I
19 make that determination based solely on a complaint and the assertions of what
20 went on, what the facts are, why would we not wait to at least have the facts, you
21 know, in some evidentiary fashion by way of declarations or affidavits or other such
22 thing?

23 MS. SMITH: I don't think the facts would change. What they're arguing
24 is the issue. The issue is that he rendered a psychiatric analysis in this case and
25 there is an abundance of case law, not necessarily Nevada, although Nevada – the

1 Sahara Gaming case that I cited 70 years of precedent here upholding absolute
2 witness immunity. Based on their complaint they are arguing that the expert report
3 rendered in a prior judicial proceeding – and that falls squarely with the case law for
4 immunity.

5 THE COURT: This – answer me this question. What they’re alleging is
6 a kind of egregious usurpation of that immunity by a witness in a case. And it at
7 least raises fairly the question of is there – is there any point at which a witness
8 cannot rely on that. You know, can you go far enough afoul of all however you want
9 to describe it, of public policy considerations, that that policy would no longer protect
10 you? Is there really no limit? And I’m not saying at all that this is such a case, I’m
11 just saying that that’s kind of where we are, that’s the issue that they’re raising.

12 MS. SMITH: I would think that there would not be a limit. I think that if
13 there were issues with his opinions, if they were flawed in some respect, like you
14 were mentioning, they could’ve moved to exclude him at trial. There were things
15 that they could’ve done at the Family Court stage to render his opinions –

16 THE COURT: Was there – in the Family Court was there ever any
17 discrediting of the opinion and bringing to bear these authorities that he has that say
18 that it’s a violation of the norms for a psychiatrist to render an opinion like that?

19 MS. SMITH: You know, Your Honor, I don’t know those types of facts.
20 I’m just stuck with the face of the complaint like you are.

21 THE COURT: Yeah. Yeah. And is that case over? Is there no way to
22 go back now and address it?

23 MR. OHLSON: That case will be over at the end of this month.

24 THE COURT: Unless –

25 MR. OHLSON: Well, unless –

1 THE COURT: Unless you learn –

2 MR. OHLSON: -- somebody files something and –

3 THE COURT: Unless you were to learn, for example, that you couldn't
4 address it separately in another forum and you had to – you had to go back and, you
5 know, get your remedy, if any, in the original court.

6 MR. OHLSON: I believe that matters have moved sort of sequentially
7 to appoint where there is supposed to be an ultimate decree of divorce presented
8 and agreed to by the parties in settlement at the end of this month.

9 THE COURT: Does the court retain jurisdiction to handle such
10 matters?

11 MR. OHLSON: Not over these matters.

12 THE COURT: Okay.

13 MR. OHLSON: They retain jurisdiction as to alimony and child support
14 and custody, but not over these matters.

15 THE COURT: Well, here's what concerns me, and I'm not – I'm not
16 ruling, but it concerns me greatly that we would – that we would put the courts into
17 this quandary by virtue of going against a public policy that's so sound and proven
18 and, you know, gone on for lo these many years –

19 MR. OHLSON: Except that it's not, Your Honor.

20 THE COURT: I'm sorry?

21 MR. OHLSON: Except that the policy is not. The policy doesn't affect
22 witnesses except who are not parties and are not attorneys.

23 THE COURT: Well, okay. That is a separate question. It may well be
24 that there is room to if I buy into your argument on the extent of immunity, that it is at
25 most a qualified immunity or is something that can be gone around.

1 Okay. I think that's about as much as we can do with that issue, but I
2 will say that I don't want to rule on this part of the issue until I see your authorities.
3 So if you would – could you supply those in a supplement –

4 MR. OHLSON: I certainly can.

5 THE COURT: -- and I will not rule on that part of it today on immunity.

6 MR. OHLSON: If I may, Your Honor –

7 THE COURT: Yes.

8 MR. OHLSON: -- may I efile those tomorrow and serve counsel with
9 'em?

10 THE COURT: That would be fine.

11 MR. OHLSON: Just as a supplemental points and authorities?

12 THE COURT: Yeah, sure. Then what I'll do is put this on chambers
13 calendar for – we don't have any deadlines looming here, do we? Let's put it two
14 weeks out.

15 THE CLERK: October 21st.

16 THE COURT: Okay. Now, that's only as to immunity. Now, we – now
17 we get to – that's just one hurdle.

18 MR. OHLSON: Before we –

19 THE COURT: Now we get to the next hurdle. Go ahead.

20 MR. OHLSON: Before we move on –

21 THE COURT: All right.

22 MR. OHLSON: -- I'm asking this because Reno's a plane flight away, is
23 this October 21 for a hearing or for –

24 THE COURT: No, chambers calendar, no appearance.

25 As to the other issues which are, in my mind, also very substantial, can

1 you possibly have a med-mal case where you were not under the care of the
2 doctor?

3 Go ahead.

4 MS. SMITH: Your Honor, that point is – that's – I think you can have a
5 medical malpractice case when you're not under the care of a physician, and an
6 example was cited by the plaintiff which is where a physician – or a pharmacist
7 prescribes to patients. So, that's really not the heart of our argument.

8 THE COURT: Okay.

9 MS. SMITH: It was simply, well, number one, what are you arguing,
10 what duty does my client have to your client? That was the whole thrust of the
11 motion.

12 THE COURT: Mm-hmm. So you're saying that as to that they must at
13 least specify what that duty is.

14 MS. SMITH: Right.

15 THE COURT: And why would notice pleading not cover that?

16 MS. SMITH: Because they simply haven't pled it at all. And that –

17 THE COURT: They haven't pled any duty.

18 MS. SMITH: Correct.

19 THE COURT: Okay.

20 MS. SMITH: They just said that Dr. Roitman has a duty to uphold the
21 standard of care.

22 THE COURT: Okay. Okay.

23 MS. SMITH: Yes, he does. What duty does he have to plaintiff?

24 THE COURT: All right. That's a fair question.

25 MR. OHLSON: Your Honor, first of all we look at the medical

1 malpractice statute, 41A.009, medical malpractice defined. Medical malpractice
2 means the failure of a physician, hospital, or employee of a hospital in rendering
3 services to use the reasonable care, skill, or knowledge ordinarily used under similar
4 circumstances.

5 THE COURT: Mr. Cory, let me just see you one moment, please.

6 I'm sorry to interrupt but it is so rare that I get an appearance.

7 (Off record Bench Conference)

8 THE COURT: My apologies. You were about to say?

9 MR. OHLSON: I was about to say that the statute defining medical
10 malpractice doesn't say that medical malpractice is something that is committed on
11 a patient, and the case of Prabhu versus Levine, a Nevada Supreme Court case,
12 provides the following: "To prevail in a medical malpractice action the plaintiff must
13 establish the following: No. 1. that the doctor's conduct departed from the excepted
14 standard of medical care or practice; No. 2. that the doctor's conduct was both the
15 actual and proximate cause of the plaintiff's injury, and, No. 3. that the plaintiff
16 severed damages – suffered damages."

17 THE COURT: So really I could sue Dr. Roitman –

18 MR. OHLSON: Could.

19 THE COURT: -- for the – based on these facts, and somebody else in
20 the courtroom could sue him because he's just fair game.

21 MR. OHLSON: No.

22 THE COURT: Okay.

23 MR. OHLSON: You could sue him if his action actually and proximately
24 caused the injury to you and your damage, and that if his actions fell below the
25 standard of care. And an example is given – the reason this is broadly stated, let's

1 suppose, like in the case of Fernandez versus Admirand, that you check yourself
2 into Sunrise Hospital Emergency, you've got a tummy ache, and you're treated by a
3 specific doctor who picks up the phone and calls a gastroenterologist and says,
4 what do you think; he says, ahh, and they send you home and you have a
5 perforated perito – or a colon, and it gives you peritonitis and you die. Well, just
6 because the GI that was called never saw you doesn't take him out of the scope or
7 the area of responsibility in terms of a doctor. If it did, then every doctor who did
8 something that they shouldn't have been doing and who did so on a consulting basis
9 would have that immunity, well, I never saw the patient, particularly in this case in
10 which what we're complaining of is that he never saw the patient. So, the fact of the
11 matter is that in Nevada medical malpractice is not so narrowly defined that it is only
12 committed by a doctor acting on a patient.

13 THE COURT: Let me ask you this question.

14 MR. OHLSON: Sure.

15 THE COURT: And I don't think this was addressed, but maybe it was
16 and I glossed over it. This is a group of people that the – not the Legislature, but the
17 public, has been so whipped up into a fervor to protect them so we don't lose our
18 doctors in Nevada that they have limited the liability.

19 MR. OHLSON: Sure.

20 THE COURT: Does it make sense, therefore, that our Legislature,
21 heeding the teachings of the initiative referendum process, would expand the scope
22 by not requiring at least the assertion of a specific duty to someone?

23 MR. OHLSON: Well, that assumes – the question assumes the answer
24 and that is that they expanded the scope. The scope is exactly as they had left it
25 and they limited the scope.

1 THE COURT: Mm-hmm.

2 MR. OHLSON: You know, when that act was passed a thought that
3 occurred to me was, you know, if you really want to do something just pass a much
4 simpler statute. The statute says causes of action for medical malpractice are
5 hereby abolished.

6 THE COURT: Mm-hmm.

7 MR. OHLSON: Period. And they coulda done it, but they didn't do it,
8 and they didn't do it because they didn't want to cut off the rights of people who
9 were grievously injured, such as Mrs. Harrison, completely, but I don't know that
10 there's another way of reading the statute other than to say that the statute bears
11 upon the physician's actions and responsibility and not upon the niceties of pleading
12 of the plaintiff.

13 THE COURT: Well, let's assume your argument is correct, would you
14 still not have to allege in the complaint that there was a duty specifically to your
15 client?

16 MR. OHLSON: I think we allege –

17 THE COURT: I mean, as I hear their argument it is there's no assertion
18 of any duty.

19 MR. OHLSON: I think we alleged that there was a duty on the doctor's
20 part to act within the standard of care, and since – that's why this I think is very – a
21 fact-intensive sort of motion, and since the duty to act responsibly and within the
22 standard of care would have precluded the foreseeable damage to my client who
23 was a foreseeable, identifiable person to Dr. Roitman at the time he signed the
24 affidavit, the statute doesn't require that she be a patient; it's not necessary.

25 THE COURT: Mm-hmm. I'm troubled by that. I really am troubled by

1 that. It seems to me that –

2 MR. OHLSON: I'm troubled by your being troubled, Your Honor.

3 THE COURT: Well, as well you should be.

4 MR. OHLSON: Yeah.

5 THE COURT: I'm troubled by saying that the statute – although you've
6 fairly conceded the point, almost, in your argument here today, that it can apply to
7 someone who is not – to whom a doctor does not have the duty of care that we –
8 they're not a patient, there's no patient.

9 MR. OHLSON: No.

10 THE COURT: Now – and even with the case that you cite I think the
11 thrust or the gravamen of that decision is that when you get a – do a telephone
12 consult about a patient you do owe a duty of care to that patient because you are
13 effectively treating them.

14 MR. OHLSON: It implies a doctor-patient relationship between –

15 THE COURT: Yeah.

16 MR. OHLSON: -- the two, and let's also be realistic about this, too.
17 Tomorrow I'm going to be filing some supplemental points. There's been no answer
18 filed in this case. Along with that, there's nothing to stop the plaintiff from filing an
19 amended complaint which adds those four little words.

20 THE COURT: Sure, yeah.

21 MR. OHLSON: And which, given this conversation –

22 THE COURT: Which would – which would –

23 MR. OHLSON: -- I'll probably do.

24 THE COURT: Well, I'm inclined to do this. We're already going to
25 decide the other issue at a later point. Is there any need to supplement what you

1 have both filed on this motion regarding the med-mal scope?

2 MS. SMITH: I don't think so, Your Honor. I think what –

3 THE COURT: All right. So the supplement will be your supplement.

4 And how long would it take you to –

5 MR. OHLSON: And probably an amended complaint.

6 THE COURT: All right. Well –

7 MR. OHLSON: And the supplement will just merely be reciting
8 authorities on the issue of the – of the qualifying immunity.

9 THE COURT: All right. So even a new complaint won't do away with
10 that issue.

11 MR. OHLSON: No.

12 THE COURT: Okay. And when do you anticipate filing the
13 supplement?

14 MR. OHLSON: Tomorrow.

15 THE COURT: All right. In the event that –

16 MR. OHLSON: I'd do it today but I have – I'm sorry, Your Honor.

17 THE COURT: In the event that those cases prompt you to feel that you
18 need to follow up with something I want you to have the opportunity to do it 'cause to
19 me this is a big issue.

20 So, if he does that tomorrow can you file yours in a week?

21 MS. SMITH: Absolutely.

22 THE COURT: And that'll still get it to me in time to consider it by the –
23 what date did we give again?

24 THE CLERK: 21st.

25 THE COURT: 21st for the chambers calendar.

1 MR. OHLSON: Your Honor, I don't intend to file a brief tomorrow.

2 THE COURT: Okay.

3 MR. OHLSON: Basically I'm just going to file a list of authorities with a
4 brief statement of what we believe they owe.

5 THE COURT: Okay. All right.

6 Okay, anything else?

7 MS. SMITH: Think that covers it.

8 THE COURT: Okay. All right.

9 MR. OHLSON: We have some other prickly issues that –

10 THE COURT: Okay.

11 MR. OHLSON: -- were raised, but are fact-centered, and I'll leave it at
12 that.

13 THE COURT: Yeah. All right. Thank you.

14 MS. SMITH: Thank you, Your Honor.

15 MR. OHLSON: Thank you, Your Honor.

16 THE COURT: For a –

17 MR. OHLSON: Thank you for having me, by the way.

18 THE COURT: -- putting me into a conundrum. Okay.

19 PROCEEDING CONCLUDED AT 10:56 A.M.

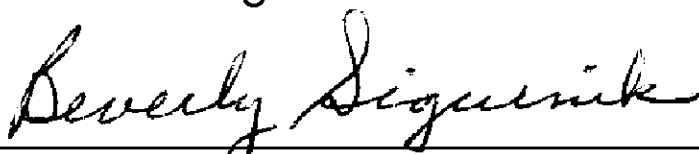
20 * * * * *

21

22 ATTEST: I do hereby certify that I have truly and correctly transcribed the
23 audio/video proceedings with the sound recording in the above-entitled case.

