

The NO SHOW category points will accumulate and are used to determine suspension of service. Riders are notified by mail when they cause a NO SHOW that qualifies for points being assessed. Suspension of service may result from points accumulated as follows:

No Show Point Value	Within Period of	Suspension Period
18	30 Days	15 Days
36	60 Days	30 Days
54	120 Days	90 Days
90	180 Days	6 Months

## Right of Appeal

Anyone affected by this policy is entitled to request an appeal.

The RTC complies with the Americans with Disabilities Act of 1990, available for review at fta.dot.gov.

Contact the RTC comment team at (702) 228-4800, option 7 if you need further assistance. The comment team is available 7 a.m. to 6 p.m., seven (7) days a week.

#### Rider Rules

The RTC's goal is to provide a safe, comfortable commute for individuals traveling on RTC vehicles. To assure a pleasant commute for all, please observe the following rules:

- Seatbelts are required by passengers on vehicles.
- No eating is allowed on the vehicle, and drinks must be in spill-proof covered containers.
- Smoking is prohibited on the vehicle.
- Proper attire, including shirts and shoes or appropriate foot coverings, is required on the vehicle.
- Personal musical devices are allowed with head phones as long as the sound is not audible to others.
- Please do not distract the driver while the vehicle is in motion.
- Medication(s) and other personal belongings are the responsibility of the rider to plan for when riding paratransit.

## Wheelchairs & Mobility Devices

All vehicles used for service in the RTC system are 100 percent ADA accessible.

Vehicle operators will assist customers in boarding and deboarding the Paratransit vehicle as needed.

All mobility devices such as wheelchairs, scooters and three-wheel carts must be secured in the vehicle and conform to the ADA definition of a "common wheelchair." A "common wheelchair" is such device that does not exceed 30 inches in width and 48 inches in length, measured two inches above the ground, and does not weigh more than 600 pounds when occupied. The RTC offers mobility device users a free and voluntary program designed to identify securement locations to assist drivers in quickly and safely securing the equipment on the bus. Please contact 676-1815 or TDD 676-1834 for more information and reference the S.A.F.E. program.

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If your condition changes in a manner that requires you to use an assistive mobility device or change the type of mobility device used during your initial functional assessment, it must be reported to the RTC Certification Office at (702) 228-4800 or 676-1815 within 15 days. Due to this change in your condition, you may be required to undergo an additional functional assessment to determine what effect this change may have on your functional ability. Your current eligibility status may be altered as a result of your new functional assessment.

### Service Animals

- Service animals are welcome and ride free-of-charge.
- A disruptive service animal will be treated according to the Illegal and Disruptive Behavior Policy. (pg. 19)
- Service animals must sit on the floor or on the passenger's lap. They may not occupy a passenger seat. All other animals must be in a secure cage in order to board the Paratransit vehicle.

### Children

- Children under six years old must be accompanied by a responsible party.
- Children under six years old or who weigh less than 60 pounds must be secured in an approved child safety seat provided by the customer.
- Strollers must be collapsed to fit between the seat and the customer. Non-collapsible strollers are prohibited.
- For safety reasons, children capable of sitting on their own must sit in a seat and not on an adult's lap.

## Personal Care Attendants & Companions

A Personal Care Attendant (PCA) may ride freeof-charge when accompanying an individual certified by the RTC Certification Office as requiring a PCA. The need for a PCA will be determined during your evaluation appointment. One companion may also accompany an eligible rider. A companion will be charged the same fare as the eligible rider. Let the Customer Service Representative know at the time the reservation is made if you will be traveling with a companion, a PCA or both.

## **Unattended Passenger Policy**

Customers determined as unable to be left unattended (based on age, cognitive limitations or special request of the responsible party) may schedule rides and ride unattended; however, arrangements must be made to have a responsible party meet the Paratransit vehicle at each location.

The "unattended passenger" form must be completed and on file. Please contact the RTC Certification Office at 228-4800 or 676-1815 if this service is required.

The driver will only wait five minutes for the responsible party to meet the Paratransit vehicle. If no one arrives, the driver will notify the RTC and continue on his/her route. The RTC will attempt to reach the designated emergency contact person. If the customer is not met by the end of the route, he/she will be returned to the bus yard. The responsible party will be required to pick up the customer at the bus yard and must show proper identification. The customer will not be left unattended, and the police will be notified to assist in locating a responsible party.

Failure to have a responsible party meet the vehicle is a violation of RTC's Disruptive Behavior Policy, and customers are subjected to suspension and/or a fine may be assessed for expenses incurred by the RTC for violation of this policy.



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## Carry-on Bag Policy

Customers are permitted to carry on only the number of bags that they are able to manage independently without the assistance of the driver. Due to space limitations and the time it takes to board the vehicle, the number of shopping bags is restricted to those that can be easily handled by the customer and carried aboard without delaying the vehicle. The carry-on items must fit within a certain space either on your lap or in front of your area. If a customer brings more than he/she is able to manage independently, it will be the customer's choice on whether to board with a manageable amount of items and find alternative transportation to carry the remaining packages, or decline the trip.

## Shopping Cart Policy

Shopping carts or any type of equipment used to assist with transporting packages, groceries, clothing or other items are allowed on a limited basis. When space is limited, priority must be given to RTC ADA Paratransit wheelchair passengers. Carts can be no larger than 28.5" high by 12" deep by 15.5" wide. The customer must bring a securement device (for example a bungee cord) to secure his/her cart. It will cost an additional \$.50 cents for each ride with a cart. Rides with carts are on a standby basis and will be notified between 6 p.m. and 8 p.m. on the evening prior to service if space is available for the cart trip. The trip must be reserved and approved with the cart, or the driver will be unable to transport the customer with his/her shopping cart.

If your cart is declined due to space availability you may cancel the ride with no cancellation penalty.

## Illegal & Disruptive Behavior Policy

The RTC established an Illegal and Disruptive Behavior Policy to address the safety and well-being of customers, passengers, and staff of the RTC and its contractors. The policy defines categories of illegal and disruptive behavior and the consequences for such behavior. It's in effect in and around vehicles and facilities owned and/ or operated by or on behalf of the RTC, including all RTC fixed route service, the Metropolitan Area Express (MAX) service, the Deuce service, ACE, ACExpress, RTC ADA Paratransit Services, CAT STAR specialized service, Silver STAR senior transportation service, FDR, and other services.

The RTC recognizes that an individual's disability or medical condition may cause a passenger to unknowingly and/or unintentionally violate the Illegal and Disruptive Behavior Policy. For this reason, the RTC looks at each violation individually.





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## **Driver Services**

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PARTITION SECTION

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Drivers will assist passengers who are unable to maneuver themselves from their door or designated pick-up location to the vehicle, provided it is safe for them to do so.

#### Drivers are Allowed to:

Maneuver your manual wheelchair if you need assistance from outside your door to the vehicle

Lend a steady arm if you need assistance

Provide directions or act as a sighted guide to/from vehicle if you are visually impaired. If you feel you need this type of assistance, please notify the driver.

## Drivers are Not Allowed/ Required to:

Operate or push your electric mobility device (for example, electric wheelchair or scooter)

Operate or push your equipment or shopping cart up or down stairs or steep inclines

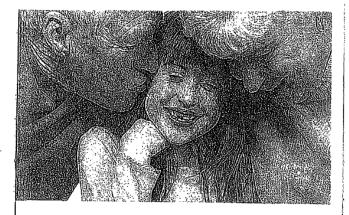
Cross residential thresholds

Lift or carry riders

Carry packages or other items

Drivers are trained not to perform these activities. Please do not make these requests of your driver.

Please keep your information current and notify the RTC of any change of address, phone number, emergency contact information, etc.



#### Door-to-Door

RTC ADA Paratransit Services provides "door-to-door" service. The driver will come to your door to let you know the bus has arrived. Please attempt to keep an eye out for the vehicle. However, there will be some locations and/or situations where the driver cannot leave the vehicle. When picking-up or dropping off on private property, there are often designated areas where a driver is permitted to stop. In order for us to serve you, it is necessary for you to wait for the vehicle at the marked stop.

## **Questions & Comments**

We want to hear from you. Please contact Customer Service at (702) 228-4800 option 7 or (702) 676-1834 (TDD) to ask a question or leave us your comments, complaints, suggestions or recommendations. Or if you prefer, you can write to:

RTC Paratransit Services, 600 S. Grand Central Pkwy., Ste. 350 Las Vegas, NV 89106 Attn: Customer Service or e-mail us through our Web site at rtcsnv.com.

When making a comment, please try to provide as much detail as possible so we can properly address your concern. For example, if you're reporting a situation involving a Paratransit vehicle, the exact date is necessary.



RTC GUIDE 00011

# Important Numbers

## RTC ADA Paratransit Customer Service

### Scheduling

(702) 228-4800 option 2 or TDD (702) 676-1834 7 Days a week 7 a.m. to 6 p.m.

## Same Day Reservations

(702) 228-4800 option 3 or TDD (702) 676-1834 Mon. – Fri. 7 a.m. to 8 p.m.

## Inquiry/Same Day Cancellations

(702) 228-4800 option 3 or TDD (702) 676-1834 7 Days a week 24 hours a day

## Certification Office/Lost I.D. Cards

(702) 676-1815 or TDD (702) 676-1834 Mon. – Fri. 8 a.m. – 4:30 p.m.

## Comments

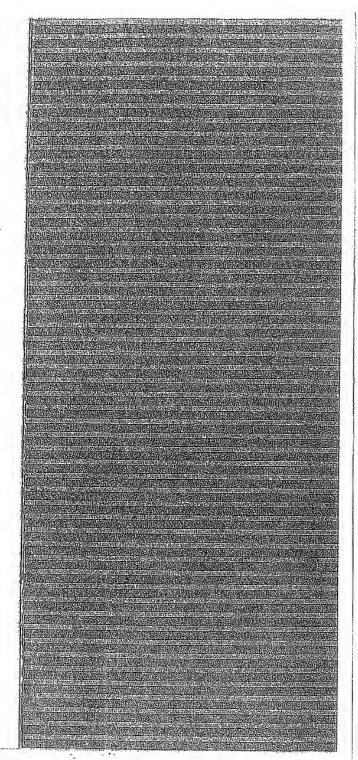
(702) 228-4800 option 7 or TDD (702) 676-1834 7 Days a week 7 a.m. to 6 p.m.

## **RTC Administrative Offices**

(702) 676-1500 or TDD (702) 676-1834 Mon. – Thurs. 7 a.m. to 6 p.m.

## RTC Fixed Route Customer Service

(702) 228-7433 or TDD 676-1834 7 Days a week 7 a.m. to 7 p.m. Holidays 7 a.m. to 6 p.m. Closed Christmas and Thanksgiving



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# JOINT TRIAL EXHIBIT A7

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	Backing	2.00	-	2.00	
	Lane Change	1.00		1,00	
	Service Stops	1.00		1.00	
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Column C = The training required by current contract Column G = The training we are currently providing

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# JOINT TRIAL EXHIBIT A8



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# Injury Prevention If you cannot do it safely, don't do it

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# JOINT TRIAL EXHIBIT A9

## LABOR AGREEMENT

## **BETWEEN**

# FIRST TRANSIT, INC.

## And

## THE INTERNATIONAL BROTHERHOOD

## **OF TEAMSTERS**

# **LOCAL UNION NO. 631**

Effective: November 22, 2010 through November 22, 2015



CBA 00001

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СВА 20003

## **PREAMBLE**

This agreement made and entered into, effective November 22, 2010, by and between First Transit, Inc. hereinafter referred to as the Company, and The International Brotherhood of Teamsters, Local 631, hereinafter referred to as the Union, representing full-time and part-time drivers, mechanics, utility/fuel, and tire-changer employees.

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## ARTICLE 1 - INTENTS AND PURPOSES

SECTION 1 This Agreement is for the joint use and benefit of the signatory parties, and the provisions shall be construed as binding upon and effective in determining the relations between the parties and to set forth the basic Agreement covering basic rates of pay, fringe benefits, hours of work, and conditions of employment and an amicable way to adjust disputes that may arise out of the application or interpretation of this Agreement, to be observed by the parties.

It is the intent of the parties to set out efficient working conditions establish and maintain harmonious relations, secure optimum productivity, and to eliminate delays in the work undertaken by the Company.

It is mutually understood that the terms and conditions relating to employment of persons covered by this Agreement have been decided on by collective bargaining and that the provisions will be binding upon the Company and the Union.

**SECTION 2** Anytime the masculine gender is used in this Agreement it shall also apply to the female gender. All provisions of this Agreement shall apply to male and female Employees alike.

<u>SECTION 3</u> All provisions for paid time off, breaks, leaves or benefits provided in the Agreement shall apply to full-time employees only, and shall apply to part-time employees only if specifically stated in the relevant Article or Section.

CBA 00005

## ARTICLE 2 - AFFIRMATIVE ACTION/NON-DISCRIMINATION/ADA

The Company and the Union mutually agree they shall not discriminate against any Employee or prospective Employee because of age, race, creed, color, national origin, sexual orientation, gender, handicap, veteran status, marital status, or disability and in strict compliance with all Federal laws and the laws of the State of Nevada. The Company and the Union agree to support the Company's Affirmative Action Program and will assist in every way possible in the achievement of those goals and objectives within their bargaining units.

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## ARTICLE 3 - MANAGEMENT RIGHTS

**SECTION 1** The Company has the right to manage and direct the working forces, including all responsibilities, powers and authorities shown below (by way of example and not by way of limitation):

- 1) The right to select and hire,
- 2) The right to promote, discipline, or terminate,
- The right to direct and determine the size of the working force,
- The right to manage and control or expand, reduce or eliminate jobs and operations in whole or in part.
- 5) The right to schedule work,
- The right to require overtime work if business conditions warrant,
- 7) The right to determine the location of, and the right to removal of any portion of the facility,
- 8) The right to abandon any part of the operation,
- 9) The right to schedule hours and shifts, and determine workload,
- 10) The right to grant increases, promote, demote, layoff and recall,
- 11) The right to contract or arrange for work to be done by others or by other divisions of the Company,
- 12) The right to establish reasonable rules of facility,
- 13) The right to determine the qualifications, efficiency and ability of the employees.

SECTION 2 The Union agrees to instruct all its members covered by this Agreement to perform any and all work assigned to them in accordance with instructions from Company supervision regardless of the nature of the work or of the instructions, provided the work is within the recognized jurisdiction of the Union and can be safely accomplished. The Union will instruct its members they have no right to refuse to perform in accordance with any instructions from Company supervision and that in the event they question such instruction; their sole recourse is through the grievance and arbitration process set forth in Article 19.

<u>SECTION 3</u> The relevant portions of the revenue contract between the Company and its customer shall be incorporated by reference into this Agreement. Nothing in this Section shall be construed as subjecting any of the terms of the Company's revenue contract to the Grievance and Arbitration provisions of this Agreement.

<u>SECTION 4</u> None of the rights, duties, and prerogatives of the Company referred to in this Article shall be exercised in a manner which is in conflict with the specific provisions of this Agreement. It is understood, however, the Union shall retain the right to grieve any dispute arising under this Article.

CBA 00007

## **ARTICLE 4 - GENERAL SAVINGS CLAUSE**

If any Article or Section of this Agreement should be held to be invalid by operation of law, or by tribunal of competent jurisdiction, the balance of this Agreement shall continue in full force and effect. The Article or Section held invalid shall be modified as required by law or tribunal of competent jurisdiction, or shall be re-negotiated for the purpose of an adequate replacement. If such negotiations shall not result in a satisfactory Agreement, the parties agree to be bound by the decision of a competent jurisdiction, or a tribunal mutually agreed to by the parties.

CBA 900008

## ARTICLE 5 - SAFETY AND HEALTH

<u>SECTION 1</u> It is the responsibility of the Company to provide a safe working environment free of recognized hazards and in compliance with the State, Federal, and Safety and Health standards.

## SECTION 2 - On-The-Job Injuries

When an Employee covered by this Agreement is injured on the job during his regular straight-time shift to the extent of being unable to work for the remainder of his shift, he shall be paid the full straight-time shift at his regular rate. His ability to work or not work shall be determined by the Company's Workers Compensation carrier's physician.

SECTION 3 Attendance at scheduled Safety Meetings is mandatory, unless specifically excused by the Company. An employee who does not attend all scheduled Safety Meetings shall be charged with an attendance occurrence for each one missed without specific approval by the Company. Any safety meeting missed, must be made up by the employee prior to the date of the next scheduled safety meeting. For every four (4) consecutive safety meetings attended, the employee will have an attendance occurrence removed from his/her attendance record. After an employee reaches seven (7) attendance violations (See Attendance, Article 31) he/she will not be eligible for this benefit. All safety meeting attendees will be paid the greater of one (1) hour of pay or actual time of the meeting.

**SECTION 4** No employee shall be required to Operate/Take out on Route, any piece of equipment that does not comply with Federal Motor Vehicle Safety Standards (FMVSS). Management will take timely action to repair any faulty A/C unit malfunction.

<u>SECTION 5</u> In the event the Company requires the employee to take a physical examination or drug screen, the Company will pay the cost of the procedure, if mandated by the Company. No employee shall suffer loss of earnings from the Company as the result of time spent in such physical examination or drug screen.

CBA<sub>0</sub> 00009

## ARTICLE 6 - BREAKS AND LUNCHES

This paragraph applies to scheduled shifts of six (6) hours or more per day. A 30-minute or one hour uninterrupted unpaid lunch period will be provided during the shift. Maintenance employees will be required to clock out during the meal period. If the Company does not provide an employee with the meal period specified herein, the employee shall be entitled to be paid 30-minutes or one hour (whichever is applicable) at that employee's regular hourly rate of pay, provided that any claim for the denied meal period must be made in writing to the appropriate supervisor at the end of his or her shift in which the meal period was denied.

CBA 00010

## **ARTICLE 7 - NO STRIKES OR LOCKOUT**

SECTION 1 During the term of this Agreement, neither the Union nor its agents or representatives, nor any employee, individually or collectively, shall call, sanction, support or participate in any strike, work stoppage, picketing, sit down, slowdown, or any refusal to enter the Company's premises, or any other interference with any of the Company's service or operations or services of any customer of the Company.

**SECTION 2** The Company agrees there will be no lockout of the Union or of its Employees represented by the Union during the term of this Agreement.

**SECTION 3** Any Employee who participates in any activity prohibited by Section 1 of this Article shall be subject to discharge or to such lesser discipline as the Company, at it discretion, shall determine; provided, however, that such employee shall have recourse to the grievance and arbitration procedure of this Agreement as to the sole question of whether he, in fact, participated in such prohibited activity.

SECTION 4 It shall not be a violation of this Agreement or cause for discharge or permanent replacement for any employee to refuse to cross a primary picket line in the performance of his duties which has been sanctioned by the Union (Joint Council 42). However, if refusal to cross such a picket line risks the Company being in violation of the revenue contract with the customer, the employee must cross the line for the first 24 hours. The Company and Union agree to meet within this time period to work out a means of performing the work without risks to the revenue contract.

SECTION 5 The failure or refusal on the part of any employee to comply with the provisions of Section 1 of this Article shall be cause for immediate discipline, including discharge. If any conduct prohibited by this Section occurs, the Union shall immediately do everything within its power to terminate such conduct.

CBA 00011

## ARTICLE 8 - BULLETIN BOARDS/LOCK BOXES

<u>SECTION 1</u> The Company agrees to furnish a locking bulletin board and lock box for the Union at all reporting points. Items displayed on this bulletin board will be of an official nature, will be in reference to official Union business and notices only for and approved by the Union.

**SECTION 2** Any Employee found defacing, destroying, removing, or placing disparaging remarks on any bulletin board, or on any authorized bulletin, or document posted on either the Union or the Company bulletin boards will be subject to counseling or disciplinary action.

CBA 00012

## ARTICLE 9 - PROBATIONARY PERIOD

SECTION 1 New Employees shall be on probation for ninety (90) calendar days. During this probationary period, such Employee shall be considered as being on trial subject to dismissal at any time at the sole discretion of the Company. Discipline and discharge during the probationary period shall not be subject to the Grievance and Arbitration Procedure. The Company has the right to extend the probationary period for up to an additional 30 calendar days. The Company will notify the Union of any such extension prior to expiration of the initial probationary period. Any extension beyond the additional 30 calendar days will be subject to agreement by the Parties.

## SECTION 2 - Full-time and Part-Time Employees

The classifications of employees are:

- a. A regular full-time employee is defined as an employee regularly scheduled to work thirty-five (35) hours or more in a workweek. In the event an employee does not average thirty-five (35) hours of work per week over one-hundred eighty (180) working day period, he or she shall revert to part-time status.
- b. A regular part-time employee is defined as an employee regularly scheduled to work less than thirty-five (35) hours in a workweek. From time to time, regular part-time employees may be required to work more than thirty-five (35) hours in a workweek to meet service demands or unusual situations.

CBA 00013

## **ARTICLE 10 - HOLIDAYS**

**SECTION 1** As of the effective date of this Agreement, all full-time Employees who have completed their probationary period of employment will be eligible for the following paid holidays:

New Year's Day 4<sup>th</sup> of July Thanksgiving Day Christmas Day Memorial Day Labor Day Martin Luther King Day

SECTION 2 To be eligible for holiday pay, Employees must work the shift on their last scheduled work day prior to the holiday, if scheduled to work and the first scheduled work day immediately following the holiday, unless excused by a supervisor.

SECTION 3 A full-time employee who qualifies for holiday pay will be paid at eight (8) or ten (10) hours depending if a five-day or four-day schedule at his regular hourly rate if forced off of work on the employee's scheduled workday, or eight (8) hours of pay if the holiday falls on the employee's scheduled day off. If a full-time employee is required to work on a holiday, he will receive his regular rate of pay for all hours worked plus the eight (8) or ten (10) hours of holiday pay. It is understood and agreed that the Company reserves the right to require employees to work on a designated holiday.

A part-time employee who qualifies for holiday pay will be kept whole if forced off of work on the employee's scheduled workday. If a part-time employee is required to work on a holiday, he or she will receive his or her regular pay for all hours worked plus holiday pay equivalent to his regular hours worked for that holiday. It is understood and agreed that the Company reserves the right to require employees to work on a designated holiday.

**SECTION 4** Employees out on unpaid leave will not be eligible for holiday pay.

## **SECTION 5 - Holiday Bidding**

Holidays falling on Mondays through Fridays will be posted for bid two weeks prior to each holiday. Holiday bids will be based on department seniority among those who sign the posted route sheets. If there are insufficient bidders the Company will assign routes on the basis of reverse seniority.

CBA 00014

## **ARTICLE 11 - VACATIONS**

SECTION 1	Years 1	-	5 Days
	Years 2 - 4	-	10 Days
	Years 5 - 9	-	15 Days
	Years 10+	-	20 Days

Vacation pay shall be calculated at the employee's straight-time regular hourly rate and will be based on the employee's regularly scheduled shift. Vacation pay will not be counted as hours worked for the purpose of computing overtime.

SECTION 2 After establishing initial eligibility for vacation (i.e. 12 months of continuous service), an employee whose employment is terminated for any reason will be paid for all earned but unused vacation on the basis of 1/12<sup>th</sup> of the current year's eligibility for each month the employee worked a majority of his or her scheduled workdays

SECTION 3 Subject to operating conditions, vacation periods shall be arrived at by mutual consent between the Employee and Company and the Employee shall be entitled to receive his vacation pay. No rollover of vacation days from one year to the next will be permitted. However, should a justifiable emergency arise, the Company may grant an employee the opportunity to carryover one (1) week of accrued, but unused vacation time to the following calendar year.

SECTION 4 Vacation periods for operators/mechanics/utility will be determined by the Company and posted for bid on or about December 1 for the following calendar year. Vacation bids will be based on department seniority. Vacation bids will be done by four (4) bid groups based on seniority. All accrued vacation must be bid or may be subject to forfeiture. Should any employee not bid their full accrued vacation time, they may request such time during the year and such request may be granted by the Company on a first come, first served basis as available. Should any employee bid a vacation schedule and exhaust all of his/her paid vacation time prior to the scheduled date(s), the Company will not be required to allow the employee unpaid vacation time for the vacation time bid. Vacations will be observed as bid unless emergency and/or staffing shortages at the time preclude granting the time off. Vacation trades may be allowed with prior approval of the Company.

SECTION 5 Should there be a conflict where more than one employee has elected the same vacation period, at the same time, and it is not in the best interest of the operation to let both (or multiple) employees off at the same time, the available vacation time shall be given to the employee with the most seniority.

CBA<sub>16</sub> 00015

## **ARTICLE 12 - PERSONAL TIME OFF**

**SECTION 1** Full-time employees will be eligible to earn paid Personal Time-Off (PTO) each year based on the following schedule:

Years of Employment	Personal Time Off
After one year	7 Days
After two years	8 Days

SECTION 2 PTO may be used for a full-day absence from scheduled work, not to exceed a total of 40 hours of pay per week (taken in four/eight or five/ten hour units, depending if the employee works a four-or five-day workweek). Employees may request a PTO with at least 72 hours advance notice to the Company. PTO taken with less than 72 hours advance notice will result in an attendance occurrence being recorded on the employee's attendance record. PTO that remains unused twelve (12) months after earning will be cashed out to the employee. In approving an absence from scheduled work under this Article, the Company will take into consideration verified emergencies.

CBA 00016

## **ARTICLE 13 - FUNERAL LEAVE**

In the event an employee shall suffer the death of the employee's spouse or domestic partner (per the Company's published guidelines), parent, parent of spouse, sister, brother, children, stepchild who resides with the employee, grandchildren or grandparents, he shall be granted funeral leave with pay for four (4) workdays, on the basis of the employee's scheduled workday at the employee's straight-time hourly rate of pay, not to exceed eight (8) hours or ten (10) hour days per day, depending on whether the employee works a five-day or four-day workweek, including full-time and part-time employees. Should an employee elect not to take the funeral leave allowable, there will be no cash value for the unused leave. The employee will provide reasonable proof of death and family relationship if requested by the Company.

CBA 00017

## **ARTICLE 14 - COURT DUTY**

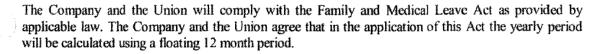
SECTION 1 In the event a full time employee is required to serve on a duly constituted jury, he shall be paid at his appropriate rate for the hours necessarily absent from scheduled work each day for a maximum of fifteen (15) days during the life of this contract. Employees will immediately notify the Company of any jury notice. The employee may keep any jury duty fees paid by the court.

**SECTION 2** Employees who are released from jury duty two hours or more prior to the end of their work day must contact their supervisor to determine whether or not they should report for work.

**SECTION 3** Required time off for the appearance in court for reason of Company business will be compensated at the employee's regular rate of pay.

CBA 00018

## **ARTICLE 15 - FAMILY AND MEDICAL LEAVE**



CBA 00019

## ARTICLE 16 - LEAVE OF ABSENCE

An employee must have at least 12 months of service to be eligible to request a leave of absence. Leaves of absence of up to thirty (30) days may be granted at the Company's discretion, upon receipt of a written request from the employee stating the reason for the requested leave.

SECTION 2 Written Requests

A request for leave of absence or for an extension must be made in writing by the employee and approved in writing by the Company.

**SECTION 3** Requests for Leave

Requests for leave of absences shall be made as far in advance as possible. Seniority shall accumulate during a leave of absence, however, time spent on leave of absence shall be without pay and shall not be credited towards tenure of employment or towards working time for benefits.

**SECTION 4** Military Leave

The parties hereto agree that the Employer shall comply with USERRA, as amended and the Reserve Forces Act of 1995, and amendments thereto.

CBA 00020

## **ARTICLE 17 - UNION RECOGNITION**

SECTION 1 The Company recognizes the "Union" as the sole collective bargaining representative with respect to mandatory subjects for bargaining which are wages, hours and other working conditions for all employees in the work classifications set forth in the Wage Section of this Agreement.

Whenever used in this Agreement, the term "employees" shall mean all non-probationary regular, full-time and regular part-time persons employed, in the classifications set forth in the Wage Section of this Agreement, by the Company, but excluding all other administrative, clerical, reservations and dispatch employees, guards and supervisors as defined under the National Labor Relations Act.

For purposes of this Agreement, whenever the term he, his, him or any male appellation appears, it is understood to include the female as well.

CBA 00021

#### **ARTICLE 18 - UNION REPRESENTATION**

<u>SECTION 1</u> Authorized representatives of the Union shall have access to areas where work is being performed as long as he shall first notify the General Manager or his designee, but visitations will be subject to reasonable safety/security rules. The purposes of these visitations will be to meet with bargaining unit employees and to settle and investigate grievances and/or possible grievances. It is not the intent of the Company to make visitation unreasonable.

**SECTION 2** Shop stewards shall not be paid by the Company for union work and shall perform such work outside assigned duty time. However, if at the Company's request, the Steward is requested to attend a meeting during his normal shift that time shall be paid at the normal rate of pay:

SECTION 3 The Union shall notify the Company or its representative, in writing, of the appointment of a Job Steward. The Company shall notify the Union, in writing, of its intention to lay off a Job Steward at least two (2) full working days prior to such intended layoff. A Job Steward shall not be discharged for the performance of his agreed-upon duties when performed in accordance with this Article. The Company will discuss any possible reassignment with the Union prior to transferring a Steward from the specific area where he is employed and assigned as a Steward.

**SECTION 4** To promote harmony between the Union and the Company, the Steward, without interrupting the progress of the job, shall be limited to and shall not exceed the following duties and activities:

- (a) Work with the Company's designated representative in charge of the job in an attempt to resolve disputes prior to the application of the grievance procedure.
- (b) Investigate grievances and potential grievances.
- (c) Report to his Union representative infractions of the Agreement, which have not been resolved between himself and the Company's designated representative.
- (d) The Local Union representative or his designee will be allowed to meet with new employees during the employees' training period. This meeting will be solely for the purpose of introducing new and prospective employees to the Union.

CBA 00022

## ARTICLE 19 - GRIEVANCE AND MEDIATION/ARBITRATION PROCEDURE

SECTION 1 The Company and the Union believe in order to foster good Labor/Management relations, potential grievance issues may be best addressed if they are informally discussed and resolved, if possible, as early as possible after the issue is known. Therefore, the Company and the Union encourage employees to discuss the matter with the employee's immediate supervisor or other Company manager as soon as possible after the issue comes to his/her attention. Such discussion may include the Union Steward. However, such informal discussions shall not extend the time limits for filing or processing a grievance in writing as set forth in STEP 1, and it is the aggrieved party's responsibility to comply with those time limits.

SECTION 2. For purposes of this Agreement, a grievance is defined as a dispute between the parties concerning the meaning, interpretation, application or alleged violation by the Company of the express terms of this Agreement. If a dispute arises over the application or interpretation of this Agreement, the Company and the Union agree that the procedure outlined below shall be the exclusive remedy for such dispute:

STEP 1 – Grievances must be submitted in writing to the Assistant General Manager (AGM), or designee, not later than 15 calendar days after the employee knew, or should have known, of the alleged violation giving rise to the grievance. The grievance shall be in such detail as to adequately identify the nature of the grievance, including date of the alleged violation, if appropriate, and the provision or provisions of the Agreement violated by the Company. The AGM, or designee, shall schedule a meeting with the employee and the Union Steward, if requested by the Union Steward or Union Business Agent, within 15 calendar days after receipt of the written grievance. The AGM, or designee, shall respond to the Grievance within 15 calendar days following the date of the meeting, or within 15 calendar days of receipt of the written Grievance if no meeting is requested.

Suspensions of three (3) or more workdays or terminations shall be commenced at STEP 2 within 15 calendar days of the date of the initial disciplinary decision.

STEP 2 – In the event the grievance is not resolved to the satisfaction of the filing party in STEP 1 above, that party may submit the grievance to the General Manager, or designee, within 15 calendar days following the date of the Company's answer in STEP 1. The General Manager, or designee, the employee, the Union Steward, and the Union Business Agent shall hold a meeting to discuss the grievance. The General Manager, or designee, shall respond in writing to the Union Business Agent within 15 calendar days following the date of the meeting.

## STEP 3 - MEDIATION

In the event the Company and the Union do not resolve the Grievance in STEP 2, the Company and the Union may by mutual agreement, refer the matter to mediation, utilizing the services of the Federal Mediation and Conciliation Service (FMCS). It is understood that the mediation process is to be expedited and at any time during the mediation process either the Company or the Union may declare the process closed.

CBA 00023

## STEP 4 - ARBITRATION

In the event the grievance is not resolved in STEP 2, or STEP 3 if invoked, the Union may refer the Grievance to arbitration by written notice to the General Manager and filing a request with FMCS within thirty (30) calendar days following the date of the General Manager's response in STEP 2, or the close of the mediation process in STEP 3 if invoked. The request with FMCS must request a list of a minimum of seven (7) names of impartial Arbitrators in the region nearest to the Company's premises. The General Manager, or designee, and the Union, shall, as soon as reasonably practical, following receipt of the list of Arbitrators from FMCS, alternately strike names from the list until only one (1) name remains. The remaining Arbitrator shall act as the impartial Arbitrator who shall hear and decide the issue.

SECTION 4 The Arbitrator shall have no authority to add to, delete from, amend, or in any way disregard any of the terms of this Agreement, or fashion a remedy in which back pay is awarded retroactively for more than fifteen (15) calendar days prior to the date on which the grievance was filed.

**SECTION 5** The costs, fees and expenses of the arbitrator and hearing room will be equally shared between the Company and the Union; otherwise each party shall bear its own expenses.

**SECTION 6** The Arbitrator's decision shall be in writing and served on the Company and Union. The decision of the Arbitrator shall be final and binding upon the Company and the Union.

SECTION 7 It is the intent of the parties that the time limits provided for shall be strictly adhered to. Exceptions to the foregoing time limits shall be made only upon mutual written agreement of the parties. Failure to comply with the time limits herein shall result in forfeiture of the failing party's position without setting precedent. If a time limit expires on a Saturday, Sunday, or holiday, the final day shall be the next business day.

CBA 00024

#### **ARTICLE 20 - MAINTENANCE PROVISIONS**

The following provisions pertain to Maintenance Department employees only and as such modify or amend other provisions in this Agreement. All other provisions of this Agreement apply to maintenance department employees.

Technicians currently employed who do not have at least one ASE Certification will be required as a condition of continued employment to obtain at least one ASE Certification within thirty (30) months of the effective date of this Agreement.

Tech level C - must obtain one or two certifications and one must be either A5, H4, T4, S4 (Brakes). Tech level B - must obtain three or four certifications and two must be either A5, H4, T4, S4 (Brakes), plus either H8, T8 (PM Inspection). Tech level A - must obtain five or more certifications and three must be either A5, H4, T4, S4 (Brakes), plus either H8, T8 (PM Inspection) and A7, H7, T7, S7 (Heating Ventilation and Air Conditioning).

