NRAP 26.1 DISCLOSURE

- 1	
2	The undersigned counsel of record for Appellant certifies that the following
3	are persons and entities described in NRAP 26.1(a) and must be disclosed. These
4 5	representations are made in order that the judges of this court may evaluate
6	possible disqualification or recusal. Appellant Kristi Rae Fredianelli is an
7	individual and there are no parent corporations or publicly held companies that
8	own or more of stock. The following are law firms whose partners or associates
9	have appeared for Appellant (including proceedings in the district court or before
11	an administrative agency) or are expected to appear in this Court:
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TABLE OF CONTENTS

1		Page
2	TABLE OF AUTHORITIES	v
4	JURISDICTIONAL STATEMENT	1
5	ROUTING STATEMENT	1
6	STATEMENT OF THE ISSUES(S) PRESENTED FOR REVIEW	1-2
7 8	STATEMENT OF THE CASE	2-3
9	STATEMENT OF FACTS	3-5
10	SUMMARY OF THE ARGUMENT	6
11	ARGUMENT	6-11
12 13 14	THE DISTRICT COURT ERRED IN ENTERING A MONEY JUDGMENT AGAINST APPELLANT IN A PATERNITY AC INVOLVING CUSTODY AND VISITATION ISSUES UPON	
15	NO CHARGING LIEN COULD ATTACH, AFTER THE FINA ENTRY OF A CUSTODIAL/VISITATION	
16	ORDER	6
17	CONCLUSION	11-12
18	CERTIFICATE OF COMPLIANCE	12-13
19	CERTIFICATE OF SERVICE	14
20	CERTIFICATE OF SERVICE	17
21		
22		
23)	

1		
2	TABLE OF AUTHORITIES	
3	Cases	Page
4	Leventhal v. Black & LoBello, 305 P.3d 907 (2013)	1,6,1
5		
6	Rules	
7	NRAP 17(b)(2);17(b)(5)	1 1 12
9	NRAP 28 (e)	12
10	NRAP 32 (a) (5)	12 12
11	NRAP 32 (a) (7)	13 13
12	,	13
13		
14		
15	<u>Statutes</u>	
16	NRS 18.015	6-10
17		
18		
19		
20		
ľ		
21		
22	,	
23		
24		
25		
	vi	

I. JURISDICTIONAL STATEMENT

This appeal arises from a paternity action and follows a final order of the District Court for an award of attorney fees to former counsel/Respondent, Frances Ann Fine, Esq. As such, it is an appeal from an orders made after final judgment, within the meaning of Nevada Rule of Appellate Procedure 3A(b). The Notice of Entry of Order was filed on February 17, 2016, and Appellant filed a Notice of Appeal on March 14, 2016.

II. ROUTING STATEMENT

This case is a matter presumptively assigned to the Court of Appeals pursuant to NRAP 17(b)(2); 17(b)(5). Although the case should presumptively be assigned to the Court of Appeals, counsel submits that the Supreme Court should retain the case to clarify its ruling in *Leventhal v. Black & LoBello*, 305 P.3d 907 (2013) regarding attorney's liens in paternity/custodial/domestic actions. (NRAP 17(a)(14).

III. ISSUE(S) ON APPEAL

1. Whether the District Court erred in ignoring the precedent in Leventhal v. Black & LoBello, 305 P.3d 907 (2013). Specifically, the District Court entered a money judgment in the amount of \$13,701.82 against Appellant in a paternity action involving custody and visitation issues upon which no charging lien could attach, months after the final entry of a custodial/visitation order. The District Court did so after it vacated a

scheduled hearing date for Respondent's Motion and issued a Minute Order that granted the Motion.

IV. STATEMENT OF THE CASE

This appeal arises from a paternity action wherein Appellant's former counsel, Respondent Frances-Ann Fine, Esq. ("Respondent"), filed a Motion to Adjudicate the Rights of Counsel, For Enforcement of Attorney's Lien and for Judgment of Attorney's Fees filed on January 7, 2016, in the Eighth Judicial District Court, Family Division, and Clark County, Nevada months after the final order in the case. (AA 017-040).