24

25



BEVERLY SIGURNIK
Court Recorder/Transcriber

**DISTRICT COURT
CLARK COUNTY, NEVADA****Negligence - Medical/Dental****COURT MINUTES****October 08, 2013**

A-13-687300-C Vivian Lee Harrison, Plaintiff(s)
vs.
Norton Roitman, M.D., Defendant(s)

October 08, 2013 9:00 AM Motion to Dismiss

HEARD BY: Cory, Kenneth

COURTROOM: RJC Courtroom 16A

COURT CLERK: Michele Tucker

RECORDER: Beverly Sigurnik

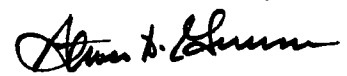
PARTIES	Lee Harrison, Vivian Marie	Plaintiff
PRESENT:	Ohlson, John	Attorney for Plaintiff
	Smith, Brianna	Attorney for Defendant

JOURNAL ENTRIES

- Court disclosed its step son had treated with Dr. Roitman years ago. Counsel advised there was no issue and they could proceed. Ms. Smith gave summary of case wherein Dr. Roitman was hired as a forensic doctor by the Plaintiff's ex-husband during their divorce. Ms. Smith argued the Dr. is immune from this loss suit as to anything during the prior proceedings. Mr. Ohlson gave summary of divorce proceedings and ex-husband hiring Dr. Roitman to do a mental evaluation of the Plaintiff. A draft was given to Dr. Roitman, he made some changes signed off on it and it was used during the custody hearing. Mr. Ohlson argued the Dr. should have never signed off as he never evaluated the Plaintiff. Statements by the Court as to this going before the Court in which this happened. Mr. Ohlson argued the Plaintiff has seen a psychologist who has cleared her of the things in the report. Dr. Roitman violated the standard. Statements by the Court. Ms. Smith argued the facts will not change, the Dr. rendered an opinion. Further argued immunity and they could have waived to exclude the Dr. from trial. COURT ORDERED, Counsel to supplement their briefs as to immunity. Ms. Smith argued as to having a medical malpractice case if not under the care of the Dr. Plaintiff has not pled any duty by the Dr. Mr. Ohlson argued NRS 41(A).009. COURT ORDERED, matter CONTINUED to this Court's Chamber calendar.

CONTINUED TO: 10/21/13 CHAMBERS

1 JOHN OHLSON, ESQ.
2 Bar Number 1672
275 Hill Street, Suite 230
3 Reno, Nevada 89501
Telephone: (775) 323-2700
4 *Attorney for Plaintiff*
Vivian Marie Lee Harrison



CLERK OF THE COURT

5
6 DISTRICT COURT
7 CLARK COUNTY, NEVADA

8 ** * * *

9 VIVIAN MARIE LEE HARRISON,
10 Plaintiff,

Case No. A-13-687300
Dept. No. 1

11 vs.

12 NORTON A. ROITMAN, M.D.; DOES I-X and
13 ROE CORPORATIONS I-X,
14 Defendants.

15 **SUPPLEMENTAL POINTS AND AUTHORITIES**

16 The Parties appeared before this Court on October 8, 2013 and argued Defendant's motion
17 to dismiss Plaintiff's complaint. This Court ordered supplemental points and authorities on the
18 issue of witness immunity at the conclusion of the hearing. Accordingly, the following is
19 submitted:

20 **CURRENT STATE OF NEVADA LAW**

21 In *State of Nevada v. Second Judicial District Court*, 118 Nev. 609, 55 P.3d 420 (2002).
22 The Court applied the protection to "various non-judicial" participants in a proceeding only when
23 those "participants" were acting in an official or quasi-judicial capacity. In this case foster parents
24 and State agencies. The Court described judicial immunity as follows:

25 The initial purpose of judicial immunity was to "discourag[e] collateral attacks
26 [against judges] and thereby help [] to establish appellate procedures as the
27 standard system for correcting judicial error." Absolute judicial immunity has
28 been extended to various non-judicial participants in the judicial process. The
application of absolute judicial immunity to a non-judicial officer depends not on
the status of the individual, but on the function the individual serves with respect to
the judicial process.

1 The Court then went on to explain its very limited history in granting absolute quasi-
2 judicial immunity to non-judicial officers. In Duff v. Lewis, 114 Nev. 564, 958 P.2d 84 (1998)
3 the Court extended this protection to **court appointed** psychologists. In Foster v. Washoe County,
4 114 Nev. 936, 943, 964 P.2d 788, 793 (1998) to CASA (court **appointed** special advocates)
5 volunteers. Again, In The Matter of Fine, 116 Nev. 1001, 1015, 13 P.3d 400, 409 (2000) to **court**
6 **appointed** experts.

7 Subsequently, in Clark County School District v. Virtual Education Software, Inc. 125
8 Nev. Advance Opinion 31 (2009) the Court again extended the privilege against defamation suits
9 that protected attorneys¹ to parties to litigation.

10 In Sahara Gaming v. Culinary Workers, 115 Nev. 212, 984 P.2d 164 (1999) our Court
11 applied immunity to defendants who “republished” a judicial proceeding containing false and
12 malicious statements. In so doing the Court stated:

13 The law has long recognized a special privilege of absolute immunity from
14 defamation given to the news media and the general public to report newsworthy
15 events in judicial proceedings. Although the courts are open to the public, not
16 everyone can attend hearings. The news media acts as an agent of the people to
17 inform the public what transpires in the courtroom and to ensure the fairness of the
18 proceedings. In exchange for this absolute privilege, comes the requirement and
19 responsibility that the report be fair, accurate, and impartial. Opinions must be left
20 to the editorial pages or editorial segments of television broadcasts.

21 Although the privilege is usually directed toward the news media and others
22 engaged in reporting the news to the public, it is not limited to republication by
23 these publishers, but extends to any person who makes a republication of a judicial
24 proceeding from material that is available to the general public. See Restatement
25 (Second) of Torts § 611 cmt. c (1977). Here, the complaint was readily available
26 for public inspection as a pleading in a judicial proceeding.

27 In Marvin v. Fitch, 126 Nev. 18, 232 P.3d 425 (2010) the Court again granted immunity to
28 yet another quasi-judicial governmental body, a board of equalization, and adopted a “functional
29 approach” to deciding whether to extend the grant. One of the factors considered by the Court was
30 whether the “...individual is performing many of the same functions as a judicial officer.”
31 Finally, the Supreme Court extended absolute immunity to “...one who is required by law to
32 publish defamatory matter...” (accounting firm required to publish financial data regarding a
33 registered security). Cucinotta v. Deloitte & Touche, LLP, 129 Nev., Advance Opinion 35 (2013).

¹ See Bull v. McCuskey, 96 Nev. 706 (1980) for an example of outrageous defamatory statements
of counsel, protected by immunity.

1 Our Court has carefully explained the nature and history of judicial immunity. Its purpose
2 is to protect judicial officers in the performance of their official duties so that they may discharge
3 those duties without fear of reprisal. The Court has extended this immunity carefully, but never to
4 a private person, voluntarily participating in a judicial proceeding (without Court appointment) for
5 compensation. Dr. Roitman was not court appointed herein. If he had been, he would be entitled
6 to immunity as a quasi-judicial officer.

6 EXTENSION AND MODIFICATION

7 Should this Court act to extend and modify existing case law to apply quasi-judicial
8 immunity where the Supreme Court has not gone? The Court has been careful, and extended the
9 doctrine on a case-by-case basis. So far, it has not expanded the protections of immunity to a
10 private, non-governmental witness for hire. It is respectfully submitted that it is the Supreme
11 Court's province to do so, and not that of this Honorable Court. The motion to dismiss should be
12 denied. If after trial, the defendant wishes to ask the Supreme Court to extend the immunity
13 doctrine to Dr. Roitman (in the face of this Court's adherence to precedent) it can do so.

13 AFFIRMATION

13 Pursuant to NRS 239B.030

14 The undersigned does hereby affirm that the preceding document does not contain the
15 social security number of any person.

16 DATED this 9th day of October, 2013.

17
18 By: /s/ John Ohlson
19 JOHN OHLSON, ESQ.
20 Bar Number 1672
21 275 Hill Street, Suite 230
22 Reno, Nevada 89501
23 Telephone: (775) 323-2700
24 Attorney for the Plaintiff
25 Vivian Marie Lee Harrison
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CERTIFICATE OF SERVICE

I hereby certify that I am an employee of JOHN OHLSON, and that on this date I personally served a true copy of the foregoing, **SUPPLEMENTAL POINTS AND AUTHORITIES** addressed to:

Brianna Smith, Esq.	<u>XX</u>	Via U.S. Mail
Cotton, Driggs, Walch,	<u> </u>	Via Overnight Mail
Holley, Woloson & Thompson	<u> </u>	Via Hand Delivery
400 S. Fourth St., Third Floor	<u> </u>	Via Facsimile
Las Vegas, NV 89101	<u> </u>	Via ECF

Dated this 9th day of October, 2013.

/s/ Robert M. May
Robert May


CLERK OF THE COURT

1 JOHN OHLSON, ESQ.
2 Bar Number 1672
3 275 Hill Street, Suite 230
4 Reno, Nevada 89501
5 Telephone: (775) 323-2700
6 *Attorney for Plaintiff*
7 *Vivian Marie Lee Harrison*

8 DISTRICT COURT
9 CLARK COUNTY, NEVADA

10 ** * * *

11 VIVIAN MARIE LEE HARRISON,
12 Plaintiff,

Case No. A-13-687300
Dept. No. 1

13 vs.

14 NORTON A. ROITMAN, M.D.; DOES I-X and
15 ROE CORPORATIONS I-X,
16 Defendants.

17 AMENDED COMPLAINT

18 (Exempt from Arbitration pursuant to NAR 3(A)
19 Cause of Action for Medical Malpractice)

20 Plaintiff, VIVIAN MARIE LEE HARRISON, by and through her attorney, JOHN
21 OHLSON, state and allege as follows:

22 **GENERAL AND FACTUAL ALLEGATIONS**

- 23 1. Plaintiff, VIVIAN MARIE LEE HARRISON ("Ms. Harrison"), is a resident of
24 Boulder City, Clark County, Nevada.
- 25 2. Defendant, NORTON A. ROITMAN, M.D. ("Dr. Roitman"), is, and at all relevant
26 times was, a resident of Las Vegas, Clark County, Nevada.
- 27 3. Dr. Roitman is a psychiatrist who is licensed to practice in Nevada by the Nevada
28 State Board of Medical Examiners pursuant to NRS Chapter 630.
4. Dr. Roitman is in private practice in Las Vegas, Nevada.
5. Dr. Roitman's private practice includes child, adolescent, and adult psychiatry.

6. The true names and capacities, whether individual, corporate, associate or otherwise of Defendants Does and Corporations 1 through 10, inclusive, are unknown to Plaintiff, who therefore sues those Defendants by such fictitious names. Plaintiffs are informed, believe, and allege that each of the Defendants designated by such a fictitious name are in some manner responsible for the events and happenings referred to and proximately caused foreseeable damage to Plaintiff. Plaintiff will seek leave of the Court to amend this Complaint to show their true names and capacities when the true identities of the fictitious Defendants have been ascertained.

FACTUAL ALLEGATIONS

7. In and during 2011 and 2012, Ms. Harrison was a party in a case being litigated in Clark County, Nevada (“the Harrison litigation”).

8. In an effort to advance his position in the case and gain an advantage over Ms. Harrison, the adverse party to Ms. Harrison in the Harrison litigation submitted to the Court a June 9, 2011, Report that was signed by Dr. Roitman, in which he provided a “psychiatric analysis” of Ms. Harrison.

9. In his June 9, 2011, Report, Dr. Roitman, among other things, diagnosed Ms. Harrison as having a narcissistic personality disorder.

10. In rendering his diagnosis of Ms. Harrison, Dr. Roitman concluded that Ms. Harrison's "pathological narcissistic personality disorder is near impossible to treat and her prognosis is very poor" and further stated that "if [Ms. Harrison's] character were stronger, she might have a shot at [improving with treatment], but unfortunately, she is shallow and critical, and lacks internal structure."

11. Dr. Roitman's June 9, 2011, Report also offered opinions and conclusions as to what the outcome of the Harrison litigation in reference to Ms. Harrison should be.

12. Dr. Roitman provided his psychiatric analysis, conclusions, and diagnosis regarding Ms. Harrison despite that Dr. Roitman had never, and has never, met or seen Ms. Harrison.

13. Dr. Roitman submitted his report for the Harrison litigation based solely on the information provided to him by the adverse party to Ms. Harrison in the Harrison litigation, who

1 had requested that psychiatric analysis of Ms. Harrison to inform the court of her mental condition
2 and functional limitations.

3 14. Despite that Ms. Harrison, in response to Dr. Roitman's report, voluntarily
4 underwent comprehensive and direct clinical and psychometric assessments by other mental
5 health professionals and that the opinions of those professionals about Ms. Harrison were contrary
6 to those stated by Dr. Roitman, Dr. Roitman's report regarding Ms. Harrison did significant
7 damage to Ms. Harrison in the Harrison litigation and to her reputation generally, caused her
8 emotional and physical suffering, and caused unnecessary delays in and substantially increased
9 the attorneys fees and expert costs to Ms. Harrison of the Harrison litigation.

10
11 **FIRST CAUSE OF ACTION**
(Medical Malpractice)

12 15. The preceding paragraphs of this Complaint are alleged and incorporated by
13 reference as if fully stated here.