Г	ASE Technician Certification Levels						
Automotive Medium/Heavy Truck School Bus				Transit Bus			
A 1	Engine Repair	T 1	Gasoline engines	SI	Body systems and special equipment	H 1	Compressed natural gas engines
A 2	Automatic transmission/tra nsaxles	T 2	Diesel engines	S2	Diesel engines	H 2	Diesel engines
A 3	Manual drive train and axles	T 3	Drive train	S3	Drive train	H 3	Drive train
A 4	Suspension and steering	T 4	Brakes	S4	Brakes	H 4	Brakes
A 5	Brakes	T 5	Suspension and steering	S5	Suspension and steering	H 5	Suspension and steering
A 6	Electrical and electronic systems	T 6	Electrical and electronic systems	S6	Electrical and electronic systems	H 6	Electrical and electronic systems
A 7	HVAC	T 7	HVAC	S7	HVAC	H 7	HVAC
A 8	Engine performance	T 8	Preventive maintenance and inspection			H 8	Preventive maintenance and inspection
A 9	Light vehicle diesel engines						
	ASE Master Automotive Certified = A1 thru A8		ASE Master Medium/Heavy Truck Certified = T2 thru T7		ASE Master School Bus Certified = S1 thru S6		ASE Master Transit Bus Certified = H2 thru H7

If the company hires a Technician hereafter with no Automotive, Medium/Heavy Duty Truck, Transit Bus or School Bus ASE Certifications, that Technician must achieve at least one Automotive, Medium/Heavy Duty Truck, Transit Bus or School Bus ASE not later than six (6) months from the date of hire.

CBA 00025

The Company will pay a premium of \$ .15 per hour in addition to the regular hourly wage to Technicians for each ASE (Automotive Service Excellence) certification in Automotive, Medium/Heavy Duty Truck, School Bus and/or Transit Bus.

The Company will pay an additional premium of \$ .25 per hour to technicians for each ASE Master Certification.

\* Except for failure to maintain ASE certifications and/or being reduced in class, no technician's hourly wage rate shall be reduced as a result of the establishing the pay rates in this Agreement. Increases in hourly wage rates may occur annually (on the first pay period in January following the anniversary of the effective date of this Agreement) due to length of employment and as ASE certifications in Automotive, Medium/Heavy Duty Truck, School Bus and/or Transit Bus are obtained.

Failure to maintain ASE certifications in Automotive, Medium/Heavy Duty Truck, School Bus and/or Transit Bus according to the ASE requirements, will result in reduction in pay of \$ .15 per hour per expired ASE, and may result in the Technician being reduced in class or disqualified to continue his or her employment if the Technician does not also meet minimum requirements.

The wages rates for all Maintenance personnel can be found on Appendix A.

<u>SECTION 1</u> The Maintenance Department is divided into classifications as set forth in this Article, Bidding Procedures and recognizes the following seniority:

- a. Department Seniority: The length of continuous service commencing from the last date of hire or transfer into the Maintenance Department shall be termed departmental seniority. It shall be used for picking vacations, layoffs, and recall procedures.
- b. Classification Seniority. The length of service in a particular classification within the Maintenance Department but shall not exceed company, departmental, or technical classification seniority. The date an individual first moves into a classified position shall be termed the individual's classification seniority date. A one hundred-twenty (120) day trial period will be utilized for all existing maintenance employees changing classification. New employees hired will have a one hundred-twenty (120) day probationary period.

#### SECTION 2 - Workweek/ Overtime

A workweek starts 0001 hours on Sunday and runs to 2400 hours on the following Saturday. Overtime shall be paid for all hours worked in excess of forty (40) hours in one (1) workweek. Vacation or other paid time off does not count as time worked for overtime purposes. Under no circumstances will time-and-one-half (1 1/2) or premium time of any kind be paid more than once for the same hours, nor be cumulative.

a. Overtime Rotation: All overtime work in the Maintenance Department shall be, as far as practicable, rotated among qualified and eligible employees according to seniority. Employees eligible for overtime shall not be passed for overtime until reasonable efforts to contact them have been made by the Company. Employees must be available to work during the times requested by the Company, or the employee shall be considered to have passed that opportunity to work overtime. Two hours or less is not subject to overtime rotation. Overtime subject to rotation shall pay a minimum of two (2) hours and one (1) minute at the overtime rate. A maintenance employee's consecutive hours shall not exceed twelve (12) hours except in emergencies.

CBA<sub>27</sub> 00026

#### SECTION 3 - While Working As Relief Foreman

When an employee is assigned work in a foreman's capacity (mechanical or service worker), he/she shall receive an additional \$1.00 (one dollar) per hour.

#### **SECTION 4 – Application Procedures**

All maintenance vacancies covered by this Agreement will be posted on an appropriate bulletin board for five days. The Company will give preference to internal maintenance applicants before considering outside applicants for maintenance vacancies. Individual job changes ensuing from an application and offer shall be effective with seniority at the beginning of the next pay period, even though the employee involved may be required to perform work in a lower classification or on another shift until all job changes can be properly made. The Company will make a reasonable effort to make all job changes as quickly as possible.

#### SECTION 5 - Temporary Jobs

If an employee is off or will be off for at least thirty (30) days due to illness, accident, or leave, as provided elsewhere in this Agreement, his/her job may be posted as a temporary position. The individual awarded the temporary position will accrue seniority in that position only if the employee meets the job qualification requirements of that position or unless displaced, in which case no seniority loss shall occur in the previous classification. An employee may temporarily fill a position for another employee on extended leave.

#### **SECTION 6 – Minimum Time In Position**

Once bid and obtained, an employee must work in a specialized position for a minimum of one (1) year.

#### SECTION 7 - Evaluation and Training

The Company will supply applicable training to Maintenance employees. This training program is designed to give employees an opportunity to become qualified for increased job responsibilities and higher classifications. The Company will pay employees for such training at their present rate of pay. The Company may adjust the employee's schedule so that he may attend such training.

#### SECTION 8 - General Bid

General bids will occur and be governed by the provisions of Article 31, Bidding Procedure. If a vacancy in a job classification occurs between general bids, there will be a re-bid in that classification. General bids will be based on classification seniority. Before going on vacation, employees may leave with a Shop Steward conducting such bid a written authorization of their choice to bid on any job openings which may be posted during their absence.

#### **SECTION 9 – Vacation Bid**

Vacation bids will occur and be governed by the provisions of Article 12.

#### SECTION 10 - Miscellaneous - Maintenance Department

a. Tools/ Safety Allowance: The Company will provide on the first pay period following the employee's first annual anniversary and on the pay period following each subsequent annual anniversary a tool/ safety allowance to all technical employees. The total allowance can only be used for tools, safety shoes, or safety glasses and the maximum reimbursement total is three hundred eighty dollars (\$380) for actual work-related, documented expenditures. If the maintenance employee receives an ASE, the total allowance that can be claimed for reimbursement is four hundred dollars (\$400).

CBA<sub>28</sub>00027

- b. Uniforms: The Company will provide eleven (11) sets of uniforms to each eligible Maintenance Department employees. Employees will be held responsible for documented shortages. Company supplied uniforms in addition to safety shoes and eye protection are required to be worn during scheduled working hours.
- c. Cleanup Time: Cleanup time of twenty (20) minutes will be allowed and paid prior to the end of each shift. This shall consist of ten (10) minutes for area cleanup, and ten (10) minutes for personal cleanup.
- d. Protective Clothing and Safety Equipment: Raincoats, rubber hats, and rubber boots of a serviceable nature shall be made available to employees in the Maintenance Department who are required to work outside in wet weather. The Company will make available suitable protective clothing for employees working in the wash rack area, for steam cleaning, in the battery building, and for other jobs when necessary. The Company will furnish all other safety equipment as required.
- e. Maintenance Safety Program: The Company will continue the safety program in the Maintenance Department in which outstanding safety performance by Maintenance Department employees is recognized and required.

#### SECTION 11 - Temporary Pay

An individual who temporarily performs work in a higher paying classification will be paid at the higher classification's rate for the period of time of such temporary work.

#### SECTION 12 - Shift Bidding for Leadspersons'

In the event the Company deems it necessary to use Lead employees in the Maintenance Department, such employees may bid their respective shifts based on their Lead seniority. In the event two or more persons are appointed by the Company to a Lead position, mechanic classification seniority shall prevail for shift bidding purposes. Such bidding will occur at the time of the General Bid.

CBA 00028

# ARTICLE 21 - UNIFORMS, WORKCLOTHES AND OTHER EQUIPMENT

#### **SECTION 1 – OPERATORS**

The Company will provide uniforms to Operators upon hire, consisting of five (5) long sleeve/ short sleeve shirts, three (3) pants/shorts and an all-season jacket. The employee will be required to keep his or her uniform clean and in good repair. The Company will replace uniform annually as needed.

#### SECTION 2 – Maintenance Employees

The Company will provide a weekly work clothes service for all employees in the Shop. The Company will provide: eleven (11) trousers, eleven (11) shirts, and two (2) all-season jackets, replaced as needed, with periodic cleaning provided.

<u>SECTION 3</u> When an employee leaves the employment of the Company, the employee must return all uniform and work clothes items, and all other Company provided equipment and materials, and may be charged the reasonable value of items not returned.

SECTION 4 During a specified and announced Summer period, the Company will permit operators to wear uniform style shorts in lieu of pants. Such shorts must conform to the color scheme of operator uniforms and the bottom must be no more than 2 inches above the knee. During such period, either black socks and shoes or white socks and shoes may be worn while wearing such shorts. Employees must remain in full uniform, including any required safety gear, at all times when on Company time. Violations of this requirement may result in disciplinary action as necessary.

CBA30 00029

## **ARTICLE 22 - DISCIPLINE, DISCHARGE AND INVESTIGATIONS**

SECTION 1 It is understood and agreed by the Company and Union that the principle of progressive discipline will apply. The Company will discipline or discharge Employees for just cause. The Company may implement and enforce reasonable rules and regulations or may modify or eliminate such rules or regulations at any time so long as such rules or regulations are not in conflict with any specific provision of this Agreement. The Company will provide a copy of the rule to the Union, and will meet with the Union to discuss the rule, if requested. The Union does not waive its right to contest the application or reasonableness of any policy or rule issued by the Company through the grievance and arbitration procedures.

**SECTION 2** The concept of progressive discipline shall include the following steps:

- 1. Written warning or warnings, as appropriate;
- 2. Suspensions without pay;
- Final warning, and,
- 4. Discharge

It is understood that such steps will be applied on a case-by-case basis as determined by the Company based on the seriousness and severity of the violation. Further, violations of the most serious matters as set out in the Company's Employee Handbook, and violation of the Company's Drug & Alcohol policy, may be addressed by discharge on the first offense.

<u>SECTION 3</u> Employees may request Union representation at any investigative meeting that they reasonably believe may result in disciplinary action, pursuant to the employer action taken against an employee.

<u>SECTION 4</u> In all cases involving a written reprimand, suspension, or discharge, the Union Business Agent shall receive a copy of the written reprimand, letter of suspension or letter of discharge by facsimile or email. The Company will furnish all documentation used for discipline.

SECTION 5 Disciplinary letters other than those involving harassment or discrimination, shall not be considered for further discipline after twelve (12) months from the date issued, except that discipline for safety violations will remain active for 36 months from the date issued.

SECTION 6 All employees will receive a copy of the Company's Employee Handbook and any new changed rules as issued by the Company from time to time.

CBA<sub>31</sub> 00030

#### **ARTICLE 23 - WAGES**

**SECTION 1** Wages and other compensation for the classifications covered by the Agreement shall be paid in accordance with Appendix A.

SECTION 2 All employees covered by this Agreement shall be paid bi-weekly on a designated payday. If the designated payday falls on an observed holiday, payday shall be the workday proceeding such holiday.

**SECTION 3** Employees who are laid off or discharged must be paid wages due to them upon layoff or discharge. If the Company fails to pay the wages or compensation of a discharged employee upon lay off or discharge, the Employee will be paid at the rate of eight (8) hours per day at his regular rate of pay until paid.

<u>SECTION 4</u> The Employee will receive a paycheck or voluntary direct deposit stub on their designated payday. Paycheck stubs or pay detail sheets will include all information as required by state and federal law.

<u>SECTION 5</u> In the case of minor discrepancies in an employee's pay up to fifty (\$50.00) dollars will be corrected by the following payday. The Company will correct and pay payroll discrepancies of more than fifty (\$50.00) dollars within forty-eight (48) hours after

the Company is notified of the error. All discrepancies must be substantiated by the employee, which includes all details needed to calculate the claim prior to making a claim.

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#### ARTICLE 24 - WORK DAY, WORK WEEK

**SECTION 1** The workweek shall begin at 12:01 A.M. Sunday, and end at 12:00 midnight the following Saturday.

**SECTION 2** The workday shall begin at 12:01 A.M. and shall end at 12:00 midnight.

**SECTION 3** Unless the employee has bid on a split days off schedule, the regular workweek for full-time employees will consist of any five (5) workdays during the period Sunday through Saturday, with two (2) consecutive days off, unless the full-time employee is on a four (4) day, ten (10) hour workday schedule.

**SECTION 4** The regular workday for employees will consist of any eight (8) consecutive hours of work exclusive of one-half (1/2) hour unpaid meal period, unless employees are on a ten (10) hour workday schedule.

<u>SECTION 5</u> Neither this Article nor any other provision of this Agreement shall be construed as a guarantee of hours of work per day or days of work per week.

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#### **ARTICLE 25 - SENIORITY**

#### SECTION 1 - SENIORITY DEFINED

Seniority shall mean the length of time an employee has been employed by the company, measured in calendar days from the first day of the employees most recent date of hire, for the purpose of selecting work, the determination of order in any layoff or other reduction in work force, bidding, runs, assignment or time off as provided for in this agreement. If application of the preceding sentences results in two (2) or more employees having the same seniority, the employee's seniority position will be determined by alphabetical order. Seniority shall be given prime consideration in the application of this agreement.

#### **SECTION 2 - Layoff**

- A. Determination of Lay off: The Company will determine the timing of a lay off and the number of employees to be laid off.
- B. Lay off: All layoffs will be made in an attempt to minimize the amount of full-time employees affected with the part-time employees being laid off first provided there are full-time employees willing to work and are qualified to perform the part-time work that remains available. When the reduction in the work force becomes necessary, as determined by the company, such lay off shall be in reverse order of seniority. In the event of a layoff, if a fulltime employee does not elect part-time, the Company will not contest his/her unemployment claim.
- C. Maintenance employees will be laid off first by classification seniority with downward bumping rights allowed for qualified employees, and then by company seniority.

#### SECTION 3 - Recall

Order of recall: Employees will be recalled from lay off status in the reverse order of which they were laid off.

- A. The employee with the most seniority will be the first one recalled from a lay off.
- **B.** Notice of Recall: The Company will forward notice of recall by registered mail, return receipt requested, to the last known address of the employee as reflected on company records. The employee must, within five (5) days (excluding weekend days and holidays) of delivery or attempted delivery of the notice of recall, notify the company of his/her intent to return to work on the date specified for recall and, thereafter, returns to work on date specified.

#### **SECTION 4 – Termination of Seniority**

An employee's seniority shall be terminated and his/her rights under this agreement forfeited for the following reasons:

- Resignation by the employee or termination by the Company, unless reinstated pursuant to the grievance procedure.
- b. Failure to give notice of intent to return to work after recall within the time period specified in Section 3 of this Article, or failure to return to work on the date specified for recall, as set forth in the written notice of recall will be considered a voluntary resignation.

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- c. Except for layoff, time lapse of nine (9) months since the last day of actual work for the Company, regardless of reason.
- d. Failure to return to work upon expiration of an approved leave of absence.
- e. Layoff of a period of twelve (12) months or for a period equal to the employee's seniority, whichever is less.
- f. Absence of three (3) consecutive days without notifying the Company will be considered a voluntary resignation.
- g. Misuse of leave as a subterfuge, to accept employment elsewhere, or for a purpose other than stated upon request for leave.

#### SECTION 5 - Seniority Lists

The Company shall provide the Union current seniority list once every three (3) months. Such list shall be deemed accurate unless challenged by the Union or employee within ten (10) days of receipt.

#### SECTION 6 - Return of Personnel to the Bargaining Unit

A person who, after transfer or promotion out of the bargaining unit, for a period of six (6) months or less, remains in the continuous employment of the company shall be returned to the job classification previously held at the request of the employee and/or the company. If this return results in a lay off the least senior employee shall be laid off.

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#### **ARTICLE 26 - HEALTH AND DENTAL INSURANCE**

<u>Section 1: Provisions:</u> The Company shall provide group health, group dental and group vision insurance for all regular, full-time employees.

<u>Section 2: Eligibility</u>: Employees shall be eligible for the plans on the first day of the month following ninety (90) days of continuous, full-time employment.

<u>Section 3: Contribution:</u> Effective target date of January 1, 2011, but no later than February 1, 2011 after completion of an initial open enroll period, participating employees shall pay the following monthly amounts for the medical insurance coverage through payroll deductions:

•	Employees	Employees
	Hired Before	Hired After
	3-1-02	3-1-02
Single Coverage	\$ 6.19	\$ 11.99
2-Party Coverage	\$ 67.15	\$ 67.15
Family coverage	\$ 85.48	\$ 85.48

Subsequent increases in medical insurance premiums will be paid by the Company contributing ninety percent (90%) of the increase and the employee ten percent (10%).

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#### **ARTICLE 27- OTHER BENEFITS**

**SECTION 1** The Company agrees to participate in the Teamsters National 401(k) Plan for employees who have completed at least 12 months of service. The Company's contribution to the Plan for each eligible employee shall be limited to two and one-half percent (2.5%) of the wages of each job classification regardless of whether the employee contributes his/her own pay. This benefit will be effective the first of the month following ratification of the Labor Agreement. Employees may contribute an amount as provided by law, and may contribute before their 12-month anniversary.

#### SECTION 2 - GROUP LIFE AND AD&D INSURANCE:

Regular, full-time employees within the bargaining unit shall be eligible to participate in the Company's group life insurance and accidental death and dismemberment insurance plan. The premium for this benefit will be paid by the Company. The amount of insurance in effect will be \$20,000.

<u>SECTION 3 - PERFORMANCE BASED INCENTIVE</u>: In recognition of excellent performance the Company will provide full-time Operators additional incentive payments monthly, quarterly, and annually, on a rolling twelve-month basis, in accordance with the following schedule:

- a. Each full-time Operator who has all of the following: no preventable accidents; no validated complaints; perfect attendance; and, has been in 100% conformance with the uniform policy will receive a \$50.00 (fifty dollar) bonus each month.
- For each Quarter, each Operator who has made the bonus for each month of the quarter will receive an additional \$100.00 (one hundred dollars).
- c. For each year, each Operator who has made the bonus for each of the four quarters will receive an additional \$250.00 (two hundred fifty dollars).

In recognition of excellent performance the Company will provide full-time Maintenance Department employees additional incentive payments monthly, quarterly, and annually in accordance with the following schedule:

- d. Each full time employee who has all of the following: no preventable accidents, no validated complaints, perfect attendance, and has been in 100% conformance with the uniform policy will receive a \$50.00 (fifty dollar) bonus each month.
- For each Quarter, each employee who has made the bonus for each month of the quarter will receive an additional \$100.00 (one hundred dollars).
- f. For each year, each employee who has made the bonus for each of the four quarters will receive an additional \$250.00 (two hundred fifty dollars).

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# ARTICLE 28 - UNION DUES, INITATION AND/ OR REINSTATEMENT FEES AND DRIVE CHECKOFF

SECTION 1 Upon receipt by the Company of an authorization card signed by an employee covered by this Agreement and upon notification from the Union, the Company shall, in accordance with the terms of such authorization and in acceptance with this Agreement, deduct from such employee's earnings, on a monthly basis, the amount owed to the Union by the employee for his monthly union dues, assessments, initiation and/or reinstatement fees for each month subsequent to the date of the receipt of the Union notification.

**SECTION 2** If an employee does not have any earnings during the month, or the earnings are less than the amount owed and billed, deductions will be made during the next month in which the earnings are sufficient to cover the initiation fees, Union dues, reinstatement fees, and/or assessments owed and billed by the Union.

SECTION 3 The Company shall promptly mail to the Union a check for the amount of initiation fees, union dues, reinstatement fees and/or assessments the Company has withheld during the month involved in accordance with the above provisions. The check shall be accompanied by a list showing the names of employees and the amount deducted.

**SECTION 4** If the Company erroneously withholds union dues, assessments, initiation and/or reinstatement fees, the Union will refund the Company upon proper verification.

SECTION 5 Nothing contained herein shall permit the deduction by the Company of any assessment levied against an individual employee or group of employees unless the levy applies equally to all employees who have provided a signed authorization card.

**SECTION 6** The Union agrees to indemnify the Company and hold it harmless against any and all claims, suits or other forms of liability (including attorney fees and court costs) that may arise out of or by reason of action taken or not taken by the Company for the purpose of complying with any of the provisions of this Article in reliance on any list, notice or authorization provided by the Union hereunder.

<u>SECTION 7</u> The initiation fees, union dues, reinstatement fees and/or assessments charged to employees covered by this Agreement shall be in accordance with the Union's Local Bylaws and the Union's International Constitution.

**SECTION 8** The Company agrees to withhold on a once-a-month basis from employees who have signed a proper authorization card, a donation made out to D.R.I.V.E., which is to be submitted by the Company to DRIVE National Headquarters. The funds submitted are to be accompanied by a listing of the name and social security number of each employee on whose behalf a deduction is made. No such authorization shall be recognized if in violation of State and Federal law.

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#### **ARTICLE 29 - COMMITEES**

SECTION 1 - Labor-Management Committee: The parties hereby agree to establish within six (6) months of the effective date of this Agreement a Committee to deal with issues that may arise with respect to the application or interpretation of this Agreement as affects the efficient operation of the Company's business, but not to replace the provisions of the grievance procedure. Meetings will be scheduled as the need may arise with proper advance notification provided to and by both parties. The intent of this Committee is to promote and sustain the harmonious relations between the parties. The Company and the Union will select their respective representatives, recognizing the Committee is to be limited to no more that three (3) persons for each party. Each party may have other pertinent and relevant resource persons in attendance at any given meeting. It is further understood and agreed that the Committee shall have no power or authority to alter, amend, change or modify any provisions of this Agreement.

SECTION 2 – Accident Review Committee: The parties agree to have an established Accident Review Committee (ARC) as outlined in the First Transit Employee Handbook to provide Operators and others charged with a preventable collision, a forum to appeal the Company's determination of collision preventability.

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#### **ARTICLE 30 - DRUGS AND ALCOHOL TESTING**

In acknowledgement of the nature of the Company's operations and the very special and overriding safety considerations, the Company has adopted formal provisions for fitness for duty drug and alcohol screening. Such provisions are adhered to and expressly made part of this Agreement.

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#### **ARTICLE 31 - BIDDING PROCEDURE**

SECTION 1 - Seniority for Bidding Purposes: There shall be a minimum of three (3) general bids per calendar year. There will be a minimum of three (3) months between each of the general bids. Operators shall be entitled to select runs and/or routes in accordance with their seniority. All regular runs will be posted on the bulletin board at least one (1) week before bidding commences. The operator's seniority list will be posted on the bulletin board thirty (30) days prior to the commencement of the bid. Any discrepancies will be addressed fifteen (15) days prior to the commencement of the bidding process.

<u>SECTION 2</u> - Bidding Process: The bidding process will be administered by the Union as follows and should take place over an approximate one-week period. The two union representatives conducting the bid will be paid their regular straight-time hourly rate. At the end of group bidding if there are insufficient bidders the Company will re-bid the leftover packets it being understood and agreed that any remaining packets not thereby filled will be assigned on the basis of reverse seniority with the least amount of hours packet going to the least senior employee, and the most hours packet going to the most senior employee based on the reverse seniority order concept.

- a. Bid groups will be posted at the same time bid packets are posted.
- b. Each operator will bid according to his/her seniority and within his/her bid group. Each operator will make the appropriate number of selections based on seniority and placement within his/her individual bid group. For Example: If an operator is number 20 for bid group 4, he/she will make twenty (20) choices on his/her bid sheet.
- c. Each bid group shall bid for a twenty-four (24) hour period. Bid books may be picked up after 1300 two (2) days prior to scheduled bid date and not before. Individual bid books and bid selections are due back by noon the day of the groups' bidding. Late bids will be placed at the end of the next days' bid group. For Example: If an operator is in group two and is supposed to turn in his/her bid by noon Tuesday, but failed to do so, it will be counted at the end of group three on Wednesday.
- d. Bids will be accepted only on the form provided by the Company. The order of choices must be clearly indicated and signed by each individual operator. No bids will be taken over the phone. It will be the responsibility of the operator who is on vacation, leave of absence, extended illness or injury to make sure that his/her bid is made during the appropriate time. An operator may leave his/her signed bid choice with a Union representative. Late bids will be placed at the end of the next day's bid group.
- e. An operator who, by choice, bids a run that would put him/her into a violation of the ten (10) hours minimum rest rule will be withheld from the new assignment until such time as proper rest has been accomplished. At that time, the operator will pick up the new assignment. In such case the operator will be paid only for the actual time worked.

Section 3 - New Work: New work is defined as runs/routes that are added to the system after a general bid has occurred. Runs/routes will consist of thirty-two (32) hours or more. Future efforts will be made to produce 40-hour workweeks. All new work (runs) will be made into available packet runs and posted for ALL extra-board operators to bid, unless four (4) weeks or less remain on a general bid. Until such time that the work is successfully bid, it will be worked be the extra-board. If no extra-board operators are available, the work will be performed by regular full-time operators who sign up to work

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on their day off provided it does not result in those drivers working overtime. If "days off" operators are not available on a straight-time basis, the work shall be assigned to part-time operators and then the work will be assigned by rotation to regular full-time/extra-board operators who sign up to work on their day off. Provided the runs are available to the Company 48 hours prior to implementation, the union will be allowed to view all runs.

Section 4: Vacant/Open Work: Open work is defined as a packet run vacated for any period, through terminations, leave of absence, suspension, vacations, and other assignments such as training and special assignments. All open work shall be rotated or bid by regular full-time extra-board operators. In the event there are not enough extra-board operators available to fill these vacancies, the work will be filled by operators from the overtime sign-up sheet on a rotational basis.

The Company will post each Monday by 12:00 noon the open work, hold-downs and temporary work available for bid. Bidding will close on Thursday by 1200 and will be awarded on Friday by 1200. The run will commence on Sunday. Extra-board operators bidding this work shall operate it for the duration of the bid or until such time as the regular operator returns to work. If no Extra-board operator bids the work it shall be assigned to the operator with the least amount of seniority on the extra-board. Extra-board operators who bid on open work will not be forced onto other open work.

Section 5: Special Assignments: In order to be eligible to bid for a regular-packet run, an operator must drive for at least two-thirds (2/3) of the time of the bid. If an operator will be on special assignment during the bid and will not be available to drive for at least two-thirds (2/3) of the bid, the operator must bid a non-packet extra-board.

Section 6: Extra-Board: The purpose of the extra-board is to enable the Company to provide reliable, economical, efficient and uninterrupted service to the clients of the system. There are two classifications for extra-board. They are: Full-time Extra-Board Operators, and Part-time Extra-Board operators.

- a. Full-Time Extra-Board Operators: These operators will be guaranteed a minimum of thirty-five (35) hours per week, provided they work all assignments given to them. (The 35-hour guarantee is a floor not a ceiling.) These operators will fill all known work that is vacant. This work includes runs vacated because of: sickness, vacation, leave of absence and the work of operators working in other capacities. In addition, these operators may be assigned a daily show-up slot.
- b. Part-Time Extra-Board Operators: Normally these operators should work less than thirty (30) hours per week. These operators will work the show-up positions and left over pieces of work. Part-time operators will be given the option of going into full-time positions, as they become available, based on seniority. Part-time positions will not be used to diminish the number of full-time operators.
- c. Definitions of Extra-Board Terms:
  - Open and Hold Down is defined as a long-term vacancy of five (5) days or more, or a normal workweek.
  - 2) Partial Work is defined as a piece of work, segmented out from a full packet run.
  - Show-Up Work is defined as any work that develops after the daily schedules have been posted.

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- d. Scheduling Manpower: When scheduling known work for extra-board; (excluding work specifically designated for part-time), operators shall be used in the following order: Full-time extra-board operators, then Part-time operators. In the event there are not enough regular full-time and part-time extra-board operators available to fill the vacant work, the work will be filled by full-time operators who have signed to work their days off, on a rotational basis.
- e. Weekly Bidding: Vacant work shall be rotated to or bid by full time extra-board operators only. Open work, Long-term vacancies (hold downs) and Temporary work will be posted each Monday by 1200. Bidding will close on Thursday by 1200 and will be awarded by Friday by 1200. These bids will take effect on Sunday. Full-time Extra-board operators bidding this work shall operate it for the duration of the bid or until such time the regular operator returns to work. Work that is not bid shall be assigned to non-packet extra-board rotation for the week.
- f. New/Open Work: Bidding for this work will be done by operator seniority, always beginning at the top of the seniority roster.
- g. Operators Working Days Off: In the event there are not enough extra-board operators available to fill the vacant work, the work will be filled by full-time operators who have signed to work their days off, on a rotational basis.
- h. Daily Scheduling: Extra-board scheduled assignments will be based on PAY hours. On the first day of the week the work which pays the most hours for the day will be assigned according to seniority. On each successive day the work will be rotated with the most hours going to the operator with the least amount of hours. This will be done daily throughout the week. At the beginning of each new week (Sunday) this rotation will begin again. For scheduling and pay purposes regardless of the operator's day off, the week will begin at 0001 on Sunday and end at 2400 on Saturday. Any run commencing before 2400 on Saturday shall be paid in that week's work.
- i. Posting of Daily Scheduling: An extra-board operator rotational roster shall be posted daily by 1600, showing work assignments for the following day. The work for Saturday and Sunday will be posted by 1600 on Friday. If the assignment has been cancelled and no operator is required at all, the reporting operator will be placed on the show-up list at the scheduled report time and/or will be paid a minimum of two (2) hours. If two operators have been wrongly scheduled for the same piece of work and both operators are extra-board operators, the work will be given to the extra-board operator with the least amount of hours up to that point. The other operator will be placed on show-up in rotation at the scheduled report time and/or will be paid a minimum of two (2) hours. If neither operator is an extra-board operator, the work will be given to the more senior operator, and the less senior operator will be placed on the show-up list and/or will be paid a minimum of two (2) hours. If two operators have been wrongly scheduled and one operator is a regular operator working days off and the other is an extra-board operator, the extra-board operator gets the assignment. The other operator will be placed on show in rotation at the scheduled report time and/or will be paid a minimum of two (2) hours. If the inconvenienced operator is contacted prior to reporting on his/her regular day off and does not work, he/she will not lose his/her place on the rotation list for the following week.
- j. Maximum Length of Day: Operators will not be forced to work more than thirteen (13) hours per day, inclusive of the spread or split time. If an assignment is given to an operator which ends later than the thirteen (13) hours, all attempts will be made to relieve that operator from that assignment at the end of the thirteen (13) hours. If an operator is close to violating the thirteen (13) hour rule, the operator should notify radio, no later than twelve (12) hours, so that immediate arrangements

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may be made. Operators being relieved will be relieved as close to the thirteen (13) hours as possible. In emergency situations, when no other help is available, all of these procedures will be waived and will not affect the operator's guarantee the following day if applicable.

- k. Calculation of Ending Times: For scheduling purposes, extra-board operators, having pre-assigned runs, shall have an end time equal to the actual end time of the run. The Scheduler will attempt to use actual end times for all extra-board operators prior to the schedules being made (e.g., 1400) for the following day's assignments. In other words, at (1400) the dispatcher will use the known actual end times.
- l. Ten (10) Hour Rule/Scheduled Time Off Between Assignments: All extra-board operators will be off duty ten (10) hours before reporting back to work for their assignment the next day, except in emergency situations. Operators off on the ten (10) hour rule will be placed back on their run as close as practicable to the ten (10) hours as possible. All operators will be scheduled ten (10) hours off between assignments, except in emergency situations, or when out of personnel. The Company is responsible to make sure this happens. Extra-board operators, whose assignment for the next day conflicts with the ten (10) hour rule, may request to be relieved to avoid having to invoke the ten (10) hour rule. Operators will only be relieved if manpower is available. Operators being relieved will only be paid for the actual work performed. In emergency situations, when above procedures are waived, operators will not lose any pay because of this rule.
- m. Window Dispatching (Show-Up): Operators assigned to a partial run must contact dispatch at the completion of their run for possible further assignment. Work assignments on the extra-board will be made in the order in which the work goes out with all known open runs being assigned first. Upon completion of each assignment, the extra-board operator will report back to the dispatcher for his/her next assignment, if any. An operator assigned to work a complete regular run shall be paid the actual time worked.
- n. Emergency Work: Emergency work is defined as a sudden or unpredictable occurrence (such as a major catastrophe or natural disaster) requiring the transportation of a significant number of people. Dispatch will utilize any available operator(s) on the premises first. If additional operators are needed, dispatch will call operators who have signed for days off and/or operators who are regularly scheduled to work that day.
- Regular Operators Returning to Work: When regular operators return to work, extra-board operators
  who are working those operator sick runs, or other runs, shall be placed back on the extra-board.
- p. Add-ons prior to and at the end of a regularly scheduled shift shall be filled by the current Operator for a maximum period of one and a half (1.5) hours per day. Other overtime assignments will be filled first by volunteers indicating their desire to work overtime in the following order: Full-time Extra Board Operators, Full-time Operators working days off, and Part-time Operators. The Company will attempt to equalize overtime among those persons desiring overtime work.

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#### ARTICLE 32 - ATTENDANCE POLICY

Appropriate standards of attendance ensure that quality service is provided in all areas of our business. When an employee is absent it increases the workload on other employees. This may result in less efficient work results and overtime expenses. Absenteeism not only disrupts workflow, but could also have a negative impact on morale. While an employee may be capable of doing a job, the job is not acceptable if the employee has excessive absences.

First Transit has developed this Attendance Policy in order that both the employee and the company understand what is expected of each other regarding an employee's attendance at work. This policy establishes a principle in which an employee's occurrence of absenteeism is monitored as opposed to the number of days absent from work. There will be occasions when the duration of time an employee is permitted leave will be limited.

By monitoring occurrences, First Transit seeks to more effectively control employee absenteeism by identifying employees with habitual absenteeism problems which affect our ability to serve our customers.

Excessive absenteeism affects the high quality service to our customers and daily operations. When an employee's attendance becomes excessive it reflects negatively on our customers, passengers and your co-workers and it's those concepts that support our Attendance Policy.

#### **Probationary Employees**

New Hire and Probationary employees during their initial 90-day probationary period or company extension will only be permitted to incur a maximum of 2 occurrences. Should a probationary employee exceed this limit their employment will be evaluated for termination for failing to meet the expectations of the probationary period.

#### No Fault Policy

The attendance policy is a **NO FAULT** policy and any time you have an attendance occurrence it will be documented and charged to your attendance record.

#### Required Notifications

All employees are required to notify the dispatch office at <u>1-888-408-6889</u> no later than 2-hours prior to their shift <u>EACH DAY</u> if they are not going to be able to work their shift.

Failing to call off at least 2-hours prior to your shift shall result in the following disciplinary schedule. The disciplinary schedule for failing to call off at least 2-hours prior to your shift within a *12-month floating period* prior to an employee's last occurrence will be as follows:

1<sup>ST</sup> OCCURRENCE

Written Warning

2<sup>nd</sup> OCCURRENCE

Written Warning

3rd OCCURRENCE

3-Day Suspension, Last and Final Warning

4th OCCURRENCE

Discharge

NOTE: In addition to discipline being administered for failing to call out 2-hours in advance an Attendance Occurrence will also be charged to the record of the employee.