Although Respondent's Motion asserted "A Notice of Claim of Lien has been filed prior to or contemporaneously with the filing of this Motion," a notice of lien for a *charging* lien was not filed. Respondent's Motion further asserted "[a] file-stamped copy of said Notice together with this Motion will be served upon all interested parties including Client." (AA 019). However, the alleged "Notice" was never filed and never served. Nonetheless, Respondent's Motion failed as a matter of law because it was filed months after entry of the final order on October 21, 2015 and the matter is a paternity action upon which "no tangible recovery" could be obtained.

On January 25, 2016, Appellant's counsel filed an Opposition to Motion to Adjudicate the Rights of Counsel, For Enforcement of Attorney's Lien and for Judgment of Attorney's Fees. (AA 041-051).

On February 2, 2016, Respondent filed a Reply to Plaintiff's Opposition to Countermotion. (AA 052-064).

On February 17, 2016, the District Court entered an Order after it vacated a scheduled hearing date, wherein it granted Respondent's Motion to Adjudicate the Rights of Counsel, For Enforcement of Attorney's Lien and for Judgment of Attorney's Fees. In its order the District Court entered a money judgment in favor of Respondent in the amount of \$13,701.82 plus interest at the contractual rate of 12% compounding monthly and all post judgment costs. (AA 065, AA 066-069).

On March 14, 2016, Appellant filed a Notice of Appeal. (AA 070-075).

V. STATEMENT OF THE FACTS

On April 11, 2007, Plaintiff, Sebastian Martinez ("Sebastian") filed a Complaint for *paternity*. (AA 001-004).

On October 2, 2014, Respondent filed a Notice of Association of counsel. (AA 005-006).

Thereafter, litigation ensued until the entry of the last order on October 21, 2015. (AA 007-012). Pursuant to the October 21, 2015, final Order the Court

made certain orders regarding, *inter alia*, Appellant's visitation with the minor child. (AA 007-012).

On October 21, 2015, Respondent filed her Notice of Withdrawal as counsel. (AA 013-014).

On November 13, 2015, Respondent informed Appellant that the case was "closed" and "over." (AA 050).

On November 18, 2015, Respondent filed a Notice of <u>Retaining</u> Lien – not a charging lien. (AA 015-016).

On January 7, 2016, Respondent filed a Motion to Adjudicate the Rights of Counsel, for Enforcement of Attorney's Lien and for Judgment of Attorney's Fees. (AA 017-040). Although the Motion asserted "A Notice of Claim of Lien has been filed prior to or contemporaneously with the filing of this Motion," a notice of lien for a *charging* lien was never filed.

On January 25, 2016, Appellant filed her Opposition to Motion to Adjudicate the Rights of Counsel; for Enforcement of Attorney's Lien and for Judgment of Attorney's Fees. (AA 041-051).

On February 2, 2016, Respondent filed her Reply to Plaintiff's Opposition and Countermotion. (AA 052-064).

Although a hearing date was scheduled for hearing on February 9, 2016, the

Court vacated the hearing on February 4, 2016, granted Respondent's Motion and entered a money judgment against Appellant via a Minute Order. (AA 065-69).

On February 17, 2016, the Notice of Entry of Order was entered wherein the District Court granted Respondent's Motion and ordered a money judgment against Appellant in the amount of Thirteen Thousand Seven Hundred One Dollars (\$13,701.82), with interest thereon at the contractual rate of interest compounded monthly, and all post judgment costs. (AA 066-069).

On March 14, 2016, Appellant filed her Notice of Appeal. (AA 070-075).

Notably, the underlying domestic action is a paternity case that involved custodial and visitation issues. Thus, there was never any "affirmative recovery of money and/or property – something tangible" upon which to place a charging lien. Moreover, there is no prospect of post-perfection recovery from any post-judgment custody dispute that may occur because the action is a *paternity* action.

Albeit there was never and will never be any tangible recovery upon which to place a charging lien in this action. Respondent failed to file her Motion to adjudicate and enforce a lien until months after the last order was entered and the case was closed. Indeed, by her own acknowledgement, Respondent informed Appellant that the "case was closed" and "over. (AA 050). More importantly, Respondent requested relief by way of a retaining lien – not a charging lien. (AA 062-063).

V. SUMMARY OF THE ARGUMENT

Appellant contends that the District Court erred in ignoring the precedent in Leventhal v. Black & LoBello, 305 P.3d 907 (2013). Specifically, the Court entered a money judgment against Appellant in a paternity action involving custody and visitation issues upon which no charging lien could attach, after the final entry of a custodial/visitation order.