14 16. In rendering his services as a licensed psychiatrist in the State of Nevada, Dr.
15 Roitman had a duty to meet the standard of care required of psychiatrists and use reasonable care,
16 skill, or knowledge ordinarily used under similar circumstances. At all times herein, Dr. Roitman
17 owed a duty of due care to Plaintiff.

18 17. To a reasonable degree of medical certainty, Dr. Roitman fell below the standard
19 of care required of psychiatrists in both his written diagnosis of Ms. Harrison and in the
20 conclusions he reached about her without ever having met or seen Ms. Harrison and without
21 conducting an evaluation of Ms. Harrison. See Affidavit of Paul S. Appelbaum, M.D., Exhibit
22 "1" and Affidavit of Ole J. Thienhaus, M.D., M.B.A., FACPsych, Exhibit "2."

23 18. To a reasonable degree of medical certainty, Dr. Roitman fell below the standard
24 of care required of psychiatrists in both his written diagnosis of Ms. Harrison and in the
25 conclusions he reached about her based solely on narratives provided to him by the adverse party
26 to Ms. Harrison in the Harrison litigation. See Affidavit of Paul S. Appelbaum, M.D., Exhibit "1"
27 and Affidavit of Ole J. Thienhaus, M.D., M.B.A., FACPsych, Exhibit "2."

1 19. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison in his "psychiatric
2 analysis" of her were manifestly incorrect.

3 20. As a direct and proximate result of Dr. Roitman's conduct, Ms. Harrison has
4 suffered actual and special injury and damages in an amount in excess of (\$10,000.00), the full
5 extent of which was not discovered and realized until the conclusion of the Harrison litigation, as
6 follows:

7 a. Severe emotional distress and physical injury and illness and resulting
8 damages in an amount to be proven at trial;

9 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
10 and expert costs in the defense of the claims made in the Harrison litigation
11 supported by Dr. Roitman's allegations.

12 21. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
13 was required to hire an attorney to represent her in this matter and seeks an award of her
14 attorneys' fees and costs.

15
16 **SECOND CAUSE OF ACTION**
 (Negligent Infliction of Emotional Distress)

17 22. The preceding paragraphs of this Complaint are alleged and incorporated by
18 reference as if fully stated here.

19 23. In rendering his services as a licensed psychiatrist in the State of Nevada, Dr.
20 Roitman had a duty to meet the standard of care required of psychiatrists and use reasonable care,
21 skill, or knowledge ordinarily used under similar circumstances.

22 24. Dr. Roitman breached that duty by providing to the Court in the Harrison litigation
23 a written "psychiatric analysis" of Ms. Harrison that included both a diagnosis of and conclusions
24 he reached about her without ever having met or seen Ms. Harrison and without conducting an
25 evaluation of Ms. Harrison.

26 25. Dr. Roitman breached that duty by providing to the Court in the Harrison litigation
27 a written "psychiatric analysis" of Ms. Harrison that included both a diagnosis of and conclusions
28

1 he reached about her based solely on narratives provided to him by the adverse party in the
2 Harrison litigation.

3 26. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison as stated in his
4 "psychiatric analysis" of Ms. Harrison were patently incorrect.

5 27. As a direct and proximate result of Dr. Roitman's conduct, Ms. Harrison has
6 suffered actual and special injury and damages in an amount in excess of (\$10,000.00), the full
7 extent of which was not discovered and realized until the conclusion of the Harrison litigation, as
8 follows:

- 9 a. Severe emotional distress and physical injury and illness and resulting
10 damages in an amount to be proven at trial;
- 11 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
12 and expert costs in the defense of the claims made in the Harrison litigation
13 supported by Dr. Roitman's allegations.

14 28. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
15 was required to hire an attorney to represent her in this matter and seeks an award of her
16 attorneys' fees and costs.

17 **THIRD CAUSE OF ACTION**
18 (Intentional Infliction of Emotional Distress)

19 29. The preceding paragraphs of this Complaint are alleged and incorporated by
20 reference as if fully stated here.

21 30. At the direction of and based solely on information provided by the adverse party
22 to Ms. Harrison in the Harrison litigation, and without ever having met, seen, or personally
23 evaluated Ms. Harrison, Dr. Roitman provided a written "psychiatric analysis" of Ms. Harrison
24 that included both a diagnosis of and conclusions he reached about her that substantially
25 undermined her position in the Harrison litigation.

26 31. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison in his "psychiatric
27 analysis" of her were manifestly incorrect.
28

1 32. Dr. Roitman's conduct was extreme and outrageous, and his efforts on behalf of
2 the adverse party to Ms. Harrison in the Harrison litigation was with the intention of, or reckless
3 disregard for, causing emotional distress to Ms. Harrison.

4 33. As a direct and proximate result of Dr. Roitman's conduct, Ms. Harrison has
5 suffered actual and special injury and damages in an amount in excess of (\$10,000.00), the full
6 extent of which was not discovered and realized until the conclusion of the Harrison litigation, as
7 follows:

8 a. Severe emotional distress and physical injury and illness and resulting
9 damages in an amount to be proven at trial;

10 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
11 and expert costs in the defense of the claims made in the Harrison litigation
12 supported by Dr. Roitman's allegations.

13 34. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
14 was required to hire an attorney to represent her in this matter and seeks an award of her
15 attorneys' fees and costs.

16 35. Dr. Roitman's intentional conduct was intentional, malicious, and oppressive, for
17 which Ms. Harrison is entitled to recover punitive and exemplary damages in excess of Ten
18 Thousand dollars (\$10,000.00).

19
20 **FOURTH CAUSE OF ACTION**
 (Civil Conspiracy)

21 36. The preceding paragraphs of this Complaint are alleged and incorporated by
22 reference as if fully stated here.

23 37. By way of agreement with and based solely on information provided by the
24 adverse party to Ms. Harrison in the Harrison litigation, and without ever having met, seen, or
25 personally evaluated Ms. Harrison, Dr. Roitman, in violation of his duties as a practicing
26 psychiatrist, provided a written "psychiatric analysis" of Ms. Harrison that included both a
27 diagnosis of and conclusions he reached about her.
28

1 38. Dr. Roitman and the adverse party to Ms. Harrison in the Harrison litigation
2 intended to advance Dr. Roitman's "psychiatric analysis" of Ms. Harrison for the purpose of
3 harming Ms. Harrison in the Harrison litigation and in order to give the adverse party to Ms.
4 Harrison an undue, unfair and unlawful advantage in that case.

5 39. Dr. Roitman's diagnosis of and conclusions about Ms. Harrison in his "psychiatric
6 analysis" of her were manifestly incorrect and substantially undermined her position in the
7 Harrison litigation.

8 40. As a direct and proximate result of Dr. Roitman's conspiracy with the party
9 adverse to Ms. Harrison in the Harrison litigation, Ms. Harrison has suffered actual and special
10 injury and damages in excess of Ten Thousand dollars (\$10,000.00), as follows:

- 11 a. Severe emotional distress and physical injury and illness and resulting
12 damages in an amount to be proven at trial;
13 b. Monetary damages in excess of \$525,000.00 in additional attorneys' fees
14 and expert costs in the defense of the claims made in the Harrison litigation
15 supported by Dr. Roitman's allegations.

16 41. As a further direct and proximate result of Dr. Roitman's conduct, Ms. Harrison
17 was required to hire an attorney to represent her in this matter and seeks an award of her
18 attorney's fees and costs.

19 42. Dr. Roitman's conduct was intentional, malicious, and oppressive, for which Ms.
20 Harrison is entitled to recover punitive and exemplary damages.

21 WHEREFORE, Ms. Harrison prays for:

- 22 1. Judgment in her favor and against the Defendants on all claims in this Complaint;
23 2. An award of damages in her favor and against the Defendants, according to proof.
24 3. An award of exemplary and punitive damages in her favor and against the
25 Defendants, according to proof, on all applicable claims in this Complaint.
26 4. An award to her and against the Defendants of her interests, costs and attorney's
27 fees;

28 /////

1
2 5. For such other and further relief as the Court deems just and proper.
3

4 **AFFIRMATION**
5 **Pursuant to NRS 239B.030**

6 The undersigned does hereby affirm that the preceding document does not contain the
7 social security number of any person.

8 DATED this 9th day of October, 2013.

9
10 By: /s/ John Ohlson
11 JOHN OHLSON, ESQ.
12 Bar Number 1672
13 275 Hill Street, Suite 230
14 Reno, Nevada 89501
15 Telephone: (775) 323-2700
16 *Attorney for the Plaintiff*
17 *Vivian Marie Lee Harrison*
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CERTIFICATE OF SERVICE

I hereby certify that I am an employee of JOHN OHLSON, and that on this date I personally served a true copy of the foregoing, **AMENDED COMPLAINT** addressed to:

Brianna Smith, Esq.	<u>XX</u>	Via U.S. Mail
Cotton, Driggs, Walch,	_____	Via Overnight Mail
Holley, Woloson & Thompson	_____	Via Hand Delivery
400 S. Fourth St., Third Floor	_____	Via Facsimile
Las Vegas, NV 89101	_____	Via ECF

Dated this 9th day of October, 2013.

/s/ Robert M. May
Robert May

SCHEDULE OF EXHIBITS

1 EXHIBIT 1: Affidavit of Paul S. Appelbaum, M.D.

2 EXHIBIT 2: Affidavit of Ole J. Thienhaus, M.D., M.B.A., FACPsych

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EXHIBIT 1

EXHIBIT 1

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1 was the Fritz Redlich Fellow at the Center for Advanced Study in the Behavioral Sciences, and
2 have been elected to the Institute of Medicine of the National Academy of Sciences.

3 8. I have authored numerous articles and books on law and ethics in clinical practice.

4 9. In June 2013, I was asked to review documents and materials that related to the
5 opinions offered by Norton A. Roitman, MD regarding Vivian Marie Harrison ("Ms. Harrison")
6 in connection with litigation in which Ms. Harrison was a party ("the Harrison litigation"). In
7 particular, I was asked to consider whether Dr. Roitman met the standard of care of a psychiatrist
8 in reaching and offering his diagnostic assessment of Ms. Harrison and in drawing certain
9 conclusions about her related to the Harrison litigation.

10 10. After reviewing all of the documents and information related to and associated
11 with Dr. Roitman's June 9, 2011, Report and the applicable ethical guidelines, it is my opinion,
12 based on more than 30 years experience as a psychiatrist and forensic psychiatrist and my
13 expertise in the ethics of psychiatry and forensic psychiatry, that Dr. Roitman's evaluation and
14 formulation of his opinions fell below the standard of care of psychiatrists in two respects:

- 15 - His diagnosis of Ms. Harrison as having narcissistic personality disorder; and
- 16 - His conclusions that Ms. Harrison's "pathological narcissistic personality
17 disorder is near impossible to treat and her prognosis is very poor" and his
18 statement that "if her character were stronger, she might have a shot at
[improving with treatment], but unfortunately, she is shallow and critical, and
lacks internal structure."

19 11. Dr. Roitman fell below the standard of care of psychiatrists in those respects
20 because: (1) he never met Ms. Harrison; and (2) because he based his diagnosis entirely on the
21 information provided to him by the adverse party to Ms. Harrison in the Harrison litigation, whom
22 he described as anticipating court proceedings and requested Dr. Roitman's psychiatric evaluation
23 of Ms. Harrison "to inform the court of Ms. Harrison's mental condition and functional
24 limitations."

- 25 - In the best of circumstances, diagnoses made exclusively on the basis of
26 information provided by third parties are of dubious reliability. When psychiatrists cannot
27 conduct an examination, they are unable to ask the questions necessary to elicit the
28

1 information necessary to confirm diagnoses and to rule out alternative explanations for a
2 person's behavior.

3 - In the context of litigation, to rely exclusively on information provided by
4 an adverse party with an interest in portraying the other person in an unfavorable light is to
5 fall below the standard of care with regard to diagnostic practices. When the only
6 information that an evaluator has been provided comes from a party with a direct interest
7 in the evaluator reaching a judgment adverse to the person whose condition is being
8 described, no reliable opinion can be rendered.

9 - Although the ethics guidelines of the American Academy of Psychiatry and
10 the Law (AAPL) acknowledge that "if, after appropriate effort, it is not feasible to conduct
11 a personal examination, an opinion may nonetheless be rendered n the basis of other
12 information," that statement presumes that other objective data are available to render that
13 judgment. Such data might include records of psychiatric evaluation and treatment by
14 other psychiatrists, affidavits of non-party witnesses, police records, school records,
15 military records, and the like.

16 - While Dr. Roitman included a statement in his June 9, 2011, Report that the
17 opinions rendered by him were preliminary and subject to change based upon a psychiatric
18 examination of Ms. Harrison, that limitation disclaimer does not conform to the guidelines
19 of the American Academy of Psychiatry and the Law that certain comments about
20 someone who has not been interviewed be qualified and the data for the opinion clearly
21 indicated.


22 o Rather than "qualifying" his conclusions, i.e., limiting them
23 appropriately given the biased source of the data available to him, Dr. Roitman
24 expressed his conclusions with reasonable medical certainty – a degree of certainty
25 unobtainable in these circumstances.

26 o In the "Discussion" section of his report, the cautionary clause
27 "given the limitations of a reconstructive analysis" is followed by five pages of
28 firmly stated conclusions regarding, among other things, Ms. Harrison's diagnosis,

1 character flaws, and a firm opinion regarding what the outcome of the Harrison
2 litigation should be in reference to Ms. Harrison.

3 o Reaching such conclusions without examining the person in
4 question does not constitute appropriately qualifying one's conclusions and falls
5 below the profession's clear standard of care.

6
7 DATED this 17 day of June, 2013.

8
9 
PAUL S. APPELBAUM, M.D.