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#### No Call / No Show Occurrence

All employees are required to notify the dispatch office at <u>1-888-408-6889</u> no later than 2-hours prior to their shift <u>EACH DAY</u> if they are not going to be able to work their shift.

Failing to report the reason for your absence (including FMLA) before your shift start time or up to 60 minutes after your shift start time will be considered a No Call / No Show.

The disciplinary schedule for No Call / No Show occurrences within a 12-month floating period prior to an employee's last occurrence will be as follows:

1<sup>ST</sup> OCCURRENCE

3-Day Suspension, Last and Final Warning

2<sup>nd</sup> OCCURRENCE

Discharge

NOTE: In addition to discipline being administered for incurring a No Call / No Show. An Attendance Occurrence will also be charged to the record of the employee.

#### **Time Limits**

An employee's attendance will be monitored based on the number of occurrences of absenteeism within a floating 12-month period measured from the employee's last chargeable occurrence.

#### **Absence Definition**

An absence for purposes of this policy is <u>any time</u> which is lost by an employee not reporting for assigned work when scheduled.

#### Tardy (Late Report)

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Tardy for purposes of this policy is defined as a failure of an employee to report to the dispatch window for work more than 59 seconds after their scheduled report time based upon the Official Clock (Time Stamp) in the Dispatch Office.

#### **Disciplinary Schedule for Occurrences**

The disciplinary schedule within a 12-month floating period prior to an employee's last attendance occurrence will be as follows:

10th OCCURRENCE	Third Written Warning
9 <sup>th</sup> OCCURRENCE	Second Written Warning
8th OCCURRENCE	Courtesy Notification
7 <sup>th</sup> OCCURRENCE	First Written Warning
6th OCCURRENCE	Courtesy Notification
5th OCCURRENCE	Courtesy Notification
4th OCCURRENCE	Courtesy Notification
3 <sup>rd</sup> OCCURRENCE	Courtesy Notification
2 <sup>nd</sup> OCCURRENCE	Courtesy Notification
1 <sup>ST</sup> OCCURRENCE	Courtesy Notification

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12th OCCURRENCE

Discharge from Employment

#### **Incorrectly Charged Occurrences**

If an employee believes that occurrence is incorrect the employee will have 7 calendar days from the date of written notice to dispute to the Assistant General Manager or designee and provide a reason why they believe it is incorrect. After 7 calendar days the occurrence will not be corrected and will stand on the employee's record.

#### **Consecutive Occurrences**

First Transit recognizes there may be instances when consecutive days of absence will occur. If an employee is unable to report to work as scheduled for a number of consecutive days, and otherwise complies with all applicable notification requirements, one attendance occurrence will be charged for each 3 days of consecutive absence, as follows:

1st day of absence	Attendance occurrence charged
2 <sup>nd</sup> day of absence	No occurrence charged
3 <sup>rd</sup> day of absence	No occurrence charged
4th day of absence	Attendance occurrence charged
5th day of absence	No occurrence charged
6 <sup>th</sup> day of absence	No occurrence charged
7 <sup>th</sup> day of absence	Attendance occurrence charged
8th day of absence	No occurrence charged
9th day of absence	No occurrence charged
10th day of absence	Attendance occurrence charged

#### Occurrence Reduction Program

First Transit recognizes that during the progressive discipline process associated with the administration of the Attendance Policy, employees are likely to recognize the problems which may be causing their absenteeism.

As an incentive for employees to recognize and deal with the causes and consequences of their absenteeism, First Transit will provide a method by which employees may essentially reduce the "charged" absences by three (3) per a 12-month floating period. Should an employee attend four (4) consecutive Monthly Safety Meetings they will automatically receive a one (1) occurrence reduction. Once you receive this reduction, First Transit will not charge the most recent absence when calculating the number of absences for <u>subsequent</u> discipline. All absences will receive the appropriate discipline; however, if an employee attends every Monthly Safety Meeting, it is possible to increase the number of absences required before termination from 12 to 15.

Critical conditions regarding this reduction program are as follows:

- a) The employee must attend four (4) consecutive monthly safety meetings
- b) Employees may not "reduce" more than three (3) occurrences in a 12-month floating period.
- c) Each occurrence will receive the appropriate discipline. The reduction program will not excuse or remove the discipline which has previously administered; and will remove the newest occurrence on record.
- d) The opportunity to "reduce" a "charged" absence will not be afforded to employees once a seventh (7<sup>th</sup>) occurrence has occurred.

CBA 00046

NOTE: Consecutive meetings will not be broken for employees who are on pre-approved Vacation, or PTO time during the regularly scheduled monthly Safety Meeting.

#### Falsification of Records

Location management has the right to expect employees to provide a truthful and forthcoming reason why an employee will not be reporting to work as scheduled. Falsifying the reasons for your absences you can be subject to discipline up to and including termination in accordance with the Employee Handbook, Section 11.01.

CBA 00047 48

## **ARTICLE 33 - CLIENT CONTRACT TO PREVAIL**

The relevant portions of the contract between the Company and its client under which an employee of the Company performs work shall be incorporated by reference into this Agreement, to the extent only that such provisions impose terms, conditions or requirements upon the Company and/or its employees that are not required under the terms of this Agreement. In a situation in which a provision of this Agreement is in conflict with any of the provisions of said contract or the directives of the customer, the relevant portions of said contract or the customer directives shall prevail for all purposes, notwithstanding the provisions of the grievance procedure. Nothing in this Article shall be construed as subjecting any of the terms of the Company's contract to the Grievance and Arbitration provisions of this Agreement, nor shall anything in this Article be construed as granting any rights or authority to the union to negotiate any of the terms of said contract, this being the sole and exclusive right of the Company. In addition, the Company and the union agree to ensure that the services to be provided under the Company's contract with its client shall be provided without interruption consistent with the other provisions of this Agreement.

CBA 00048

### **ARTICLE 34 - TERMS OF THE AGREEMENT**

Section 1: This Agreement shall be in effect from the November 22, 2010, and shall remain in effect through the November 22, 2015, and shall continue in full force and effect from year to year thereafter unless either party hereto notifies the other party, in writing, on a date not less than sixty (60) nor more than seventy-five (75) days prior to the expiration date of the Agreement or the appropriate expiration date of any extension hereof, of its desire to amend or terminate this Agreement. If the aforementioned notice indicates desire to negotiate changes in any of the provisions of this Agreement, such notice also shall specify the changes desired. Changes in the Agreement shall be limited to those outlined in writing and all items on the Agreement not specifically set forth in the written notice shall be regarded as automatically renewed.

It is the intent of the parties that a successor Agreement to this one shall be completed prior to the expiration date and that all the terms of such successor Agreement be agreed upon without any interruption of the Company's business and without either the Company or the Union engaging in economic activity against the other. The Company and the Union therefore agree to commence negotiations on a successor Agreement sufficiently in advance of the expiration date to allow for settlement to be reached.

IN WITNESS WHEREOF, the parties have executed this Labor Agreement as dated below.

FOR THE COMPANY:	FOR THE UNION
First Transit Inc.	<b>TEAMSTERS LOCAL 631</b>
Indi	John Analysons
Nick Promponas, Senior Vice President	John Phillipenas, Secretary-Treasurer
Allyn Køller, Project General Manager	Zall Clayph
A thy in region, i roject Ocheral ividilagei	Todd Clapper, Recording Secretary
11.73.10	11/23/10
Date	Date

CBA<sub>50</sub> 00049

## APPENDIX A

	Driv	ers Annual Incre	ase		
Hired Before	Jan-11	Jan-12	Jan-13	Jan-14	Jan-15
11/11/2010 >	\$12.55	\$12.81	\$13.13	\$13.52	\$14.06
5/11/2010 >	\$13.37	\$13.64	\$13.98	\$14.40	\$14.98
11/11/2009 >	\$13.81	\$14.08	\$14.43	\$14.87	\$15.46
11/11/2008 >	\$14.12	\$14.40	\$14.76	\$15.21	\$15.81
11/11/2007 >	\$14.79	\$15.08	\$15.46	\$15. <del>9</del> 2	\$16.56
11/11/2006 >	\$15.11	\$15.41	\$15.80	\$16.27	\$16.92
11/11/2005 >	\$15.44	\$15.75	\$16.15	\$16.63	\$17.30
11/11/2004 >	\$16.56	\$16.90	\$17.32	\$17.84	\$18.55
Starting wage >	\$12.55	\$12.81	\$13.13	\$13.52	\$14.06
	Maintenance Cl	assifications - Ar	nnual Increase		
Classification	Jan-11	Jan-12	Jan-13	Jan-14	Jan-15
Utility worker	\$13.44	\$13.71	\$14.05	\$14.48	\$15.06
Tire worker	\$13.67	\$13.94	\$14.29	\$14.72	\$15.30
Mechanic A	\$25.04	\$25.54	\$26.18	\$26.96	\$28.04
Mechanic B	\$21.11	\$21.53	\$22.07	\$22.73	\$23.64
Mechanic C	\$18.45	\$18.82	\$19.29	\$19.87	\$20.67

Payroll Note #1: Their will be one (1) annual wage increase for each of the Driver date categories and Maintenance classifications outlined above that will take effect on the first pay period in January of each noted year shown above.

Payroll Note #2: The Company may assign an employee who, in its honest business judgment, is fully qualified to perform work as a Driver/Trainer and may similarly remove such employee from such assignment. The employee assigned to the Driver/Trainer position shall be paid a differential of \$1.00 per hour in addition to his/her regular rate of pay for all hours worked as a Driver/Trainer.

CBA 00050

## **CERTIFICATE OF SERVICE**

I hereby certify that the foregoing **RESPONDENTS' APPENDIX, VOLUME 1**, was filed electronically with the Nevada Supreme Court on the <u>21st</u> day of February, 2018. Electronic Service of the foregoing document shall be made in accordance with the Master Service List as follows:

Joel D. Henriod, Esq. Daniel F. Polsenberg, Esq. LeAnn Sanders, Esq. Benjamin P. Cloward, Esq.

I further certify that I served an electronic copy of this document on disk by mailing a true and correct copy thereof, postage prepaid, addressed to:

Charles H. Allen, Esq. Charles Allen Law Firm 950 E. Paces Ferry Road NE Suite 1625 Atlanta, Georgia 30326

/s/ Leah Dell

Leah Dell, an employee of Marquis Aurbach Coffing

## DAECHER

2010 Market Street Camp Hill, PA 17011

CONSULTING GROUP

Ph: 717.975.9190 Fax: 717.975.3996

July 24, 2014

Ms. Taylor Trujillo Alverson Taylor Mortensen & Sanders 7401 W. Charleston Blvd. Las Vegas, NV 89117-1401

RE: Chernikoff v. First Transit, Inc. et al.

Ms. Trujillo:

Subsequent to my initial report concerning this matter (dated June 25, 2014), I have performed additional tasks and reviewed additional materials. More specifically, I conducted an inspection of an exemplar bus, and reviewed the June 27, 2014 report authored by Mr. Ned Einstein. This will report will serve as a supplement to my initial conclusions.

Inspection of an exemplar bus recently operated by Mr. Jay Farrales (Farrales) indicated that Mr. Harvey Chernikoff (Harvey) would not have been visible in either interior, rearview mirror after he slumped over into the aisle (see photos 1 & 2 below). This finding is consistent with Mr. Farrales' testimony, as well as video evidence showing that Mr. Farrales had to significantly change his viewing angle when attempting to locate Harvey when he was in this position.

More importantly, Photo 1 shows that Harvey would not be visible at all in the windshield-mounted interior rear view mirror. In his report, Mr. Einstein references a magnitude of times that driver Farrales should have seen Harvey ealing and/or slumped over in his mirror(s) while scanning those mirror during defensive driving practices. What Mr. Einstein fails to recognize is that defensive driving concepts apply to the driving function, not a passenger monitoring function. As he also notes in his report, when extensive passenger monitoring is required, personal care attendants or aids are utilized. Defensive driving education and practices focus on awareness of spaces around the vehicle being driven, as well as location of other vehicles in reference to that vehicle. Mirror scans addressed in accomplishing this task include checking side view mirrors and the windshield-mounted rearview mirror. Scanning/monitoring passenger compartment mirrors located significantly above the roadway plane are not included in defensive driving practices; in fact, doing such would be counterproductive to driving a vehicle safely and avoiding collisions and roadway conflicts.

Ms. Taylor Trujillo July 24, 2014 Page 2 of 4

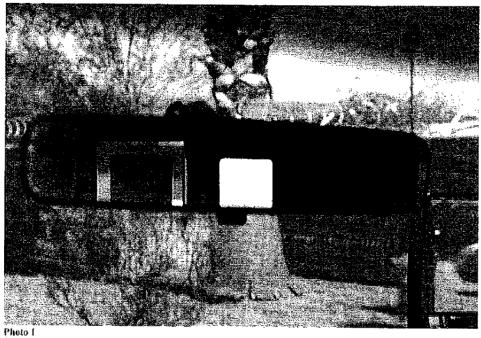




Photo 2



Ms. Taylor Trujillo July 24, 2014 Page 3 of 4

In reviewing Mr. Einstein's report, it is clear that many of his opinions and conclusions, which were repeated time and time again, are based upon assumptions, unsubstantiated claims, erroneous 'facts', misinterpreted or misconstrued testimony, or information contrary to testimony. Instances include, but certainly are not limited to:

- The assumption that Jay Farrales should have/could have seen Harvey eating;
- The assumption that Harvey ate on the bus before;
- The misconstrued testimony that Jay Farrales allowed select persons to eat on the bus;
- The assumption that Farrales could see Harvey slumped over in the mirror;
- The assumption that, somehow, Farrales was to know Harvey had choked (even if he did);
- That CPR and First Aid training is typically provided by transportation companies;
- That the Chernikoffs' never received the RTC Rider Guide;
- That Farrales failed to quickly notify dispatch after he determined Harvey to be unresponsive;
- The misinterpretation of ADA requirements and related First Transit policy regarding assisting passengers;
- Assumptions regarding the operation of first Transit's dispatch and communication systems; and
- Conclusion that Jay Farrales was not a defensive driver.

Additionally, it should be noted that Mr. Einstein offers conclusions or opinions that clearly lie outside his experience and expertise area.

Ms. Taylor Trujillo July 24, 2014 Page 4 of 4

#### Conclusions

Additional tasks performed in this matter have not altered or affected any of my previously stated opinions or conclusions. However, I do have one additional opinion to offer:

 If Jay Farrales had paid as much attention to passenger behavior, as is suggested he should per Mr. Einstein's report and conclusions, the risk of being involved in a collision would have risen substantially.

Yours truly,

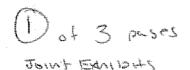
Matthew Daecher

Transportation Safety Specialist

Accredited Accident Reconstructionist

	Date Offered	Objection	Date Admitted
A1- Operator Incident Report	FEB 2 5 2016	No	FEB 2 5 2016
	FEB 1 3 2016	NO	FEB 1 3 2016
of Harvey Chernikoff	74	Same and still	
A2-1-Poster Board	FEB 2 5 2016	NO	FEB 2 5 2016
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Aa-3-""			
A2-4-"			
AQ-5-"	6	# ************************************	
A2-6-"		10 00 00 00 00 00 00 00 00 00 00 00 00 0	
Aa-7-"			
A2-8-"			
A3-Records from C.C. Coroner's Office	FEB 1 9 2016	NO	FEB 1 9 2016
A4- Report of Investigation from C.C.			
Coroner/medical Examiner			
	FEB 2 4 2016	NO	FEB 2 4 2016
	FEB 2 2 2016	NO	FEB 2 2 2016
A	FEB 2 6 2016	100	FEB 2 6 2016
Training Matric		l	
	FEB 2 6 2016	100	FEB 2 6 2016
Training Requirements	1	1	1
A9- " Collective	FEB 2 6 2016	NO	FEB 2 6 2016
Bargaining Agreement	1	1	
Alb-Jay Farrales Personnel File	FEB 2 6 2016	NO	FEB 2 6 2016

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	Date Offered	Objection	Date Admitted
All-Jay Farrales' Medical Examination	FEB 2 6 2016	NO	FEB 2 6 2016
Reports for Commercial Driver	B	7	
Fitness Determination			
A12-" "Application for	FEB 2 6 2016	NO	FEB 2 6 2016
Employment with Laidlaw			(
	FEB 2 6 2016	ND	FEB 2 6 2016
Personnel File			
A14- Documentation regarding Jays	FEB 2 6 2016	ND	FEB 2 6 2016
Farrales Safety Classes & Fests			
A15- Driver Manifest for Bus 1790	FEB 2 5 2016	NO	FEB 2 5 2016
on July 29, 2011		(	1
the control of the co	FEB 2 6 2016	Ø	FEB 2 6 2016
Southern Nevada & Laidlaw		İ	
Transit Services Inc. dated 3/8/07	copposite and the second secon		
A17- Harvey Chernikoff's Records from	1		
the PTC of Southern Nevada	*		
A18- Photograph of Signage on Bus	EB 2 2 2016	NO	FEB 2 2 2016
A19- Thirty Eight (38) Bus Inspection			
Photographs taken by Expert			
(00001-00003)			
A19 - Photo (00004)	FEB 2 5 2016	NO	FEB 2 5 2016
A19 - Photos (00005-00025)			
A19- Photo (ccoau)	FEB 2 5 2010	00	FEB 2 5 201

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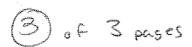
Joint Exhibits

# JOINT EXHIBITS

# CASE NO. A 682706

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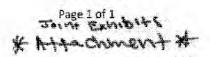
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JOINT EXHIBITS

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Ą	1	Operator Incident Report [A1 - 00001]	FEB 2 5 2016	170	FEB 2 5 201
		Disc containing video footage of Harvey Chernikoff on a			
A2 `	1	First Transit vehicle on July 29, 2011	12-19-16	IM	2719-16
	$\Lambda_{i}$	Records from the Clark County Coroner's Office (A3 -		نمدا	12.270
A3.	, y <sup>2</sup> 1	00001 - 00021]  Report of Investigation from the Clark County	19-14	$+ \nu \nu$	3/4/1/
0.4	9	Coroner/Medical Examiner [A4 - 00001 - 00009]			
A4		Records from the Clark County Fire Department (A5			4
A5	3	00001 - 00003]	FEB 2 4 2016	NO	FEB 2 4 2016
A6	12	RTC Paratransit Guide [A6 - 00001 - 00012]	FEB 2 2 2016	100	FEB 2 2 2016
		First Transh, Inc.'s Las Vegas Operator Training Matric [A			
A7.	3	00001 - 00003]			
		First Transit, Inc.'s Minimum Training Requirements [A8	X		
A8	1	00001]			1
		First Transit, Inc.'s Collective Bargaining Agreement (A9 - 00001: 00050)			1.5
A9	50	±00001: 00050j			+
A10	133	Jay Farrales' Personnel File [A10 - 00001 - 00133]			
7.120		Jay Farrales' Medical Examination Reports for			
		Commercial Driver Fitness Determination, dated June 2,			Tarania in in
		2006, May 24, 2008, and May 21, 2010 A11 - 00001 -			
A11	8	00008			gas to see
		Jay Farrales' Application for Employment with baidlaw			
A12	16	Transit Services [A12 - 00001 - 00016]			
		Supplement to Jay Farrales' Personnel File [A13 00001		100 151	
A13	34	00034	N		1 0
414	110	Documentation regarding Jay Farrales' Safety Classes and Tests [A14 - 00001 - 00110]		100	
A14	170	Driver Manifest for Bus 1/90 on July 29, 2011 [A15			
A15	<b>.</b>	00001-00005)	FEB 2 5 2016	NO	FEB 2 5 2016
	1900		1		
		Confract between Regional Transportation Commission		1	
-		of Southern Nevada and Laidlaw Transit Services, Inc.,	FEB 2 6 2016	W	FEB 2 6 2016
A16	110	dated March 8, 2007 [A16 - 00001 - 00110]	LUZVEN	14	LED E O COM
	/	Harvey Chernikoff's records from the Regional Transportation Commission of Southern Nevada [A17 -		1	
A17	/	00001 - 00007]			$\land$
A18	/1	Photograph of signage on Bus 1790 [A18 - 00001]	FEB 2 2 2016	100	FEB 2 2 201
740	/ <del>:</del>	A19-00004 + 00024			
- X		Thirty Eight (38) Bus Inspection photographs taken by	FEB 2 5 7016	NO	FEB 2 5-2016
A19/	38	Defendant's expert Matt Daecher [A19 - 00001 - 00038]	LCD L 3 LOW	NY	1.22.2.3.40%
120		Death Certificate [20 - 00001]			
A20	1	Dearn Certificate [20 - 00001]			:



# PLAINTIFF'S EXHIBITS

# CASE NO. A 682726

	Date Offered	Objection	Date Admitted	
1- First Transit Inc's, Minimum				
Hiring Standards			·	
2-2010 First Transit Employee	FEB 1 9 2016	NO	FEB 1 9 2016	
Handbook		aaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaaa	1	
3- LVMPB Incident Report				
(3-00001 - 3-00008)				
- 3-00009 - Voluntary Statement	FEB 2 5 2016	NO	FEB 2 5 2016	ONE PAGE
4- Net Claim Summary Form				
5- CAD Incident Report + Disc		10000000000000000000000000000000000000		
ω 911 Calls				
6- RTC of Southern Nevada				
Documents				
7- 14 Color Photographs of Harvey's	FEB 1 9 2016	NO	FEB 1 9 2016	
Life		1		
8- Harvey Chernikoff Eulogy				
9 - Jan Farrales Personnel File				er-manuschilder ger
- 9-00001-9-00050.				
- 9-00051	FEB 2 5 2016	ND	FEB 2 5 2016	
-9-00052-00133				
10- Ferrales Employee File				
11- Ferrales Safety				
12 - Photograph of Signage on Bus				
13- Photos of Decedent (4 photos)	FEB 2 3 2016	NO	FEB 2 3 2016	)

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## ATTACHIMENTS

#### **PLAINTIFFS' EXHIBITS**

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PLAINTIFFS' EXHIBITS

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Exhibit#	# of PAGES	DESCRIPTION	offered	083	I Admit
$-\lambda$		First Transit, Inc.'s Minimum Hiring	l Ítalia		
V	1	Standards [0001 - 00001]		1	
		2010 First Transit Employee Handbook			
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	$1 \times 1$	LVMPD Incident Report (0003 - 00001 -		/	
3	1 7/4	EVMPD Incident Report [0003 - 00001   00004]   000004   000004	1	<i>/</i>	
		Net Claim Summary Form [0004 - 00001 -			
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		and the disc with the 911 calls (0005	/		
5	1	00001]			
		Regional Transportation Commission of			
		Southern Nevada Documents (0006 -			
6	971	00001 - 009711			
		14 Color Photographs of Harvey's Life			
7	14	[0007 - 00801 - 00014]	01916	mi	2-19-16
_					
8	1	Harvey Chernikoff Eulogy [0008 00001]			
9	133	Jay Fernales Personnel File			
10	,	Formules Employee File			
10		(A10-00008)			
	110 /	Ferrales Safety			
12		Photograph of Signage on		No.	
13	/4	Photos of Decemberat	FEB 2 3 2016	NÓN	FEB 2 3 2016
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## PLAINTIFFS' EXHIBITS

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		PLAINTIFFS' EXHIBITS		
Exhibit #	# of PAGES	DESCRIPTION	OBJECTION	ADMITTED (YES/NO)
		First Transit, Inc.'s Minimum Hiring		
1\	1	Standards [0001 - 00001]	Def.'s Object	•
		2010 First Transit Employee Handbook		
2	80	[0002-00001-00080]	Def.'s Object	
		LVMPD Incident Report [0003 - 00001 -		
3	<b>`</b> ¥	00004]	Def.'s Object	
		Net Claim Summary Form [0004 - 00001 -		
4	2	00002]	Def.'s Object	
		The CAD Incident Report 1107290001082	<b>/</b>	The second secon
		and the disc with the 911 calls [0005		
5		00001]	Def.'s Object	
		Regional Transportation Commission of		
6	971	Southern Nevada Documents [0006 - 00001 - 00971]	. (1	
U	371		Def.'s Object	
		14 Color Photographs of Harvey's Life		
7	14	[0007 - 00001 - 00014]	Def.'s Object	
8	1	Harvey Chernikoff Eulogy [0008 - 00001]	Def.'s Object	
9	133	Jay Ferrales Personnel File	Def.'s Object	
		Ferrales Employee File 00008 ( A10-	X	
10	1	00008)	Def.'s Object	
	/	Ferrales Safety 00017 ( A14-00017-A14-		
11	/110	00018)	Def.'s Object	<b>L</b>
1		Photograph of signage on Bus 1790 [A18 -		
12/	1	00001]	Def.'s Object	
13/ر	24	Photos 0013-00024 -0001-00024	Def.'s Object	

## **DEFENDANT'S EXHIBITS**

CASE NO. A682726

	Date Offered	Objection	Date Admitted
A- Disc Containing Video Footage			
of Harvey Chernikoff 7/27/11		anadamin'ny fivo vonance along the state of	
B. Laidlaw Transit Services'			
Employee Handbook			
C- Harrey Chernikoff's Records		***************************************	
from Transition Services			
6- Harvey Chernikoff's Records			
from State of Nevada Desert		·	
Regional Center			
E- "Records			
from San Gabriel/Pomona			
Regional Center			
F- Harvey Chernikoff's Records			
from Gautham Reddy M.S.			
- 000010			
- 00011-00014	FEB 2 4 2016	7	FEB 2 4 2016
- 06015 - 00020	FEB 2 4 2016	NO	FEB 2 4 201
-000a1-000a4			
- 00025 - 00027	FEB 2 4 2016	ND	FEB 2 4 2016
- 00028 - 00043			
-00044-00045	FEB 2 4 2016	NO	FEB 2 4 2016
- 00046-0008D			
- 00081	FEB 2 4 2016		FEB 2 4 2016

\* F are admitted as individual pages reflected above.

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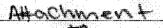
## **DEFENDANT'S EXHIBITS**

CASE NO. <u>A682726</u>

	Date Offered	Objection	Date Admitted
F- Cont. 00082-00177			
G- Title 49 Part 37 of the Code			
of Federal Regulations			
H- Medical Records of Elaine		·	
Chernikoff from Gautham Reddy			
I- Medical Records of Jack			
Chernikoff from Gautham Reddy			and his good account over the next shall of our car car through the behavior
J- Jack Chernikoff's Medical			
Records from Ricardo Fontillas			
K- First Transit Video Recording			
Records & Retention Policy	·	***************************************	
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## **DEFENDANTS' EXHIBITS**

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Exhibit#	# of PAGES	DESCRIPTION .	OFFERED	Cobjected	Admitted
		. Olacicontalging video (cottage a s	1		
	10.13	Harvey Chernikoff on a First Transit			
Exhibit "A."	1.	vehicle on July 27, 2011	1		
		Laidlaw Transit Services' Employee			
Exhibit "B"	723	Handbook [B - 00001-00023]			
	, 'N	Harvey Chernikoff's Records from	-	1	
Exhibit "C"	12	Transition Services [C - 00001-00012]			
2500000		Harvey Chernikoff's records from the			
		State of Nevada Desert Regional			
Exhibit "D"	66	Center (D - 00001-00066)			
	7.7.	Harvey Chernikoff's records from the			
		San Gabriel/Pomona Regional Center	. <i>ایر</i> ا		
Exhbit "E"	448	[E - 00001-00448]			50 50 50 50 50 50 50 50 50 50 50 50 50 5
		Harvey Chernikoff's records from			
		Gautham Reddy, M.D. [F - 00001-	FEB 2 4 2016	No	FEB 2 4 2016
Exhibit "F"	177	FIS-FOO 001771 Only 60007	( ),	190	1 ED Z 4 Z010
		Title 49 Part 37: of the Code of Federal			
Exhibit "G"	90	Regulations [G - 00001-00090]			
	,	Medical Records of Elaine Chernikoff			
	aring) mala	from Gautham Reddy, M.D. [H -		1 1	
Exhibit "H"	226	00001-00226]			
	7	Medical Records of Jack Chernikoff			
		from Gautham Reddy, M.D. [I - 00001-			
Exhbit "I"	<b>/\$28</b>				
<i>J</i>	/	Jack Chernikoff's Medical Records			
		from Ricardo Fontilias, M.D. [J -			•
Exhjibit "J"	11	00001-00011]	e e e e e e e e e e e e e e e e e e e		
	4	First Transit Video Recording Records			garana da 1866 br>Da capacida da 1866 da Da capacida da 1866 d
Exhibit "K"		& Retention Policy (K - 00001)			

\* \* Attadament to Deft Exhibits \*\*

## COURT'S EXHIBITS

## CASE NO. A682726

	Date Offered	Objection	Date Admitted
Plaintiff's Power Point presentation	2/19/16		2/19/16
2. Juror note #8 (not asked)	2/19/16	::	2/19/16
Juror note #4 (asked and answered)	2/19/16		2/19/16
Juror note #8 (asked and answered)	2/19/16		2/19/16
5. Juror note #8 (asked and answered)	2/19/16		2/19/16
6. Deft's Power Point presentation	2/19/16		2/19/16
7. Juror Question #4 (Not asked)	FEB 2 3 2016	NO	FEB 2 3 2016
8. Juror Question #4 (Not asked)	14		( "
9. Juror Question #4 (Not asked)			
10. Jurar Guestion #8 (Not asked)			
11. Juror Question #8 (Asked + Answere)			
12. Juror Question * 4 (Asked + Answered)	FEB 2 4 2016	NO	FEB 2 4 2016
13 Juror Question #10 (Asked & Answered)	FEB 2 4 2016	NO	FEB 2 4 2016
14. Juror Question #8 (Asked + Answered)	FEB 2 6 2016	NO	FEB 2 6 2016
15. Juror Grustion #8 (Asked & Answered)	N		11
16. Juror Question #4 (Asked + Answered)			
17. Juror Guestion #3 (Asked & Answered)			
18. Juror Question #4 (Asked & Answered)			
19. Juror Question \$10 (Asked & Answered)			
20. PHF's Proposed Instruction-Not Given			
21. PITE'S Closinex Aroument Power Point		No	
22. Deft's Closing Argument Power Point		NO	

T:\DEPT 23\A682726 Chernikoff v. 1ST Transist\COURTS EXHIBIT

LIST.doc2/19/2016

## JOINT TRIAL EXHIBIT A1



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Operator Incident Report
Operator Name: VAY FARRALES Date: 7-19-1/ Time: 080/-0820
Passenger Name: HACVEY CHERNIKOFFRoute: 567/ Vehicle Number: 1990
Passenger Related
☐ Disruptive Behavior ☐ Incorrect Address or Information on Manifest ☐ Fare Issue (Short or No Pay)
☐ Client on board too long ☐ Bag Policy Violation ☐ Other:
If passenger is listed as PSREQ, did you follow the passenger's Plan of Support?:
Radio / Window Dispatch Related
□ No Response       □ Unprofessional Conduct       □ Did not Help with Fuel or Break         □ No Show Communication       □ No Route Assistance       □ Other:
Vehicle Related
□ Seatbelts on Floor □ Unreported Damage. □ Rubbish in Coach □ □ Other
Accident / Injury Related
☐ Vehicle Accident ☐ Passenger Accident ☐ Other:
Other Concern (please describe): PASSENGER WAS DESERSED 111129 11 11100
ditional Pertinent Information (State facts only / Refrain from personal comments or opinions):    DROPED OFF SOMEBOOY AT (FUGE) 3010 RIGEL AV AT
4801. ON MY WAY TO DROPPEF HARVEY CHEPLUKOFF HESWAS
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## JOINT TRIAL EXHIBIT A3

CERT ALVERSON, TAYLOR. **MORTENSEN & SANDERS** LEANN SANDERS, ESO. Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Boulevard Las Vegas, Nevada 89117 (702) 384-7000 Attorneys for Defendants DISTRICT COURT CLARK COUNTY, NEVADA The Estate of HARVEY CHERNIKOFF, Deceased; by CASE NO. A-13-682726-C JACK CHERNIKOFF as personal representative, DEPT. NO. XXIII individually and as heir; ELAINE CHERNIKOFF individually and as heir, Plaintiffs, FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC. d/b/a FIRST TRANSIT; JAY FARRALES; DOES 1-10, and ROES 1-10, inclusive, Defendants. **CERTIFICATE OF CUSTODIAN OF RECORDS** (To be Completed whether records are attached or IF there are NO records) STATE OF NEVADA ) ) ss. COUNTY OF CLARK ) NOW COMES the undersigned who, after being first duly sworn, deposes and says: That the deponent is an employee of Clark County Coroner Office, and in such 1. capacity, is the custodian of records for that office, entity, and/or institution. CLARK COUNTY CORONER 00001 JOINT IFICATION **EXHIBIT** 

	2.	That this depo	onent was se	rvec	i with an Auth	orization for the Re	lease of	Pr	otected
-	Health Info	rmation in conne	ction with tl	ne a	bove-entitled	and numbered mat	ter, calli	ing	for the
	production	of information	pertaining	to	HARVERY	CHERNIKOFF,	Date	of	Birth:

### PLACE AN "X" BELOW IF PROVIDING RECORDS

Social Security No.

X RECORDS ATTACHED on 8-13-2014

- 3. That this deponent has examined the original of those records/information/files and has made a true and exact copy of them, and that the production of same attached hereto is true and complete.
- 4. That the original of those records was made at or near the time of the acts, events, conditions, or circumstances recited therein by or from information transmitted by a person with knowledge in the course of a regularly conducted activity of the deponent or the office (or entity) in which the deponent is engaged.

OR, IN THE EVENT NO RECORDS WERE FOUND PLACE AN "X" BELOW:

\_\_\_\_NO RECORDS FOUND

DATED this 2nd day of February , 2016

Print Name: Nicole Courto

SUBSCRIBED and SWORN to before me

this 2 day of February, 2016

NOTARY PUBLIC
In and for said County and State

n:\leann.grp\cases\19969\records requests\cor\harvery\clark county coroner.doc



CLARK COUNTY CORONER 00002

Clark County Coroner 1704 Pinto Lane Las Vegas, NV 68106 (702) 455-3210



## **AUTOPSY REPORT**

Case Number: 11-86667

July 30, 2011

#### POSTMORTEM EXAMINATION ON THE BODY OF

#### Harvey Chernikoff

Date of Birth:

Age: 51

CASE NO: 11-6667

EXAM DATE: 7/30/11

EXAM TIME: 0900 hours

Sex: N

Ht: 63"

Wt: 128 lbs. EXAM TIME:

1600

MD: Daniel Lingamfelter, D.O.

DATE OF DEATH:

7/29/2011

TIME OF DEATH: 0830 hours

#### GROSS EXAMINATION: GENERAL EXAMINATION

The body is that of a nermally-developed, well-nourished, adult White male, who weighs 128 lbs, is 63 inches in length, and appears compatible with the reported age of 51 years. A Clark County Coroner identification tag is around the right great toe.

The body is received clad. See property inventory list. The clothing is released with the body.

#### EXTERNAL EXAMINATION

There is good preservation in the absence of embalming. Rigor mortis is fully developed in all extremities and the jaw. Unfixed dark pink-purple lividity extends over the posterior body surfaces, except in areas exposed to pressure. The deceased has dark blonds, wavy scalp hair averaging 1 inch in maximum length. The irides appear blue; the corneae are clear, and there are no petechiae of the bulbar or palpebral surfaces of the conjunctives. The ears, nose, lips, and external auditory canals are unremarkable. The mouth has natural teeth

Dissemination is restricted. Secondary dissemination of this document is prohibited.