VI. ARGUMENT

1. THE DISTRICT COURT ERRED IN ENTERING A MONEY JUDGMENT AGAINST APPELLANT IN A PATERNITY ACTION INVOLVING CUSTODY AND VISITATION ISSUES UPON WHICH NO CHARGING LIEN COULD ATTACH, AFTER THE FINAL ENTRY OF A CUSTODIAL/VISITATION ORDER.

Respondent's reliance upon NRS 18.015 in support of her Motion to Adjudicate the Rights of Counsel, For Enforcement of Attorney's Lien and For Judgment of Attorney's Fees is misplaced and should have been denied. In Leventhal v. Black & LoBello, 305 P.3d 907 (2013) this Honorable Court held that a law firm that represented its client in a divorce proceeding could not enforce a charging lien after the entry of a final judgment. Specifically, this Court held:

Nevada attorneys have all the usual tools available to creditors to recover payment of their fees. For example, a law firm can sue its client and obtain a money judgment for fees due, thereby acquiring, if recorded, a judgment lien against the client's property. NRS 17.150(2). An attorney also has a passive or retaining lien against files or property held by the attorney for the client. See Argentena Consol. Mining Co. v. Jolley Urga Wirth Woodbury & Standish, 125 Nev. 527, 532, 216 P.3d 779, 782 (2009). Finally, in an appropriate case, an attorney may assert a charging lien against the client's

claim or recovery under NRS 18.015. Id.; see NRS 18.015(5) ("Collection of attorney's fees by a [charging] lien under this section may be utilized with, after or independently of any other method of collection.").

A charging lien is "a unique method of protecting attorneys." Sowder v. Sowder, 127 N.M. 114, 977 P.2d 1034, 1037 (N.M.Ct.App.1999). Such a lien allows an attorney, on motion in the case in which the attorney rendered the services, to obtain and enforce a lien for fees due for services rendered in the case. See Argentena, 125 Nev. at 532, 216 P.3d at 782. A charging lien "is not dependent on possession, as in the case of the general or retaining lien. It is based on natural equity—the client should not be allowed to appropriate the whole of the judgment without paying for the services of the attorney who obtained it." 23 Williston on Contracts § 62:11 (4th ed. 2002).

The four requirements of NRS 18.015 must be met for a court to adjudicate and enforce a charging lien. See Schlang v. Key Airlines, Inc., 158 F.R.D. 666, 669 (D.Nev.1994) (indicating that, in Nevada, a charging lien is a creature of statute). First, there must be a "claim, demand or cause of action, ... which has been placed in the attorney's hands by a client for suit or collection, or upon which a suit or other action has been instituted." NRS 18.015(1); see Argentena, 125 Nev. at 534, 216 P.3d at 783 (stating that where the client "did not seek or obtain any affirmative recovery in the underlying action, ... there [is] no basis for a charging lien"). The lien is in the amount of the agreed-upon fee or, if none has been agreed upon, a reasonable amount for the services rendered "on account of the suit, claim, demand or action." NRS 18.015(1).3 Second, the attorney must perfect the lien by serving "notice in writing, in person or by certified mail, return receipt requested, upon his or her client and upon the party against whom the client has a cause of action, claiming the lien and stating the interest which the attorney has in any cause of action." NRS 18.015(2).4 Third, the statute sets a timing requirement: Once perfected, the "lien attaches to any verdict, judgment or decree entered and to any money or property which is recovered on account of the suit or other action, from the time of service of the notices required by this section." NRS 18.015(3). Fourth, the attorney must timely file and properly serve a motion to adjudicate the lien. NRS 18.015(4).

Leventhal at 908-910. (emphasis added).

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This Court further provided:

LoBello argues that the favorable outcomes in the property and child custody settlements both present recovery to which the lien could attach and that, alternatively, a lien can attach even where no tangible value is procured. In LoBello's view, Argentena incorrectly precludes charging liens in cases that do not produce an affirmative recovery. LoBello further argues that Argentena unconstitutionally disfavors attorneys who seek to defend or retain rights rather than procure property. LoBello both misunderstands the nature of charging liens and ignores the attorney's ability to pursue client fees via other means available to creditors.