10 STATE OF NEW YORK)
11 : ss.
12 COUNTY OF NEW YORK)

13 Signed and sworn to before me
14 by PAUL S. APPELBAUM, M.D. on June 17, 2013.

15 
Notarial Officer



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AVIGAIL A. CHARNOV
NOTARY PUBLIC-STATE OF NEW YORK
No. 01CH6270023
Qualified in New York County
My Commission Expires October 09, 2016

EXHIBIT 2

EXHIBIT 2

1 **AFFIDAVIT OF OLE J. THIENHAUS, M.D., M.B.A., FACPsych**

2 STATE OF ARIZONA)

3 COUNTY OF PIMA)

4 I, Ole J. Thienhaus, M.D., M.B.A., FACPsych, having first been duly sworn and under
5 penalty of perjury, hereby state as follows:

6 1. I am a psychiatrist, certified by the American Board of Psychiatry and Neurology,
7 with an additional qualification in geriatric psychiatry, and licensed by the Arizona Board of
8 Medical Examiners.

9 2. I earned my medical degree from the Free University of Berlin, West Germany, in
10 1978, and my MBA in marketing and management from the University of Cincinnati in 1985. I
11 completed an internship in general surgery in Germany in 1979, a residency in psychiatry at the
12 University of Cincinnati in 1983, and a fellowship in geriatric psychiatry in 1984.

13 3. I am currently the head of the Department of Psychiatry at the University of
14 Arizona College of Medicine in Tucson, Arizona.

15 4. Previously, I was a professor and chairman of the Department of Psychiatry and
16 Behavioral Sciences at the University of Nevada School of Medicine in Las Vegas and Reno,
17 where I also temporarily served as dean of the School of Medicine from 2008 to 2010.

18 5. Prior to joining the University of Nevada, I was with the University of Cincinnati,
19 where I served as chief clinical officer of University Hospital Cincinnati, associate professor of
20 psychiatry and emergency medicine and vice chair of the Department of Psychiatry at the
21 University of Cincinnati College of Medicine, and director of the Psychiatric Emergency Service
22 at the University of Cincinnati Medical Center. I was also a psychiatric consultant to the
23 Cincinnati V.A. Medical Center.

24 6. I serve as a senior examiner for specialty board examinations and as a member of
25 the Maintenance of Certification Committee of the American Board of Psychiatry and Neurology.

26 7. I am also a consultant to the Center for Medicare and Medicaid Services of the
27 Hospital Accreditation Program in the U.S. Department of Health and Human Services, and an ad
28

1 hoc study section reviewer for the National Institutes of Health (epidemiology) and the National
2 Institute of Mental Health (geriatrics).

3 8. I am a distinguished fellow of the American Psychiatric Association and a fellow
4 of the American College of Psychiatrists and the Arnold P. Gold Humanism Honor Society.

5 9. I have received numerous awards and honors, including the Cancro Academic
6 Leadership Award of the American Academy of Child and Adolescent Psychiatry, presented in
7 2011; and the *Nevada Business Journal's* Healthcare Hero Award, the Senatorial Recognition for
8 Service on the Sanford Center for Aging Scholarship Committee and the U.S. Senate Certificate
9 of Commendation: Celebration of Scholars in Aging, all presented in 2010. I was elected to the
10 Alpha Omega Alpha national medical honor society in 2009. And, I have been a visiting
11 professor at Phramongkutklao College of Medicine, Bangkok, the University of Chang Mai
12 School of Medicine, Chang Mai, Thailand, and the University of Kyrgyzstan College of Medicine
13 in Bishkek.

14 10. I am the author or editor of several books, including "Correctional Psychiatry,
15 Volumes I and II," "Manual of Hospital Psychiatry" and, in a different vein altogether, "Jewish-
16 Christian Dialogue – The Example of Gilbert Crispin." My scientific journal articles have
17 appeared in many publications, including the *Journal of Clinical Psychiatry*, *American Family*
18 *Physician*, *American Journal of Psychiatry*, *Psychiatric Services*, *Journal of Psychoactive Drugs*,
19 *Harvard Mental Health Letter* and *Psychiatric Times*. I serve on the editorial boards of the
20 *International Journal of Offender Therapy and Comparative Criminology* and the *Annals of*
21 *Pharmacotherapy*.

22 11. My professional memberships include the Maricopa County Medical Society, the
23 American Medical Association, the American Psychiatric Association and the American College
24 of Psychiatrists.

25 12. On August 11, 2011, I conducted a psychiatric examination of Vivian Harrison in
26 the context of litigation in which she was a party ("the Harrison litigation"). I again evaluated
27 Ms. Harrison on September 19, 2011, in specific response to a June 9, 2011, "psychiatric
28 analysis" of Ms. Harrison that was prepared by Dr. Norton Roitman and submitted to the court by

1 the adverse party in the Harrison litigation. Dr. Roitman's "psychiatric analysis" included certain
2 conclusions about Ms. Harrison, including a diagnosis of narcissistic personality disorder, even
3 though Dr. Roitman had never met or personally evaluated Ms. Harrison.

4 13. Based on my personal examinations and evaluations of Ms. Harrison, she did not
5 meet the diagnostic criteria for any mental disorder defined in the Diagnostic and Statistical
6 Manual of Mental Disorders and, specifically, she did not have a personality disorder.

7 14. In May 2013, I again reviewed Dr. Roitman's "psychiatric analysis" of Ms.
8 Harrison and the associated documents that had been submitted to the court in the Harrison
9 litigation in the context of whether Dr. Roitman met or fell below the standard of care of a
10 psychiatrist in formulating and publishing an opinion or diagnosis of Ms. Harrison for use in the
11 Harrison litigation.

12 15. Based on my review of those documents and information, it is my opinion that Dr.
13 Roitman fell below the standard of care of a psychiatrist in formulating and publishing a diagnosis
14 of and offering his derivative opinions and conclusions about Ms. Harrison based on that
15 diagnosis for use in litigation in which Ms. Harrison was a party, as follows:

16 - In relevant part, the American Association of Psychiatry and the Law
17 (AAPL) states in its professional ethics guidelines (www.aapl.org/ethics.htm) that:

18 *Psychiatrists should not distort their opinion in the service of the retaining*
19 *party. Honesty, objectivity and the adequacy of the clinical evaluation*
20 *may be called into question when an expert opinion is offered without a*
21 *personal examination. For certain evaluations (such as record reviews*
22 *for malpractice cases), a personal examination is not required. In all*
23 *other forensic evaluations, if, after appropriate effort, it is not feasible to*
24 *conduct a personal examination, an opinion may nonetheless be rendered*
25 *on the basis of other information. Under these circumstances, it is the*
26 *responsibility of psychiatrists to make earnest efforts to ensure that their*
27 *statements, opinions and any reports or testimony based on those*
28 *opinions, clearly state that there was no personal examination and note*
any resulting limitations to their opinions.

25 - Dr. Roitman did not directly examine or evaluate Ms. Harrison.

26 o No one should make a psychiatric diagnosis without examining the
27 individual whose mental illness is alleged.
28

1 o While Dr. Roitman appropriately mentions that the failure to
2 evaluate Ms. Harrison directly constitutes "a weakness" in his "psychiatric
3 analysis," he proceeds to treat his inferences as though they had first-rate validity.

4 o At a minimum, an expert who decides not to examine the person he
5 diagnoses should elaborate on the reasons that prompted him to forego a direct
6 assessment. I do not find such an explanation in the material I reviewed.

7 o Dr. Roitman's claim that his conclusions have greater validity than
8 those by other mental health professionals who based their opinions on direct
9 clinical or psychometric assessments of Mr. Harrison is astounding.

10 - Dr. Roitman based his "psychiatric analysis" on narratives provided by the
11 adverse party in the Harrison litigation, who is arguably the least objective observer
12 imaginable. This is especially disturbing. While Dr. Roitman's argument that collateral
13 information is an important source of information in the absence of a direct interview is
14 plausible, the collateral information on which he relied – the adverse party in the Harrison
15 litigation and two witnesses whose affidavits are virtually identical and used much of the
16 same phraseology as the adverse party's information – were not reliably objective sources
17 of information.

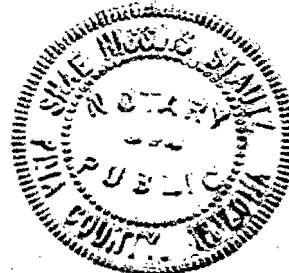
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19 DATED this 17 day of June, 2013.

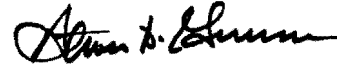
20 _____
21 Ole J. Thienhaus, M.D., M.B.A., FACPsych

22 STATE OF ARIZONA)
23 : ss.
24 COUNTY OF PIMA)

25 Signed and sworn to before me
26 by Ole J. Thienhaus, M.D., M.B.A., FACPsych on June 17th, 2013.

27 _____
28 Notarial Officer





CLERK OF THE COURT

1 JOHN H. COTTON, ESQ.
Nevada Bar No. 005268
2 BRIANNA SMITH, ESQ.
Nevada Bar No. 11795
3 COTTON, DRIGGS, WALCH,
HOLLEY, WOLOSON & THOMPSON
4 400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
5 Telephone: 702/791-0308
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6 *Attorneys for Defendant Norton A. Roitman, M.D.*

7
8 **DISTRICT COURT**
9 **CLARK COUNTY, NEVADA**

10 VIVIAN MARIE LEE HARRISON,
11 Plaintiff,

12 v.

13 NORTON A. ROITMAN, M.D.; DOES I-X and
14 ROE CORPORATIONS I-X,
15 Defendants.

Case No.: A-13-687300-C
Dept. No.: 1

Hearing Date; 10/21/2013 (In Chambers)

16
17 **DEFENDANT NORTON A. ROITMAN, M.D.'S SUPPLEMENTAL REPLY IN**
18 **SUPPORT OF HIS MOTION TO DISMISS**
PLAINTIFF'S COMPLAINT WITH PREJUDICE

19 Defendant Norton A. Roitman, M.D. (hereinafter "Defendant"), by and through his
20 counsel of record John H. Cotton, Esq. and Brianna Smith, Esq., of the law firm of COTTON,
21 DRIGGS, WALCH, HOLLEY, WOLOSON, & THOMPSON, hereby submits this Supplemental
22 Reply in support of his Motion to Dismiss Plaintiff's Complaint based upon NRCP 12(b)(5), for
23 failure to state a claim for relief. In addition, Defendant seeks dismissal, with prejudice, because
24 Dr. Roitman is immune from this lawsuit.

25
26 ///

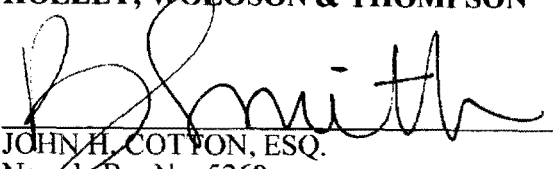
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1 This Supplement is made and based on NRC 12(b)(5), the accompanying the original
2 Motion to Dismiss and Reply, the below Memorandum of Points and Authorities.

3 Dated this 15th day of October 2013.

4
5 **COTTON, DRIGGS, WALCH**
6 **HOLLEY, WOLOSON & THOMPSON**

7 
8 JOHN H. COTTON, ESQ.

9 Nevada Bar No. 5268

10 BRIANNA SMITH, ESQ.

11 Nevada Bar No. 11795

12 *Attorneys for Defendant Norton A. Roitman, M.D.*

1 **SUPPLEMENTAL AUTHORITY REGARDING WITNESS IMMUNITY**

2 The legal issue before the Court is whether Dr. Roitman, an expert retained in another
3 judicial proceeding to render a psychiatric analysis, is entitled to absolute witness immunity.
4

5 **A. LEGAL STANDARD**

6 Absolute privilege is a question of law for the Court to decide. *Clark County School Dist.*
7 *V. Virtual Education Software, Inc.*, 125 Nev. 374, 213 P.3d 496 (2009)(“The applicability of the
8 absolute privilege is a matter of law for the court to decide [...]”); *Circus Circus Hotels v.*
9 *Witherspoon*, 99 Nev. 56, 657 P.2d 101 (1983)(“the district court also erred in leaving to the jury
10 the question of whether the letter’s content was sufficiently relevant to fall within the absolute
11 privilege”).
12

13 **B. REPLY TO PLAINTIFF’S “SUPPLEMENTAL” AUTHORITY**

14 On October 9, 2013, Plaintiff filed a pleading entitled “Supplemental Points and
15 Authorities.” In Plaintiff’s supplement, she highlights various cases pertaining to questions of
16 judicial immunity in judicial and quasi-judicial proceedings. Quasi-judicial proceedings are
17 actions involving public administrative agencies or bodies that are obliged to investigate or
18 ascertain facts for official actions. *See State of Nevada v. Second Judicial District Court*, 118
19 Nev. 609, 615, 55 P.3d 420, 424 (2002)(“Judicial immunity serves to “provide [] absolute
20 immunity from subsequent damages liability for all persons-governmental or otherwise-who
21 [are] integral parts of the judicial process.”). The case at bar is not a quasi-judicial proceeding
22 and, therefore, none of the cases cited by Plaintiff in her Supplement are applicable to this case
23 which involves witness immunity. Immediately below is a brief analysis of the cases cited by
24 Plaintiff:
25

- 26 • *Duff v. Lewis*, 114 Nev. 564, 958 P.2d 84 (1998): This matter involved a court-appointed
27 psychologist was granted quasi-judicial immunity in a negligence action. Notably, the
28 court stated:

1 [HN2] The common law doctrine of absolute immunity extends to all person
2 who are an integral part of the judicial process. See *Briscoe v. LaHue*, 460
3 U.S. 325, 335, 75 L. Ed. 2d 96, 103 S. Ct. 1108 (1983). The purpose [*569]
4 behind a grant of absolute immunity is to preserve the independent decision-
5 making and truthfulness of critical judicial participants without subjecting them
6 to the fear and apprehension that may result from a threat of personal liability.
7 See *Imbler v. Pachtman*, 424 U.S. 409, 422-24, 47 L. Ed. 2d 128, 96 S. Ct. 984
8 (1976). "Absolute immunity is thus necessary to assure that judges,
9 advocates, and witnesses can perform their respective functions without
harassment or [***9] intimidation." *Butz v. Economou*, 438 U.S. 478, 512,
57 L. Ed. 2d 895, 98 S. Ct. 2894 (1978). Additional reasons for allowing
absolute judicial immunity include: "(1) the need to save judicial time in
defending suits; (2) the need for finality in the resolution of disputes; (3) to
prevent deterring competent persons from taking office; (4) to prevent the threat
of lawsuit from discouraging inde-pendent action; and (5) the existence of
adequate proce-dural safeguards such as change of venue and appellate review."
Lavit, 839 P.2d at 1144 (citing *Grimm v. Arizona Bd. of Pardons & Paroles*, 115
Ariz. 260, 564 P.2d 1227, 1231-32 (Ariz. 1977)).