CLARK COUNTY CORONER 00003

Clark County Coroner 1704 Pinto Lane Lás Vegas, NV 89108 (702) 455-3210



### **AUTOPSY REPORT**

Case Number: 11-06667

PAGE TWO

in good condition. The decedent is clean shaven. The external genitalia and perineum are unremarkable.

Approximately 50 grams of tan, partially masticated food is impacted within the oral cavity and upper airway. A strong peanut butter odor is noted. The food is removed and photographed for documentation.

Besides the evidence of injury to be described, the remainder of the external examination of the body is unremarkable.

EVIDENCE OF MEDICAL THERAPY

There is no evidence of medical therapy.

EVIDENCE OF INJURY

A 3/4 inch purple-gray contusion is on the left elbow.

A 2 3/4 inch pink-gray contusion is on the lower anteromedial right thigh.

#### TOXICOLOGY

'FINDINGS: No drugs identified.

Dissomination is restricted. Secondary dissomination of this document is prohibited.

CLARK COUNTY CORONER 00004

Ciark County Coroner 1704 Pinto Lane Las Vegas, NV 89108 (702) 455-3210



### **AUTOPSY REPORT**

Case Number: 11-06667

PAGE THREE

#### FINAL DIAGNOSIS:

- I. Choking:
  - A. Large, impacted food bolus (50 grams) recovered from the oral cavity and upper airway.
  - B. History that subject was discovered unresponsive on a bus while eating.

OPINION: It is my opinion that Marvey Chernikoff, a 51-year-old White male, died as a result of choking.

MARNER OF DEATH: ACCIDENT.

DL/kmo/amu

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CLARK COUNTY CORONER 00005



#### NMS Labs

CONFIDENTIAL

3701 Weish Road, PO Best 433A, VESow Grave, PA 18090-0437 Phone: (215) 657-4880 Fasc (215) 637-2972 o-moli: nms@emplaba.com Ribert A, Missleberg, PhD, DABFT, DASCO-TC, Leberatory Director

**Toxicology Report** 

Report Issued 08/08/2011 11:01

Patient Name Patient ID

CHERINKOFF, HARVEY

Chain

11-8887 11339385

Age Gender Workerder 51 Y Male 11203805

Page 1 of 4

10294 Clark County Corener's Office Alln: Bill Gazza 1704 Pinto Leno Las Vegas, NV 89108

Positive Findings:

Gambasind Sodium (Vitrepus Fluid) Potasātum (Vitrepus Fluid) Chloride (Vitreous Fluid) Urba Mitrogen (Vitreous Fluid) Creatinino (Vitreous Fluid)	Result 130 15 110 18 0.ep	Linits mmol/L mmol/L mmol/L mg/dL etg/dL	Matrix Source Vitreous Fluid Vitreous Fluid Vitreous Fluid Vitreous Fluid Vitreous Fluid	
---	--	--	--	--

See Detailed. Findings section for additional information

#### **Testing Requested:**

Analysia Còdo	Description
1919PL	Electrolytiqs and Glucose Panel (Villagus), Fluid (Forensic)
80558	Postmortem Textoology - Basic Pius, Blood (Forensic) (CSA)

#### Specimens Received:

1D Tube/Container	Volume# Maga	Collection Date/Time.	Matrix Source	Miscellaneous Information
001 Grey Yap Yube	9.5 mL	07/30/2011 09:00	Heart Blood	
002 Grey Yap Yube	8.6 mL	07/30/2011 09:00	Reart Blood	
003 Red Vial	2.75 mL	07/30/2011 09:00	Vitreous Fluid	
004 White Fizatic Container	15 mL	07/30/2011 09:00	Urins	

All sample volumes/weights are approximations.

Specimens received on 08/02/2011.

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CLARK COUNTY CORONER 00006



CONFIDENTIAL

Workerder Chein Patient ID

11203805 11339385 11-6987

Page 2 of 4

#### Detailed Findings:

Anziyals and Comments	Result	Units	Rpt. Limit	Specimen Source	Analysis By
Sodium (Vitrous Fluid)	130	mmol/L	80	003 - Vitraous Fluid	Chemistry
Potaspium (Vitrodys Fluid)	11	mmoVL.	1.0	003 - Vitreous Fixed	Analyzar Chemistry
Chlorido (Vitreous Filuid)	110	- Atomm	70	003 - Vitreous Fluid	Analyzer Chemistry Analyzer
Glucosa (Vilracus Fluid)	None.Detected	mg/dL	35	003 - Vitreous Fluid	Chemistry Analyzer
Urea Nitrogen (Vitreous Pluid)	18	mg/dl_	3,0	003 - Vitreous Fluid	Chemistry Analyzer
Creatinine (Vitreous Fluid)	0.50	mg/dl.	0.50	003 - Vitreous Fluid	Chemistry Analyzer

Other this the above findings, examination of the speciments) submitted did not reveal any positive findings of textologiest significance by procedures outlined in the accompanying Analysis Summary.

#### Reference Comments:

- Chloride-(Vitrecus Fixed) Vitrecus Fixed: Normat: 105 - 138.nimpets.
- Crostinino (Vitresus Fiuld) Vitreous Fiuld: Normat 0.6 - 1:3 mg/dL
- Glicose (Vitracus Fixis) Vitracus Fixis: Normat: <200 marti.</li>

Postmontant vitrocus; glucose concentrations >200 mg/dL are essociated with hyperphycemia.

Since postmortem vitreous glucose concentrations decline repidly effer death both in vivo enti in vitro, care should be taken in the interpretation of results. Stability of vitreous glucose for up to 30 days has been noted by NHS Latis when specimens are maintained frozen (-20°C).

- 4. Polassium-(Vitreous Fluid) Vitragus Fluid:
  - Nomat <18 mmstl.
- 5. Sodium (Vårecus Fluid).- Värecus Fluid:
  - Honnet 135 150 mms/L
- Ures Nitrogen, (Mireous Fluid) (YUN) Vitreous Fluid: Normat: 8 - 20 mg/dl.

#### Sample Comments:

001 Physician Politicioglist Name: LINGAMFELTER

Unless atternate afrangements arb made by you, the remainder of the submitted apacimens will be discurded thirteen (13) mentits from the date the enablyses were performed. Chain of custody documentation has been maintained for the enablyses performed by NMS Latis.

v.E

CLARK COUNTY CORONER 00007



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Workerder Chain Patient ID 11203806 11339385 11-6867

Page 3 of 4

Workerder 11203805 was electronically signed on 08/08/2011 10:05 by:

Leura M. Lebay, Ph.D., DABFT, DABCC-TC Forensic Toxicologist

#### Analysis Summary and Reporting Limits:

Acode 1919FL - Electrolytes and Glucoso Panel (Vitracus), Fluid (Forensic) - Vitracus Fluid

-Analysis by Chemistry Analyzer for:

Genocual Chloride (Vitreous Fluid) Creatinina (Vitreous Fluid) Glucose (Vitreous Fluid) Rat. Limit 70 mmoi/L 0.60 mg/dL 36 mg/dL <u>Compound</u> Polassium (Vitreaus-Fluid) Bodium (Vitreaus Fluid) Urea Nitrogen (Vitreaus Fluid) Ret. Limit 1.0 mmol/L 80 mmol/L 3.0 mg/dt

Acodo 521678 - Buprencrphino and Melabolita - Free (Unconjugated) Confirmation, Blood (Forensia) - Heart Blood

-Analysis by High Performance Liquid Chromelography/Tendem Mass Spectrometry (LC-MS/MS) for:

<u>Comnound</u> Buprenorphine - Free Rot. Limit 1.0 ng/mL Compound Norbuprenorphine - Free Rot. Limit 1.0 ng/mi.

Acodo 50558 - Posimeriem Toxicology - Basic Flus, Blood (Forensic) (CSA) - Heart Blood

-Analysis by Einzyma-Linked Immunosorbent Assay (ELISA) for:

Comstand
Amphatamines
Sarbituretes
Benzodiszepines
Cannabinoids
Cocaine / Metabolites

Hat. Limit 20 ng/ml. 0.040 mog/ml. 100 ng/ml. 10 ng/ml. 20 ng/mt. Compound Methodona Opiates Phenoyolidina Proposyphena Rot. Limit 26 ng/mi. 20 ng/mi. 10 ng/mi. 50 ng/mi.

-Analysis by Enzyme-Linked Immunoscribent Assay (ELISA) for:

<u>Compound</u> Sellcylates Bot Limit 120 mog/ml Compound

Hot Limit

-Analysis by Enzymo-Linkeit Immunoserbent Assey (ELIBA) for:

Compound

Ret. Limit

Compound

Rot Limb

Euprenorphine / Metabolite

0,50 ag/mL

-Analysis by Headspace Gas Chromolography (GC) for:

Compound Actions Ethanol Ret. Limit 1.0 mg/dL 10 mg/dL Compound Isopropend Methenol Rat\_Limit 1.0 mg/dL 5.0 mg/dL

-Analysis by High Performance Liquid Chromatography (HFLC) for:

Companied (buprefers Ret. Limit 3.0 mog/ml. Compound

Rot. Limit

**4.8** 

CLARK COUNTY CORONER 00008



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Workorder Chain Patient ID 11203805 11339385 11-6897

Page 4 of 4

Analysis Summary and Reporting Limits:

-Análysis by High Performance Liquid Chromatography/Tendem Mass Spectrometry (LC-MS/MS) for:

Compound Pentanyl

Rot\_Limit 0.10 ng/ml. <u>Commound</u> Norfestanyl

Ret. Limb 0.20 ng/mL

**8.**9

CLARK COUNTY CORONER 00009

Cherinkoff, Harvey 11-6667	
RE	CORD OF EXAMINATION
M-5) 07/30/2011 CCOCME CL	ARK COUNTY CORONER
EMP#	EXAMINATION
Name: Clearni ka FF, Horbey	Under 18 #0 Case # //- 06667
Pathologisti <u>Crugaudéllar</u>	Forensie Tech: Jolk Circ. Tech: Januar
Date of Examination: 7.334//	Time of Examination:
	Bram: No Forensic Interest:
Cause of Death is Pending : Texicology	Microscopie Puriher Studies Police Investigation
Others	
Rospital X-rays Ex	RADIOGRAPHY amination'X-rays No X-rays Taken
	CE COLLECTED/IMPOUNDED
DNA Dieter L. None	ONA SPECIMENS
Diver Diverted	aken, Caunot Yield Conventional Specimen
	CERTIFICATION
Cause of Death:	
Due to:	
Due to:	
Other Significant Conditions:	
How Injury Oceanred: Chakes on food	belus
Date of Injury: 7-24-1/ Time of Injury	: 0820 Location of Injury: C. france Ave Transf Bus #178
Manner of Death; A table t	
ME QA Review By:	External QA Review By:
	DATE:
CAUSE OF DEATH IS DUE TO COMMUNIC	able disease: 🛘 yes 🔎 no
	TOXICOLOGY
Taxleology Speciments:     No Speciment Subs	nitted C No Tox Requested C Specimens on Forensic Hold
	C openings on Forestic Hold
Submit Date: 7 · 30 · 4	
Released: Held Resson for Hol	<u>DISPOSITION</u>
DC Tarned 8-1-11 (9) Initial	- ani

•	20B 10/8/57 CASE # 11- 06667
NAME Charaikaff Hanny	DATE OF DEATH 7.29.4 0830
	DATE OF INSPECTION 7.38 4 200
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. 11	CAUSE OF DEATH: Cheling
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`\\$/	Mànner of Death:
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\ <b>T</b> / ·	Signature
<u> ١٠١٠ .</u>	TB' MAL TI-BEC

	POSTMORTE	EM TOXICOLOGY	
LABS  Esotetic Toxicology - The Greenste-Toxicology - Color Cherinkoff, Harvey	3701 Welsh Rd P.O. Box 433A Willow Grove, Pennsylvanja 19090 (215) 657-4900 (800) 522-6671 F/ www.nimslabs.com waveninslabs.com inspectic Orug Manitoring - Endocrinology 7, institute - Elementary for Environmental	AX: (215) 657-2972	11339385 1111111 200mino. 10294
066 M-51 07/30/201)	CCOCME ATTION OR AFFIX LO. L.	AGE SEX CL	ACCOUNTY CORONER'S OFFICE A PINTO LANE VEGAS, NV 89108
Geneise Ottob	Discus Special Discussion Discussion Special (Add. chings)	an DAVA CHemicito	PP NAT VS: Asprahon
BASIC  Postatortem Toxicology  Our basic offering of Druge of Abuse and Alcohol (confirmed & quantitated).  DB051B (blood)  DB051B (blood)  DB051B plus B050U (blood plus offer drug screen with 8 MAM)  DB051U (urine)  DB051SP (serum/plasma)  DB051T (tlssus)  DB051T (tlssus)  DB051C CCCO  BASIC PLU6  blood tlssus  urine fluid  terrum/plasma	EXPANDED Postmortem Texicology  Our Basic package, plus: Predefined solection of abused and therapoutic drugs of metabolites. All positive findings confirmed & quantitated.  D80528 (blood)  D80528 plus 80580 (blood)  D80529 plus 80580 (blood plus unine drug screen with 5-MAM)  D80520 (urine)  D805257 (serum/plasma)  D805271 (tissue)	EXPERT Positioriem Toxicology  * Largest current library of the most relevant drugs, metabolites, poisons and toxins for comprehensive death investigation.  * Casa history evaluation and guidando by a leading NMS Labe toxicologist.  * Casa conference participation (exclusive to the Expert level).  D8092B (blood) D8092U (urine) D8092FL (fluid) 12 Please attach case history and include a fist of all known/relevant medications.	CLIENT COPY Keep This Copy For Your Records  IMPORTANT: Have your control no. available when inquiring about specimen status.
DATE RELINQUISHE	D8104B Fire Death Screen     D8103B Environmental Exposure     D2693B Matels Polsoning, Blood     D2693U Matels Polsoning, Urine     on     specimen collection intermetion can be failed.	PURPOSE OF TRANSFER	
			NOTE: We do not provide Medicare, Medicaid or any other 3rd party billing servicus.
The second secon			PMT-1 (4/10)

# Clark County Coroner/Medical Examiner Toxicology Submission Form

		Decedent's Name: Cherinkoff, Harve
Cherinkoff, Harvey 11	-6667	Case#_11-6667
M-51 07/30/2011 CCO	CME	Collected Date: 7/30/11
EMP#		Collected Time: Of
		Doctor of Record: LINGOM feller
**************************************	********	*****************
Peripheral Blood Heart Blood Chest Blood Vitreous	Liver Spieen Brain Kidney	G.C.
Other		
######################################	*******	· · · · · · · · · · · · · · · · · · ·
Standard Toxicology on:		<b> </b>
Peripheral Blood Heart Blood Chest Blood Vitreous	Liver Spicen Brain Kidney	Bile: MAIS LASS - WILLOW GROVE 19150 .  G.C. Decomp. Fluid.
Specific Testing		
co		
ABOVE SPECIMEN(S) ON FO	PRENSIC HOLD	, no testing requested
**************************************	++++++++ STED:	**************************************
Vitreous Lytes FOR Vitreous Ketones Vitreous Etoh	RENSIC HGLD, 1	VITREOUS, NO TESTING REQUESTED
Courier Initials for Receipt of S	Specimens	Date:of-Receipti
Rovined \$124/07/JF		

CLARK COUNTY CORONER 00013

HR SECTIVE TOWARD	Man Offichild Services  Nan Offichilkore  Officonton of deam is a custific and a Vegue  as Vegue  Rule   THEATE PERSON AND SERVICE OF THE SER	OF DEATH (AUDITATE)   THE THE PROPERTY OF THE	iii 6ex Mole	
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CLARK COUNTY CORONER 00014

CORONER-MEDICA CLARK COUNTY, NI 1704 Pinto Lane Las Vegas, Nevada (	EVADA F	DECEASED INGERPRINT	Case No	11-6667
Name(\)	erin Roff, Han			
Address				
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				*)

# Initials XXIII

Hold for signed release until: Oate:

Time of release: 13-13

09/04/02

# CLARK COUNTY CORONER-MEDICAL EXAMINER 1704 PINTO LANE, LAS VEGAS, NV 89106

702-455-3210 **AUTHORIZATION FOR RELEASE OF REMAINS** ARRIVAL DATE: IN THE MATTER OF DECEASED, I, we, the legal next of kin, or authorized agent of the next of kin, do hereby authorize the Office of the Coroner-Medical Examiner, Clark County, Nevada, to release the remains of the aforementioned decedent **MORTUARY:** The undersigned assumes any liability which might fall upon the Office of Coroner-Medical Examiner or the County of Clark, for the release of these remains as directed and a copy of a valid signature is hereto attached for next of kin if acting as an agent therefore. CVERSAL Signature: DACK C HERAILY OF Legal next of kin or agent of/ Witness: Pre-need attached RECEIPT FOR REMAINS: On this date, I received from the Office of the Coroner-Medical Examiner, Clark County, Nevada, the remains and any personal articles listed below of the above named decadent. Mortuary Representative: Print name: #1077C DONATE Mortuary Representative: Signature: \_ Clothing w/Body V Clothing Description Clothing retained for evidence: (see file for agency) Viscera bag: OTHER: 24 hr. Release: Date: Time: When done: Notified Mortuary for P/U: Date: 1/30/11 Spoke to: 4/1 Z<sup>rd</sup> Natification for P/U: Date: Time: Spoke to: Comments:

Released by (log entry) initials:

CLARK COUNTY CORONER 00016

Per:

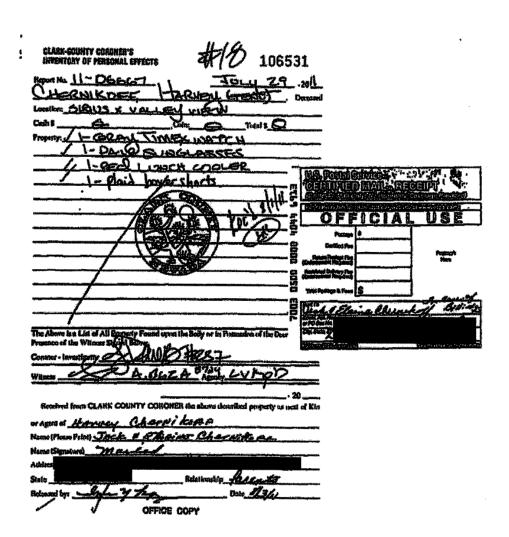


Coroner's Case #: 11- 06667

## **DECEDENT IDENTIFICATION & ACCEPTANCE FORM**

DECEDENT'S NAME:	ccapts custody of the dece		us:
Pick-up Location:	CCCome		
Does decedent have any ( Does identification agree	NON-PALM) identification	n? PYES	=
_	țification:	***************************************	NO
		9.	
identification:	decedent, any feliated-clothi		mation to help confirm
Date of Death:/_	/Time of Death:_	Do	eter:
Sopial Security #:	Date of Birth:	Ph	one:
•	g Identification of Deceden	~ ~	<u>e</u>
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Decedent Received by:	Mal A. L.		7/20/11 /340
PALM I.D. TAG NUMBI	er attached to dec	CEDENT	0004701
220.M	Clon Conv., Polya Morrows Re	Stor Come - Community	•

CLARK COUNTY CORONER 00017



## Medical, Authorization In Accordance with 45 CPR Section 164.508(c) - HIPAA

Upon proportation of this authorization, or a photostatic copy thereof, you are requested to provide the records outlined below to BERNSTEIN AND POISSON, 320 S. Jones Boulevard, Las Vegas, Neuada 89107, (telephone) 792-256-6866.

Patient Infontiation
Name Harves Grant Koff Sected Security No.
Date of Sirth
Date of Incident 7-19921 Bear of Treatment
Health Gare Provider
(1) Alwar County Conords Oraco
Dates of Service  [ v ] Please provides, complete copy of my file for all dates of service
Recertls to be refeased (45 CFR 164.508(d[I])).  [V] All medical Records [V] History & Physical [V] Conjudiation Reports  [V] Emergency Room, Record [V] Operative Report [V] Discharge Summary  [V] Lab/ Palintogy-Reports [V] Radiology Reports/ Images [V] Billing Records
The purpose of this request is for leview or use in a judicial proceeding or claim process involving the named patient (45-CFR \$164,08(c) (1) (IV)).
[4] I understand that I may revoke this authorization in writing at any time except to the extent that action has been taken in reliance upon this authorization (45 CFR, \$165,08(c) (1) (1)).
[3] I understand that the relation of PPII through this Authorization will not effect my treatment, payment, equilibrant of eligibility for behelits.(45°CFR \$164.08(c) (2)).
[4] I understand that treatment or payment cannot be conditioned on my signing this authorization, except in certain circumstances such as for participation in research programs, or authorization of the release of testing results for pre-epsployment purposts (45 CFR §164.05(c) (2)(ii)).
[4] I understand that my fecords are confidential and cannot be disclosed without my written authorization except when otherwise permitted by law such as in the course of legal proceedings. Information used or disclosed pursuant to this authorization may be subject to re-djaclosure by the recipient and no longer protected. I understand that the specified information to be released his tickede, but is not limited to history, diagnosis, and/or tregunent of drug or alcottok abuse, mental fillness, or communicable disease, including Human immunodafficiency (HiV) and Acquired Immune. Deficiency Syndrome (AIDS) (15,2FR \$164.08(c) (2) (111)).
This enthorizetion will expire the (6) Years from the date-of-the eignature inless I revoke the sutherization, in writing, prior to that time.
Dele: 6/5/11 Signature:
State of NV TACK SCHARFACT KINDS
County of Carl Printed: Nigné of Pajopt or Logally-Authorized Rup.
This trestrument was admoviled and before one or the Carlot Street or
Sacks. Brown Korer Strate Bassesses.

CLARK COUNTY CORONER 00019

**BERNSTEIN & POISSON** 

Attempys and Counselors at Law 320 S. Jones Boulevard Las Vegas, Nevada 89107

11-667

Telephone: (902) 256-4566 Pachille: (702) 256-6280

January 9, 2012

#### Vin U.S. Mell

Chak County Coroner's-Office 1704 Pinto Lane Las Vegas, NV 89106

Our Chang

Jack Chemikoff, next of Kin of deceased, Harvey Chemikoff

Deceased: Harvey A. Chemikoff
Date of Death: July 29, 2011

Date of Birth:

Dear Sir on Madain,

Please find attached a notarized nutherisation and hippen from deceased's next of kin, 4 separate chacks and a copy of the death certificate. We sak that you please send us a copy of the deceased's suransy report (\$30:00), toxicology report (\$15:00), cosoner's investigative report (\$10:00) and any coroner mudical examination reports (\$15:00) to our office: Burnstein & Poisson, 320 S. Jones, Las Vegas, NV 89107.

If you have any questions or concerns, please feel free to contact me at our office.

STEIN & POISSON

Work, Case Managur X. Howard, Beq.

Bernsteina Poisson - 3108. Hones Blad- Las Vegas, Nevada 89107 - Fax: (702) 216-6280 - Tel: (702) 316-456

CLARK COUNTY CORONER 00020

### BERNSTEIN & POISSON

Attorneys and Counselors at Law 320 S. James Boulevard Las Vegas, Nevada 89207

Jack G. Berkstein, Egg. †
Scott-L. Prisson, Egg. 1+
Glen Howard, Egg.
Cheistopher D. Eurk, Esg. +=
Bickay Whitney, Egg.
† Ain Linguist in Firits

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Telephone: (702) 256-4566 Facsimile: (702) 256-6280

I, Jack Chemikoff, the surviving garent of Harvey Chemikoff, deceased, authorize any and all police departments, fire departments, investigative authorities and government authorities to turn over a copy of all reports concerning the death of my son on July 29, 2011 in Las Vegas, Nevadà. Piesse turn over these reporty and records to Jack G. Bernstein, Esq. and/or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. and Or Glen A. Howard, Esq. of Bernstein, Esq. of Bernstein

Jack Chemikon

State of Nevada County of Clark

Oh die 24 day of June 2011, this instrument was sworn to and subscribed before me by Jack Chernikoff.

Notary Public

EMMA D. WELLS
MOTARY FUBLIC
STATE OF MEVADA
AFFT. No. 10-5675-1
UN AFFT SOFTER SEE. 21, 50M

Bernsterna Poisson - 320 S. Jones Blvd - Las Vegas, Nevada 19107 - Fax: (102) 256-6280 - Tell (703) 256-4566

CLARK COUNTY CORONER 00021

## JOINT TRIAL EXHIBIT A5

#### Clark County Fire Department 575 E. Flamingo Road Las Vegas NV 89119

## CERTIFICATE OF CUSTODIAN OF RECORDS

State of Nevada

} }SS.

County of Clark

Under penalty of perjury, the undersigned deposes and says:

- 1. That the deponent is a Custodian of Records for the Clark County Fire Department.
- That the deponent has examined the original of the attached records and has made a true and exact copy of them and that the reproduction of them attached hereto is true and complete.
- That the original of those records was made at or near the time of the act, event, condition, opinion or diagnosis recited therein by or from information transmitted by a person with knowledge, in the course of a regularly conducted activity of the deponent or Clark County Fire Department.
- 4. It is also understood that this affidavit is limited to the information supplied to me, that the certification is contingent upon accurate information supplied by the requestor/subpoena, and such records may exist under another name, spelling, or other identifying data not provided to the affiant.

CUSTODIAN OF REGIROS

Subscribed/and swom to before me, a Notary Public on this

Notary Public Clark County, Nevada

My appointment expires:

DEBORAH A. CILPINSKI

HCTARY PUBLIC - STATE OF NEVADA

COUNTY OF GLARK

APT, No. 12-7141-1

LY APPT EXPRES JANUARY 2, 2016

The state of the s

CCFD 00001

To: +17023857000 From:

7024557137 Date: 29/09/14 Time: 11:39 Page: 38

00A5-00001

ENGAD 800-631-698

7024557137 Date: 29/09/14 Time: 11:38 Page: 36

CCFD RECORDS

PAGE 36/38

### **Prehospital Care Report Summary**

**Clark County Fire Department** 

Date:07/29/2011 Call #:1186058 Booklet:70549841 Branch: Station 12 Time Zone:America/Los\_Angeles

Call information: # Patients Transported In My Unit: Disposition: Dead Prior To Arrival # Patients at Scene: N/A Unit #: R12 - R12, Ambulance - Land Trip Type: N/A Run Type to Scene: Emergency Incident Facility: Call Received: 08:08:44 Dispatched: Incident Location: 08:08:50 S Valley View Blvd / Sirius Ave - Clark County, NV 89102 (Clark County) En Route: Incident Type: Street/Hwy 08:10:04 On Scene: 08:15:14 **Patient Contact:** 08:15:30 Receiving Facility: N/A -Facility Address: Left Scene: N/A Destination Type: At Destination: N/A N/A Dest. Reason: N/A Transfer of Care: N/A Registration # N/A In Service: 08:41:00 Loaded Mileage: Tima On Scane; N/A Min Craw Members: Matthew Sagolan, EMT Intermediate(DOC); Raymond Nelson, EMT Time to Destination: N/A Min intermediate; Ambulance Medic West, Advanced Trained Paramedic Total Time of Run: 32 Min Moved to Amb By: Transport Position: From Amb By: Call Örigin: Lights/Siren: Scene-Not used / Destination-Not used Patient Information: DOB: HARVEY CHERAIKOFF Name: Gender: Male Address: Age: 60 Years Phone: Weight: Broselow: Email: SSN: **Driver License:** Other Contact Info Name: Phone: Celi Phone: Relationship: **Current Meds:** Comments: Env Allergies: Comments: Med Allergies: Comments: Patient Physician: **Advanced Directives:** PMH: Comment: Payer Information: Clinical: **Medical Need:** Onset Date/Time: Dispatch Reason (EMD): 32B-Unknown 32B-Unknown Problem (Man Down) Chief Complaint: Provider Impression: Obvious Death Mechanism of Injury: Unknown Protocol 1: General Patient Care Protocol 2:

Assessments:

08/24/14 13:32 Deborah Ciupinaki Confidential PHI - 2000-2014 Sansio - HealthEMS® 07/29/2011 Calif 1188058 BK: 70549841 - 1 of 2

PCR 1 of 1

CCFD 00002

To: +17023857000 From:

7024557137 Date: 29/09/14 Time: 11:38 Page: 36

00A5-00002

To: +17023857000 From: 09/29/2014 11:18 7024557137

7024557137 Date: 29/09/14 Time: 11:39 Page: 37

CCFD RECORDS

PAGE 37/38

Time Employee Type Summary

Vitals:
Time Employee Summary

Treatments/Medications:
Time Employee Summary

Supply

Qty Supply

Narrative History Text:

R12 ARRIVE TO FIND APPROX 50-80YOM SITTING UPRIGHT IN BUS PULSELESS, APNEIC, UNRESPONSIVE WIFIXED PUPILS, PT SKIN WAS MOTTLED WILIVIDITY, ASYSTOLIC ON MONITOR.

BUS DRIVER STS PT IS AROUND 40YO, HE PICKED HIM UP EARLIER THIS AM, PT WAS AMBULATORY WIOUT ASSISTANCE, SPEAKING / RESPONDING NORMALLY, BUT SOMEWHERE EN ROUTE TO DESTINATION PT BECAME UNRESPONSIVE AND HE WASN'T SURE IF HE WAS BREATHING SO HE CALLED HIS DISPATCHER WHO CALLED 911.

Auth Signature: No Privacy Sig: No Unable to Sign: No Refused to Sign: No

Recommended Service Level: BLS / Dispatch Service Level: ALS

09/24/14 13:32 Deborah Glupinski Confidential PHI 49 2000-2014 Sansko - HealthEMS9 07/29/2011 Call# 1185059 BK: 70549841 - 2 of 2 PCR 1 of 1

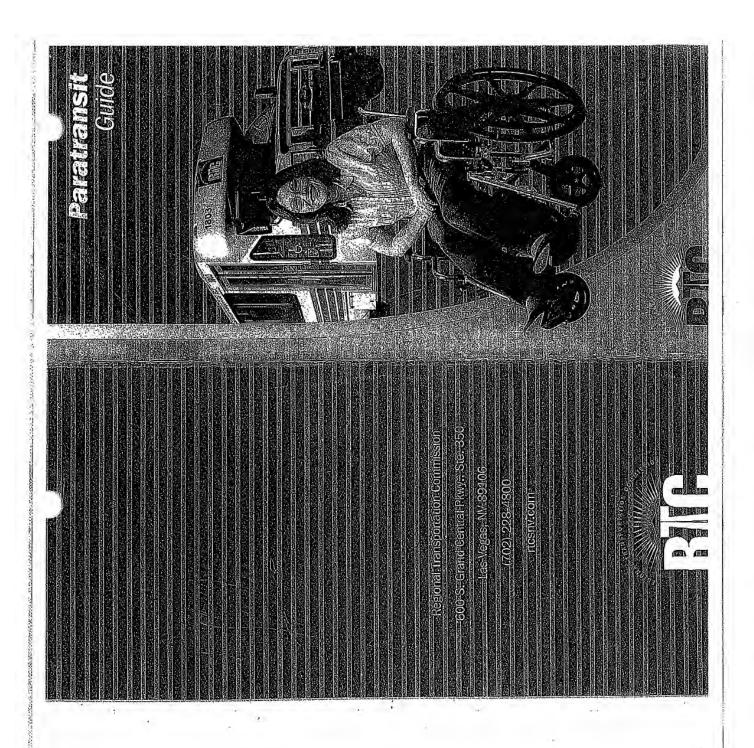
To: +17023857000 From:

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CCFD 00003 Date: 29/09/14 Time: 11:39 Page: 37

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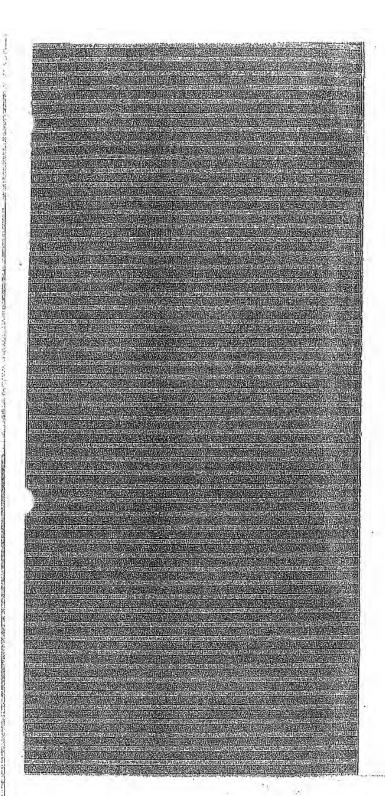
## JOINT TRIAL EXHIBIT A6





RTC GUIDE 00001

00A6-00001



### Welcome to RTC ADA Paratransit Services

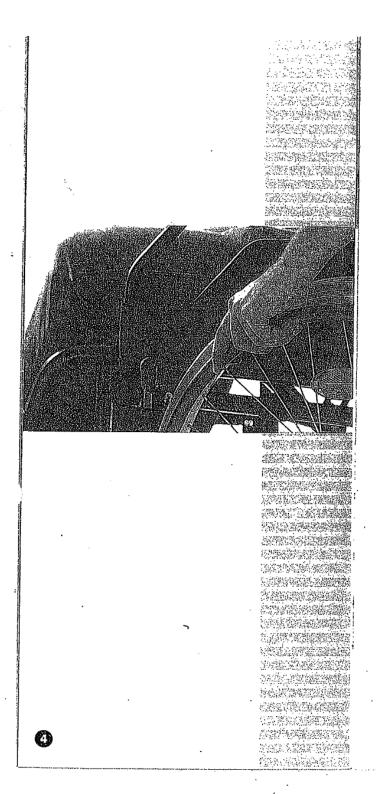
Americans with Disabilities Act of 1990 (ADA) Paratransit Services is a shared-ride, public transportation service for people with disabilities, as required by federal law, who are functionally unable to independently use the RTC fixed route services.

The RTC strives to provide safe and reliable service for all members of the community, and is committed to providing commuters with the most up-to-date information available.

You will find within this guide information you will need to use the RTC ADA Paratransit Services.

Please review this information carefully. If you have any questions, please feel free to call Customer Service at (702) 228-4800 or for the hearing impaired (702) 676-1834 (TDD).

RTC GUIDE 00002



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RTC GUIDE · 00003

### Hours of Operation and Service Area

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RTC ADA Paratransit Services operates 24 hours a day, 365 days per year.

The system operates within the urbanized area of Clark County as required under the ADA. Areas that are not serviced by RTC fixed route services may not have service through RTC ADA Paratransit Services.

A supplemental service zone exists outside of the service area required by the ADA. The supplemental service area is determined by the current RTC fixed route bus system. Special fares and reservation policies apply to travel within, into or out of this area. You were informed as to whether you live in the supplemental service area when your eligibility was determined.

Nellis Air Force Base permits drop off and pick up at designated areas only. Please ask the Customer Service Representative when you are scheduling your reservation where you may be dropped off at the base. Customers must have a valid military identification card in their possession.