Fundamentally, NRS 18.015(3) requires a client to assert an affirmative claim to relief, from which some affirmative recovery can result. A charging lien cannot attach to the benefit gained for the client by securing a dismissal; it attaches to "the tangible fruits" of the attorney's services. Glickman v. Scherer, 566 So.2d 574, 575 (Fla.Dist.Ct.App.1990); see also Argentena, 125 Nev. at 534, 216 P.3d at 783–84; Sowder, 977 P.2d at 1037. This "fruit" is generally money, property, or other actual proceeds gained by means of the claims asserted for the client in the litigation.5 See Glickman, 566 So.2d at 575; see ABA/BNA Lawyers' Manual on Professional Conduct, at 41:2114 (2002) (discussing the types of property needed for a charging lien to attach); see also Mitchell v. Coleman, 868 So.2d 639, 642 (Fla.Dist.Ct.App.2004).

Argentena is controlling precedent. There, the parties settled a personal injury action, and all claims against Argentena were dismissed. 125 Nev. at 530, 216 P.3d at 781. Argentena's counsel moved to adjudicate its charging lien, but the only result obtained in that case was that the claims against Argentena were dismissed; Argentena did not assert any counterclaims or obtain an affirmative recovery. *Id.* Although Argentena unquestionably benefited from the dismissal, there was no recovery to which a charging lien could attach. Id. at 534, 216 P.3d at 784.

Attempting to distinguish Argentena, LoBello argues that Leventhal did obtain an affirmative recovery in the underlying case, namely the property retained in the divorce through the property settlement and the "financial benefits associated with ... child custody," including tax benefits and value in avoiding increased child support.

As to the child-custody benefits, LoBello fails to identify any tangible recovery derived from the resolution of this issue that is appropriately

subject to a charging lien. A child-custody agreement wherein Leventhal retained his share of custody and the associated benefits does not demonstrate any affirmative claim to, or recovery of, money or property. Rather, LoBello preserved Leventhal's previously established joint custody rights against his ex-wife's attempt to revise them. This is similar to Argentena, where the attorney's efforts led to the dismissal of the case but did not involve an affirmative claim or recovery.

As to the assets distributed pursuant to the property settlement and divorce decree,6 a problem arises because the property settlement *911 took place eight months before LoBello filed and made even a colorable attempt at perfecting its lien, see supra note 4. NRS 18.015(3) imposes a time requirement on attorneys seeking to perfect, adjudicate and enforce a charging lien: "The lien attaches ... from the time of service of the notices required by this section." Although we have never expressly interpreted this section, Nevada's federal district court did so in Schlang v. Key Airlines, Inc., 158 F.R.D. 666 (D.Nev.1994).

In Schlang, the parties settled a wrongful termination action and their appeals were dismissed. Id. at 667–68. Former counsel filed a charging lien but failed to serve the notice required to perfect the lien until the settlement was consummated. Id. at 669–70. The federal court, citing NRS 18.015(3),7 found that because the attorney did not perfect his lien before the settlement agreement was carried out, "there no longer existed any proceeds to which the lien could attach." 8 Id. at 670. It therefore declined to adjudicate and enforce the lien.

We agree with *Schlang*, and hold that under NRS 18.015(3), the lien attaches to a judgment, verdict, or decree entered, or to money or property recovered, after the notice is served. This interpretation harmonizes NRS 18.015(3)'s attachment provisions with NRS 18.015(2)'s requirement that a lien be perfected by proper notice. *See Tonopah Lumber Co. v. Nev. Amusement Co.*, 30 Nev. 445, 455, 97 P. 636, 639 (1908) ("[A] lien can only legally exist when perfected in the manner prescribed by the statute creating it" (internal quotation omitted)). Thus, if an attorney waits to perfect the lien until judgment has been entered and the proceeds of the judgment have been distributed, the right to the charging lien may be lost. *See Sowder*, 977 P.2d at 1038.

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Basic notice and fairness requirements support this interpretation. Nevada attorneys must notify their clients in writing of any interest the attorney has that is adverse to a client. RPC 1.8(a); In re Singer, 109 Nev. 1117, 1118, 865 P.2d 315, 315 (1993). Other courts have found that charging liens constitute adverse interests and applied a similar written notice rule. See Fletcher v. Davis, 33 Cal.4th 61, 14 Cal.Rptr.3d 58, 90 P.3d 1216, 1221 (2004). NRS 18.015(3) promotes these policies by requiring an attorney to serve notice and perfect a charging lien in a timely manner.