10 [HN3] These policy reasons apply equally to court-appointed officials
11 such as psychologists and psychiatrists who assist the court in making
12 decisions. Without immunity, [***86] these professionals risk exposure
13 to lawsuits whenever they perform quasi-judicial duties. Exposure to
14 liability could deter their acceptance of court appointments or color
15 their recommendations.

16 *Id* (citing *Seibel v. Kemble*, 63 Haw. 516, 631 P.2d 173, 180 (Haw.
1981)). Indeed, "immunity removes the possibility that a professional
17 who is delegated judicial du-ties to aid the [***10] court will become a
18 'lightning rod for harassing litigation.'" *Id.* (quoting *Acevedo v. Pima
County Adult Probation Dept.*, 142 Ariz. 319, 690 P.2d 38, 40 (Ariz.
1984)).

- 19 • *Foster v. Washoe County*, 114 Nev. 936, 964 P.2d 788 (1998): Court-appointed special
20 advocates were entitled to absolute quasi-judicial immunity for the services they
21 performed for the court.
- 22 • *In the Matter of Fine*, 116 Nev. 1001, 1015, 13 P.3d 400, 409 (2000): Involved court-
23 appointed experts.
- 24 • *State of Nevada v. Second Judicial District Court*, 118 Nev. 609, 55 P.3d 420 (2002):
25 State employee was not granted quasi-judicial immunity when the non-judicial
26 officer/state employee placed child in foster home that was allegedly negligent.
- 27 • *Marvin v. Fitch*, 232 P.3d 425, 126 Nev. Adv. Rep. 18 (2010): Taxpayers challenged
28 whether the individual members of the State Board were entitled to absolute immunity.
The Court examined whether certain judicial officers can be afforded judicial immunity.
- *Clark County School District v. Virtual Education Software, Inc.*, 125 Nev. 374, 213 P.3d
496 (2009). In this case, the Court considered whether the absolute privilege applied to
communications made by a non-lawyer in anticipation of a judicial proceeding. The
court held that "the absolute privilege affords parties to litigation the same protection

1 from liability that exists for an attorney for defamatory statements made during, or in
2 anticipation of, judicial proceedings." *Id.*, 125 Nev. at 378, 213 P.3d at 499.

3 **C. LAW ON ABSOLUTE WITNESS IMMUNITY WHICH IS APPLICABLE TO**
4 **THIS CASE.**

5 In connection with and supplemental to Defendants' Motion to Dismiss and Reply in
6 Support of Motion to Dismiss, Dr. Roitman further supplies the court with additional case law on
7 the doctrine of witness immunity immediately below.

8 There is a "long-standing common law rule that communications uttered or published in
9 the course of judicial proceedings are absolutely privileged so long as they are in some way
10 pertinent to the subject of controversy." *Circus Circus Hotels v. Witherspoon*, 99 Nev. 56, 60,
11 657 P.2d 101, 104 (1983)(citing *Drummond v. Stahl*, 618 P.2d 616 (Ariz.App. 1980), cert.
12 denied, 450 U.S. 967 (1981); Prosser, Handbook of the Law of Torts, § 114 at 777-79 (4th ed.
13 1971). "The absolute privilege precludes liability even where the defamatory statements are
14 published with knowledge of their falsity and personal ill will toward the plaintiff." *Id.* (citing
15 *Skinner v. Pistoria*, 633 P.2d 672 (Mont. 1981); *Stafford v. Garrett*, 613 P.2d 99 (Or.App. 1980);
16 Prosser, *supra*, at 777. The policy underlying the privilege is that in certain situations the public
17 interest in having people speak freely outweighs the risk that individuals will occasionally abuse
18 the privilege by making false and malicious statements. *Circus*, 99 Nev. at 61, 657 P.2d at 104.

19
20 In *Clark County School District, supra*, the Court announced:

21 **It is a "long-standing common law rule that communications [made]**
22 **in the course of judicial proceedings [even if known to be false] are**
23 **absolutely privileged."** *Circus Circus Hotels v. Witherspoon*, 99 Nev.
24 56, 60, 657 P.2d 101, 104 (1983). In addition, [HN5] the applicability of
25 the absolute privilege is a matter of law for the court to decide, which
26 this court will review de novo. *Id.* at 62, 657 P.2d at 105. *Fink v. Oshins*,
27 118 Nev. 428, 432, 49 P.3d 640, 643 (2002). Further, [HN6] because the
28 scope of the absolute privilege is broad, a court determining whether the
privilege applies should resolve any doubt in favor of a broad
application. *Fink*, 118 Nev. at 433-34, 49 P.3d at 644.

In *Fink v. Oshins*, we determined that an attorney's statements made to
his client were absolutely privileged after his client began seriously

1 considering commencing proceedings to remove the defendant as
2 cotrustee of a trust. *Id.* at 434, 49 P.3d at 644. **In order to support the**
3 **interpretation of the absolute privilege in *Fink* and in other cases, we**
4 **have relied on the Restatement (Second) of Torts section 587, which**
5 **does not limit the application of the absolute privilege to attorney**
6 **communications. *Fink*, 118 Nev. at 433 n.13, 49 [*383] P.3d at 644**
7 **n.13; 3 *see also* *Pope v. Motel 6*, 121 Nev. 307, 316, 114 P.3d 277, 283**
8 **(2005); [***12] *K-Mart Corporation v. Washington*, 109 Nev. 1180,**
9 **1191 n.7, 866 P.2d 274, 282 n.7 (1993), *receded from* on other grounds**
10 **by Pope, 121 Nev. at 316-17, 114 P.3d at 283. [HN7] The purpose of the**
11 **absolute privilege is to afford all persons freedom to access the courts**
12 **and freedom from liability for defamation where civil or criminal**
13 **proceedings are seriously considered. Restatement (Second) of Torts §**
14 **587 cmts. a, e (1977). Therefore, the absolute privilege affords parties**
15 **the same protection from liability as those protections afforded to an**
16 **attorney for defamatory statements made during, or in anticipation of,**
17 **judicial proceedings. Restatement (Second) of Torts § 587 cmt. d (1977).**

18 3 Although in *Fink*, 118 Nev. at 435 n.16, 49 P.3d at 645 n.16,
19 we also cite and rely on Re-statement (Second) of Torts section
20 586, which discusses the absolute privilege as it applies to
21 attorneys, comment e of Restatement (Second) of Torts section
22 587 explicitly makes clear that the protection from liability for
23 defamation accorded to an attorney under section 586 applies
24 equally to parties to litigation. Restatement (Second) of Torts §
25 587 cmt. e (1977).

26 **Thus, where a judicial proceeding has commenced or is, in good**
27 **faith, under serious consideration, we determine no need to limit the**
28 **absolute privilege to communications made by attorneys. *See Hall v.***
29 ***Smith*, 214 Ariz. 309, 152 P.3d 1192, 1195-96 (Ariz. Ct. App. 2007)**
30 **("The privilege applies to both attorneys and parties to litigation."). In**
31 ***Hall v. Smith*, an Arizona Court of Appeals also relied on the**
32 **Restatement (Second) of Torts section 587 to conclude that the absolute**
33 **privilege applies to both attorneys and parties to litigation. *Id.* We concur**
34 **for two reasons. First, there is no good reason to distinguish between**
35 **communications between lawyers and nonlawyers. Second, it is**
36 **anticipated that potential parties to litigation will communicate before**
37 **formally retaining counsel.**

38 Consequently, we extend the protections of the absolute privilege to
39 instances where a nonlawyer asserts an alleged defamatory
40 communication in response to threatened litigation or during a judicial
41 proceeding. **Thus, just as we announced in *Fink*, for the privilege to**
42 **apply (1) a judicial proceeding must be contemplated in good faith**
43 **and under serious consideration, and (2) the communication must be**
44 **related to the litigation. 118 Nev. at 433-34, 49 P.3d at 644.**

45 The test is whether a judicial proceeding is contemplated or under serious consideration
46 and whether the communication is related to the litigation. The Nevada Supreme Court has

1 recognized the doctrine of absolute privilege to apply to communications uttered or published in
2 the course of judicial proceedings and extended the privilege to quasi-judicial hearings,
3 complaints filed with an internal affairs bureau against a police officer, and even letters written
4 in anticipation of litigation. Pleadings, like a formal complaint, are covered under the rule of
5 absolute privilege. *Sahara Gaming Corp. v. Culinary Workers Union Local 226*, 115 Nev. 212,
6 218, 984 P.2d 164, 167 (1999).

8 **"Absolute immunity [is granted] to all statements made in the course of, or**
9 **incidental to, a judicial proceeding, so long as they are relevant to the proceedings."** *Sahara*
10 *Gaming Corp. v. Culinary Workers Union Local 226*, 115 Nev. 212, 218, 984 P.2d 164, 168
11 (1999)(citing *Vasquez v. Courtney*, 276 Ore. 1053, 557 P.2d 672, 673 (Or. 1976)). "This court
12 has also held that the absolute privilege rule applies to letters written in anticipation of litigation.
13 Specifically such letters are 'subject to both an absolute and qualified privilege.'" *Id.* (citing
14 *Richards v. Conclin*, 94 Nev. 84, 84-85, 575 P.2d 588, 589 (1978)(citing *Romero v. Prince*, 85
15 N.M. 474, 513 P.2d 717, 791-20 (N.M. App. 1973)(holding that "[i]t is not absolutely essential,
16 in order to obtain the benefits of absolute privilege, that the language claimed to be defamatory
17 be spoken in open court or contained in a pleading, brief, or affidavit." *Zirn v. Cullom*, 187 Misc.
18 241, 63 N.Y.S.2d 439 (1946). If the statement is made to achieve the objects of the litigation, the
19 absolute privilege applies even though the statement is made outside the courtroom and no
20 function of the court or its officers is invoked. *See Smith v. Hatch*, 271 Cal.App.2d 39, 76
21 Cal.Rptr. 350 (1969)).

24 "Defamatory words, published by parties, counsel **or witnesses** in the course of a judicial
25 procedure" and which are "connected with, or relevant or material to, the cause in hand or
26 subject of inquiry," constitute an absolutely privileged communication, and "no action will lie
27 therefor, however false or malicious they may in fact be." *Sahara Gaming Corp. v. Culinary*
28

1 *Workers Union Local 226*, 115 Nev. 212, 218, 984 P.2d 164, 168 (1999)(citing *Hammett v.*
2 *Hunter*, 189 Okla. 455, 117 P.2d 511, 512 (Okla. 1941)(holding that “[a]n absolutely privileged
3 communication is defined as words spoken by a party or a witness in due course of a
4 judicial proceeding or any other proceeding authorized by law that are connected with,
5 relevant, pertinent, or material to the subject of inquiry; and such communication will in no
6 event support an action for slander).

7
8 Here, Plaintiff is suing Dr. Roitman for a report containing a psychiatric analysis that was
9 produced during the course of a judicial proceeding. The report and any other acts or
10 communications undertaken with respect to the report is absolutely privileged. Accordingly, Dr.
11 Roitman is immune from liability.

12 **C. STRONG PUBIC POLICY DICTATES DISMISSAL WITH PREJUDICE**

13
14 Strong public policy favors dismissal of this case, with prejudice. The “purpose of
15 witness immunity is to preserve the integrity of the judicial process by encouraging full and
16 frank testimony.” *Bruce v. Byrne-Stevens & Associates Engineers, Inc. et al.*, *supra*, 113 Wn.2d
17 at 126. The *Byrne* court said it best when it stated the important policy concerns implicated in a
18 case like this:

19 In the words of one 19th century court, in damages suits against
20 witnesses, “the claims of the individual must yield to the dictates of
21 public policy, which requires that the paths which lead to the
22 ascertainment of truth should be left as free and unobstructed as
23 possible.” *Calkins v. Sumner*, 13 Wis. 193, 197 (1860). A witness’
24 apprehension of subsequent damages liability might induce two forms of
25 self-censorship. First, witnesses might be reluctant to come forward to
26 testify. *See Henderson v. Broomhead*, [4 H. & N. 569, 578-79] 157 Eng.
27 Rep., at 968. And once a witness is on the stand, his testimony might be
28 distorted by the fear of subsequent liability. *See Barnes v. McCrate*, 32
Me. 442, 446-447 (1851). Even within the constraints of the witness’ oath
there may be various ways to give an account [***7] or to state an
opinion. These alternatives may be more or less detailed and may differ
in emphasis and certainty. A witness who knows that he might be forced
to defend a subsequent lawsuit, and perhaps to pay damages, might be
inclined to shade his testimony in favor of the potential plaintiff, to
magnify uncertainties, and thus to deprive the finder of fact of candid,

1 objective, and undistorted evidence. *Briscoe*, at 332-33.

2 In addition to the benefits obtained by extending immunity, the rule also
3 rests on the safeguards against false or inaccurate testimony which inhere
4 in the judicial process itself. A witness' reliability is ensured by his oath,
5 the hazard of cross examination and the threat of prosecution for perjury.
6 *Briscoe*, at 332. See *Engelmohr v. Bache*, 66 Wn.2d 103, 401 P.2d 346
7 (witness immunity not applicable to statements made in administrative
8 hearing which did not resemble a judicial proceeding), *cert. dismissed*,
9 382 U.S. 950 (1965). In light of these safeguards, the detriments of
10 imposing civil liability on witnesses outweigh the benefits.