### Call Before You Move

The RTC's goal is to provide the best customer service possible. As a suggestion to help serve its riders, the RTC would like to remind customers to call RTC before changing residences to verify that public transportation is available where they are moving. This preventative step is similar in concept to the Public Utility agencies wanting people to call before they dig. The RTC understands that transportation and mobility are critical to our special services clients, and that it is just as important to others on our fixed route service. Don't leave yourself without a ride. One call is all it takes to RTC Customer Service at 228-4800.

### Fares

RTC ADA Paratransit Services fare is broken down into various categories. The following outlines the rate schedule for a one-way trip.

### RTC ADA Paratransit Fares Effective January 10, 2010

Annount	There
Amount	Туре
\$2.75	Base cash (one-way)
\$3	Peak period
	(6 a.m 9 a.m. & 1 p.m 4 p.m.) Mon Fri.
\$6.00	Strip zone
\$4.00*	Supplemental fare zone
\$80	Unlimited RTC ADA Paratransit monthly pass (expires at end of calendar month)
\$150	Supplemental zone pass (expires at end of calendar month)
\$.50*	Shopping carts (one-way)
Free	Personal care attendant
\$5.50	Pre-paid punch card (10 fifty-cent and two (2) twenty-five denomination spots) No expiration date
\$16.50	Pre-paid punch card (30 fifty-cent and six (6) twenty-five denomination spots) No expiration date

NOTE: Passengers are responsible for paying their fare at the time of boarding. Failure to do so may result in the customer not being transported.

Companions are charged at the same fare amount as the ADA passenger.

Please have the exact fare ready. Drivers do not make change, or accept checks or credit cards.

\* Plus fare



RTC GUIDE 00004

### Paperless Fare

Paper passes are no longer issued to clients when they purchase a monthly pass. The RTC will make a notation in the client's file that a monthly pass was purchased, and all drivers will be notified that the client is eligible to ride for that paid month. If omissions occur, please pay the fare and then immediately contact Customer Service at 228-4800. Please note that the fare will be updated to the client file the day after the purchase is processed. No payment will be required for each ride scheduled during this month. Please remember that it will take at least one day for the clients file to be updated after processing the purchase. Please allow 5-7 days for all mall and internet sales, and one day for purchases made at one of the transit terminals or administrative building.

A Paratransit monthly pass and pre-pay card can be purchased on the Internet at:

### rtcsnv.com

or by mailing a check or money order to:

RTC ADA Paratransit Services 600 S. Grand Central Pkwy., Ste. 350 Las Vegas, NV 89106

If you have any questions regarding fare sales, please call Customer Service at (702) 228-4800.

Sorry, we cannot accept out-of-state checks or thirdparty checks. Checks must be pre-printed with the rider's name, phone number and I.D. number.

Fares must be paid at the time of boarding a vehicle.

### Reservations

Reservations can be made seven days a week between the hours of 7 a.m. and 6 p.m., by calling Customer Service at (702) 228-4800 or (702) 676-1834 (TDD) for hearing impaired. Reservations may be made from one day to three days in advance of the day of travel. Please note - there are special reservation policies for travel, including travel within the supplemental service zone and for non-ADA eligible customers.

### Please Have the Following Available:

- Your name
- ▶ The day and date of your trip
- The exact street address, building or facility name, including the apartment, building, or suite numbers, for both pick-up and drop-off locations, and if you have it, a phone number for your destination and gate code

- The type of mobility aide(s) you will be using\*
- The number of people traveling will you be taking a companion or Personal Care Attendant?
- The time you wish to be picked up, or in the case of a work, school or medical appointment, the time you need to be at your destination
- \* Passengers cannot travel using a mobility device unless it has been approved and your file is updated

### Please note:

- Trips may originate from any location within the ADA Paratransit service area. Rides may be one-way, round trip or multiple rides.
- Once a ride has been scheduled, additional people cannot be added.
- No changes can be made to any trips on the day of your scheduled ride.

The RTC's Customer Service Representatives will do their best to accommodate the times you desire; however, alternate times may be offered. The RTC may negotiate the times of your trip by one hour. For example, if you would like to be picked up at 10 a.m. and that time is not available, we may offer you a trip as early as 9 a.m. or as late as 11 a.m.

The interval between pick-up times on the same day is recommended to be at least two hours. For example, if your scheduled pick-up time is 10 a.m., it is recommended that you do not schedule your next ride until 12 p.m. This will help to ensure you have arrived at your first destination with enough time to travel on your second or return ride.

### Subscription Service

Subscription service is available for trips that are considered as being consistent and repetitive where continuation will extend over a period of at least 90 days. Once subscription service goes into effect, there is no need for additional reservation calls.

There are three categories of subscription requests, each with different criteria:

- Weekly trips will occur at least three
   times over a seven day period
- 2 Weekday trips will occur at least two (2) times, Monday through Friday
- Weekend trips: will occur at least one
   time on Saturday and/or Sunday

0

400

RTC GUIDE 00005

To be considered for subscription service, a person must demonstrate a pattern of no excessive cancellations or no-shows. Requests may be made by contacting a RTC Customer Service Representative.

The RTC offers subscription services as an optional component of service. Subscription services are allowed under the Americans with Disabilities Act (ADA) of 1990 but not mandated. Your request may be placed on a waiting list. When a space becomes available, the individual will be notified. Requests are maintained for four months, after which, you may re-apply.

### Arrivals & Late Arrivals

Passengers should be ready to depart when the vehicle arrives. The drivers are instructed to wait no longer than five minutes after the scheduled time. If your vehicle has not arrived within the 25 minute late window, you may then elect to cancel/ decline the ride with no cancellation points penalty. Please remember to call and cancel the late ride and/or any return rides you may have scheduled. Vehicles arriving within five minutes before or 25 minutes after the scheduled pick-up time are considered within the window for service. While the RTC strives to provide on-time service, many factors may result in a delayed pick-up. If your vehicle has not arrived within the window for service, please call the Inquiry Office, open 24 hours a day, seven days a week at (702) 228-4800 and press 3 at the voice prompt or (702) 676-1834 (TDD). Please refer to page 13 for the points policy.

### **Gated Communities**

If a pick-up location is within a gated community, it is the customer's responsibility to arrange entry for the vehicle. When you schedule a trip, please confirm the gate code. Any changes should be reported to RTC ADA Paratransit Services at (702) 228-4800 or (702) 676-1834 (TDD). If a vehicle is unable to enter the pick-up area or the customer fails to meet the vehicle outside of the community, the customer's trip will be designated as a NO SHOW. Please note that some gated communities may have designated pick-up/drop-off location(s). Please check with customer service when you are scheduling a ride.

### RTC Paratransit Same-Day-Service

A new pilot program offering same-day-service requests will become available to RTC Paratransit riders in January 2010. This pilot program will offer ADA certified riders an option for non-emergency, unplanned medical needs and is made possible through Federal "New Freedorn" funds. Using the same-day-service request program, RTC Paratransit riders can schedule non-life threatening medical trips only. Trips can be for any medical reason, for example, pharmacy, therapy, etc. The same-dayservice is provided to ADA certified riders within the ADA service area. There is no additional charge for a same-day-service ride; the fare is the same as ADA Paratransit service. Same-day-service ride hours are Monday through Friday, 8 a.m. to midnight. No weekends or holidays. To schedule a same-day-service request, call 228-4800 and select "Same-Day-Service Request" to schedule your ride. Reservations can be made Monday through Friday from 7 a.m. to 8 p.m.

### **Contacting Customer Service**

RTC uses an automated phone system to assist in efficiently routing customers' calls. The service is available 24 hours a day, 7 days a week.

When you call **228-4800**, you will hear the following prompts.

Interactive Voice Response (IVR) (702) 228-4800

- 1 Confirm or cancel a ride
- 2 Schedule a ride
- 3 Inquire on a current ride or schedule a same day medical trip
- 4 Certification or eligibility
- 5 Verify customer information
- 6 General information
- 7 System Comments
- O Speak to a representative

Repeat menu choices

# Customers can confirm or cancel their rides for the next three days without having to speak to a customer service representative. Please contact Customer Service for your access code required to use the automated system.





RTC GUIDE: 00006

### Ride Check

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Paratransit users now have the ability to check the status or cancel a previously scheduled Paratransit ride. Log onto the RTC's Web site, rtcsnv.com for step-by-step instructions. Or you can call our Paratransit Customer Service office at (702) 228-4800.

### **Shared Rides**

RTC ADA Paratransit Services is a public transportation service. Whenever possible, the RTC will schedule rides with multiple passengers. This means you will be sharing rides with other persons with disabilities. Please be a courteous rider. Riders who require medication or oxygen at regular intervals should be advised that their travel time could be approximately 90 minutes depending on the distance traveled.

### **Destinations**

A destination may not be changed after 6 p.m. the day before your trip is scheduled. Travel arrangements with more than one destination will be treated as separate trips and must be scheduled and cancelled separately.

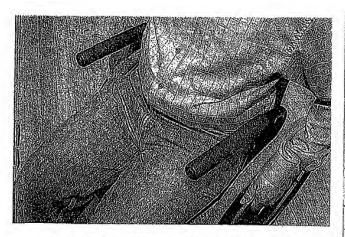
### Vehicles

RTC ADA Paratransit Services may contract with other providers for transportation service. The Customer Service Representative is unable to tell you what type of vehicle will be used for your trip,

All vehicles used through this service are required to display a RTC sign on their vehicle. If you do not see the sign, ask the operator to show it to you.

### Cancellations & No Shows

To cancel a scheduled trip, call Paratransit Reservations at (702) 228-4800 or (702) 676-1834 (TDD). Trips must be canceled no later than 6 p.m. the day prior to the scheduled pick-up to ensure no points are assessed. This call can be made 24 hours a day using the IVR phone system.



The following point system is used to determine penalties for recurring **NO SHOWS**.

### RTC NO SHOW Categories

Limited Notice - any ride canceled between the hours of 6 p.m. and 7 p.m. the day prior to the scheduled pick-up. **ONE** 

Early Notice - any ride canceled after 7 p.m. the day prior to the scheduled pick-up until four hours prior to the pick-up time. **TWO** 

Late Notice - any ride canceled from within four hours to 30 minutes prior to the beginning of the pick-up window [five minutes before the pick-up time]. **THREE** 

Notice at Door - any ride canceled by notice from the customer to the driver within the 30 minute pick-up window [five minutes before to 25 minutes after the pick-up time]. FIVE

No Notice - any ride canceled by telephone from 30 minutes prior to the beginning of the pick-up window [five minutes before the pick-up time]. FIVE

No Show - any ride for which an authorized paratransit service vehicle arrives at the designated pick-up location, waits the prescribed five minute period from the scheduled time, and the passenger is not present to board the vehicle. FIVE

In the event you are a NO SHOW for a ride, the return ride or any additional ride(s) scheduled for that day will not be automatically canceled. Please call (702) 228-4800 or (702) 676-1834 (TDD) and select option 1 to cancel any return/additional rides you had scheduled that will no longer be needed.



RTC GUIDE 00007

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### **AFFIRMATION**

### **Pursuant to N.R.S. 239B.030**

The undersigned does hereby affirm that the preceding NOTICE OF ENTRY OF

### STIPULATION AND ORDER filed in District Court Case No. A-13-682726-C

X Does not contain the social security number of any person.

### -OR-

Contains the social security number of a person as required by:

A. A specific state or federal law, to wit:

### [Insert specific law]

-or-

B. For the administration of a public program or for an application for a federal or state grant.

DATED this \_\_\_\_\_ day of April, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

By\_\_\_\_

LEANN SANDERS, ESQ.
Nevada Bar No. 000390
SHIRLEY BLAZICH, ESQ.
Nevada Bar No. 008378
7401 W. Charleston Boulevard
Las Vegas, Nevada 89117

Las Vegas, Nevada 89117 Attorneys for Defendants

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### ○ ORIGINAL

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ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS 7401 WEST CHARLESTON BOULEVARD LAS VEGAS, NEVADA 89117-1401 (702) 384-7000	10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27	The Estate of HARVEY CHERNIKOFF, Deceased; by JACK CHERNIKOFF as personal representative, individually and as heir; ELAINE CHERNIKOFF individually and as heir,  Plaintiffs,  vs.  FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC. d/b/a FIRST TRANSIT; JAY FARRALES; DOES 1-10, and ROES 1-10, inclusive, Defendants.  SETIPULATION AND ORDER TO DISMISS THE ESTATE OF HARVEY CHERNIKOFF WITH PREJUDICE
	28	 LS#19969

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DATED this _	day of March, 201	4_
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DATED this /2 day of March, 2014.

RICHARD HARRIS LAW FIRM

ALVERSON, TAYLOR, MORTENSEN, & SANDERS

BENJAMIN CLÓWARD, ESQ. Nevada Bur No. 011087 801 S. 4rth St. Las Vegas, Nevada 8910) Attorney for Plaintiff

Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Baulevard Las Vogas, Nevada 89117 (702) 384-7000 Attorneys for Defendants

## ALVERSON, TAYLOR, MORTENSEN & SANDERS, IANTERS 1. ANTERS 
ORDER

IT IS SO ORDERED.

DATED and DONE this

b day of North 2014

DISTRICT COURT JUDGE

JUDGE STEFANY A. MI

Submitted by:

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By

ALVERSON, TAYLOR, MORTENSEN & SANDERS

LEANN SANDERS, ESQ.

Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Bouleyard Las Vegas, Nevada 89117

(702) 384-7000

Attorneys for Defendants

1.8#19969

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### <u>AFFIRMATION</u>

### Pursuant to N.R.S. 239B.030

The undersigned does hereby affirm that the preceding <u>STIPULATION AND ORDER</u>
TO DISMISSTHE ESTATE OF HARVEY CHERNIKOFF WITH PREJUDICE
filed in Eighth Judicial District Court Case No. A-13-682726-C

X Does not contain the social security number of any person.

-OR-

Contains the social scenity number of a person as required by:

A. A specific state or federal law, to wit:

[Insert specific law]

~())

 For the administration of a public program or for an application for a federal or state grant.

DATED this /2 day of March 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

By

LEANN SANDERS, ESQ. Nevada Bar No. 000390 SHIRLEY BLAZIGH, ESQ. Nevada Bar No. 008378

7401 West Charleston Boulevard Las Vegas, Nevada 89117

(702) 384-7000

Attorneys for Defendants

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J.S#19969

1 BRF BENJAMIN P. CLOWARD, ESQ. **CLERK OF THE COURT** 2 Nevada Bar No. 11087 CLOWARD HICKS & BRASIER, PLLC 3 721 South 6th Street 4 Las Vegas, NV 89101 Telephone: (702) 628-9888 5 Facsimile: (702) 960-4118 Bcloward@chblawyers.com 6 Attorneys for Plaintiffs 7 CHARLES H. ALLEN, ESQ. (Pro Hac Vice) 8 Georgia Bar No. 009883 ALLEN LAW FIRM 9 400 West Peach Tree Street, Unit 3704 10 Atlanta, GA 30308 Fax (866) 639-0287 11 Attorney for Plaintiffs DISTRICT COURT 12 CLARK COUNTY, NEVADA 13 14 JACK CHERNIKOFF and ELAINE CASE NO. A-13-682726-C 15 CHERNIKOFF, DEPT. NO. XXIII 16 Plaintiffs, 17 PLAINTIFFS' RENEWED OBJECTION VS. TO EVIDENCE MENTIONING 18 PLAINTIFF'S NON-TESTIFYING FIRST TRANSIT, INC. JAY EXPERTS, DR. CARL BERKOWITZ OR 19 NED EINSTEIN FARRALES; DOES 1-10, and ROES 1-10 inclusive, 20 21 Defendants. 22 23 COME NOW, JACK CHERNIKOFF and ELAINE CHERNIKOFF who, by this brief, seek to 24 exclude irrelevant comments or referencing made during trial regarding Ned Einstein or Carl 25 Berkowitz. 26 27 28

### FACTS RELATING TO THE DISCLOSURE OF CARL BERKOWITZ

The Court is well aware of the procedural history as to Plaintiffs' expert designation. Plaintiffs originally designated Mr. Ned Einstein as their transportation expert. Later, Plaintiffs moved to substitute a different expert, Dr. Carl Berkowitz, in place of Mr. Einstein. Defendants objected, arguing in part that they would be prejudiced because they had already expended a significant amount of time in analyzing Mr. Einstein's report. The Court granted the motion to substitute Dr. Berkowitz for Mr. Einstein, however it ruled further that Dr. Berkowitz would have to adopt Mr. Einstein's report.

On June 27, 2014, Defendants identified Dr. Michael Macquarie and Matthew Daecher as *initial* expert witnesses. Then on July 28, 2014, Defendants identified and produced *rebuttal* reports for Michael Macquarie and Matthew Daecher.

### MICHAEL MACQUARIE, MD

On June 27, 2014 Defendants identified Dr. Michael Macquarie and Matthew Daecher as expert witnesses. Dr. Michael Macquarie's designation stated:

"Dr. Macquarie is by education training and experience and expert in the field of emergency medicine. He is prepared to offer causation opinions with regard to Harvey and Chernikoff's death and the incident in question. Dr. Macquarie is prepared to offer testimony consistent with but not limited to those opinions presented in his expert report attached hereto. In addition Dr. Macquarie is prepared to offer testimony in rebuttal to the opinions offered by Plaintiffs experts if any."

Importantly, Dr. Macquarie at that point had not reviewed any materials authored by Ned Einstein or Dr. Carl Berkowitz. Therefore any argument that Ned Einstein or Dr. Carl Berkowitz' opinions were foundation to his opinions is simply not correct.

Specifically, his report clearly listed the information that <u>was</u> foundation to his opinions, which included the following:

- Complaint
- Video footage of the incident in question
- Clark county autopsy report
- Clark county coroner's report
- Toxicology report
- Harvey Chernikoff's medical records from Pamona Valley Hospital
- Harvey Chemikoff's medical record from the Desert Regional Center
- Harvey Chernikoff's medical record from Gautham Reddy, MD
- Harvey Chemikoff's medical records from William Gillespie, MD
- Harvey Chemikoff's medical records from Cedars Sinai Medical Center

These were the only documents that were listed as foundational to his opinions. As is clear, nothing regarding Ned Einstein or Dr. Berkowitz were used for Dr. Macquarie to formulate his opinions. His report contains approximately one page of opinions. See Exhibit 1 (Defendants' Initial Disclosure Statement), attached hereto.

As this court is aware Plaintiffs first identified Ned Einstein as their expert, who was then, by court order substituted and replaced by Dr. Carl Berkowitz.

On July 28, 2014, Defendants issued a <u>rebuttal expert</u> witness disclosure statement. <u>See</u> Exhibit 2 (Defendants' Rebuttal Disclosure Statement), attached hereto. This rebuttal report was issued after Dr. Macquarie had a chance to review Dr. Berkowitz' and Dr. Einstein's opinions. The disclosure statement was listed plainly and unambiguously as a <u>rebuttal expert disclosure</u>, which contained <u>rebuttal opinions</u> of Dr. Macquarie and Matt Daecher.

The information Dr. Macquarie now listed as having reviewed included the materials he had reviewed previously but now included *only one additional* bullet point, which is as follows:

• Plaintiffs' designation of initial expert witnesses and exhibits thereto, including expert reports by Kenneth Stein, M.D. and Ned Einstein.

Importantly at this point Dr. Macquarie had not yet read, reviewed or discussed Dr. Berkowitz's opinions, report or his deposition.

It was not until nearly one year later on May 18, 2015 when Defendants did a supplemental initial expert witness disclosure where they identified that Dr. Macquarie had now reviewed the deposition testimony of Jack Chernikoff, Elaine Chernikoff, Jay Farrales, Scott McCartney, Carl Berkowitz, PhD, Kenneth Stein, MD, Daniel Lingamfelter, DO, Jennifer Demers Jacobs. Additionally, Dr. Macquarie had now reviewed Carl Berkowitz, PhD's expert report, and supplemental documents from the file of Kenneth Stein, MD.

However very significantly and most important Dr. Macquarie <u>did not issue another report</u> setting forth his opinions and how they had changed after now having reviewed the depositions and opinions of Dr. Berkowitz. Rather Defendants stated "Dr. Macquarie's initial and rebuttal reports curriculum vitae, fee schedule and list of prior testimony <u>have previously been produced</u>." <u>See</u> Exhibit 3 (Defendants' Supplemental Initial Expert Witness Disclosure).

### MATTHEW DAECHER

The procedural history for Matt Daecher is similar to that of Dr. Macquarie as they were both disclosed in the same disclosures. Specifically, on June 27, 2014, the Defendants designated Matthew Daecher as their transportation safety and accident reconstructionist. The designation stated that:

Mr. Daecher is prepared to offer testimony consistent with, but not limited to, those opinions presented in his expert report attached hereto. In addition, Mr. Daecher is prepared to offer testimony in rebuttal to the opinions offered by Plaintiffs expert(s), if any.

At the time of the designation, Mr. Daecher had not reviewed any materials authored by Ned Einstein or Dr. Carl Berkowitz. Therefore any argument that Ned Einstein or Dr. Carl Berkowitz' opinions were foundation to his opinions is simply not correct.

The list of documents which Mr. Daecher relied upon for his opinion pertaining to regulatory requirements and compliance, company polices and driver actions demonstrates the fact that he is offering expert opinions based on his opinions and <u>not Ned Einstein's initial report</u> because he had not yet received that report at the time he formulated his initial opinions. These records include the following:

- Complaint;
- Photographs of signs on the bus in question;
- Video footage of the incident from the on-board cameras;
- First Transit Employee Handbook;
- First Transit Minimum Required Training Hours;
- · Las Vegas First Transit Operator Training Matrix;
- RTC Paratransit Guide;
- RTC Paratransit Interview Form for Harvey Chernikoff.
- Deposition transcript of Jack Chernikoff and related exhibits;
- Deposition transcript of Elaine Chernikoff and related exhibits;
- Deposition transcript of Jay Farrales and related exhibits; and
- Deposition transcript of Scott McCartney and related exhibits

Importantly these were the <u>only</u> documents that were listed as foundational to his initial expert opinions.

Then on July 28, 2014, Defendants issued a <u>rebuttal expert</u> witness disclosure statement. <u>See</u> Exhibit 2 (Defendants' Rebuttal Disclosure Statement), attached hereto. After having a chance to review Dr. Berkowitz' and Dr. Einstein's opinions, Mr. Daecher then issued a <u>rebuttal report</u>, containing <u>rebuttal opinions</u>.

Mr. Daecher's stated in his rebuttal report "subsequent to my initial report concerning this matter (dated June 25, 2014), I have performed additional tasks and reviewed additional materials. More specifically, I conducted an inspection of an exemplar bus, and reviewed the June 27, 2014 report authored by Mr. Ned Einstein. See Exhibit 2 (Defendants' Rebuttal Disclosure Statement), attached hereto. Mr. Daecher offered one additional opinion that:

 If Jay Farrales had paid as much attention to passenger behavior, as is suggested he should per Mr. Einstein's report and conclusions, the risk of being involved in a collision would have risen substantially.

It was not until nearly one year later on May 18, 2015 when Defendants did a supplemental initial expert witness disclosure where they indicated that Matt Daecher would also be relying on the Ned Einstein and Dr. Berkowitz documents to give his *initial opinions as well*. However very significantly and most important at that time no additional report was issued setting forth how his opinions had changed after now having reviewed the depositions and opinions of Dr. Berkowitz. Rather Defendants stated "Mr. Daecher's initial and rebuttal reports curriculum vitae, fee schedule and list of prior testimony <a href="https://documental.org/have-previously-been-produced">have previously-been-produced</a>." See Exhibit 3 (Defendants' Supplemental Initial Expert Witness Disclosure).

### ARGUMENT

Rebuttal expert witnesses consist of:

... [E] vidence that is intended solely to contradict or rebut evidence on the same subject matter identified by another party under paragraph (2)(B), ... This later disclosure deadline does not apply to any party's witness whose purpose is to contradict a portion of another party's case in chief that should have been expected and anticipated by the disclosing party, or to present any opinions outside of the scope of another party's disclosure.

Nev R. Civ. P. 16.1(a)(2)(C)(ii). This rule is modeled after the federal equivalent, FRCP 26(a)(2)(D)(ii), but includes even more detail and restriction because the federal rule states only the beginning of the Nevada rule: rebuttal expert opinions are "intended solely to contradict or rebut evidence on the same subject matter identified by another party under Rule 26(a)(2)(B) or (C), . . ." Fed. R. Civ. P. 26(a)(2)(D)(ii). Federal cases interpreting the Federal Rules of Civil Procedure "are strong persuasive authority, because the Nevada Rules of Civil Procedure are based in large part upon their federal counterparts." Exec. Mgmt. v. Ticor Title Ins. Co., 118 Nev. 46, 53 (2002).

FRCP 26(a)(2)(D)(ii) permits the admission of rebuttal expert testimony that is "intended solely to contradict or rebut evidence on the same subject matter identified by an initial expert witness." R&O Constr. Co. v. Rox Pro Int'l Group, Ltd., 2011 WL 2923703, \*2 (D. Nev. July 18, 2011) (emphasis added). Merely addressing the same general subject matter is not sufficient to show an expert is a rebuttal expert rather than an initial expert; the expert must directly address the adverse expert's findings. See Id. at \*3.

Rebuttal expert reports are proper if they contradict or rebut the subject matter of the initial expert's report, but they are not the proper place for presenting new arguments. Nunez v. Harper, 2014 WL 979933, \*1 (D. Nev. Mar. 11, 2014) (citing R&O Constr. Co., 2011 WL 2923703 at \*2). Rebuttal expert opinions should address new, unforeseen issues upon which the opposing party's initial experts have opined. Id. "If the purpose of expert testimony is to contradict an expected and anticipated portion of the other party's case-in-chief, then the witness is not a rebuttal witness or anything analogous to one." Id. (emphasis added). Presenting a new, alternative theory of causation is not a rebuttal opinion; rather, it is an expected and anticipated portion of a party's case-in-chief. See Amos v. Makita U.S.A., Inc., 2011 WL 43092, \*2 (D. Nev. Jan. 6, 2011).

A party cannot abuse the rebuttal date and use it as "an extension of the deadline by which a party must deliver the lion's share of its expert information." Amos, 2011 WL 43092 at \*2 (citing Sierra Club, Lone Star Chapter v. Cedar Point Oil Co., Inc., 73 F.3d 546, 571 (5th Cir. 1996)).

Plaintiffs respectfully request that this Court strike defendant's experts from mentioning, referring to or commenting on Ned Einstein or Carl Berkowitz as no evidence was presented by Plaintiffs of either Ned Einstein or Carl Berkowitz and Plaintiffs will not be presenting evidence of either expert.

Because there were no opinions given in Plaintiffs' case in chief by either Dr. Berkowitz or Ned Einstein, there simply is nothing for either Dr. Macquarie or Mr. Daecher to rebut. Any evidence

elicited would be for an improper purpose because neither Dr. Macquarie nor Mr. Daecher relied on anything that Dr. Berkowitz or Ned Einstein said when formulating their own opinions. Therefore, any foundational argument made by Defendants is also misleading and flawed.

### **CONCLUSION**

Michael Macquarie MD and Matthew Daecher are attempting to rebut the Plaintiffs' experts' opinions, and as Ned Einstein or Carl Berkowitz have not testified and will not testify at trial, neither Michael Macquarie MD and/or Matthew Daecher should be allowed to state anything regarding Ned Einstein or Carl Berkowitz.

DATED this

day of February, 2016.

CLOWARD HICKS & BRASIER, PLLC

BENJAMIN P. CLOWARD, ESQ. Nevada Bar No. 11087 721 South 6<sup>th</sup> Street Las Vegas, Nevada 89101

Attorneys for Plaintiffs

### CERTIFICATE OF SERVICE

Pursuant to Nevada Rule of Civil Procedure 5(b), I hereby certify that I am an employee of CLOWARD HICKS & BRASIER, PLLC and that on the day of February, 2016, I caused the foregoing PLAINTIFFS' RENEWED OBJECTION TO EVIDENCE MENTIONING PLAINTIFF'S NON-TESTIFYING EXPERT, DR. CARL BERKOWITZ to be served as follows:

Pursuant to N.E.F.C.R. 9 by serving it via electronic service

by placing a true and correct copy of the same to be deposited for mailing in the U.S. Mail at Las Vegas, Nevada, enclosed in a sealed envelope upon which first class postage was fully prepaid; and/or

[ ] pursuant to EDCR 7.26, by sending it via facsimile; and/or

[ ] by hand delivery

to the attorneys listed below:

LEANN SANDERS, ESQ. ALVERSON, TAYLOR, MORTENSEN & SANDERS

7401 W. Charleston Blvd. Las Vegas, Nevada 89117 Attorneys for Defendants

An employee of CLOWARD HICKS & BRASIER, PLLC

-9-

### EXHIBIT "1"

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CLERK OF THE COURT

CASE NO. A-13-682726-C DEPT. NO. XXIII

### DEFENDANTS JAY FARRALES AND FIRST TRANSIT, INC.'S INITIAL EXPERTWITNESS DISCLOSURE STATEMENT

COMES NOW Defendants, JAY FARRALES and FIRST TRANSIT, INC. by and through their attorneys of record, ALVERSON, TAYLOR, MORTENSEN & SANDERS and hereby submits his Initial Expert Disclosure Statement, pursuant to Nevada Rules of

Dr. MacQuarrie is by education, training, and experience an expert in the field of emergency medicine. He is prepared to offer causation opinions with regard to Harvey

## ALVERSON, TAYLOR, MORTENSEN & SANDERS

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Chernikoff's death and the incident in question. Dr. MacQuarrie is prepared to offer testimony consistent with, but not limited to, those opinions presented in his expert report attached hereto. In addition, Dr. MacQuarrie is prepared to offer testimony in rebuttal to the opinions offered by Plaintiff's expert(s), if any.

Dr. MacQuarrie may add to, delete from, modify or change his expected testimony. depending upon the nature of further information obtained between now and the time of trial, as well as the deposition and trial testimony of other witnesses in this matter. Dr. MacQuarrie's opinions will not be finalized until he has had an opportunity to review and evaluate the opinions of all experts, which have not been fully ascertained at this time. In that regard, Defendant reserves the right to supplement Dr. MacQuarrie's expert report prior to the time of trial.

Dr. MacQuarrie's Expert Report, list of records reviewed, curriculum vitae, and fee schedule are attached hereto as Exhibit A.

Matt Daecher 2010 Market Street Camp Hill, Pennsylvania 17011 (717) 975-9190

Mr. Daecher is by education, training, and experience an expert in the fields of transportation safety and accident reconstruction. Mr. Daecher is prepared to offer testimony consistent with, but not limited to, those opinions presented in his expert report attached hereto. In addition, Mr. Daecher is prepared to offer testimony in rebuttal to the opinions offered by Plaintiff's expert(s), if any.

Mr. Daecher may add to, delete from, modify or change his expected testimony, depending upon the nature of further information obtained between now and the time of trial, as well as the deposition and trial testimony of other witnesses in this matter. Mr.

## ALVERSON, TAYLOR, MORTENSEN & SANDERS

Daecher's opinions will not be finalized until he has had an opportunity to review and evaluate the opinions of all experts, which have not been fully ascertained at this time. In that regard, Defendant reserves the right to supplement Mr. Daecher's expert report prior to the time of trial.

Along with his expert report, copies of Mr. Daecher's curriculum vitae, fee schedule, and a list of instances where he has offered deposition and/or trial testimony are attached hereto as Exhibit "B."

DATED this day of June, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Boulevard Las Vegas, NV 89117-1401 (702) 384-7000 Attorneys for Defendants

### ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS

### CERTIFICATE OF SERVICE

Pursuant to Administrative Order 14-2, I hereby certify that on this day of June,

2014, I did cause a true and correct copy of the above and foregoing **DEFENDANTS JAY** 

### FARRALES AND FIRST TRANSIT, INC.'S INITIAL EXPERT WITNESS

**DISCLOSURE STATEMENT** to be e-filed and e-served through the Eighth Judicial District

Court EFP system pursuant to the Electronic Filing and Service Order entered on the Court's

docket in the above-referenced matter.

Benjamin Cloward, Esq.
RICHARD HARRIS LAW FIRM
801 S. Fourth Street
Las Vegas, NV 89101

Charles H. Allen, Esq.
Attorneys for Plaintiffs
CHARLES ALLEN LAW FIRM
191 Peachtree Street N.W., Suite 3300
Atlanta, GA 30303

Attorneys for Plaintiffs

An employee of

ALVERSON, TAYLOR, MORTENSEN & SANDERS

# ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS 7401 WEST CHARLESTON BUGGEVARD 1 AS VPCAS NIVANA SOUTHAND

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### AFFIRMATION

### Pursuant to N.R.S. 239B.030

The undersigned does hereby affirm that the preceding **DEFENDANTS JAY** 

### FARRALES AND FIRST TRANSIT, INC.'S INITIAL EXPERTWITNESS

**DISCLOSURE STATEMENT** filed in District Court Case No. A-13-682726-C

X Does not contain the social security number of any person.

### -OR-

- Contains the social security number of a person as required by:
  - A. A specific state or federal law, to wit:

### [Insert specific law]

-or-

B. For the administration of a public program or for an application for a federal or state grant.

DATED this 27 day of June, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

LEANN SANDERS, ESO.

Nevada Bar No. 000390

SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378

7401 W. Charleston Boulevard

Las Vegas, Nevada 89117

Attorneys for Defendants

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EXHIBIT\_A\_

TO:17023857000

P.2

Michael MacQuarrie, M.D., F.A.C.E.P., F.A.E.E.M. P.O. Box 2484 Truckee, California 96160

### To Whom It May Concern:

I have been asked to provide expert standard of care and causation opinions with regard to the incident that occurred on July 29, 2011. All of the information contained in this report is based upon my review of the materials listed below, as well as my education, training and experience as a physician who is Board Certified by the American Board of Emergency Medicine.

### Qualifications

I am currently an Emergency Physician at Tahoe Forest Hospital, a position which I have held since 1978. I am an Elected Fellow of the American College of Emergency Physicians. I have been a Certified Instructor of Advanced Cardiac Life Support, appointed by the affiliate faculty of the American Heart Association since 1976. I have also been certified in Advanced Trauma Life Support since 1982. I have previously served on the Emergency Medical Services Authority Committee on EMT II and Paramedic Training and as a consultant for the Commission on Emergency Medical Services for the State of California. As a consultant for the Commission on Emergency Medical Services, i participated in the development of regulations governing Paramedics and EMT II Scope of Practice for Title 22 of the California State Health and Safety Code, as well as statewide Paramedic and EMT II curriculum and testing standards for EMT I, II and Paramedics.

### Information Reviewed

In preparation for rendering an expert opinion in this matter I have reviewed the following documents:

- Complaint;
- Video footage of the incident in question;
- Clark County Autopsy Report;
- Clark County Coroner's Report;
- Toxicology Report:
- Harvey Chernikoff's medical records from Pamona Valley Hospital;
- Harvey Chernikoff's records from the Desert Regional Center; and
- Harvey Chernikoff's medical records from Gautham Reddy, M.D.
- Harvey Chernikoff's medical records from William Gillispie, M.D.
- Harvey Chernikoff's medical records from Cedars Sinai Medical Center.