Diligent perfection of the lien under NRS 18.015(3) ensures that the client, the client's opponent in the litigation, and others have notice of the attorney's lien and may conduct the litigation and deal with any recovery it produces accordingly. A timely motion to adjudicate and enforce the charging lien under NRS 18.015(4) also enables the court to evaluate the lien while it has jurisdiction over any affirmative recovery, while the attorney's performance is fresh in its mind, and before the judgment is satisfied and the proceeds are distributed. See Weiland v. Weiland, 814 So.2d 1252, 1253 (Fla.Dist.Ct.App.2002) (holding that notice was untimely where the attorney waited to establish the lien until approximately two months after the case concluded); Sowder, 977 P.2d at 1038 (holding that a law firm waived its right to assert its charging lien when it waited several months after the property was distributed to assert its charging lien). See also Anderson v. Farmers Coop. Elevator Ass'n, Inc., 874 F.Supp. 989, 992 (D.Neb.1995) (quashing the attorney charging lien because notice of the lien was untimely, made after the property had been transferred to the opposing party); Libner v. Maine Cnty. Comm'rs Ass'n, 845 A.2d 570, 573 (Me.2004) (holding that no lien may be imposed without direct and specific notice to the fund of an opposing party or its carriers that a lien is asserted before the proceeds are disbursed). It would be unreasonable and unfair to clients and to third parties to allow attorneys to claim a lien on any judgment at any time, no matter how much time has passed since the case concluded.

Leventhal at 910-911. (emphasis added).

In this action Respondent represented Appellant in a paternity action regarding, custodial and visitation issues. Thus, there was never any "affirmative recovery of money and/or property – something tangible" upon which to place a

charging lien. Moreover, there is no prospect of post-perfection recovery from any post-judgment custody dispute that may occur because the action is a *paternity* action.¹

Albeit there was never and will never be any tangible recovery upon which to place a charging lien in this action, as in *Leventhal*, Respondent failed to file her Motion to adjudicate and enforce a charging lien until months after the final order was entered and the case was closed. Indeed, by her own acknowledgement, Fine informed Appellant that the "case was closed" and "over."

Accordingly, this Court should apply the law in *Leventhal* to this case, find that the District Court erred as a matter of law in granting Respondent's Motion for a charging lien/money judgment and make any other order(s) that it determines are appropriate.

VII. CONCLUSION

Appellant respectfully requests that this Honorable Court find that the District Court erred by entering a money judgment/charging lien against Appellant in a paternity action involving custody and visitation issues upon which no charging lien could attach, after the final entry of a custodial/visitation order and that

Notably, the *Leventhal* case involved post-divorce litigation wherein property division was at issue, while this case is a paternity action without any prospect of property division or affirmative tangible recovery from an attorney's services. There is no tangible "fruit" of an attorney's services to which a charging lien may attach.

pursuant to the foregoing this Honorable Court remand the matter for proceedings consistent with its orders, and for any further relief that is warranted.

Dated this 19th day of September 2016.

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CERTIFICATE OF COMPLIANCE

I hereby certify that this brief complies with the formatting requirements of NRAP 32(a)(4), the typeface requirements of NRAP 32(a)(5) and the type style requirements of NRAP 32(a)(6) because:

This brief has been prepared in a proportionally spaced typeface using Microsoft Word 8 in size 14 New Roman font;

I further certify that this brief complies with the page limitations of NRAP 32(a)(7) because, excluding the parts of the brief exempted by NRAP 32(a)(7)(C), it does not exceed 14 pages;

Finally, I certify that I have read this appellate brief and to the best of my knowledge, information and belief, it is not frivolous or interposed for any improper purpose. I further certify that this brief complies with all applicable Nevada Rules of Appellate Procedure, in particular NRAP 28(e) (1), which requires every assertion in the brief regarding matters in the record to be support by a reference to the page and volume number, if any, of the transcript of appendix where the matter relied on is to be found. I understand that I may be subject to sanctions in the event that this accompany brief is not in conformity with the requirements of the Nevada Rules of Appellate Procedure.,

Dated this 19th day of September 2016.

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a copy of the foregoing Appellant's Opening Brief and Appendix was sent by first class mail, postage prepaid, to the following parties on the 19th day of September 2016.

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