11 The scope of witness immunity is broad. Immunity has been [***8]
12 extended to witnesses before grand juries. *Macko v. Byron*, 760 F.2d 95,
13 97 [**668] (6th Cir. 1985); *Kincaid v. Eberle*, 712 F.2d 1023 (7th Cir.),
14 *cert. denied*, 464 U.S. 1018 (1983). Witnesses in other pretrial
15 proceedings are also absolutely immune. *Holt v. Castaneda*, 832 F.2d
16 123, 125 (9th Cir. 1987); *Williams v. Hepting*, 844 F.2d 138 (3d Cir.
17 1988).

18 [*127] Guardians, therapists and attorneys who submit reports to family
19 court are absolutely immune. *Myers v. Morris*, 810 F.2d 1437, 1466 (8th
20 Cir.), *cert. denied*, 484 U.S. 828 (1987). Probation officers who allegedly
21 include false statements in pretrial bond reports have been held immune.
22 *Tripati v. United States Immigration & Naturalization Serv.*, 784 F.2d
23 345, 348 (10th Cir. 1986), *cert. denied*, 484 U.S. 1028 (1988).

24 The Washington case most on point here is *Bader v. State*, 43 Wn. App.
25 223, 716 P.2d 925 (1986). In *Bader*, Eastern State Hospital evaluated a
26 criminal defendant, Morris Roseberry, for the purpose of determining
27 whether he was competent to stand trial. Roseberry was diagnosed as
28 paranoid schizophrenic and manic depressive, but was found competent
to stand trial. Roseberry was acquitted and released, conditioned on his
submit-ting to treatment. He later murdered a neighbor and the victim's
estate sued Eastern State for negligence in its evaluation of Roseberry.

Division Three of the Court of Appeals held Eastern State immune from
suit on grounds of judicial immunity. *Bader*, at 226. Accord, *Tobis v.*
State, 52 Wn. App. 150, 758 P.2d 534 (1988); *Moses v. Parwatar*, 813
F.2d 891, 892 (8th Cir. 1987); *Burkes v. Callion*, 433 F.2d 318, 319 (9th
Cir. 1970); *Bartlett v. Weimer*, 268 F.2d 860 (7th Cir. 1959); [***10] *In*
re Scott Cy. Master Docket, 618 F. Supp. 1534, 1575 (D. Minn. 1985);
Kravitz v. State, 8 Cal. App. 3d 301, 87 Cal. [*128] Rptr. 352 (1970);
Linder v. Foster, 209 Minn. 43, 45, 295 N.W. 299 (1940).

The Court of Appeals found *Bader* distinguishable, arguing: "Such
immunity certainly would not apply to an expert retained by a party to
litigation, because such an expert does not act on the court's behalf."
Bruce, 51 Wn. App. at 201 n.1. Reasoning along the same lines, the
Court of Appeals held that the general rule of witness immunity should
not apply here because:

1 Byrne is a professional, with a pecuniary motive for testifying. He
2 voluntarily undertook to render his expert opinion in the original action,
3 knowing that the parties and the court would rely on that opinion. He
4 was not merely a bystander who fortuitously came to have information
5 relevant to the claim, nor was he subject to contempt of court if he
6 refused to assume this undertaking.

7 *Bruce*, 51 Wn. App. at 201.

8 The fact that Byrne was retained and compensated [***11] by a party
9 does not deprive him of witness immunity. The Court of Appeals
10 assumed that participants in adversarial judicial proceedings derive their
11 immunity from their relationship to the judge, who is himself immune
12 from suit. In many instances, that is correct. See *Adkins v. Clark Cy.*,
13 105 Wn.2d 675, 717 P.2d 275 (1986) (immunity of bailiff). However,
14 the rationale behind quasi-judicial immunity, as set out in *Briscoe*,
15 sweeps more broadly. The purpose of granting immunity to participants
16 in judicial proceedings is to preserve and enhance the judicial process.
17 "The central focus of our analysis has been the nature of the judicial
18 proceeding itself." *Briscoe*, 460 U.S. at 334. The various grants of
19 immunity for judges and witnesses, as well as for prosecutors and
20 bailiffs, are all particular [**669] applications of this central policy.
21 They are best described as instances of a single immunity for participants
22 in judicial proceedings. 1

23 1 Arizona courts use this terminology. See, e.g., *Western*
24 *Technologies, Inc. v. Sverdrup & Parcel, Inc.*, 154 Ariz. 1, 4,
25 739 P.2d 1318, 1321 (Ct. App. 1986). The Arizona Supreme
26 Court has written:

27 The socially important interests promoted by the absolute privilege in
28 this area include the fearless prosecution and defense of claims which
leads to complete exposure of pertinent information for a tribunal's
disposition. . . .

The privilege protects judges, parties, lawyers, witnesses and jurors.
Green Acres Trust v. London, 141 Ariz. 609, 613, 688 P.2d 617 (1984).

[***12]

[*129] The principles set forth in *Pierson v. Ray* [386 U.S. 547, 18 L.
Ed. 2d 288, 87 S. Ct. 1213 (1967)] to protect judges and in *Imbler v.*
Pachtman [424 U.S. 409, 47 L. Ed. 2d 128, 96 S. Ct. 984 (1976)] to
protect prosecutors also apply to witnesses, who perform a somewhat
different function in the trial process but whose participation in bringing
the litigation to a just or possibly unjust conclusion is equally
indispensable.

Briscoe v. LaHue, 460 U.S. 325, 345-46, 75 L. Ed. 2d 96, 103 S. Ct.
1108 (1983). In the *Bader* case, Eastern State was immune, not because
it partook of the judge's immunity, but because it took part in judicial
proceedings.

1 In this light, it is immaterial that an expert witness is retained by a party
2 rather than appointed by the court. The basic policy of ensuring frank
3 and objective testimony obtains regardless of how the witness comes to
4 court. This was recognized recently in *Kahn v. Burman*, 673 F. Supp.
5 210 (E.D. Mich. 1987) in which the court granted immunity to a medical
6 [***13] doctor who was retained as an expert in a medical malpractice
7 case and who allegedly made defaming statements in reports to the
8 attorney investigating the case.

9 As a matter of policy, also, witness immunity should extend to reports
10 prepared by both potential and retained expert witnesses. Justice Stevens
11 reasoned in *Briscoe* that damage suits against witnesses must "yield to
12 the dictates of public policy, which requires that the paths which lead to
13 the ascertainment of truth should be left as free and unobstructed as
14 possible." This policy of providing for reasonably unobstructed access to
15 the relevant facts and issues mandates the extension of immunity to Dr.
16 Burman for all statements that he made in his reports to Attorney Gray.
17 The overriding concern for disclosure of pertinent and instructive expert
18 opinions before and during medical malpractice actions is no less
19 significant than the clearly recognized need for all relevant factual
20 evidence during the course of litigation.

21 (Citation omitted.) *Kahn*, at 213.

22 In addition, the Court of Appeals is simply wrong to say that an expert
23 witness "does not act on the court's behalf." 51 Wn. App. at 201 n.1.
24 [***14] While it may be that many [*130] expert witnesses are
25 retained with the expectation that they will perform as "hired guns" for
26 their employer, as a matter of law the expert serves the court. The
27 admissibility and scope of the expert's testimony is a matter within the
28 court's discretion. *Orion Corp. v. State*, 103 Wn.2d 441, 462, 693 P.2d
1369 (1985). That admissibility turns primarily on whether the expert's
testimony will be of assistance to the finder of fact. ER 702. The court
retains the discretion to question expert witnesses. ER 614(b). The mere
fact that the expert is retained and compensated by a party does not
change the fact that, as a witness, he is a participant in a judicial
proceeding. It is that status on which witness immunity rests.

The Court of Appeals noted the fact that an expert witness is
compensated for his testimony, but did not explain how that affects the
basic rationale for witness immunity. Contrary to that court's conclusion,
the economics of expert testimony dictate in favor of granting immunity
to retained expert witnesses for at least two reasons. Both derive from
the fundamental policy of ensuring frank and [***15] objective
testimony, as stated in *Briscoe*.

[**670] First, unless expert witnesses are entitled to immunity, there
will be a loss of objectivity in expert testimony generally. The threat of
civil liability based on an inadequate final result in litigation would
encourage experts to assert the most extreme position favorable to the
party for whom they testify. It runs contrary to the fundamental reason

1 for expert testimony, which is to assist the finder of fact in a matter
2 which is beyond its capabilities. To the extent experts function as
3 advocates rather than impartial guides, that fundamental policy is
4 undermined.

5 Second, imposing civil liability on expert witnesses would discourage
6 anyone who is not a fulltime professional expert witness from testifying.
7 Only professional witnesses will be in a position to carry insurance to
8 guard against such liability. The threat of liability would discourage the
9 1-time expert -- the university professor, for example -- from [*131]
10 testifying. Such 1-time experts, however, can ordinarily be expected to
11 approach their duty to the court with great objectivity and
12 professionalism.

13 The main argument to the contrary is that the threat of liability [***16]
14 would encourage experts to be more careful, resulting in more accurate,
15 reliable testimony. While there is some merit to this contention, possible
16 gains of this type have to be weighed against the threatened losses in
17 objectivity described above. We draw that balance in favor of immunity.
18 Civil liability is too blunt an instrument to achieve much of a gain in
19 reliability in the arcane and complex calculations and judgments which
20 expert witnesses are called upon to make. The threat of liability seems
21 more likely to result in experts offering opinions motivated by litigants'
22 interests rather than professional standards and in driving all but the full-
23 time expert out of the courtroom.

24 In sum, the fact that an expert witness is retained by a party has no
25 bearing on the underlying rationale of witness immunity. That basic
26 rationale -- ensuring objective, reliable testimony -- dictates in favor of
27 immunity for experts. As a policy matter, the economics of expert
28 testimony generally also favor immunity as a means of ensuring that a
wide cross section of impartial experts are not deterred from testifying by
the threat of liability.

19 *Bruce v. Byrne-Stevens & Associates Engineers, Inc. et al.*, 113 Wn.2d 123, 776 P.2d 666
20 (1989).

21 To allow the instant case to go forward in the face of absolute witness immunity would
22 certainly open the floodgates to litigation against lay witnesses, expert witnesses, jurors, and it
23 could be a slippery slope into the penetration of the litigation privilege enjoyed by attorneys and
24 judges. Consequently, and for good reason, Plaintiff's Complaint and Amended Complaint that
25 was filed on October 9, 2013, must be dismissed with prejudice.

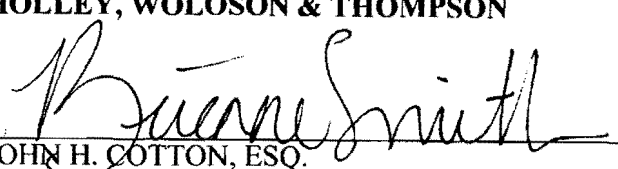
26 **D. CONCLUSION**

27 For the reasons stated above, Dr. Roitman is absolutely immune from this lawsuit.

1 Consequently, Plaintiff's Complaint and Amended Complaint filed on October 9, 2013, must be
2 dismissed in its entirety, with prejudice.

3 Dated this 15th day of October, 2013.

4
5 **COTTON, DRIGGS, WALCH**
6 **HOLLEY, WOLOSON & THOMPSON**

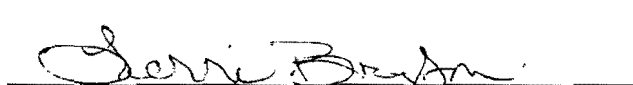
7 
8 JOHN H. COTTON, ESQ.
9 BRIANNA SMITH, ESQ.
Nevada Bar No. 11795

Attorneys for Defendant Norton A. Roitman, M.D.

10 **CERTIFICATE OF MAILING**

11 I hereby certify that on this 15th day of October 2013, I sent a true and correct copy of
12 the foregoing **DEFENDANT NORTON A. ROITMAN, M.D.'S SUPPLEMENTAL REPLY**
13 **IN SUPPORT OF MOTION TO DISMISS PLAINTIFF'S COMPLAINT** by U.S. Mail,
14 postage prepaid, addressed to the following:

15 John Ohlson, Esq.
16 275 Hill Street, Suite 230
17 Reno, Nevada 89501
Attorneys for Plaintiff

18
19 
20 An Employee of Cotton, Driggs, Walch,
21 Holley, Woloson & Thompson, Ltd.

**DISTRICT COURT
CLARK COUNTY, NEVADA**

Negligence - Medical/Dental

COURT MINUTES

October 21, 2013

A-13-687300-C

Vivian Lee Harrison, Plaintiff(s)

vs.

Norton Roitman, M.D., Defendant(s)

October 21, 2013

Chambers

Motion to Dismiss

HEARD BY: Cory, Kenneth

COURTROOM: RJC Courtroom 16A

COURT CLERK: Michele Tucker

JOURNAL ENTRIES

- Defendant Norton A. Roitman, MD.'s Motion to Dismiss Complaint

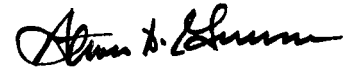
In accordance with the authorities submitted by the Defendant, most particularly Bruce v. Byrne-Stevens & Associates Engineers, Inc., 113 Wash. 2d 123 (1989), the defendant enjoyed absolute immunity for his testimony. This privilege also extends to any report submitted by the witness during or in preparation for the matter in controversy. Absolute immunity extends to all the present causes of action naming Dr. Roitman, including medical malpractice, intentional infliction of emotional distress, negligent infliction of emotional distress, and civil conspiracy. Accordingly, COURT ORDERS the Motion to Dismiss the Plaintiff's Complaint GRANTED WITH PREJUDICE.

Ms. Smith to prepare the Order.