### Expert Opinion

After review of the video footage, it is my opinion that Harvey Chernikoff exhibited no outward medical indications of choking. Further, in my opinion it would be very unusual for a person to choke without making a coughing noise or showing outward signs of distress. Based on the video footage, Harvey did exhibit some minor hand twitching and exhibited a slight lean. However, this activity is more consistent with subtle seizure activity, rather than choking behavior.

In my opinion, it is not clear that Harvey's death was caused solely by choking. The most likely alternative that could have caused Harvey's death without any outward signs of distress would be a heart attack. Based on his medical records, Harvey was in an age group that is susceptible to heart attacks and suffered from many conditions that make a patient at risk of a heart attack, such as hypertension, diabetes, and high cholesterol. Further, a minor obstruction in his throat, possibly caused by any food he may have consumed, could have deprived him of oxygen, leading to a heart attack. This would explain the minor hand twitching, which could have been the subtle selzure like activity Harvey exhibited prior to going unconscious. In the event that Harvey suffered a heart attack, neither CPR nor the Heimlich maneuver would have been effective in reviving Harvey.

As there was no medical indication that Harvey was choking or had choked, even an individual trained in first aid, would not have performed the Heimlich maneuver in this circumstance. Specifically, there was no evidence that would have directed any individual trained in first aid to check or clear Harvey's airway. Additionally, by the time Mr. Farrales was made aware that Harvey was nonresponsive, first aid would not have been sufficient to revive him. Even Emergency Medical Services did not look to Harvey's airway or attempt to revive Harvey when they arrived at the scene.

In summary, based on the foregoing, it is my opinion that, based on the materials reviewed, it would be impossible for any physician to state to a reasonable degree of medical probability that Mr. Chernikoff's death was caused by choking. Further, it is my opinion that an individual trained in first aid or even as a Level I Emergency Medical Technician would not have had the necessary training to save Harvey if his airway was in fact blocked, and even more so if he suffered a heart attack.

The foregoing opinions are made to a reasonable degree of medical probability. I reserve the right to supplement my opinion as new and/or additional information is provided to me. In addition, I reserve the right to modify my opinions should it become necessary.

Michael MacQuarrie, M.I

### **CURRICULUM VITAE**

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Michael B. MacQuarrie, M.D., F.A.C.E.P., F.A.E.E.M.

### Personal

Marital Status:

Married. Three children

Current Address:

P.O. Box 2484 Truckee, CA 96160

Hospital Address: Hospital / Office:

Tahoe Forest Hospital 10121 Pine Ave. Truckee, CA.96161 (530) 582-3220 or (530) 587-6123 (phone, message, fax)

Email:

macq@tfhd.com

### Education

1962 -1966

Dartmouth College, Hanover, New Hampshire. Degree: B.A.

English Literature, Premedical Science

1966 -1970 Cornell University Medical College, New York, New York.

Degree: M.D.

### Honors

Robert Wood Johnson Scholar, Dartmouth College, 1962 - 1965

Alfred P. Sloan National Scholar, Dartmouth College, 1965 - 1966

Cornell Pathology Fellowship for study of Pulmonary Embolism - 1967

Leopold Schepp Scholar, Cornell University Medical College, 1967 - 1970

Payne Whitney Fellowship in Psychiatry and Neurology, St. Thomas' Hospital and Queens Square Hospital, London, England, 1968

Commonwealth Fellowship, Cornell - Bahia Program, Infectious Disease and Tropical Medicine, Salvador, Bahia, Brazil, 1970

Hospital Leadership Award, Hospital Council of Northern California, 1991

Marin County Vision & Leadership Award for Development of Paramedic Services - September 2000

Harvey Yorke Emergency Medical Service Award for Development and Implementation of Fire District Paramedic Systems - September 2003

### Appointments - Postgraduate Training

1970 - 1972 Intern and Resident, Columbia University Medical Service, Harlem Hospital Center, New York, New York. Internal Medicine.

1974

Fellow, Career Development Program, Center for Disease Control and UCSF Hospitals. Internal Medicine Infectious Disease and Public Health.

1974 - 1975 Senior Resident, University of California Hospitals; Moffitt Hospital , & Mission Emergency at San Francisco General Hospital, San Francisco, California, Internal Medicine / Emergency Medicine.

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Accreditation - Diplomate of the National Board of Medical Examiners, 1971.

Board Certification - American Board of Internal Medicine, 1975.

American Board of Emergency Medicine, 1982.

Re-certification 1992, 2002

<u>Fellowship</u> - Fellow of the American College of Emergency Physicians

(F.A.C.E.P.)

Fellow of the American Academy of Emergency Medicine

(F.A.A.E.M.)

### Government Service

1972 - 1974 Lt. Commander, U.S. Public Health Service, Medical Epidemiologist, Epidemic Intelligence Service, Center for Disease Control, Alianta, Georgia, assigned to California State Department of Health. Disease surveillance investigation and control of disease outbreaks in California. Quarantine Officer, San Francisco Bay Area and Northern California.

### Clinical Experience in Critical Care and Emergency Medicine

- 1970 72 Medical Resident Columbia University Harlem Hospital Emergency Service and Trauma Center, New York, New York. Volume 300 patients per day. Supervising Resident, Emergency Dept.
- 1972 76 Emergency Physician Scenic General Flospital, Modesto;
   Memorial North Hospital, Modesto;
   Alameda Hospital, Alameda.
- 1974 75 Senior Resident, Mission Emergency Hospital and Trauma Center, San Francisco General Hospital, San Francisco, California.
- Sept / Oct Senior Resident San Francisco General Hospital
  1974 Medical / Surgical Intensive Care Unit for critically ill and injured patients.
- Mar / Apr Cardiology Resident, University of California, San Francisco.

  Moffltt Hospital, San Francisco, California. Acute Cardiology and Coronary Care Unit.
- 1975 81 Senior Physician, Marin Emergency Medical Group, Novato Community Hospital, and Ross General Hospital, Marin County, California.

Nurses.

1976 - present	Certified Instructor Advanced Cardiac Life Support, appointed affiliate faculty of the American Heart Association.	
1982 -	Certified Advanced Trauma Life Support	
1978 - present	Emergency Physician, Tahoe Forest Hospital, Truckee, California.	
Teaching and Training in Emergency Medicine		
1975 - <b>8</b> 1 ,	Paramedic Instructor / Trainer, Novato Fire District and College of Marin	
1977 - 78	Clinical Instructor, Stanford University Paramedic Training Program	
1978	Instructor, Marin County Mobile Intensive Care Nurse Program.	
1981 - 94	Instructor, Nevada / Placer County Mobile Intensive Care Nurse Program.	
<b>1982</b>	Consultant / Instructor, Northern Sierra Consortium for Health Services. Video Productions: Rural Emergency Medical Management for Paramedics	
1978 - 89	Primary Instructor and Medical Director Placer-Nevada EMT II Program, Tahoe Forest Hospital, under the auspices of the Sierra Sacramento Valley Emergency Medical Services Agency.	
1976 - 96	Affiliate faculty, American Heart Association Medical Director Advanced Cardiac Life Support Training Program at Tahoe Forest Hospital sponsored by the Nevada Heart Association.	
1987 -91	Medical Director, Alpine, Mothertode, Emergency Medical Services Agency, Regional Paramedic Program, Modesto, California.	
1988 - 91	Medical Director for Training Sierra Sacramento Valley Emergency Medical Services Agency, Paramedic and EMT II Training Programs, Sacramento, California.	
1987 - 2002	Northern California Medical Director and Affiliate Faculty, Basic Trauma Life Support, California Chapter, American College of Emergency Physicians.	
1991 - 93	President, Academy of Emergency and Expedition Medicine and Academy Seminars. Continuing Education Programs and ACEP co-sponsored National Conferences for Emergency Physicians and Nurses.	

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### Administrative Activities in Emergency Medicine

1971 - 72	Consultant Physician, Prison Health Services, Rikers Island Adolescent Remand Shelter, New York, New York. Organization of Prison emergency medical services and general clinical medicine.
1973 - 75	Development of Emergency Services at hospitals in Merced, Tracy, Willits, and Patterson for Emergency Medical Systems of San Francisco.
Aug - Nov 1973	Medical Advisor to Disaster Relief Team, Pakistan, India, Nepal, Bangladesh. Emergency Medical Aid (with USAID). Organization of emergency medical care stations in disaster areas.
1975	Consultant to Stanislaus County Paramedic Program.
1975 - 81	Director Emergency Services Novato Community Hospital.  Development of Comprehensive Emergency Services for community. Development Marin County Paramedic Program.  Marin County Paramedic Advisory Committee.
1975 - 78	Marin County Representative Medical Advisory Committee, Association of Bay Area Governments, (ABAG) Emergency Medical System for San Francisco Bay area communities
1975 - 77	Development of Transfer Agreements for Bay Area hospitals for burns, spinal cord injuries, trauma, coronary care and neonatal emergencies.
1978	Consultant to California Emergency Physicians. Development of Emergency Department physician staff at Marin General Hospital.
1978 - present	Director Emergency Services, Tahoe Forest Hospital District.  Development of physician staff and system of emergency care to include comprehensive delivery of advanced life support throughout the district.
1981 - present	Nevada County Health Officer Designate for Paramedic Services.
1982 - 92	Emergency Medical Services Authority, State of California, Committee, on EMT II and Paramedic Training,

Consultant, Commission on Emergency Medical Services, 1982 - 89 State of Callfornia:

 Development of Regulations governing Paramedics and EMT II Scope of Practice for Title 22,

California State Health and Safety Code. 2. Development of statewide Paramedic and EMT II curriculum.

3. Task Force on statewide testing standards for EMT I, II, and Paramedics.

1986present Medical Director, Doctors Ski Patrol

Alpine Meadows, Northstar, and Sugar Bowl Ski Resorts

1996 present Medical Director, Emergency Department, Incline Village

Community Hospital, Incline Village, Nevada.

1990 - 91 Consultant, Total Quality Management (TQM) and Continuous Process Improvement (CPI), Dimensions of I-luman Synergy. San Francisco, California (Emergency Department and Medical Office Management).

1994 -

Board of Directors, Tahoe IPA

2001

### Academic Appointments

- 1971 72 Assistant in Medicine, College of Physicians and Surgeons of Columbia University, New York.
- Lecturer in Epidemiology, School of Public Health, University of 1973 - 74 California, Berkeley.
- Instructor in Medicine, University of California, School of Medicine, 1974 - 75 San Francisco.
- Attending Staff, Mission Emergency Hospital, 1978 - 79 San Francisco, California.

### Hospital Staff Member

Novato Community Hospital, Novato, California. 1975 - 88

1978 present

Tahoe Forest Hospital, Truckee, California. Chief of the Medical Staff, 1981-82, 1998-2000

Vice Chief of Medical Staff 1996, 1997, 2005

Chairman Emergency Medicine Committee 1990 / 1995, 2001-

2003

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1978 - Executive Committee of the Medical Staff,

present Chairman, Emergency Department Committee.

Professional Audit Committee.

Development of Emergency Department audit procedures.

Critical Care Committee, Quality Assurance Committee,

Long Range and Strategic Planning Committee.

1990 - Bio-Ethics Committee, Chairman, 2002

2005

1998 - Board of Directors, Tahoe Forest Hospital Foundation

2003

### <u>Membership</u>

American College of Physicians (Internal Medicine) 1975-1995

American College of Emergency Physicians (Elected Fellow, 1983)

### Community Services

- 1975 78 Marin County Heart Association: Member Board of Directors; Chairman, Pre-Hospital Care Committee.
- 1976 78 State of California Department of Health, Advisor, Medical Programs for Department of Corrections.
- 1975 78 Marin County Emergency Medical Care Committee.
- 1978 90 Nevada County Emergency Medical Care Committee.

### Presentations Before Formal Professional Groups

"Hepatitis B from a Human Bite", West Coast Epidemiology Conference, Ashland, Oregon, November 9, 1973.

"Emergency Medical Care Organization During a Disaster in Pakistan", West Coast Epidemiology Conference, Ashland, Oregon, November 10, 1973, and Center for Disease Control, Atlanta, Georgia, December 18, 1973

"Varicella Cutbreak on a Pediatric Oncology Ward", Epidemic Intelligence Service Conference, Center for Disease Control, Atlanta, Georgia, April 17, 1974.

"Salmonellosis in California", Marin County Medical Society, February, 1974.

"Paramedic Field Simulations", Stanford University Paramedic Program, Marin County, October - November, 1977.

"Emergency Management of Head Injuries and the Unconscious Patient", Video Productions, Northern Sierra Consortium for Health Services, Susanville, California, June, 1983.

"Cooperation - A Successful Strategy for the 80's, A Seminar for Board of Directors, Administrators, and Medical Staff Leadership", Association of California Hospital Districts, June 1984, Newport Beach, California.

"Hypothermia" Incline Winter Sports Injury Symposium, Incline Village, Nevada, November 1993.

### **Publications**

- The establishment of <u>S.typhimurium</u> infection in mice infected with s.mansoni. Rev Inst Med Trop Sao Paulo 13:328-332, 1971.
- Heptatitis B transmitted by a human bite. JAMA 230:5, 723-24, November 4, 1974. (First documentation of transmission by this route)
- Diagnosis and treatment of Amanita phalloides-type mushroom poisoning: use of thiootic acid. Western J of Med 125:100-109, August 1976.
- 4. Emergency Encounter Forms: Their Use in the Emergency Department, January, 1976. (Private printing)
- "Nosocomiat Varicella" Western Journal of Medicine 130:196-199, March, 1979.
- "Snowboarding Deaths in Deep Powder". The Physician and Sports Medicine 22:12, 48-67, December 1994.
- "Pulmonary Airleaks in Outdoor Sports" American Journal of Sports Medicine – Vol. 27, No. 4: 571-520, 1999

August 7, 2007

£i.

## Michael B. MacQuarrie, MD, FACEP, FAAEM P. O. Box 2484 Truckee, CA 96160 Phone / Fax: (530) 587-6123 Tax ID # 94-2479345

FEES FOR LEGAL WORK:

\$350 per hour to review records (minimum 3 hr.)

\$450 per hour for deposition and / or arbitration

Trial - arranged with attorney

Retainer - \$1000

EXHIBIT B

2010 Market Street Camp Hill, PA 17011



Ph: 717.975.9190 Fax: 717.975.3996

June 25, 2014

Ms. Taylor Trujillo Alverson Taylor Mortensen & Sanders 7401 W. Charleston Blvd. Las Vegas, NV 89117-1401

RE: Chernikoff v. First Transit, Inc. et al

Ms. Trujillo:

Pursuant to your request, I have reviewed materials to render opinions pertaining to regulatory requirements and compliance, company policies and driver actions related to the above referenced matter. The following documentation was reviewed in preparation of this report:

- Complaint;
- Video footage of the incident from the on-board cameras;
- Photographs of signs on the bus in question;
- First Transit Employee Handbook;
- First Transit Minimum Required Training Hours;
- Las Vegas First Transit Operator Training Matrix;
- RTC Paratransit Guide;
- RTC Paratransit Interview Form for Harvey Chernikoff.
- Deposition transcript of Jack Chernikoff and related exhibits;
- Deposition transcript of Elaine Chemikoff and related exhibits:
- Deposition transcript of Jay Farrales and related exhibits; and
- Deposition transcript of Scott McCartney and related exhibits.

Ms. Taylor Trujillo June 25, 2014 Page 2 of 7

## Background

On the morning of July 29, 2011, Harvey Chernikoff was among several approved passengers on a paratransit bus. Jay Farrales was the driver of the Paratransit vehicle, being operated for First Transit. First Transit was contracted to provide transportation services for the Regional Transportation Commission of Las Vegas (RTC).

While being transported to his worksite, Mr. Chernikoff suffered a medical event and passed away while on-board the paratransit bus.

## **Deposition Testimony**

Jack Chemikoff testified that Harvey lived with a caretaker (Joseph) and that both they (him and Elaine) and Joseph stressed independence with Harvey. He stated that Harvey was talkative and followed verbal commands. He indicated that he was not familiar with the RTC Paratransit Guide and not aware of any rules that riders were to follow. He stated that Harvey did not need anyone to travel with him and that he would have protested such if it was a stipulation for using the Paratransit service. He testified that he had neither been on any RTC paratransit bus with Harvey and was unaware of Harvey's behaviors while riding.

Elaine Chemikoff also testified that Harvey was an independent person and that she felt there was no need for him to travel with a caretaker. She recalled seeing the RTC Paratransit Guide, but also indicated that she did not read it thoroughly and never provided it to Joseph, Harvey's caretaker. She does not indicate that she ever rode with Harvey on the bus and further indicated that Joseph only rode with Harvey on special occasion trips.

Jay Farrales testified that he had been a driver for First Transit and related previous entities for five years at the time of this incident. He indicates that he had only transported Harvey a few times and never saw Harvey eating on the bus. He testified that Harvey did ask him if he could drink water, which he would allow. He also states that in the past, when he has noticed passengers eating on the bus, that he had asked them to stop. He indicates that, after dropping off Ms. Kincaid, he did not specifically check on Harvey before resuming his trip. He believed Harvey may have been napping and states that he could not see Harvey in his mirror. He testified that he saw Harvey leaning over in the seat at a stoplight, and that he knew something was not right when he got up to check on him. He moved the bus off the roadway to a safe location and he contacted dispatch to alert them of the situation.

Ms. Taylor Trujillo June 25, 2014 Page 3 of 7

## **Analysis & Comment**

According to the testimony of the Chernikoffs and the Complaint in this case, it is clear that they believe that Harvey's death was caused by violations of standards and failures of actions on behalf of the driver Jay Farrales or his employer First Transit. More specifically, the following summarizes, at least in part, their beliefs:

- Paratransit transportation includes drivers who should be able to diagnose medical emergencies and provide first aid;
- Paratransit drivers and/or their companies are responsible for teaching riders rules of transport;
- Harvey Chernikoff died from choking on food he was eating while on the bus;
- Mr. Farrales knew, or should have known, that Harvey was eating on the bus; and
- 5. First Transit's policy to have drivers contact dispatch when an emergency occurs unnecessarily delayed medical attention.

Paratransit transportation is transportation provided to persons with disabilities who, because of their disabilities, cannot successfully utilize other available fixed-route, public transportation. Through the Americans with Disabilities Act, paratransit transportation was mandated for persons with disabilities in regulations promulgated by the Department of Justice and Department of Transportation. General regulations governing accessible transit operations can be found at CFR Title 49, Part 37.

Regulatory requirements specific to driver duties and responsibilities are found in Subpart G at §37.165 and 37.167. In summary, these require that drivers provide routine boarding assistance for passengers with disabilities as well as assist with identifying stops and transfer points. Regulations pertaining to driver training are found in §37.173, which reads in whole:

"Each public or private entity which operates a fixed route or demand responsive system shall ensure that personnel are trained to proficiency, as appropriate to their duties, so that they operate vehicles and equipment safely and properly assist and treat individuals with disabilities who use the service in a respectful and courteous way, with appropriate attention to the difference among individuals with disabilities."

Notably, the regulations do not require that drivers be trained in first aid, CPR, or in recognizing or diagnosing medical events of any kind. While there are public

Ms. Taylor Trujillo June 25, 2014 Page 4 of 7

paratransit entities that choose to provide training in first aid and/or CPR to drivers, there is no clear industry standard with regard to the application of this training. This is consistent with other modes of transportation, including school bus operations and charter/tour operations. Provision of this type of training is customarily stipulated in contracts for private operators, such as First Transit, when contracting entities determine it would be desirable given their operating profile. Drivers trained in these matters are generally found in more rural areas where access to highly trained and competent emergency response personnel is not as accessible as the same in more urban environments.

The RTC Paratransit Guide, provided to the Chernikoffs at the time of the eligibility assessment/certification process, details additional services of the driver; these outlined services are consistent with the requirements under the ADA regulations.

The RTC Paratransit Guide also outlines "Rider Rules", which include no eating, a rule consistent with signage provided on the First Transit vehicle operated by Mr. Farrales. The Chernikoffs indicate that Harvey both listened to and followed verbal commands and also was capable of learning the meaning of signage, but also admit that they never really read the RTC Guide, never provided it to Harvey's caretaker Joseph, and never rode on an RTC bus with Harvey. Instead, they assumed that the RTC, their contractors, or their drivers would be responsible for teaching riding rules to passengers. Considering that persons with cognitive disabilities undoubtedly learn concepts in different ways, it would seem much more reasonable for those who best understand each individual's learning capabilities to provide instruction in critical areas, rather than transportation providers who could not possibly understand an individuals cognitive function as well as relatives and caretakers.

It is not customary for drivers to provide instruction to riders as to rules while riding. In fact, this would be counterproductive, as rules would have to be provided to each new rider that boarded and would undoubtedly delay provision of timely transportation service.

Prior to Harvey slumping over there are no visible or audible signs of a medical emergency other than some slight arm tremor/movement. In fact, at 7:59:55, just 30 seconds prior to any obvious sign of a medical event, the bus stops to let off passenger Kincaid. At this time, Harvey closes his lunch bag and places it on the seat next to him, as driver Farrales gets out of his seat to exit the bus and assist passenger Kincaid alight the vehicle. Harvey actions at this time certainly do not indicate any obvious distress, and in fact, possibly imply that he was aware he was not supposed to be eating. At no time are any of the universal responses and reactions to choking observed.

Depending on physical characteristics of the inside mirror and how the mirror was positioned, Mr. Farrales very conceivably may not have been able to see

Ms. Taylor Trujillo June 25, 2014 Page 5 of 7

Harvey after he was slumped over into the aisle, which is the position he was in from the time Mr. Farrales resumed the route after discharging passenger Kincald until he determines there is an issue with Harvey while at the stoplight. In fact, the video confirms this to be the case. While at that stoplight, it is clear from the video that Farrales had to rise up in his seat or otherwise look in a not-seen-before fashion to fully recognize and realize Harvey's position, after which he immediately reaches back to assess if Harvey is okay.

Other observations from the video footage:

- The entire time Harvey was eating, his lunch bag was either on his lap, or on the seat to his left. Harvey consumed his entire sandwich in about 1,5 minutes.
- The length of time elapsed during which Harvey had not spoken to Farrales either prior to the stop for Kincaid, or after he re-boarded the bus was not abnormally unusual; there were many instances during this trip where longer times had elapsed without any type of conversation or comment from Harvey.

Mr. Farrales' actions after identifying that there was an issue with Harvey are consistent with practices within the transportation industry. Once he was unable to get Harvey to respond, he quickly moved the vehicle off the roadway to a safe location; this action is typical of instruction given to drivers so that any passengers and the driver are removed from continuing roadway traffic hazards. Moving the vehicle off the roadway also simplifies access to the vehicle for emergency responders and ensures their safety from roadway traffic hazards once they arrive.

Within the transportation industry, there are varying approaches for drivers to handle emergency notifications, with the options being direct notification by a driver, or notification through dispatch. It is more likely for companies to utilize dispatch notification in instances where dispatch is readily available at all times. Additionally, specific to transportation for persons with disabilities, dispatch personnel often times maintain more detailed information related to passengers that may be beneficial for emergency responders in their approach to patient evaluation and treatment.

On the RTC Paratransit Interview Form, the Chernikoffs indicate that Harvey would be able to travel without a Personal Care Attendant (PCA). This is consistent with their testimony. However, based upon the interview of Harvey and his needs, the RTC approved Harvey for travel with a PCA. This designation means that the transportation provider was required to transport a PCA at no additional charge for any trip that Harvey took on the transit service. PCAs are utilized for a variety of reasons, including medical attention.

Ms. Taylor Trujillo June 25, 2014 Page 6 of 7

## Conclusions

Based upon materials reviewed and my experience and knowledge of commercial passenger transportation operations, it is my opinion to a reasonable degree of professional certainty that:

- Paratransit regulations do not require first aid, CPR, or any medical training for vehicle operators. The main function of a driver is safe operation of the vehicle, routine boarding assistance, and disabilitysensitive interaction with persons with disabilities.
- The Chernikoffs and Harvey's caretaker understood Harvey's learning and
  cognitive processes much better than anyone else and had the best
  opportunity to insure that he knew and understood rules for riders as well
  as signage onboard the vehicle. Despite this obvious fact, they failed to
  provide any known instruction to Harvey pertaining to rules of riding RTC
  buses, including eating on the bus.
- It is not customary for drivers, or transit agencies, to individually provide riding rule instruction to passengers unless a passenger is observed violating a rule.
- Given Harvey's seated position behind the driver and the manner in which
  he consumed his food, it is entirely possible that any view of Harvey eating
  was not visible in the driver's view of the inside mirror.
- Based upon video footage, Harvey's position while slumped over was not visible to the driver during normal mirror scans from his driving position.
- The Chernikoffs had the option to provide a Personal Care Attendant to ride the transit service with Hervey at no charge. Such as PCA could have provided all the functions they assumed the driver and/or transit company was providing for Harvey.
- The Chernikoffs made assumptions with regard to the transportation service that were not fact, and, had they made appropriate inquiries, would have known were positively wrong. Perceived failures of the driver or First Transit based on assumptions are erroneous.

Ms. Taylor Trujtilo June 25, 2014 Page 7 of 7

This report may be amended with the availability of additional information or facts related to this incident, including but not limited to a subject vehicle inspection that will occur in the next 30 days.

Yours truly,

Matthew Daecher

Transportation Safety Specialist Accredited Accident Reconstructionist

## Matthew A. Daecher

## **Transportation Safety Specialist**

## Accredited Accident Reconstructionist

## Areas of Expertise:

Mr. Daecher regularly consults with commercial vehicle operations and commercial fleets on risk management practices, including Federal & State safety-based regulatory compliance. He has personally investigated over 100 vehicular accidents, most involving commercial vehicles. He has provided litigation support in hundreds of cases and his testimony has been accepted in both State and Federal Courts.

Mr. Daecher has significant experience in practices related to safe operations in commercial vehicle and commercial fleets.

### Recent Consultation Includes:

- FMCSR, FMVSS, OSHA, and EPA compliance
- Driver hiring & management
- Driver training
- · Fleet maintenance
- · Accident and injury investigation
- Workplace safety
- · Carrier assessment and selection

### Education

Bachelors of Business Administration

Kent State University, 1992

Traffic Accident Reconstruction

Northwestern University, 1997

Core curriculum includes 320 hours of instruction in the following categories: Measurements at the Scene of Troffic Accidents; Traffic Accident investigation; Vehicle Dynamics; Troffic Accident Reconstruction; Heavy Vehicle Crash Reconstruction (1999)

## Accreditations

ACTAR-Accredited Accident Reconstructionist (#1113) (Accreditation Commission for Troffic Accident Reconstructionists)

## **Professional Affiliations & Service**

- ACTAR; Director, Governing Board (2012-Present)
- American Bus Association
- American Society of Safety Engineers (ASSE)
- Bus Industry Safety Council (BISC)
- Commercial Vehicle Safety Alliance (CVSA)
- National Association of Traffic Accident Reconstructionists and Investigators (NATARI); Member, Board of Directors (2008-2013)
- National Association of Professional Accident Reconstruction Specialists (NAPARS)
- National Safety Council (NSC)
- · United Motorcoach Association

## **Employment History**

1997 - Present

The Daecher Consulting Group, Inc.

### President/Vice-President

Consultation with clients in all areas of commercial motor carrier and commercial fleet operations

- Safety management practices
- Analysis of less histories
- Design and implementation of loss prevention/control strategies
- Driver performance evaluation
- Regulatory compliance
- Design and implementation of corporate safety & risk management policies and procedures
- Workplace safety
- · Fleet management
- · Accident & injury investigation
- · Development and presentation of training programs

Investigation and/or reconstruction of collisions involving motor vehicles including performance of vehicle and scene inspections, vehicle testing, and vehicle electronic data interrogation

Participation in research projects pertaining to fleet safety

Manage and oversee staff and administration functions of company

### 1995 - 1997

### Safety, Claims & Litigation Services, Inc.

## Loss Prevention Analyst /Consultant & Accident Investigation/Reconstruction Assistant

### Safety & Loss Prevention Highlights

- Review and analyze loss/claims records to determine collision and injury trends and suggest solutions and/or training curriculum to reduce claims exposure
- Perform anonymous on-board evaluations to identify individual driver behaviors and route specific issues which create unnecessary loss exposures
- Conduct facility inspections to determine employee hazards and risks of injury
- Determine regulatory compliance levels of clients through audit mechanisms and worksite investigations and inspections
- Design; edit and publish loss prevention newsletters for customers
- Design and implementation of corporate safety & risk management policies and procedures

## Accident Investigation / Reconstruction Assistance

- Collision scene and vehicle inspection and documentation
- Collection of vehicle, weather and other relevant data
- Obtain witness statements and accident reports
- Assist in all phases of accident data analysis and reconstruction

1993 - 1995

North Coast Energy, Inc.

## Assistant Treasurer / HR Director

## Assistant Treasurer

Assist CFO in various functions, including: overseeing accounts payable and accounts
receivables; cash flow analysis and daily cash management; short-term investment of excess
capital; establish credit lines with vendors; review of stock dividend payments; preparation
and submission of SEC filings

## **Human Resource Functions**

 Perform payroll services; administer company 401K plan; maintain employee stock options; implement human resource plans; review and revise company policies; implement and oversee drug testing program; revise employee handbooks as necessary

### **Publications & Presentations**

Mr. Daecher is regularly published in trade magazines and periodicals, including:

- American Bus Exchange
- Bus Ride Magazine
- Guardian (CVSA)
- The Road Explorer
- Transactions (ASSE Transportation Practice Specialty)

He also gives frequent presentations to carrier representatives and safety management personnel on topics of interest within his expertise. Some recent seminars/groups for whom he has presented:

- United Motorcoach Association Safety Management Seminar
- Atlas Van Lines (SALTA Insurance Group)
- Airport Ground Transportation Association
- Pennsylvania Public Transportation Association
- CVSA Safety Symposium

## Research/Regulatory Projects

Mr. Daecher has participated in numerous US DOT-funded projects, including:

- Model Curriculum for Motorcoach Driver Training
- Bus Driver Fatigue and Stress Issues
- Motor Carrier Scheduling Practices and Their Influence on Driver Fatigue
- Security & Emergency Preparedness Plans for Passenger Carriers
- Operation Secure Transport
- Commercial Truck and Bus Safety Synthesis Programs

DAECHER

2010 Market Street Camp Hill, PA 17011

CONSULTING GROUP

Ph: 717.975.9190 Fax: 717.975.3996

## 2013 Retainer Agreement

Thank you for your interest in The Daecher Consulting Group, Inc. Our rates for all new cases and fitigation consultation are as follows:

Principal Expert -

\$225.00/hour

Administrative Support -

\$ 45,00/hour

Downloads of engine, restraint and other vehicle data control modules via electronic interrogation of the vehicle or module is subject to a \$400.00 fee per download in addition to personnel time.

Printing charges are 7¢/page for black and white print; color photographs and other necessary color prints will be billed at 50¢/page. All other direct expenses associated with services provided will be billed in addition to these fees. Mutually agreed upon weekend and holiday time will be billed at \$400.00/hour.

Our retainer for new cases is \$1,800:00. This retainer is non-refundable and will be applied to the first eight hours of chargeable time. After the retainer has been exhausted, we will bill for services and expenses every two weeks. Any invoices that remain unpaid for 30 days or longer will be assessed finance charges of 1/2% per month. These finance charges will continue to accrue until the invoice and all finance charges are paid. If any invoices remain unpaid for 100 days, all services will cease until the invoice and all finance charges are paid.

Our minimum fee for depositions is \$900.00; however, depending upon the location for the deposition, a specific minimum fee will be provided for payment in advance of the deposition.

Cancellations of depositions or trial testimony within 72 hours of scheduled time will incur the minimum fee as well as any direct travel costs incurred that cannot be cancelled or recovered.

We look forward to working with you.

Very truly yours.

Matthew Daecher President

Federal ID No. 26-0034113

## EXHIBIT "2"

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MORTENSEN & SANDERS
LEANN SANDERS, ESQ.
Nevada Bar No. 000390
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Attorneys for Defendants
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ALVERSON, TAYLOR,

## DISTRICT COURT

## CLARK COUNTY, NEVADA

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JACK CHERNIKOFF and ELAINE CHERNIKOFF,

Plaintiffs,

vs.

FIRST TRANSIT, INC.; JAY FARRALES; DOES 110, and ROES 1-10, inclusive,

Defendants.

Defendants.

## DEFENDANTS JAY FARRALES AND FIRST TRANSIT, INC.'S REBUTTAL EXPERT WITNESS DISCLOSURE STATEMENT

COMES NOW Defendants, JAY FARRALES and FIRST TRANSIT, INC,. by and through their attorneys of record, ALVERSON, TAYLOR, MORTENSEN & SANDERS and hereby submit their Rebuttal Expert Disclosure Statement, pursuant to Nevada Rules of Civil Procedure 16.1 and 26(b)(5):

 Michael MacQuarrie, M.D. P.O. Box 2484 Truckee, CA 96160

Dr. MacQuarrie is by education, training, and experience an expert in the field of emergency medicine. He is prepared to offer causation opinions with regard to Harvey

## ALVERSON, TAYLOR, MORTENSEN & SANDERS

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Chernikoff's death and the incident in question. Dr. MacQuarrie is prepared to offer testimony consistent with, but not limited to, those opinions presented in his expert report attached bereto. In addition, Dr. MacQuarrie is prepared to offer testimony in rebuttal to the opinions offered by Plaintiff's expert(s), if any.

Dr. MacQuarrie may add to, delete from, modify or change his expected testimony, depending upon the nature of further information obtained between now and the time of trial, as well as the deposition and trial testimony of other witnesses in this matter. Dr. MacQuarrie's opinions will not be finalized until he has had an opportunity to review and evaluate the opinions of all experts. In that regard, Defendants reserve the right to supplement Dr. MacQuarrie's expert report prior to the time of trial.

Dr. MacQuarrie's curriculum vitae and fee schedule were previously attached to Defendants First Transit, Inc., and Jay Farrales' Initial Expert Witness Disclosure Statement. Dr. MacQuarrie's rebuttal expert report is attached hereto as Exhibit A.

Matthew Daecher 2010 Market Street Camp Hill, Pennsylvania 17011 (717) 975-9190

Mr. Daecher is by education, training, and experience an expert in the fields of transportation safety and accident reconstruction. Mr. Daecher is prepared to offer testimony consistent with, but not limited to, those opinions presented in his expert report attached hereto. In addition, Mr. Daecher is prepared to offer testimony in rebuttal to the opinions offered by Plaintiff's expert(s), if any.

Mr. Daecher may add to, delete from, modify or change his expected testimony, depending upon the nature of further information obtained between now and the time of trial, as well as the deposition and trial testimony of other witnesses in this matter. Mr.

## ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS

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Mr. Daecher's curriculum vitae and fee schedule were previously attached to Defendants First Transit, Inc. and Jay Farrales' Initial Expert Witness Disclosure Statement. Mr. Daecher's rebuttal expert report is attached hereto as Exhibit B.

DATED this 28 day of July, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

By

LEANN SANDERS, ESQ.
Nevada Bar No. 000390
SHIRLEY BLAZICH, ESQ.
Nevada Bar No. 008378
7401 W. Charleston Boulevard
Las Vegas, NV 89117-1401
(702) 384-7000
Attorneys for Defendants

# ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS

CERTIFICATE OF SERVICE

Pursuant to Administrative Order 14-2, I hereby certify that on this 28<sup>th</sup> day of July, 2014, I did cause a true and correct copy of the above and foregoing <u>DEFENDANTS JAY</u>

<u>FARRALES AND FIRST TRANSIT, INC.'S REBUTTAL EXPERT WITNESS</u>

<u>DISCLOSURE STATEMENT</u> to be e-served through the Eighth Judicial District Court EFP system pursuant to the Electronic Filing and Service Order entered on the Court's docket in the above-referenced matter.