CLERK'S NOTE: The above minute order has been distributed to: Brianna Smith, Esq. and John Ohlson, Esq. via e-mail. /mlt

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CLERK OF THE COURT

ORDER

JOHN H. COTTON, ESQ.
Nevada Bar No. 005268
BRIANNA SMITH, ESQ.
Nevada Bar No. 11795
COTTON, DRIGGS, WALCH,
HOLLEY, WOLOSON & THOMPSON
400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Telephone: 702/791-0308
Facsimile: 702/791-1912
Attorneys for Defendant Norton A. Roitman, M.D.

DISTRICT COURT

CLARK COUNTY, NEVADA

VIVIAN MARIE LEE HARRISON,

Plaintiff,

v.

NORTON A. ROITMAN, M.D.; DOES I-X and
ROE CORPORATIONS I-X,

Defendants.

Case No.: A-13-687300-C

Dept. No.: 1

**Hearing Dates: 10/8/2013 (Oral Argument)
10/21/2013 (In Chambers)**

**ORDER GRANTING DEFENDANT NORTON A. ROITMAN, M.D.'S MOTION TO
DISMISS PLAINTIFF'S COMPLAINT WITH PREJUDICE**

On October 8, 2013, Defendant Norton A. Roitman, M.D.'s Motion to Dismiss Plaintiff's Complaint with Prejudice came on for hearing before the Honorable Kenneth Cory in Department 1. Oral argument was entertained and Plaintiff requested the opportunity to provide supplemental briefing which was granted by the Court.

On October 9, 2013, Plaintiff submitted her Supplemental Points and Authorities. On October 15, 2013, Defendants submitted his Reply to Plaintiff's Supplemental Points and Authorities. The pleadings and papers filed, including the original Motion and Opposition thereto came on for hearing in chambers on October 21, 2013. The Court having reviewed the Defendant's Motion to Dismiss, Plaintiff's Opposition, and supplemental points and authorities

<input type="checkbox"/> Verdict	<input type="checkbox"/> Non-Judgt	FINAL DISPOSITIONS <input type="checkbox"/> Time Limit Expired <input type="checkbox"/> Dismissed (with or without prejudice) <input type="checkbox"/> Judgment Satisfied-Paid in Full
<input type="checkbox"/> Settle/Judgt	<input type="checkbox"/> Non-Jury Trial	
<input type="checkbox"/> Default Judgt	<input type="checkbox"/> Jury Trial	
<input type="checkbox"/> Transferred		

J.A. 135

1 from both parties, hereby enters the following Findings of Fact and Conclusions of Law in
2 granting Defendant's Motion to Dismiss with Prejudice:

3 **FINDINGS OF FACT**

4 1. On June 26, 2013, Plaintiff filed her Complaint. That Complaint asserted causes
5 of action for medical malpractice, intentional infliction of emotional distress, negligent infliction
6 of emotional distress and civil conspiracy.

7
8 2. Per the Complaint, in and during years 2011 and 2012, Plaintiff Vivian Harrison
9 ("Plaintiff") was a party to a family court divorce case against her then-husband, Kirk Harrison
10 (herein "the divorce proceeding"). (*Complaint*, ¶7).

11 3. During the divorce proceeding, Mr. Harrison retained a forensic psychiatric
12 expert, Norton Roitman, M.D., to provide a psychiatric analysis of Plaintiff. (*Id.*, ¶8).

13 4. Plaintiff alleges that Dr. Roitman's psychiatric analysis dated June 9, 2011,
14 diagnosed Plaintiff with narcissistic personality disorder and provided an analysis, conclusions
15 and diagnosis regarding Plaintiff without ever having met Plaintiff. (*Id.*, ¶¶9-14).

16 5. Plaintiff further alleges that by rendering the psychiatric analysis, Dr. Roitman
17 fell below the standard of care and caused injury and harm to Plaintiff. (*Id.*, ¶14).

18 6. Dr. Roitman filed a Motion to Dismiss on September 4, 2013.

19 7. On October 8, 2013, oral argument was entertained by the Court and Plaintiff
20 requested the opportunity to provide supplemental briefing which was granted by the Court.

21 8. On October 9, 2013, Plaintiff filed her Supplemental Points and Authorities.

22 9. Also on October 9, 2013, Plaintiff filed an Amended Complaint alleging the same
23 causes of action for medical malpractice, intentional infliction of emotional distress, negligent
24 infliction of emotional distress and civil conspiracy all derived from the same allegations
25 concerning Dr. Roitman's psychiatric analysis.
26
27
28

1 10. On October 15, 2013, Dr. Roitman filed his Reply to Plaintiff's Supplemental
2 Points and Authorities, and sought dismissal of Plaintiff's Amended Complaint with prejudice on
3 the basis of absolutely immunity.

4 11. A subsequent in chambers hearing on Dr. Roitman's Motion to Dismiss was held
5 October 21, 2013.
6

7 CONCLUSIONS OF LAW

8 1. "Absolute immunity [is granted] to all statements made in the course of, or
9 incidental to, a judicial proceeding, so long as they are relevant to the proceedings." *Sahara*
10 *Gaming Corp. v. Culinary Workers Union Local 226*, 115 Nev. 212, 218, 984 P.2d 164, 168
11 (1999)(citations omitted). "This has been the policy and rule in Nevada for the last seventy years
12 and the privilege includes administrative hearings, quasi-judicial proceedings as well as judicial
13 actions. It is in the public's right to know what transpires in the legal proceedings of this state
14 and that is paramount to the fact someone may occasionally make false and malicious
15 statements." *Id.*, 115 Nev. at 219, 984 P.2d at 168.
16

17 2. This Court finds *Bruce v. Byrne-Stevens & Assocs. Eng'rs. Inc.*, 113 Wash. 2d
18 123 (1989) as authority on this issue.

19 3. The Court holds that "[w]itnesses in judicial pleadings are absolutely immune
20 from suit based on their testimony." *Bruce v. Byrne-Stevens & Assocs. Eng'rs., Inc. et al.*, 113
21 Wash. 2d 123 (1989).
22

23 4. The immunity extends not only to expert testimony, but also acts,
24 communications and expert reports which occur in connection with the preparation for the matter
25 in controversy. *Bruce v. Byrne-Stevens & Assocs. Eng'rs., Inc.*, 113 Wash. 2d 123, 136, (1989).


26 5. Accordingly, absolute immunity extends to all of the present causes of action
27 naming Dr. Roitman, including medical malpractice, intentional infliction of emotional distress.
28

1 negligent infliction of emotional distress, and civil conspiracy.

2 Based upon the foregoing, it is hereby ORDERED, ADJUDGED AND DECREED that
3 Defendant's Motion to Dismiss the Plaintiff's Complaint is GRANTED WITH PREJUDICE and
4 JUDGMENT is entered in favor of Defendant and against Plaintiff.

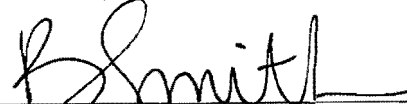
5 It is further ORDERED, ADJUGED AND DECREED that as a result of dismissal with
6 prejudice, Plaintiff's Amended Complaint is also hereby DISMISSED WITH PREJUDICE.

7
8 DATED: Nov 15, 2013.

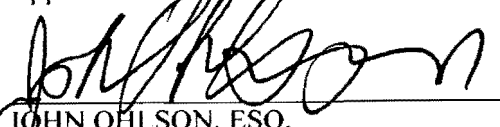
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10 
11 HONORABLE KENNETH CORY
DISTRICT COURT JUDGE to

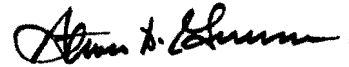
12 Submitted By:

13 COTTON, DRIGGS, WALCH,
14 HOLLEY, WOLOSON & THOMPSON

15 
16 JOHN H. COTTON, ESQ.
17 Nevada Bar No. 005268
18 BRIANNA SMITH, ESQ.
19 Nevada Bar No. 11795
20 400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Attorneys for Defendant Norton A. Roitman, M.D.

21 Approved as to Form and Content:

22 
23 JOHN OHLSON, ESQ.
24 Nevada Bar Number 1672
25 275 Hill Street, Suite 230
26 Reno, Nevada 89501
Attorney for Plaintiff Vivian Marie Lee Harrison



CLERK OF THE COURT

1 **NEOJ**
2 JOHN H. COTTON, ESQ.
3 Nevada Bar No. 005268
4 BRIANNA SMITH, ESQ.
5 Nevada Bar No. 11795
6 COTTON, DRIGGS, WALCH,
7 HOLLEY, WOLOSON & THOMPSON
8 400 South Fourth Street, Third Floor
9 Las Vegas, Nevada 89101
10 Telephone: 702/791-0308
11 Facsimile: 702/791-1912
12 *Attorneys for Defendant Norton A. Roitman, M.D.*

8 **DISTRICT COURT**
9 **CLARK COUNTY, NEVADA**

10 VIVIAN MARIE LEE HARRISON,
11
12 Plaintiff,

13 v.

14 NORTON A. ROITMAN, M.D.; DOES I-X and
15 ROE CORPORATIONS I-X,
16 Defendants.

Case No.: A-13-687300-C
Dept. No.: 1

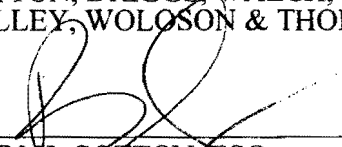
**AMENDED NOTICE OF ENTRY OF
ORDER**

17 TO: PLAINTIFF AND HER COUNSEL OF RECORD:

18 PLEASE TAKE NOTICE that an Order was entered in the above entitled matter on the 18th
19 day of November 2013, a **file stamped** copy of which is attached hereto.

20 Dated this 19 day of November 2013.

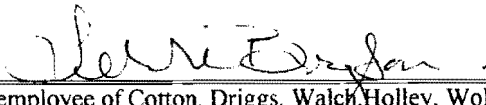
21
22 COTTON, DRIGGS, WALCH,
23 HOLLEY, WOLOSON & THOMPSON

24
25 
26 JOHN H. COTTON, ESQ.
27 Nevada Bar No. 005268
28 BRIANNA SMITH, ESQ.
Nevada Bar No. 11795
400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Attorneys for Defendant Norton A. Roitman, M.D.

CERTIFICATE OF MAILING

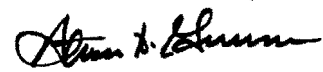
I HEREBY CERTIFY that, on the 14th day of **November** 2013 and pursuant to NRCP
5(b), I deposited for mailing in the U.S. Mail a true and correct copy of the foregoing NOTICE
OF ENTRY OF ORDER postage prepaid and addressed to:

JOHN OHLSON, ESQ.
275 Hill Street, Suite 230
Reno, Nevada 89501
Attorney for Plaintiff Vivian Marie Lee Harrison


An employee of Cotton, Driggs, Walch, Holley, Woloson & Thompson

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CLERK OF THE COURT

ORDER

JOHN H. COTTON, ESQ.
Nevada Bar No. 005268
BRIANNA SMITH, ESQ.
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Attorneys for Defendant Norton A. Roitman, M.D.

DISTRICT COURT

CLARK COUNTY, NEVADA

VIVIAN MARIE LEE HARRISON,

Plaintiff,

v.

NORTON A. ROITMAN, M.D.; DOES I-X and
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Defendants.

Case No.: A-13-687300-C
Dept. No.: 1

**Hearing Dates: 10/8/2013 (Oral Argument)
10/21/2013 (In Chambers)**

**ORDER GRANTING DEFENDANT NORTON A. ROITMAN, M.D.'S MOTION TO
DISMISS PLAINTIFF'S COMPLAINT WITH PREJUDICE**

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<input type="checkbox"/> Appeal	<input type="checkbox"/> Jury Trial	FINAL DISPOSITIONS <input type="checkbox"/> Time Limit Expired <input type="checkbox"/> Dismissed (with or without prejudice) <input type="checkbox"/> Judgment Satisfied/Paid in Full
<input type="checkbox"/> No Judgment	<input type="checkbox"/> Non-Jury Trial	
<input type="checkbox"/> Default Judgment	<input type="checkbox"/> Jury Trial	
<input type="checkbox"/> Transferred		

J.A. 141

1 from both parties, hereby enters the following Findings of Fact and Conclusions of Law in
2 granting Defendant's Motion to Dismiss with Prejudice:

3 **FINDINGS OF FACT**

4 1. On June 26, 2013, Plaintiff filed her Complaint. That Complaint asserted causes
5 of action for medical malpractice, intentional infliction of emotional distress, negligent infliction
6 of emotional distress and civil conspiracy.
7

8 2. Per the Complaint, in and during years 2011 and 2012, Plaintiff Vivian Harrison
9 ("Plaintiff") was a party to a family court divorce case against her then-husband, Kirk Harrison
10 (herein "the divorce proceeding"). (*Complaint*, ¶7).

11 3. During the divorce proceeding, Mr. Harrison retained a forensic psychiatric
12 expert, Norton Roitman, M.D., to provide a psychiatric analysis of Plaintiff. (*Id.*, ¶8).

13 4. Plaintiff alleges that Dr. Roitman's psychiatric analysis dated June 9, 2011,
14 diagnosed Plaintiff with narcissistic personality disorder and provided an analysis, conclusions
15 and diagnosis regarding Plaintiff without ever having met Plaintiff. (*Id.*, ¶¶9-14).

16 5. Plaintiff further alleges that by rendering the psychiatric analysis, Dr. Roitman
17 fell below the standard of care and caused injury and harm to Plaintiff. (*Id.*, ¶14).

18 6. Dr. Roitman filed a Motion to Dismiss on September 4, 2013.

19 7. On October 8, 2013, oral argument was entertained by the Court and Plaintiff
20 requested the opportunity to provide supplemental briefing which was granted by the Court.
21

22 8. On October 9, 2013, Plaintiff filed her Supplemental Points and Authorities.

23 9. Also on October 9, 2013, Plaintiff filed an Amended Complaint alleging the same
24 causes of action for medical malpractice, intentional infliction of emotional distress, negligent
25 infliction of emotional distress and civil conspiracy all derived from the same allegations
26 concerning Dr. Roitman's psychiatric analysis.
27
28

1 10. On October 15, 2013, Dr. Roitman filed his Reply to Plaintiff's Supplemental
2 Points and Authorities, and sought dismissal of Plaintiff's Amended Complaint with prejudice on
3 the basis of absolutely immunity.

4 11. A subsequent in chambers hearing on Dr. Roitman's Motion to Dismiss was held
5 October 21, 2013.

6
7 CONCLUSIONS OF LAW

8 1. "Absolute immunity [is granted] to all statements made in the course of, or
9 incidental to, a judicial proceeding, so long as they are relevant to the proceedings." *Sahara*
10 *Gaming Corp. v. Culinary Workers Union Local 226*, 115 Nev. 212, 218, 984 P.2d 164, 168
11 (1999)(citations omitted). "This has been the policy and rule in Nevada for the last seventy years
12 and the privilege includes administrative hearings, quasi-judicial proceedings as well as judicial
13 actions. It is in the public's right to know what transpires in the legal proceedings of this state
14 and that is paramount to the fact someone may occasionally make false and malicious
15 statements." *Id.*, 115 Nev. at 219, 984 P.2d at 168.

16
17 2. This Court finds *Bruce v. Byrne-Stevens & Assocs. Eng'rs. Inc.*, 113 Wash. 2d
18 123 (1989) as authority on this issue.

19 3. The Court holds that "[w]itnesses in judicial pleadings are absolutely immune
20 from suit based on their testimony." *Bruce v. Byrne-Stevens & Assocs. Eng'rs., Inc. et al.*, 113
21 Wash. 2d 123 (1989).

22
23 4. The immunity extends not only to expert testimony, but also facts,
24 communications and expert reports which occur in connection with the preparation for the matter
25 in controversy. *Bruce v. Byrne-Stevens & Assocs. Eng'rs., Inc.*, 113 Wash. 2d 123, 136, (1989).


26 5. Accordingly, absolute immunity extends to all of the present causes of action
27 naming Dr. Roitman, including medical malpractice, intentional infliction of emotional distress.
28

1 negligent infliction of emotional distress, and civil conspiracy.

2 Based upon the foregoing, it is hereby ORDERED, ADJUDGED AND DECREED that
3 Defendant's Motion to Dismiss the Plaintiff's Complaint is GRANTED WITH PREJUDICE and
4 JUDGMENT is entered in favor of Defendant and against Plaintiff.


5 It is further ORDERED, ADJUGED AND DECREED that as a result of dismissal with
6 prejudice, Plaintiff's Amended Complaint is also hereby DISMISSED WITH PREJUDICE.

7
8 DATED: Nov 15, 2013.

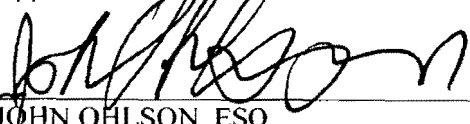
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10 
11 HONORABLE KENNETH CORY
DISTRICT COURT JUDGE

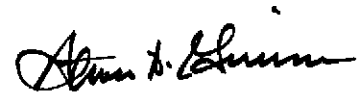
12 Submitted By:

13 COTTON, DRIGGS, WALCH,
14 HOLLEY, WOLOSON & THOMPSON

15 
16 JOHN H. COTTON, ESQ.
17 Nevada Bar No. 005268
18 BRIANNA SMITH, ESQ.
19 Nevada Bar No. 11795
20 400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Attorneys for Defendant Norton A. Roitman, M.D.

21 Approved as to Form and Content:

22 
23 JOHN OHLSON, ESQ.
24 Nevada Bar Number 1672
25 275 Hill Street, Suite 230
26 Reno, Nevada 89501
Attorney for Plaintiff Vivian Marie Lee Harrison



CLERK OF THE COURT

Electronically Filed
Dec 09 2013 02:27 p.m.
Tracie K. Lindeman
Clerk of Supreme Court

NOTC
JOHN OHLSON, ESQ.
Bar Number 1672
275 Hill Street, Suite 230
Reno, Nevada 89501
Telephone: (775) 323-2700
Attorney for Plaintiff

**DISTRICT COURT
CLARK COUNTY, NEVADA**

VIVIAN MARIE LEE HARRISON,

Plaintiff,

Case No.: A-13-687300-C
Dept. No.: 1

vs.

NORTON A. ROITMAN, M.D.; DOES
I-X and ROE CORPORATIONS I-X,

NOTICE OF APPEAL

Defendants.

Notice is hereby given that Plaintiff, VIVIAN MARIE LEE HARRISON, by and through her counsel, JOHN OHLSON, ESQ., appeals to the Supreme Court of the State of Nevada from this Court's Order Granting Defendant Norton A. Roitman, M.D.'s Motion to Dismiss Plaintiff's Complaint With Prejudice, entered on November 19, 2013. A copy of this Court's Order is attached as Exhibit "1."

DATED this 3rd day of December, 2013.

/s/ John Ohlson
John Ohlson, ESQ.
Nevada Bar No. 1672
275 Hill Street, Suite 230
Reno, Nevada 89501
(775) 323-2700
Attorney for Plaintiff
Vivian Marie Lee Harrison

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John H. Cotton, Esq.
Brianna Smith, Esq.
Cotton, Driggs, Walch, Holley,
Woloson & Thompson
400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101

/s/ Robert M. May
Robert M. May

J.A. 146

SCHEDULE OF EXHIBITS

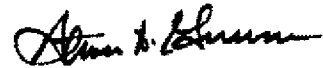
EXHIBIT 1: Order Granting Defendant Norton A. Roitman, M.D.'s
Motion to Dismiss Plaintiff's Complaint With Prejudice

EXHIBIT 1

EXHIBIT 1

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CLERK OF THE COURT

ORDER

JOHN H. COTTON, ESQ.
Nevada Bar No. 005268
BRIANNA SMITH, ESQ.
Nevada Bar No. 11795
COTTON, DRIGGS, WALCH,
HOLLEY, WOLOSON & THOMPSON
400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Telephone: 702/791-0308
Facsimile: 702/791-1912
Attorneys for Defendant Norton A. Roitman, M.D.

DISTRICT COURT

CLARK COUNTY, NEVADA

VIVIAN MARIE LEE HARRISON,

Plaintiff.

v.

NORTON A. ROITMAN, M.D.; DOES I-X and
ROE CORPORATIONS I-X,

Defendants.

Case No.: A-13-687300-C

Dept. No.: 1

Hearing Dates: 10/8/2013 (Oral Argument)
10/21/2013 (In Chambers)

**ORDER GRANTING DEFENDANT NORTON A. ROITMAN, M.D.'S MOTION TO
DISMISS PLAINTIFF'S COMPLAINT WITH PREJUDICE**

On October 8, 2013, Defendant Norton A. Roitman, M.D.'s Motion to Dismiss Plaintiff's Complaint with Prejudice came on for hearing before the Honorable Kenneth Cory in Department 1. Oral argument was entertained and Plaintiff requested the opportunity to provide supplemental briefing which was granted by the Court.

On October 9, 2013, Plaintiff submitted her Supplemental Points and Authorities. On October 15, 2013, Defendants submitted his Reply to Plaintiff's Supplemental Points and Authorities. The pleadings and papers filed, including the original Motion and Opposition thereto came on for hearing in chambers on October 21, 2013. The Court having reviewed the Defendant's Motion to Dismiss, Plaintiff's Opposition, and supplemental points and authorities

<input checked="" type="checkbox"/> Filed	<input type="checkbox"/> Not Filed	FINAL DISPOSITION
<input type="checkbox"/> Set Judgment	<input type="checkbox"/> Non-Jury Trial	<input type="checkbox"/> Time Limit Expired
<input type="checkbox"/> Default Judgment	<input type="checkbox"/> Jury Trial	<input type="checkbox"/> Dismissed (with or without prejudice)
<input type="checkbox"/> Not Entered		<input type="checkbox"/> Judgment Satisfied Paid in Full

1 from both parties, hereby enters the following Findings of Fact and Conclusions of Law in
2 granting Defendant's Motion to Dismiss with Prejudice:

3 **FINDINGS OF FACT**

4 1. On June 26, 2013, Plaintiff filed her Complaint. That Complaint asserted causes
5 of action for medical malpractice, intentional infliction of emotional distress, negligent infliction
6 of emotional distress and civil conspiracy.

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4 11. A subsequent in chambers hearing on Dr. Roitman's Motion to Dismiss was held
5 October 21, 2013.

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12 and the privilege includes administrative hearings, quasi-judicial proceedings as well as judicial
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27 naming Dr. Roitman, including medical malpractice, intentional infliction of emotional distress.
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1 negligent infliction of emotional distress, and civil conspiracy.

2 Based upon the foregoing, it is hereby ORDERED, ADJUDGED AND DECREED that
3 Defendant's Motion to Dismiss the Plaintiff's Complaint is GRANTED WITH PREJUDICE and
4 JUDGMENT is entered in favor of Defendant and against Plaintiff.

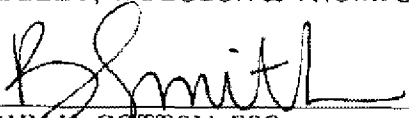
5 It is further ORDERED, ADJUGED AND DECREED that as a result of dismissal with
6 prejudice, Plaintiff's Amended Complaint is also hereby DISMISSED WITH PREJUDICE.

7
8 DATED: Nov 15, 2013.

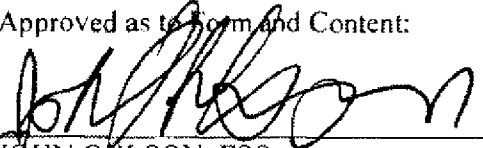
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10 
11 HONORABLE KENNETH CORY
DISTRICT COURT JUDGE

12 Submitted By:

13 COTTON, DRIGGS, WALCH,
14 HOLLEY, WOLOSON & THOMPSON

15 
16 JOHN H. COTTON, ESQ.
17 Nevada Bar No. 005268
18 BRIANNA SMITH, ESQ.
19 Nevada Bar No. 11795
20 400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101
Attorneys for Defendant Norton A. Roitman, M.D.

21 Approved as to Form and Content:

22 
23 JOHN OHLSON, ESQ.
24 Nevada Bar Number 1672
25 275 Hill Street, Suite 230
26 Reno, Nevada 89501
Attorney for Plaintiff Vivian Marie Lee Harrison


CLERK OF THE COURT

ASTA
JOHN OHLSON, ESQ.
Bar Number 1672
275 Hill Street, Suite 230
Reno, Nevada 89501
Telephone: (775) 323-2700
Attorney for Plaintiff

**DISTRICT COURT
CLARK COUNTY, NEVADA**

VIVIAN MARIE LEE HARRISON,

Plaintiff,

Case No.: A-13-687300-C
Dept. No.: 1

vs.

NORTON A. ROITMAN, M.D.; DOES
I-X and ROE CORPORATIONS I-X,

**CASE APPEAL
STATEMENT**

Defendants.

Plaintiff/Appellant, VIVIAN MARIE LEE HARRISON, by and through her attorney, JOHN OHLSON, ESQ., and pursuant to NRAP 3(f), submits her Case Appeal Statement, as follows:

1. The appellant filing this case appeal statement is Plaintiff, VIVIAN MARIE LEE HARRISON.

2. The judge who issued the Order Granting Defendant Norton A. Roitman, M.D.'s Motion to Dismiss Plaintiff's Complaint With Prejudice is THE HONORABLE KENNETH CORY.

3. Counsel for Appellant, VIVIAN MARIE LEE HARRISON, is:

JOHN OHLSON, ESQ.
NV Bar. No. 1672
275 Hill Street, Suite 230
Reno, Nevada 89501

1 4. Respondent in this appeal is NORTON A. ROITMAN, M.D.
2 Representing Respondent on appeal is:

3 John H. Cotton, Esq.
4 Brianna Smith, Esq.
5 Cotton, Driggs, Walch, Holley, Woloson & Thompson
 400 South Fourth Street, Third Floor
 Las Vegas, Nevada 89101

6 5. Appellant is not aware of any attorney appearing in this case that is
7 not licensed to practice law in Nevada.

8 6. Appellant was represented by counsel named in paragraph three,
9 above, in the district court proceedings.

10 7. Appellant will be represented by counsel named in paragraph three,
11 above, in these appellate proceedings. Appellant has retained counsel to
12 represent her in this case.

13 8. Appellant has not been granted leave to proceed *in forma pauperis*.

14 9. The proceedings commenced in the district court upon the
15 Appellant's June 26, 2013, Complaint.

16 10. Plaintiff/Appellant sued the Defendant for medical malpractice,
17 negligent and intentional emotional distress, and civil conspiracy based upon a
18 psychological report prepared by the Defendant that, among other things,
19 diagnosed Plaintiff/Appellant with narcissistic personality disorder based solely
20 on information provided by a third person and despite that he had never met or
21 seen Plaintiff/Appellant, that was used against her in litigation to which she was a
22 party. The district court granted the Defendant's motion to dismiss the complaint
23 with prejudice. Plaintiff/Appellant appeals the district court's November 19,
24 2013, Order Granting Defendant Norton A. Roitman, M.D.'s Motion to Dismiss
25 Plaintiff's Complaint With Prejudice.

26 11. This case not has previously been the subject of any other appeal or
27 writ proceeding before this Court.
28

12. This appeal does not involve child custody or visitation.

13. This appeal does not involve the possibility of settlement.

DATED this 3rd day of December, 2013.

/s/ John Ohlson
John Ohlson, ESQ.
Nevada Bar No. 1672
275 Hill Street, Suite 230
Reno, Nevada 89501
(775) 323-2700
Attorney for Plaintiff/Appellant
Vivian Marie Lee Harrison

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John H. Cotton, Esq.
Brianna Smith, Esq.
Cotton, Driggs, Walch, Holley,
Woloson & Thompson
400 South Fourth Street, Third Floor
Las Vegas, Nevada 89101

/s/ Robert M. May
Robert M. May

J.A. 156