Benjamin Cloward, Esq. RICHARD HARRIS LAW FIRM 801 S. Fourth Street Las Vegas, NV 89101

Charles H. Allen, Esq.
Attorneys for Plaintiffs
CHARLES ALLEN LAW FIRM
191 Peachtree Street N.W., Suite 3300
Atlanta, GA 30303

Attorneys for Plaintiffs

An employee of

ALVERSON, TAYLOR, MORTENSEN & SANDERS

# ALVERSON, TAYLOR, MORTENSEN & SANDERS

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## AFFIRMATION

## Pursuant to N.R.S. 239B.030

The undersigned does hereby affirm that the preceding **DEFENDANTS JAY** FARRALES AND FIRST TRANSIT, INC.'S REBUTTAL EXPERT WITNESS

## **DISCLOSURE STATEMENT** filed in District Court Case No. A-13-682726-C

Does not contain the social security number of any person.

## -OR-

Contains the social security number of a person as required by:

A. A specific state or federal law, to wit:

## [Insert specific law]

-0r-

В. For the administration of a public program or for an application for a federal or state grant.

DATED this 28 day of July, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Boulevard Las Vegas, Nevada 89117

Attorneys for Defendants

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EXHIBIT A

Michael MacQuarrie, M.D., F.A.C.E.P., F.A.E.E.M. P.O. Box 2484 Truckee, California 96160

## To Whom It May Concern:

I have been asked to provide expert medical and causation opinions with regard to the incident that occurred on July 29, 2011. All of the information contained in this report is based upon my review of the materials listed below, as well as my education, training and experience as a physician who is Board Certified by the American Board of Emergency Medicine.

## Information Reviewed

In preparation for rendering an expert opinion in this matter I have reviewed the following documents:

- Complaint;
- Video footage of the incident in question;
- Clark County Autopsy Report;
- Clark County Coroner's Report;
- Toxicology Report:
- Harvey Chernikoff's medical records from Pamona Valley Hospital;
- Harvey Chernikoff's records from the Desert Regional Center;
- Harvey Chernikoff's medical records from Gautham Reddy, M.D.;
- Harvey Chernikoff's medical records from William Gillispie, M.D.;
- Harvey Chernikoff's medical records from Cedars Sinai Medical Center;
- Plaintiffs' Designation of Initial Expert Witnesses and exhibits thereto, including expert reports by Kenneth Stein, M.D. and Ned Einstein.

## **Expert Opinion**

Plaintiffs' emergency medicine expert, Dr. Stein, opined that Harvey Chernikoff died from asphyxiation from a peanut butter sandwich that blocked his airway. Additionally, Dr. Stein indicated that at "7:59:47 A.M. it was apparent that Mr. Chernikoff was in acute distress." It is still my opinion that it is not clear, especially to a reasonable degree of medical certainty, that Harvey's death was caused solely by choking. Harvey exhibited no outward medical indications of choking. It is just as likely, if not more so given Harvey's movement and outward expression, that he suffered a heart attack. In fact, even Plaintiff's transportation safety expert admitted within his report that Harvey did not exhibit, nor could he hear any signs of distress.

Dr. Stein also opined that, had Mr. Farrales (1) noticed Harvey's condition in a timely manner; (2) attempted the Heimlich maneuver and/or CPR in a timely manner; and/or (3) contacted 911 emergently, Harvey would have survived this incident and would not have died. As there were no outward visible, nor medical, indications that Harvey had choked, there was no evidence that would have directed any individual trained in first aid to check or clear Harvey's airway. Additionally, Dr. Stein does not provide an explanation for what a "timely manner" would have been. At the time that Mr. Farrales was made aware that Harvey was unresponsive, it is unlikely that there would have been anything he could have done to change the outcome. It is also impossible to opine to a degree of medical certainty that had all of the above actions been taken, Harvey would not have died. On the contrary, the opinions provided are speculation founded upon a number of "ifs" rather than the facts.

Additionally, it is my opinion to a reasonable degree of medical probability that an individual trained in first aid or even as a Level I Emergency Medical Technician would not have had the necessary training to save Harvey if his airway was in fact blocked, and even less so if he suffered a heart attack. As such, even had Mr. Farrales been trained in first aid and attempted to administer the Heimlich maneuver and/or CPR immediately after Harvey had become nonresponsive, first aid would not have been sufficient to revive him.

Interestingly, Plaintiff's transportation safety expert, Ned Einstein, made a number of medical opinions within his report. Mr. Einstein repeatedly acknowledged that he is not qualified to give medical opinions, but continued to provide them within his report regardless. Based on my review of his qualifications, I fully agree that Mr. Einstein is in no way qualified to provide any of the medical opinions he made throughout his report. Not only is Mr. Einstein not qualified to provide medical opinions, the opinions he provided are without any support in the medical field and are in fact ridiculous, and in some cases dangerous. For example, the assertion that any individual who is not medically trained should have "plunged" scissors into the lower portion of Mr. Chernikoff's neck just above the top of his breastplate "to create an airway passage" is not only absurd, but also reckless and totally unfounded. Not even a trained medical professional would have taken these measures in this circumstance, as it more likely than not would have caused more damage.

The medical opinions provided within Mr. Einstein's report are baseless and not supported by any facts within the record. As such, it is clear that Mr. Einstein is not trying to be objective, but merely wants to ridicule and mislead his audience. Mr. Einstein claims that his experience transporting physically and developmentally disabled adults qualifies him to opine as to an appropriate response to a passenger suffering a petit mal seizure and sleep apnea. He also seems to suggest that a paratransit driver should be able to effectively diagnose passengers by their symptoms and respond accordingly. There is no sign that

Harvey was suffering a petit mal seizure, nor was Mr. Einstein's description of a petit mal seizure correct.

Although he admitted that he was not qualified to comment on the "odds" of Harvey's resuscitation after certain periods of time, he provided page after page of commentary regarding the timing of events and its possible effects on Harvey's potential survival. Particularly, he maintains that the time it took for the paramedics to arrive could have been reduced to close to four and a half minutes. Although there is no support for this arbitrary calculation of time, there is no guarantee that Harvey could have been revived even if the timing had been reduced. Additionally, the assertion that a dispatcher should have instructed Mr. Farrales to drive to the nearest ambulance is absolutely ridiculous. There is no way for a transit dispatcher to know where the nearest ambulance was located, or even which ambulance would respond to the event.

Most importantly, Mr. Einstein refuted any and all opinions and arguments that he attempted to make within his own report. Even when scrutinizing the video footage with retrospectively,he acknowledged that there were no signs of distress visible. As such, how could any individual have been able to diagnose and treat Harvey,let alone one whose job is to drive and watch the road. Further, Mr. Einstein's opinions are all based on a number of incorrect presumptions, including the presumption that Mr. Farrales saw or could have seen Harvey eating. Experts, no matter the field, cannot base opinions on presumptions, particularly when the facts are contrary to the presumption made.

As he was accusing someone of causing a death, Mr. Einstein should have paid more attention to the facts and language he was using within his report, which was riddled with typos. This was a tragic event, so for Mr. Einstein to make light of it by references to 'Brer Rabbit' is insulting, demeaning, and unprofessional. Mr. Einstein's reliance on conjecture, inaccuracies, and supposition, in place of real expertise, shows his ignorance and disregard for the seriousness of this event and his accusations. Instead, he is trying to make light of a tragic, unforeseeable, and unpreventable death by providing irrelevant, baseless, unfounded, and incorrect opinions.

The foregoing opinions are made to a reasonable degree of medical probability. I reserve the right to supplement my opinion as new and/or additional information is provided to me. In addition, I reserve the right to modify my opinions should it become necessary.

Mullace B Mullecarrie, M.D.

EXHIBIT B

## DAECHER

2010 Market Street Camp Hill, PA 17011

## CONSULTING GROUP

Ph: 717.975.9190 Fax: 717.975.3996

July 24, 2014

Ms. Taylor Trujillo Alverson Taylor Mortensen & Sanders 7401 W. Charleston Blvd. Las Vegas, NV 89117-1401

RE: Chernikoff v. First Transit, Inc. et al.

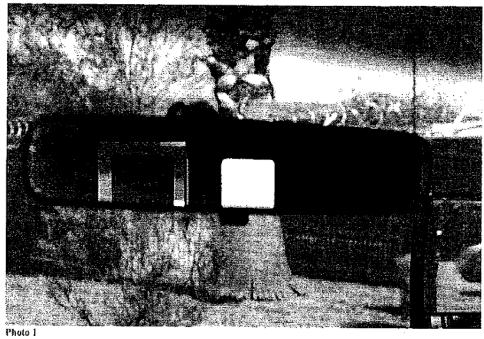
Ms. Trujillo:

Subsequent to my initial report concerning this matter (dated June 25, 2014), I have performed additional tasks and reviewed additional materials. More specifically, I conducted an inspection of an exemplar bus, and reviewed the June 27, 2014 report authored by Mr. Ned Einstein. This will report will serve as a supplement to my initial conclusions.

Inspection of an exemplar bus recently operated by Mr. Jay Farrales (Farrales) indicated that Mr. Harvey Chernikoff (Harvey) would not have been visible in either interior, rearview mirror after he slumped over into the aisle (see photos 1 & 2 below). This finding is consistent with Mr. Farrales' testimony, as well as video evidence showing that Mr. Farrales had to significantly change his viewing angle when attempting to locate Harvey when he was in this position.

More importantly, Photo 1 shows that Harvey would not be visible at all in the windshield-mounted interior rear view mirror. In his report, Mr. Einstein references a magnitude of times that driver Farrales should have seen Harvey ealing and/or slumped over in his mirror(s) while scanning those mirror during defensive driving practices. What Mr. Einstein falls to recognize is that defensive driving concepts apply to the driving function, not a passenger monitoring function. As he also notes in his report, when extensive passenger monitoring is required, personal care attendants or aids are utilized. Defensive driving education and practices focus on awareness of spaces around the vehicle being driven, as well as location of other vehicles in reference to that vehicle. Mirror scans addressed in accomplishing this task include checking side view mirrors and the windshield-mounted rearview mirror. Scanning/monitoring passenger compartment mirrors located significantly above the roadway plane are not included in defensive driving practices; in fact, doing such would be counterproductive to driving a vehicle safely and avoiding collisions and roadway conflicts.

Ms. Taylor Trujillo July 24, 2014 Page 2 of 4



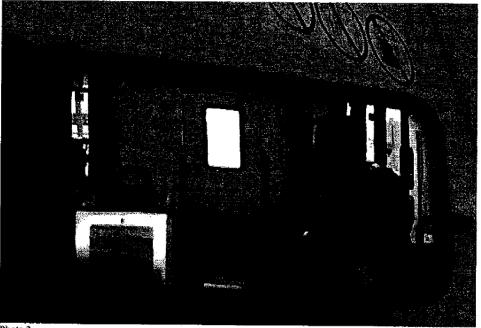


Photo 2

Ms. Taylor Trujillo July 24, 2014 Page 3 of 4

In reviewing Mr. Einstein's report, it is clear that many of his opinions and conclusions, which were repeated time and time again, are based upon assumptions, unsubstantiated claims, erroneous 'facts', misinterpreted or misconstrued testimony, or information contrary to testimony. Instances include, but certainly are not limited to:

- The assumption that Jay Farrales should have/could have seen Harvey eating;
- The assumption that Harvey ate on the bus before;
- The misconstrued testimony that Jay Farrales allowed select persons to eat on the bus;
- The assumption that Farrales could see Harvey slumped over in the mirror;
- The assumption that, somehow, Farrales was to know Harvey had choked (even if he did);
- That CPR and First Aid training is typically provided by transportation companies;
- That the Chernikoffs' never received the RTC Rider Guide;
- That Farrales failed to quickly notify dispatch after he determined Harvey to be unresponsive;
- The misinterpretation of ADA requirements and related First Transit policy regarding assisting passengers;
- Assumptions regarding the operation of first Transit's dispatch and communication systems; and
- · Conclusion that Jay Farrales was not a defensive driver.

Additionally, it should be noted that Mr. Einstein offers conclusions or opinions that clearly lie outside his experience and expertise area.

Ms. Taylor Trujtllo July 24, 2014 Page 4 of 4

## Conclusions

Additional tasks performed in this matter have not altered or affected any of my previously stated opinions or conclusions. However, I do have one additional opinion to offer:

 If Jay Farrales had paid as much attention to passenger behavior, as is suggested he should per Mr. Einstein's report and conclusions, the risk of being involved in a collision would have risen substantially.

Yours truly,

Matthew Daecher

Transportation Safety Specialist

Accredited Accident Reconstructionist

## EXHIBIT "3"

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ALVERSON, TAYLOR, MORTENSEN & SANDERS LEANN SANDERS, ESQ. Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Boulevard Las Vegas, Nevada 89117 (702) 384-7000 Attorneys for Defendants

## DISTRICT COURT

## CLARK COUNTY, NEVADA

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JACK CHERNIKOFF and ELAINE CHERNIKOFF,

Plaintiffs,

vs.

FIRST TRANSIT, INC.; JAY FARRALES; DOES 110, and ROES 1-10, inclusive,

Defendants.

CASE NO. A-13-682726-C

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## DEFENDANTS JAY FARRALES AND FIRST TRANSIT, INC.'S REBUTTAL EXPERT WITNESS DISCLOSURE STATEMENT

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 Michael MacQuarrie, M.D. P.O. Box 2484 Truckee, CA 96160

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## ALVERSON, TAYLOR, MORTENSEN & SANDERS

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DATED this 28 day of July, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

Nevada Bar No. 000390

SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378

7401 W. Charleston Boulevard

Las Vegas, NV 89117-1401

(702) 384-7000

Attorneys for Defendants

# ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS 7401 WEST CHARLESTON BOULEVARD LAS VEGAS. NEVADA 89117-1401 (702) 384-7000

CERTIFICATE OF SERVICE

Pursuant to Administrative Order 14-2, I hereby certify that on this 28<sup>th</sup> day of July, 2014, I did cause a true and correct copy of the above and foregoing **DEFENDANTS JAY**FARRALES AND FIRST TRANSIT, INC.'S REBUTTAL EXPERT WITNESS

DISCLOSURE STATEMENT to be e-served through the Eighth Judicial District Court EFP system pursuant to the Electronic Filing and Service Order entered on the Court's docket in the above-referenced matter.

Benjamin Cloward, Esq. RICHARD HARRIS LAW FIRM 801 S. Fourth Street Las Vegas, NV 89101

Charles H. Allen, Esq.
Attorneys for Plaintiffs
CHARLES ALLEN LAW FIRM
191 Peachtree Street N.W., Suite 3300
Atlanta, GA 30303

Attorneys for Plaintiffs

An employee of

ALVERSON, TAYLOR, MORTENSEN & SANDERS

# ALVERSON, TAYLOR, MORTENSEN & SANDERS

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## AFFIRMATION

## Pursuant to N.R.S. 239B.030

The undersigned does hereby affirm that the preceding **DEFENDANTS JAY** 

## FARRALES AND FIRST TRANSIT, INC.'S REBUTTAL EXPERT WITNESS

**DISCLOSURE STATEMENT** filed in District Court Case No. A-13-682726-C

Does not contain the social security number of any person. \_X\_

## -OR-

Contains the social security number of a person as required by:

A. A specific state or federal law, to wit:

## [Insert specific law]

В, For the administration of a public program or for an application for a federal or state grant.

DATED this 28 day of July, 2014.

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Nevada Bar No. 000390

SHIRLEY BLAZICH, ESQ.

Nevada Bar No. 008378

7401 W. Charleston Boulevard

Las Vegas, Nevada 89117

Attorneys for Defendants

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EXHIBIT A

### Michael MacQuarrie, M.D., F.A.C.E.P., F.A.E.E.M. P.O. Box 2484 Truckee, California 96160

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### **Expert Opinion**

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Additionally, it is my opinion to a reasonable degree of medical probability that an individual trained in first aid or even as a Level I Emergency Medical Technician would not have had the necessary training to save Harvey if his airway was in fact blocked, and even less so if he suffered a heart attack. As such, even had Mr. Farrales been trained in first aid and attempted to administer the Heimlich maneuver and/or CPR immediately after Harvey had become nonresponsive, first aid would not have been sufficient to revive him.

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Harvey was suffering a petit mal seizure, nor was Mr. Einstein's description of a petit mal seizure correct.

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Most importantly, Mr. Einstein refuted any and all opinions and arguments that he attempted to make within his own report. Even when scrutinizing the video footage with retrospectively,he acknowledged that there were no signs of distress visible. As such, how could any individual have been able to diagnose and treat Harvey,let alone one whose job is to drive and watch the road. Further, Mr. Einstein's opinions are all based on a number of incorrect presumptions, including the presumption that Mr. Farrales saw or could have seen Harvey eating. Experts, no matter the field, cannot base opinions on presumptions, particularly when the facts are contrary to the presumption made.

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The foregoing opinions are made to a reasonable degree of medical probability. I reserve the right to supplement my opinion as new and/or additional information is provided to me. In addition, I reserve the right to modify my opinions should it become necessary.

Michael MacQuarrie, M.D.

EXHIBIT B

### IN THE SUPREME COURT OF THE STATE OF NEVADA

FIRST TRANSIT, INC.; and JAY FARRALES.

Electronically Filed Feb 22 2018 11:40 a.m.

Elizabeth A. Brown

Appellants,

Case No.: 70164

Clerk of Supreme Court

VS.

JACK CHERNIKOFF; and ELAINE CHERNIKOFF,

Appeal from the Eighth Judicial District

Court, the Honorable Stefany Miley

Presiding

Respondents.

### RESPONDENTS' APPENDIX (Volume 1, Bates Nos. 1–250)

### **Richard Harris Law Firm**

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Attorneys for Respondents, Jack Chernikoff and Elaine Chernikoff

## **INDEX TO RESPONDENTS' APPENDIX**

DOCUMENT DESCRIPTION		LOCATION
Motion to Da 02/20/14)	ismiss the Estate of Harvey Chernikoff (filed	Volume 1, Bates Nos. 1–9
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Exhibit No.	Document Description	
A	Complaint (filed 05/31/13)	Volume 1, Bates Nos. 2–24
В	Defendants First Transit, Inc., Laidlaw Transit Services, Inc., d/b/a First Transit and Jay Farrales' Answer to Plaintiffs' Complaint (filed 06/27/13)	Volume 1, Bates Nos. 25–35
С	Plaintiff Jack Chernikoff, as Personal Representative of the Estate of Harvey Chernikoff Responses to Defendants' First Set of Request for Production of Documents (dated 09/24/13)	Volume 1, Bates Nos. 36–50
D	October 2, 2013 Letter from LeAnn Sanders to Ben Cloward Regarding Discovery Responses	Volume 1, Bates Nos. 51–54
Е	November 14, 2013 Response Letter from Ben Cloward to LeAnn Sanders	Volume 1, Bates Nos. 55–57
F	January 7, 2014 Letter from LeAnn Sanders to Ben Cloward Regarding Dismissal of Claims	Volume 1, Bates Nos. 58–60
G	January 16, 2014 Letter from Ben Cloward to LeAnn Sanders with Letters of Special Administration	Volume 1, Bates Nos. 61–65
	try of Stipulation and Order Dismissing the rvey Chernikoff with Order (filed 04/04/14)	Volume 1, Bates Nos. 66–72

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Mentioning	Plaintiffs' Renewed Objection to Evidence Plaintiff's Non-Testifying Experts, Dr. witz or Ned Einstein	
Exhibit No.	Document Description	
1	Defendants Jay Farrales and First Transit, Inc.'s Initial Expert Witness Disclosure Statement (filed 06/27/14)	Volume 1, Bates Nos. 82–111
2	Defendants Jay Farrales and First Transit, Inc.'s Rebuttal Expert Witness Disclosure Statement (served 07/28/14)	Volume 1, Bates Nos. 112–126
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A1	Operator Incident Report	Volume 1, Bates Nos. 153–154
A3 Records from Clark County Coroner		Volume 1, Bates Nos. 155–176
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A6 RTC Paratransit Guide		Volume 1, Bates Nos. 181–193

	DOCUMENT DESCRIPTION	LOCATION
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A7	First Transit Las Vegas Operator Training Requirements	Volume 1, Bates Nos. 194–197
A8	First Transit Las Vegas Operator Minimum Training Requirements	Volume 1, Bates Nos. 198–199
A9	First Transit Las Vegas Operator Collective Bargaining Agreement	Volume 1, Bates Nos. 200–250
A10	Jay Farrales' Personnel File	Volume 2, Bates Nos. 251–383
A11	Jay Farrales' Medical Examination Reports for Commercial Driver Fitness Determination	Volume 2, Bates Nos. 384–393
A12	Jay Farrales' Application for Employment with Laidlaw	Volume 2, Bates Nos. 394–410
A13	Supplement to Jay Farrales' Personnel File	Volume 2, Bates Nos. 411–445
A14	Documentation Regarding Jay Farrales' Safety Classes and Tests	Volume 3, Bates Nos. 446–556
A15	Driver Manifest for Bus 1790 on July 29, 2011	Volume 3, Bates Nos. 557–562
A16	Contract Between RTC of Southern Nevada and Laidlaw Transit Services Inc. (dated 02/08/07)	Volume 3, Bates Nos. 563–683
A18	Photograph of Signage on Bus	Volume 3, Bates Nos. 684–686
A19	Bus Inspection Photos (00004) and (00026)	Volume 3, Bates Nos. 687–691

	DOCUMENT DESCRIPTION	LOCATION	
Plaintiffs' Trial Exhibits			
Exhibit No.	Document Description		
2	2010 First Transit Employee Handbook	Volume 4, Bates Nos. 692–773	
3	Page 00009 Only of LVMPD's Incident Report: Voluntary Statement	Volume 4, Bates Nos. 774–776	
7	14 Color Photographs of Harvey Chernikoff's Life	Volume 4, Bates Nos. 777–792	
9	Page 00051 Only from Jay Farrales' Personnel File	Volume 4, Bates Nos. 793–795	
13	Photos of Decedent	Volume 4, Bates Nos. 796–801	
Defendants'	Trial Exhibit		
Exhibit No.	Document Description		
F	Excerpted Pages from Harvey Chernikoff's Medical Records from Gautham Reddy M.D. (admitted 02/24/16)	Volume 4, Bates Nos. 802–834	
	F00011–F0014; F00015–F00020; F00025–F00027; F00044–F00045; and F00081		
Court's Tria	al Exhibit		
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1	Plaintiffs' Power Point Presentation	Volume 5, Bates Nos. 835–949	
2	Juror Question from Juror #8 (not asked)	Volume 5, Bates Nos. 950–951	
3	Juror Question from Juror #4 (asked and answered)	Volume 5, Bates Nos. 952–953	

	DOCUMENT DESCRIPTION	LOCATION
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5	Juror Question from Juror #8 (asked and answered)	Volume 5, Bates Nos. 956–957
6	Defendants' Power Point Presentation	Volume 5, Bates Nos. 958–966
7	Juror Question from Juror #4 (not asked)	Volume 5, Bates Nos. 967–968
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9	Juror Question from Juror #4 (not asked)	Volume 5, Bates Nos. 971–972
10	Juror Question from Juror #8 (not asked)	Volume 5, Bates Nos. 973–974
11	Juror Question from Juror #8 (asked and answered)	Volume 5, Bates Nos. 975–976
12	Juror Question from Juror #4 (asked and answered)	Volume 5, Bates Nos. 977–978
13	Juror Question from Juror #10 (asked and answered)	Volume 5, Bates Nos. 979–980
14	Juror Question from Juror #8 (asked and answered)	Volume 5, Bates Nos. 981–982
15	Juror Question from Juror #8 (asked and answered)	Volume 5, Bates Nos. 983–984
16	Juror Question from Juror #4 (asked and answered)	Volume 5, Bates Nos. 985–986

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Court's Tri	al Exhibit (cont.)	
Exhibit No.	Document Description	
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18	Juror Question from Juror #4 (asked and answered)	Volume 5, Bates Nos. 989–990
19	Juror Question from Juror #10 (asked and answered)	Volume 5, Bates Nos. 991–992
20	Plaintiffs' Proposed Instruction-Not Given	Volume 5, Bates Nos. 993–994
21	Plaintiffs' Closing Argument Power Point	Volume 5, Bates Nos. 995–1027
22	Defendants' Closing Argument Power Point	Volume 5, Bates Nos. 1028–1053
Docket of C	ase No. A-13-682726-C	Volume 5, Bates Nos. 1054–1066

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MOT ALVERSON, TAYLOR, MORTENSEN & SANDERS LEANN SANDERS, ESQ. Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Boulevard Las Vegas, Nevada 89117 (702) 384-7000 Attorneys for Defendants  DISTRICT COU	CLERK OF THE COURT
CLARK COUNTY, N	EVADA
%	
The Estate of HARVEY CHERNIKOFF, Deceased; by JACK CHERNIKOFF as personal representative, individually and as heir; ELAINE CHERNIKOFF individually and as heir,  Plaintiffs,  vs.  FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC. d/b/a FIRST TRANSIT; JAY FARRALES; DOES 1-10, and ROES 1-10, inclusive, Defendants.	) CASE NO. A-13-682726-C ) DEPT. NO. XXIII ) ) )  MOTION TO DISMISS THE  ESTATE OF HARVEY  CHERNIKOFF )  Date of Hearing:  Time of Hearing:
MOTION TO DISMISS THE ESTATE	OF HARVEY CHERNIKOFF
COME NOW, Defendants FIRST TRANSIT, through its attorneys of record, ALVERSON, TAYLO moves this Honorable Court to grant the following M Chernikoff.	OR, MORTENSEN & SANDERS, ar

This Motion is made and based upon the papers and pleadings on file herein, the Points
and Authorities submitted in support thereof, and any oral argument which may be heard at the
hearing set for this matter.
DATED this 19th day of February, 2014.
ALVERSON, TAYLOR, MORTENSEN & SANDERS
LEANN SANDERS, ESQ. Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 7401 W. Charleston Boulevard Las Vegas, NV 89117-1401 (702) 384-7000 Attorneys for Defendants
PLEASE TAKE NOTICE that the instant Motion to Dismiss will come on for hearing
before the above-entitled Court on the Olday of April, 2014, at the 9:30 AM hour of a.m./p.m. in Department No. I, or as soon as counsel may be heard.
DATED this day of February, 2014.
ALVERSON, TAYLOR, MORTENSEN & SANDERS
By Leann Sanders  LEANN SANDERS, ESQ.  Nevada Bar No. 000390  SHIRLEY BLAZICH, ESQ.  Nevada Bar No. 008378  7401 W. Charleston Boulevard  Las Vegas, NV 89117-1401  (702) 384-7000

LS#19969

### MEMORANDUM OF POINTS & AUTHORITIES

I.

### **NATURE OF MOTION**

At the time the Complaint was filed, Plaintiffs represented to the Court and to Defendants that Jack Chernikoff was the Representative of the Estate of Harvey Chernikoff. However, it has become apparent that Plaintiff failed to be properly appointed in this capacity until well after the Complaint was filed. The statute of limitations in this matter has now passed, barring any claim that the Estate may bring in relation to this incident. Further, Plaintiff's failure to follow the appropriate procedures has prejudiced Defendants and delayed discovery in this matter.

II.

### STATEMENT OF FACTS

Plaintiff Jack Chernikoff, filed the Complaint, on behalf of Harvey Chernikoff's Estate, and individually, on May 31, 2013, against First Transit, Inc., Laidlaw Transit Services, Inc. d/b/a First Transit and Jay Farrales. See Exhibit A, Complaint. Defendants answered the Complaint on June 26, 2013. See Exhibit B, Answer. Plaintiffs made the Early Case Conference Disclosures on July 25, 2013, wherein they failed to provide any documentation substantiating that Jack Chernikoff had been properly designated Representative of the Estate of Harvey Chernikoff. As such, on August 21, 2013, counsel for Defendants propounded written discovery upon Jack Chernikoff, as Representative of the Estate of Harvey Chernikoff, requesting copies of any and all documentation indicating that Plaintiff Jack Chernikoff had been designated the Personal Representative and/or Special Administratrix of the Estate of Harvey Chernikoff. In response, Jack Chernikoff, as Representative of the Estate of Harvey Chernikoff, produced documentation related to Jack and Elaine Chernikoff's conservatorship over Harvey Chernikoff while he was living. See Exhibit C, Jack Chernikoff, as Representative of the Estate of Harvey

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Chernikoff's Responses to Defendants' First Set of Requests for Production of Documents. The responses were not verified by Jack Chernikoff, but were signed by Plaintiffs' counsel. Id. As the documentation provided by Jack Chernikoff was not sufficient, on October 2, 2013, defense counsel sent correspondence to opposing counsel requesting the documentation showing that Jack Chernikoff has been appointed as administrator of Harvey Chernikoff's intestate estate. See Exhibit D, Correspondence to Plaintiffs' counsel dated October 2, 2013. In response, counsel received correspondence from opposing counsel on November 14, 2013, indicating that the documentation had been submitted to the Court and they were awaiting its return. See Exhibit E, Correspondence from Plaintiffs' counsel dated November 14, 2013.

Once it became clear that Plaintiffs had not followed the appropriate steps to get Jack Chernikoff appointed as Administrator of the Estate prior to filing the Complaint, defendant's counsel sent correspondence to Plaintiffs' counsel requesting that they voluntarily dismiss the claims made by the Estate. See Exhibit F, Correspondence to Plaintiff's Counsel dated January 7, 2014. Defendants did not receive any response from Plaintiffs' counsel. However, on January 16, 2014, Plaintiffs' counsel forwarded the Letters of Administration and Order Appointing Plaintiff Jack Chernikoff Special Administrator of the Estate of Harvey Chernikoff. See Exhibit G. The Application and Order are dated December 30, 2013. Id. As such, it is clear that Plaintiffs did not follow the appropriate steps to have Jack Chernikoff appointed as Administrator of the Estate until well after suit was filed.

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III.

### LEGAL ARGUMENT

### I. PLAINTIFFS MADE A MISREPRESENTATION TO THE COURT

As indicated above, Jack Chernikoff was named as a Plaintiff in the Complaint, both individually and as the alleged Representative of the Estate of Harvey Chernikoff. However, as explained above, at the time the Complaint was filed, Jack Chernikoff had not even applied to be Representative of the Estate of Harvey Chernikoff. The fact that Jack Chernikoff represented that he was the Representative of the Estate of Harvey Chernikoff shows that Plaintiffs were aware of the need to have a representative appointed prior to filing the Complaint, but failed to do so. In addition to filing the Complaint, Jack Chernikoff responded to written discovery propounded to the Representative of the Estate.

As Jack Chernikoff had not been appointed Representative of the Estate of Harvey Chernikoff, he had no standing to file the Complaint or respond to written discovery on behalf of the Estate. Defendants were misled by Plaintiffs to believe that Jack Chernikoff had been properly and legally appointed as Representative of the Estate of Harvey Chernikoff by a court of competent jurisdiction.

# II. THE STATUTE OF LIMITATIONS HAS RUN ON ANY CLAIMS MADE BY THE ESTATE

The instant litigation arises out of an incident that occurred on July 29, 2011. Pursuant to Nev. Rev. Stat. 11.190(4)(e), an action to recover damages for injuries to a person or for the death of a person caused by the wrongful act or neglect of another must be brought within two years. As such, the statute of limitations on this cause of action expired on July 29, 2013. As indicated above, the Complaint in this matter was filed on May 30, 2013, prior to the expiration of the statute of limitations.

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Pursuant to N.R.S. 41.100 and N.R.S. 41.085, the personal representative of the estate of a decedent may maintain an action for damages against the wrongdoer in survival and wrongful death actions. However, before suing, a personal representative must be appointed by order of a See N.R.S. 143.060; N.R.S. 143.340; Schwartz v. court of competent jurisdiction. Wasserburger, 117 Nev. 703 (Nev. 2001). Pursuant to Schwartz v. Wasserburger, a personal representative inherits the benefits and burdens connected with the running of any applicable statute of limitations, measured from when the cause of action first accrued in favor of the decedent. 117 Nev. 703 (Nev. 2001). As such, the applicable statute of limitations has run against any personal representative of the Estate who was appointed on or after July 29, 2013.

In this case, Plaintiffs had full knowledge of the potential claim and need to appoint a representative of the Estate, prior to filing a wrongful death lawsuit. This is evidenced by the very fact that they misrepresented Jack Chernikoff's capacity as such when the Complaint was filed and thereafter. Yet, they failed to even attempt to get Jack Chernikoff appointed as the representative of the Estate of Harvey Chernikoff until nearly six months after the Complaint was filed. Even then, it appears that they took this necessary legal step only after being asked for proof of Mr. Chernikoff's appointment by opposing counsel. Jack Chernikoff did not have the right to bring suit on behalf of the Estate when the Complaint was filed as he had not yet been appointed as Personal Representative of the Estate. In fact, this did not occur until December 30. 2013, long after the statute of limitations had expired. As such, the claims made on behalf of the Estate should be dismissed.

### III. DISCOVERY HAS BEEN UNDULY DELAYED BY PLAINTIFFS FAILURE TO FOLLOW THE APPROPRIATE PROCEDURES

As explained above, counsel for Defendants had requested the appropriate documentation showing that Jack Chernikoff had been appointed as administrator of Harvey Chernikoff's intestate estate through written discovery in August of 2013. When Defendants did not receive

the documentation, counsel immediately followed up with opposing counsel to obtain the documentation. At that juncture, defendants were still under the impression that Jack Chernikoff had been appointed Representative of the Estate of Harvey Chernikoff, due to Plaintiffs' representations in the pleadings. However, documentation was not received until January 16, 2014.

Within that four month period of time, Defendants were not able to request any of Harvey Chernikoff's relevant medical records or conduct any discovery regarding his condition or life prior to his death. Further, the discovery responses Defendants received from the Estate are invalid, as they were allegedly prepared by an individual who had no standing to respond. As such, Defendants were prejudiced by the appointment of Jack Chernikoff as personal representative after the running of the statute of limitations. Further, there is no justification for Plaintiffs' failure to follow proper procedural requirements, by having Jack Chernikoff appointed as Personal Representative of the Estate before filing the instant lawsuit. It is too late for him to do so now, as the statute of limitations has expired. The claims of the Estate of Harvey Chernikoff must therefore be dismissed.

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IV.

### CONCLUSION

Based on the foregoing, Plaintiffs (1) intentionally misrepresented Jack Chernikoff's capacity to sue on behalf of the Estate of Harvey Chernikoff; (2) failed to follow the appropriate procedures to have Jack Chernikoff appointed as Representative of the Estate of Harvey Chernikoff until five months after the Complaint was filed; and (3) delayed discovery in this matter. As such, Defendants respectfully requests that this Court dismiss any and all claims of the Estate of Harvey Chernikoff.

DATED this \( \frac{\q^{7\q}}{\quad \text{day of February, 2014.}}\)

ALVERSON, TAYLOR, MORTENSEN & SANDERS

LEANN SANDERS, ESQ.

Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ.

Nevada Bar No. 008378

7401 W. Charleston Boulevard

Las Vegas, NV 89117-1401

(702) 384-7000

Attorneys for Defendants

# ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS 7401 WEST CHARLESTON BOLLEVARD LAS VEGAS, NEVADA 89117-1401

### <u>AFFIRMATION</u>

### Pursuant to N.R.S. 239B.030

The undersigned does hereby affirm that the preceding MOTION TO DISMISS THE

ESTATE OF HARVEY CHERNIKOFF filed in District Court Case No.

A-13-682726-C

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X Does not contain the social security number of any person.

### -OR-

Contains the social security number of a person as required by:

A. A specific state or federal law, to wit:

### [Insert specific law]

-or-

B. For the administration of a public program or for an application for a federal or state grant.

DATED this 19 day of February, 2014.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

Bv

LEANN SANDERS, ESQ. Nevada Bar No. 000390 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378

7401 W. Charleston Boulevard Las Vegas, Nevada 89117

Attorneys for Defendants

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EXHIBIT A

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BENJAMIN P. CLOWARD, ESQ.

Nevada Bar No. 11087

Utah Bar No. 12336

### RICHARD HARRIS LAW FIRM

801 South Fourth Street Las Vegas, Nevada 89101 Telephone: (702) 385-1400 Facsimile: (702) 385-9408

Attorney for Plaintiff

### DISTRICT COURT

### CLARK COUNTY, NEVADA

The Estate of HARVEY CHERNIKOFF, Deceased; by JACK CHERNIKOFF as personal representative, individually and as heir; ELAINE CHERNIKOFF individually and as heir, CASE NO.A - 13 - 682726 - C DEPT. NO. XXIII

### COMPLAINT

### Plaintiffs,

vs.

FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT; JAY FARRALES; DOES 1-10, and ROES 1-10 inclusive,

Defendants.

COMES NOW Plaintiff JACK CHERNIKOFF, personal representative of the Estate of HARVEY CHERNIKOFF, individually, and as heir, and ELAINE CHERNIKOFF individually and as heir of the Estate of HARVEY CHERNIKOFF by and through their attorneys, RICHARD A. HARRIS, ESQ, and BENJAMIN P. CLOWARD, ESQ., of RICHARD HARRIS LAW FIRM, and for their causes of action against Defendants, and each of them, allege as follows:

RICHARD HARRIS

From runst 19969

RICHARD HARRIS
LAW FIRM
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### **PARTIES**

- That at all times relevant to these proceedings, HARVEY CHERNIKOFF, deceased (hereinafter "HARVEY") was a resident of Clark County, Nevada.
- That at all times relevant to these proceedings, Plaintiff, JACK CHERNIKOFF
  the personal representative, individually and as heir of the Estate of HARVEY CHERNIKOFF,
  was and is a resident of Clark County, Nevada.
- That at all times relevant to these proceedings, Plaintiff, ELAINE CHERNIKOFF
  individually and as heir of the Estate of HARVEY CHERNIKOFF, was and is a resident of
  Clark County, Nevada.
- 4. That at all times relevant to these proceedings and upon information and belief, Defendants, FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT, were corporations doing business in Las Vegas, Clark County, Nevada.
- That at all times relevant to these proceedings, Defendant JAY FARRALES,
   was and is a resident of Clark County, Nevada.
- 6. That the true names and capacities whether individual, corporate, associate, partnership or otherwise of the Defendant herein designated as DOES 1-10, inclusive, are unknown to the Plaintiff who therefore sues said Defendants by such fictitious names. Plaintiff alleges that Defendant DOE 1 -5 were the actual operator/employee of Defendant and in the event said Defendants were acting within the course, scope and authority of such agency or employment, each said Defendant is liable or whose are statutorily or vicariously liable for the acts and omissions of those person(s) and or entities who caused or contributed to the injuries and death of HARVEY CHERNIKOFF as described below Plaintiffs further allege that

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Defendants DOES 6-10, are unknown at this time and may be individuals, corporations, associations, partnerships, subsidiaries, holding companies, owners, predecessor or successor entities, joint venturers, parent corporations or related business entities of Defendants, inclusive, who were acting on behalf of or in concert with, or at the direction of Defendants and may be responsible for the injurious activities and wrongfully death of the other Defendants. Plaintiffs allege that each named Defendant and Doe Defendant negligently, willfully, intentionally, recklessly, vicariously, or otherwise, caused, directed, allowed or set in motion the injurious events and wrongful death set forth herein. Each named and Doe Defendant is legally responsible for the events and happenings stated in this Complaint, and thus proximately caused injury, death and damages to Plaintiffs. Plaintiffs request leave of the Court to amend this Complaint to specify the Doe Defendants when their identities become known. Plaintiff will ask leave of this court to insert the true names and capacities of such Defendants when the same have been ascertained and will further ask leave to join said Defendants in these proceedings.

- That Defendant, JAY FARRALES, was the operator of a certain First Transit 6. Bus at all times relevant to this action, and at all times relevant hereto, was operating the same within the course and scope of his employment with Defendants, FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT.
- 7. That at all times relevant to this action, Defendants, FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT, employed Defendant, JAY FARRALES
- 8. All the facts and circumstances that give rise to the subject lawsuit occurred in Clark County, Nevada.

LAW FIRM

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Plaintiff has found it necessary to retain the services of an attorney to prosecute
 this action and is therefore entitled to reasonable attorney's fees and costs of suit incurred herein.

### **FACTS**

- 10. \*\*On or about July 29, 2011, HARVEY who had a mental disability was a passenger on Defendant FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT.
- That while on the bus, Harvey started to eat his lunch and which time he began choking.
  - 12. That Harvey died as a result of choking on the food he consumed.
  - 13. Defendants and each of them knew that HARVEY had a mental disability.
  - 14. Defendants and each of them failed to assist Harvey as he choked.
  - 15. Defendants are a common carrier within the meaning of NRS 706.036.
  - 16. Plaintiff has a disability as defined by NRS 706.361.
- 17. Defendants and each of them have a duty to its passengers and to HARVEY

  CHERNIKOFF to use the highest degree of care consistent with the mode of conveyance used and the practical operation of its business as a common carrier by para-transit bus/van.
- 18. Plaintiff was a passenger and was a person who, with the actual or implied consent of the carrier, was a passenger the vehicle at issue.

### FIRST CAUSE OF ACTION NEGLIGENCE

19. Plaintiff repeats, realleges, and incorporates by reference each and every allegation contained in the foregoing paragraphs above as if fully set forth herein.



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- 20. Defendant, JAY FARRALES, and Defendants, were acting as common carriers and had a duty to use the highest degree of care to assist Plaintiff while a passenger on the vehicle at issue, according to the laws of the State of Nevada and as a reasonable and prudent common carrier would under similar circumstances.
- 21. Defendant, JAY FARRALES, and Defendants, breached the duty to use the highest degree of care and act reasonably in this matter when they neglected to take precautionary measures, including but not limited to, failing to contact emergency services and assisting Plaintiff while he choked. Defendant JAY FARRALES and Defendants were negligent and careless.
- 22. The sole and proximate cause of the subject incident was due to the negligent actions or inactions of the Defendants and Doc Defendant.
- 23. That HARVEY CHERNIKOFF's estate is entitled to special damages for medical, funeral and burial expenses in an amount according to proof at trial.
- From the time of his injuries until his death, HARVEY CHERNIKOFF suffered intense physical and mental pain, shock and agony all to his damage recoverable by his heirs, JACK CHERNIKOFF and ELAINE CHERNIKOFF in an amount in excess of TEN THOUSAND DOLLARS (\$10,000.00).
- 25. As a proximate result of HARVEY CHERNIKOFF death, Plaintiffs, JACK CHERNIKOFF and ELAINE CHERNIKOFF, have been deprived of his support and the value of the accumulations of his estate had he lived his normal life expectancy, all to Plaintiff JACK CHERNIKOFF and ELAINE CHERNIKOFF, special damage in an amount according to proof at trial.

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26. HARVEY CHERNIKOFF was a loving and devoted son, and by reason of the premises, Plaintiffs JACK CHERNIKOFF and ELAINE CHERNIKOFF have suffered extreme grief and sorrow and have been deprived of his companionship, society, comfort and consortium, all to their general damage in an amount in excess of TEN THOUSAND DOLLARS (\$10,000.00).

27. Punitive and exemplary damages are warranted in this action as a punishment for reckless and wanton acts that consciously disregarded the safety of HARVEY CHERNIKOFF, to serve as a deterrent to the Defendants and others for committing the same or similar acts that endanger the general safety of patrons and the public in an amount in excess of TEN THOUSAND DOLLARS (\$10,000.00).

# SECOND CLAIM FOR RELIEF RESPONDENT SUPERIOR

- 28. Plaintiffs incorporate by this reference each and every paragraph previously made in this Complaint, as if here fully set forth.
- 29. Because Defendant JAY FARRALES was acting within the course and scope of his employment, service or agency, each and every other Defendant is vicariously liable for the injuries and damages sustained by Plaintiff, alleged herein.
- 30. That Defendant JAY FARRALES was acting in the course and scope of his employment with Defendant FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT and as such, Defendant FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT is responsible for the negligent acts of its employee under the doctrine of respondent superior.

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RICHARD HARRIS

Whenever it is alleged in this Complaint that a Defendant did any act or thing, it 31. is meant that such Defendant's officers, agents, servants, employees, or representatives did such act or thing and at the time such act or thing was done, it was done with full authorization or ratification or such defendant or was done in normal and routine course and scope of business or with the actual apparent and/or implied authority of such Defendant's officers, agents servants, employees, or representatives. Specifically Defendants are liable for the actions of its officers, agents, servants, employees and representatives.

- Plaintiffs, allege that the Defendants, being a large corporation, can only act 32. through their employees, servants agents, contracts, associates, security personnel, plain clothes employees, bartenders, porters and others paid directly or indirectly by the Defendants for the purpose of running the corporate enterprises, to make a profit, and to service their patrons and invitees.
- 33. Plaintiffs, allege that the above-described employees and agents of FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba FIRST TRANSIT and other Defendants were in various positions on the Defendants premises where they, if properly hired, trained, and supervised, and if properly acting within the scope of their employment, could have acted rather than omitting to act, in such a manner that they could have taken reasonable action to prevent the death of HARVEY CHERNIKOFF.
- 34. That Defendants breached their duty and negligently, disregarded the safety of HARVEY CHERNIKOFF, by failing, among other things, to have adequate first aid training, to prevent such an occurrence, by failing to have employees adequately trained or competent personnel on duty at the time of the incident to respond to the presence of a medical problem, or

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to enforce ruled already in place to prevent HARVEY or others from being allowed to eat food on the bus.

35. As a direct and proximate result, Defendants are responsible for the wrongful death of HARVEY CHERNIKOFF and the special and general damages as stated herein.

# THIRD CAUSE OF ACTION NEGLIGENT HIRING, RETENTION AND SUPERVISION

- 36. Plaintiffs incorporate by this reference each and every allegation previously made in this Complaint, as if here fully set forth.
- Defendants were negligent in the selection, hiring, training, supervision and/or retention of JAY FARRALES and Doe Defendants at all times relevant herein.
- 38. Defendants knew or reasonably should have known that management was engaging in wrongful protocol, safety and/or supervision of their drivers in first aid response of disabled parties and were unfit for their management position.
- 39. Defendants' management employees engaged in actions including, but not limited to, lack of establishing a policy, and deficient in directing employees to respond to a medical emergency of disabled parties causing a hazardous condition.
- 40. At all material times, Defendants knew or reasonably should have known that the conduct, acts, or failures to act of management, and the conduct, acts, or failures to act of other employees or agents of Defendant's (including Doe and Roe Defendants,) that managed and supervised directly injured Plaintiff.
- 41. At all material times, Defendants knew or reasonably should have known that the incidents and conduct of management and other employees described above, would and did proximately result in the wrongful death of HARVEY CHERNIKOFF, including but not limited

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- 42. At all material times, Defendants knew, or in the exercise of reasonable care should have known and could have reasonably foreseen, that unless Defendants intervened to protect HARVEY CHERNIKOFF, and or to adequately supervise, control, regulate, train, discipline, and/or otherwise penalize the conduct, acts, and failures to act, and/or terminate the employment of managers or employees who failed to act, such conduct would continue, thereby subjecting Plaintiffs to injury and severe emotional distress, and would have the effect of encouraging, ratifying, condemning, exacerbating, increasing, and worsening the conduct, acts, and failures to act described above.
- 43. At all times Defendants had the power, ability, authority and duty to intervene, supervise, train, prohibit, control, regulate, discipline and/or penalize the conduct and/or terminate the employment of Defendants and other agents or employees described above.
- 44. That of FIRST TRANSIT, INC. LAIDLAW TRANSIT SERVICES, INC dba
  FIRST TRANSIT and other Defendants and each of them, owed duties to HARVEY
  CHERNIKOFF to exercise reasonable care in hiring, training, retention, supervision and
  management of the personnel responsible for safety at the time and place of the events described above.
  - 45. By their acts and omissions herein, Defendants breached these duties.
- 46. As a direct and proximate result, Defendants are responsible for the wrongful death of HARVEY CHERNIKOFF and the special and general damages as stated herein.

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# RICHARD HARRIS

# FOURTH CLAIM FOR RELIEF PUNITIVE DAMAGES

- 47. Plaintiffs incorporate by this reference each and every paragraph previously made in this Complaint, as if here fully set forth.
- 48. Defendants' actions were wrongful, willful, oppressive, malicious, and done with the intent to harm Plaintiff or in reckless disregard for Plaintiff. Plaintiff is therefore entitled to an award of punitive damages in amount sufficient to punish and deter the defendants and all others firm engaging in such conduct.
- 49. The acts complained of herein were willfully, unlawfully, violently and maliciously done by Defendants, and each of them, with a capricious and wanton disregard for the health and safety of Plaintiff, thereby entitling Plaintiff to exemplary or punitive damages in an amount in excess of \$10,000.00.

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WHEREFORE, Plaintiffs respectfully pray that Judgment be entered against Defendants, and each of them, as follows:

- 1. General damages in an amount of to be proven at the time of trial;
- 2: Medical and incidental expenses incurred and to be incurred;
- 3, For punitive damages in in an amount in excess of \$10,000.00;
- 4. Auorney's fees and cost of suit, and
- 5. For such other relief as is just and proper.

DATED this 31st day of May, 2013

RICHARD-HARRIS LAW FIRM

BENJAMIN P. CLOWARD, ESQ.

Nevada Bar No. 11087 801 South Fourth Street Las Vegas, Nevada 89101 Autorney for Plaintiff

11.

2	IAFD BENJAMIN P. CLOWARD, ESQ.		
	Nevada Bar No. 11087 Utah Bar No. 12336		
3	RICHARD HARRIS LAW FIRM		
5	801 South Fourth Street Las Vegas, Nevada 89101		
6	Telephone: (702) 385-1400		
7	Facsimile: (702) 385-9408 Attorney for Plaintiff		
8		T COURT	
9	CLARK COU	VTY, NEVADA	
10		1	
!1	The Estate of HARVEY CHERNIKOFF,	CASE NO. A - 13 - 682726 - C	
12	Deceased; by JACK CHERNIKOFF as personal representative, individually and as	DEPT. NO. XXIII	
13	heir; ELAINE CHERNIKOFF individually and as heir,	VALUE A LA DES A DA ALCES MUSES	
14	and as non,	INITIAL APPEARANCE FEE DISCLOSURE	
15	Plaintiffs,		
16	·		
17	vs.		
18	FIRST TRANSIT, INC. LAIDLAW		
19	TRANSIT SERVICES, INC dba FIRST TRANSIT; JAY FARRALES; DOES 1-10,		
20	and ROES 1-10 inclusive,		
21			
22	Pursuant to NRS Chapter 19, a	s amended by Senate Bill 106, filing fees are	
23	submitted for parties appearing in the above enti	tled action as indicated below:	
24	The Estate of HARVEY CHERNIKOFF	\$ 270.00	
25	JACK CHERNIKOFF	\$ 30.00	
26	ELAINE CHERNIKOFF	\$ 30.00	
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TOTAL REMITTED:

\$ 330.00

DATED this 31st day of May, 2013

RICHARD HARRIS LAW FIRM

By: BENJAMIN P. CLOWARD, ESQ. Nevada Bar No. 11087 801 South Fourth Street Las Vegas, Nevada 89101

Attorney for Plaintiff

### CIVIL COVER SHEET

A-13-682726-C XXIII

Clark County, Nevada

Case No.

1. Party Information			
Phintiff(s) (mine/address/phone): The Estate of HARVEY CHERNIKOFF		Defendant(s) (traineraddress/phone): FIRST TRANSET INC.,	
Attorney (name/address/phane): BEÑJAMIN P. CLÓWARD , 801 S, 4 <sup>th</sup> Street, Las Vegaș, NV 89101 702-444-4444		Attorney (name/address/plione): Unknown	
II. Nature of Controversy (Please ch applicable subcategosy, if appropriate)	eck appličable boki	calegory and	Arbitration Requested
**************************************	Civ	il Cases	
Real Property		والمراجع والمراجع والمتحار والمتاهد والمتعاد والم والمتعاد والمتعاد والمتعاد والمتعاد والمتعاد والمتعاد والمتعا	3)18
☐ Landlord/Tenant ☐ Unlawful Detainer ☐ Title to Property ☐ Porcelemane ☐ Liens	Nøgfigence  □ Negligence Auto □ Negligence Mödical/Dentål □ Negligence Preinises Linbility (Slip/Fall)  ☑ Negligence Other		Product Liability    Product Liability/Motor Vehicle.   Other Terts/Product Liability    Intentional Misconduct.   Torts/Defamation (Libet/Shander)   Interfere with Contract Rights.
☐ Quiet Title ☐ Specific Performance ☐ Condemination/Emilaent Dominin ☐ Other Real Property ☐ Partition ☐ Planning/Zoning.			Employment Torts (Wrongful tentimetion)   Other Torts
Probate'	Other Civil Filing Types		
Sammary Administration General Administration Special Administration Set Aside Estates Trust/Conservatorships Individual Trustee Corporate Trustee	Insurance   Commerci   Commerci   Other Com   Collection   Employme   Guarance   Sale Contr   Uniform C   Civil Petition fo   Other Admi   Department	effect  act  Construction Carrier al Instrument treets/Acct/fudginend of Actions an Contract act commercial Code  r Judicial Review	Appeal from Lower Court toles check applicable and case basi   Transfer from Justice Court   Justice Court   Justice Court   Instice Court Civil Appeal   Civil Writ   Other Special Projecting   Other Civil Filing   Compromise of Minor's Claim   Conversion of Property   Damage to Property   Damage to Property   Employment Security   Enforcement of Judgment   Foreign Judgment - Civil   Other Personal Property   Recovery of Property   Stockholder Suit   Other Civil Maners
III. Business Court Requested (Please check applicable category, for Chark or Washoe Countles early.)			
NRS Chapters 78-88 Commodities (NRS 90) Securities (NRS 90)	In restinguts (NR	iS 104 Art.8) Praglices (NRS 598)	Enhanced Case Mgnt/Business Other Business Court Matters
May 31, 2013		AND	= #1584
Date		Signature of	initiating purty or representative

Nevadá ACC - Planning mel Analysis Division

Firm PA 381 Rev. 1 38

EXHIBIT B

and

ANSC 1 Electronically Filed ALVERSON, TAYLOR, 06/27/2013 09:02:47 AM MORTENSEN & SANDERS 2 LEANN SANDERS, ESQ. Nevada Bar No. 000390 3 SHIRLEY BLAZICH, ESQ. Nevada Bar No. 008378 CLERK OF THE COURT 7401 W. Charleston Boulevard Las Vegas, Nevada 89117 (702) 384-7000 6 Attorneys for Defendants 7 DISTRICT COURT 8 CLARK COUNTY, NEVADA 9 10 The Estate of HARVEY CHERNIKOFF, Deceased; CASE NO. A-13-682726-C 11 ALVERSON, TAYLOR, MORTENSEN & DEPT. NO. XXIII by JACK CHERNIKOFF as personal representative, 12 individually and as heir: ELAINE CHERNIKOFF individually and as heir, 13 Plaintiffs, 14 15 16 FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC. d/b/a FIRST TRANSIT; JAY 17 FARRALES; DOES 1-10, and ROES 1-10, inclusive, Defendants. 18 19 20 DEFENDANTS FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC., d/b/a FIRST TRANSIT AND JAY FARRALES' ANSWER 21 TO PLAINTIFFS' COMPLAINT 22 COME NOW Defendants, FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, 23 INC., d/b/a FIRST TRANSIT and JAY FARRALES (hereinafter "Answering Defendants" or 24 25 "Defendants"), by and through their attorneys of record, ALVERSON, TAYLOR, 26 MORTENSEN & SANDERS, and hereby submit the following answer to Plaintiffs' Complaint 27 by admitting, denying, and alleging as follows:

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## ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS LAM WEST CHARLESTON BOTH EVARD

### <u>PARTIES</u>

- 1. Answering Paragraphs 1, 2, 3, 6 (the first Paragraph 6, on page 2) and 8 of Plaintiffs' Complaint, Defendants state that they are without sufficient knowledge or information upon which to base a belief as to the truth of the allegations contained therein, and upon said ground, deny each and every allegation contained therein.
- 2. Answering Paragraphs 4, 5 and 6 (the second Paragraph 6, on page 3) of Plaintiffs' Complaint, Defendants admit each and every allegation contained therein.
- 3. Answering Paragraph 7 of Plaintiffs' Complaint, Answering Defendants admit that Defendant, FIRST TRANSIT, INC. employed Defendant, JAY FARRALES. Answering Defendants deny the remaining allegations contained within Paragraph 7 of Plaintiffs' Complaint.
- Answering Paragraph 9 of Plaintiffs' Complaint, Answering Defendants deny each and every allegation contained therein.

### **FACTS**

- 5. Answering Paragraph 10 of Plaintiffs' Complaint, Answering Defendants admit that on or about July 29, 2011, HARVEY was a passenger on Defendant FIRST TRANSIT, INC. As to the remaining allegations contained within Paragraph 10 of Plaintiffs' Complaint, Defendants state that they are without sufficient knowledge or information upon which to base a belief as to the truth of the allegations contained therein, and upon said ground, deny each and every allegation contained therein.
- 6. Answering Paragraphs 11, 12, 13, 14, 16, 17 and 18 of Plaintiffs' Complaint, Defendants state that they are without sufficient knowledge or information upon which to base a belief as to the truth of the allegations contained therein, and upon said ground, deny each and every allegation contained therein.

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7. Answering Paragraph 15 of Plaintiffs' Complaint, Answering Defendants admit each and every allegation contained therein.

### (Negligence)

- 8. These Answering Defendants repeat and reallege their answers to the allegations contained within Paragraphs 1 through 18 of Plaintiffs' Complaint, as if the same were more fully set forth herein.
- 9. Answering Paragraphs 20, 24, 25 and 26 of Plaintiffs' Complaint, Defendants state that they are without sufficient knowledge or information upon which to base a belief as to the truth of the allegations contained therein, and upon said ground, deny each and every allegation contained therein.
- 10. Answering Paragraphs 21, 22, 23, and 27 of Plaintiffs' Complaint, Defendants deny each and every allegation contained therein.

### SECOND CLAIM FOR RELIEF

### (Respondent Superior)

- 11. These Answering Defendants repeat and reallege their answers to the allegations contained within Paragraphs 1 through 27 of Plaintiffs' Complaint, as if the same were more fully set forth herein.
- 12. Answering Paragraphs 29, 30, 31, 32 and 33 of Plaintiffs' Complaint, Defendants state that they are without sufficient knowledge or information upon which to base a belief as to the truth of the allegations contained therein, and upon said ground, deny each and every allegation contained therein.
- 13. Answering Paragraphs 34 and 35 of Plaintiffs' Complaint, Defendants deny each and every allegation contained therein.

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### THIRD CAUSE OF ACTION

### (Negligent Hiring, Retention and Supervision)

- 14. These Answering Defendants repeat and reallege their answers to the allegations contained within Paragraphs 1 through 35 of Plaintiffs' Complaint, as if the same were more fully set forth herein.
- 15. Answering Paragraphs 37, 38, 39, 40, 41, 42, 43, 45, 46 of Plaintiffs' Complaint, Defendants deny each and every allegation contained therein.
- Answering Paragraph 44 of Plaintiffs' Complaint, Defendants admit each and every 16. allegation contained therein.

### FOURTH CAUSE OF ACTION

### (Punitive Damages)

- 17. These Answering Defendants repeat and reallege their answers to the allegations contained within Paragraphs 1 through 46 of Plaintiffs' Complaint, as if the same were more fully set forth herein.
- 18. Answering Paragraphs 48 and 49 of Plaintiffs' Complaint, Defendants deny each and every allegation contained therein.

### AFFIRMATIVE DEFENSES

### FIRST AFFIRMATIVE DEFENSE

Defendants allege that Plaintiffs' Complaint on file herein fails to state a claim upon which relief can be granted.

### SECOND AFFIRMATIVE DEFENSE

Defendants allege that the damages, if any, were caused in whole or in part, or were contributed to by reason of the negligence of the Plaintiffs.

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### THIRD AFFIRMATIVE DEFENSE

All risks and dangers involved in the factual situation described in the Complaint were open, obvious, and known to the Plaintiffs and said Plaintiffs voluntarily assumed said risks and dangers.

### FOURTH AFFIRMATIVE DEFENSE

The incident alleged in the Complaint and the resulting damages, if any, to the Plaintiff were proximately caused or contributed to by Plaintiffs' own negligence, and such negligence was greater than the alleged negligence of Defendants.

### FIFTH AFFIRMATIVE DEFENSE

Defendants allege that the occurrence referred to in the Complaint, and all injuries and damages, if any, resulting therefrom were caused by the acts or omissions of a third party over whom Defendants had no control.

### SIXTH AFFIRMATIVE DEFENSE

Plaintiffs' claims are time-barred by the applicable statute of limitations.

### SEVENTH AFFIRMATIVE DEFENSE

Defendants allege that recovery of unlimited punitive or exemplary damages is barred because N.R.S. Chapter 42, as amended, denies these Defendants equal protection of the law under Article Four, Section Twenty of the Nevada Constitution, and the Fourteenth Amendment to the United States Constitution.

### EIGHTH AFFIRMATIVE DEFENSE

Defendants allege that any award of punitive or exemplary damages in this action is barred as excessive, as the product of bias or passion and/or by proceedings lacking sufficient guidelines and/or the basic elements of fundamental fairness, under the Due Process Clause of

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the Fourteenth Amendment to the United States Constitution, and Article One, Section Eight, of the Nevada Constitution.

### NINTH AFFIRMATIVE DEFENSE

Plaintiffs failed to mitigate his damages, if any.

### TENTH AFFIRMATIVE DEFENSE

Defendants hereby incorporate by reference those affirmative defenses enumerated in Rule 8 of the Nevada Rules of Civil Procedure as if fully set forth herein. In the event further investigation or discovery reveals the applicability of any such defenses, Defendants reserve the right to seek leave of Court to amend their Answer to specifically assert the same. Such defenses are herein incorporated by referenced for the specific purpose of not waiving the same.

### ELEVENTH AFFIRMATIVE DEFENSE

Pursuant to NRCP 11, as amended, all possible Affirmative Defenses may not have been alleged herein insofar as sufficient facts were not available after reasonable inquiry upon the filing of Defendants' Answer and, therefore, Defendants reserve the right to amend their Answer to allege additional affirmative defenses if subsequent investigation warrants.

### TWELFTH AFFIRMATIVE DEFENSE

Plaintiffs' claims against these answering Defendants are reduced, in whole or in part, as a result of the doctrine of comparative negligence.

### THIRTEENTH AFFIRMATIVE DEFENSE

Defendants allege that recovery of unlimited punitive or exemplary damages is barred because N.R.S. Chapter 42, as amended, denies these Defendants equal protection of the law under Article Four, Section Twenty of the Nevada Constitution, and the Fourteenth Amendment to the United States Constitution.

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### FOURTEENTH AFFIRMATIVE DEFENSE

Defendants allege that any award of punitive or exemplary damages in this action is barred as excessive, as the product of bias or passion and/or by proceedings lacking sufficient guidelines and/or the basic elements of fundamental fairness, under the Due Process Clause of the Fourteenth Amendment to the United States Constitution, and Article One, Section Eight, of the Nevada Constitution.

### GENERAL DENIAL

Answering Defendants deny each and every allegation contained in Plaintiffs' Complaint that is not specifically admitted to be true.

### PRAYER FOR RELIEF

These Answering Defendants deny that Plaintiff is entitled to any of the requested relief as contained within Plaintiffs' Complaint.

DATED this 26 day of June, 2013.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

LEANN SANDERS, ESQ.

Nevada Bar No. 000390

SHIRLEY BLAZICH, ESQ.

Nevada Bar No. 008378

7401 W. Charleston Boulevard

Las Vegas, NV 89117-1401

(702) 384-7000

Attorneys for Defendants

## ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS TAM WEST CHARLESTON BOLLEVARD

### CERTIFICATE OF SERVICE

Pursuant to NRCP 5(b), I hereby certify that I am an employee of ALVERSON, TAYLOR, MORTENSEN & SANDERS and that on the lay of June, 2013, I caused to be served a true and correct copy of the document described herein by U.S. mail, postage prepaid addressed to the following:

Document Served:

DEFENDANTS FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC., d/b/a FIRST TRANSIT AND JAY FARRALES' ANSWER TO PLAINTIFFS' COMPLAINT

Person(s) served:

Benjamin Cloward, Esq.
RICHARD HARRIS LAW FIRM
801 S. Fourth Street
Las Vegas, NV 89101
Attorneys for Plaintiff

An employee of

ALVERSON, TAYLOR, MORTENSEN & SANDERS

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### AFFIRMATION

### Pursuant to N.R.S. 239B.030

The undersigned does hereby affirm that the preceding DEFENDANTS FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC., d/b/a FIRST TRANSIT AND JAY FARRALES' ANSWER TO PLAINTIFFS' COMPLAINT filed in District Court Case No. A-13-682726-C

X Does not contain the social security number of any person.

### -OR-

Contains the social security number of a person as required by:

A. A specific state or federal law, to wit:

### [Insert specific law]

-or-

B. For the administration of a public program or for an application for a federal or state grant.

DATED this 36 day of June, 2013.

ALVERSON, TAYLOR, MORTENSEN & SANDERS

LEANN SANDERS, ESQ.

Nevada Bar No. 000390

SHIRLEY BLAZICH, ESQ.

Nevada Bar No. 008378

7401 W. Charleston Boulevard

Las Vegas, Nevada 89117

Attorneys for Defendants

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### **ATMS Copy Room Efile**

From: Sent:

ccservice@wiznet.com

Thursday, June 27, 2013 10:45 AM

To:

ATMS Copy Room Efile

Subject:

Courtesy Copy Notification of Filing Case(Estate of Harvey Chernikoff, Plaintiff(s)vs.First

Transist Inc, Defendant(s)) Document Code:(ANSC) Filing Type:(EFO) Repository

ID(4475801)

This is a courtesy copy for Case No. A-13-682726-C, Estate of Harvey Chernikoff, Plaintiff(s) vs. First Transist Inc, Defendant(s)

This message was automatically generated; do not reply to this email. Should you have any problems viewing or printing this document, please call (800)297-5377.

Submitted: 06/27/2013 09:09:47 AM

Case title:

Estate of Harvey Chernikoff, Plaintiff(s) vs. First Transist Inc,

Defendant(s)

Document title: Defendants First Transit, Inc., Laidlaw Transit Services, Inc. d/b/a

First Transit and Jay Farrales' Answer to Plaintiffs' Complaint ANSC

Document code:

Filing Type: EFO

4475801 Repository ID:

Number of pages: 9

Filed By:

Alverson, Taylor, Mortensen & Sanders

To download the document, click on the following link shown below or copy and paste it into your browser's address bar.

https://wiznet.wiznet.com/clarknv/CDS.do?code=

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This link will be active until 07/07/2013 09:09:47 AM.

Non Consolidated Cases EFO \$6.00 EFS \$10.00

SO \$6.00

Consolidated Cases

EFO \$10.00

EFS \$15.00

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EXHIBIT

1	RSPN		•		
2	BENJAMIN P. CLOWARD, ESQ. Nevada Bar No. 11087				
3	RICHARD HARRIS LAW FIRM				
4	801 South Fourth Street Las Vegas, Nevada 89101				
5	Phone: (702) 444-4444				
6	Fax: (702) 444-4455 E-Mail: Benjamin@RichardHarrisLaw.com				
7	Attorneys for Plaintiff				
8	DISTRICT	COURT			
9	CLARK COUN	TY, NEVAD	A		
10	,	i			
<b>i</b> 1	The Estate of HARVEY CHERNIKOFF, Deceased; by JACK CHERNIKOFF as	CASE NO. DEPT. NO.	A-13-68272 XXIII	:6-C	
12	personal representative, individually and as				
13	heir; ELAINE CHERNIKOFF individually and as heir,				-
14					
15	Plaintiffs,				
16	vs.				
17	FIRST TRANSIT, INC. LAIDLAW	<u> </u>			
18	TRANSIT SERVICES, INC dba FIRST				
19	TRANSIT; JAY FARRALES; DOES 1-10, and ROES 1-10 inclusive,	! 	h.		
20	Dofondonta				
21	Defendants.	J			
22	DI AINTIEE IACV CUEDNIVOEE AS DE	DEOMAL DI	EDDECEN'EA'	TIVE OF TH	ne.
23	PLAINTIFF JACK CHERNIKOFF, AS PE ESTATE OF HARVEY CHERNIKOFF RES				
24	OF REQUEST FOR PRODU	CTION OF	DOCUMENT:	<u>3</u>	
25	COMES NOW, the Plaintiff,	IACK C	HERNIKOFF	as Persons	al
26					
27	Representative of the Estate of Harvey Cherniko	off pursuant to	o Nevada, by a	nd through hi	S
28	attorney of record, BENJAMIN P. CLOWARD	, ESQ., of th	e RICHARD I	iarris Lav	V

LAW FIRM

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FIRM, pursuant to Rule 33, Nevada Rules of Civil Procedure, and hereby responds to Defendants First Request for Production of Documents as follows:

### **DEFINITIONS**

- A. "Nondiscoverable/Irrelevant." The request in question concerns a matter that is not relevant to the subject matter and the matters that remain at issue in this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.
- B. "Unduly burdensome." The request in question seeks discovery which is unduly burdensome or expensive, taking into account the needs of the case, the amount in controversy, limitations on the parties' resources, and the importance of the issues at stake in the litigation.
- C. "Vague." The request in question contains a word or phrase which is not adequately defined, or the overall request is confusing, and Plaintiff is unable to reasonably ascertain what information or documents Defendant seeks in the request.
- "Overly broad." The request seeks information beyond the scope of, or beyond D. the time period relevant to, the subject matter of this litigation and, accordingly, seeks information which is non-discoverable/irrelevant and is unduly burdensome.

### GENERAL OBJECTIONS

- Plaintiff objects to Defendant's requests to the extent that they seek documents 1. that are protected by any absolute or qualified privilege or exemption, including, but not limited to, the attorney-client privilege, the attorney work-product exemption, and the consulting-expert exemption. Specifically, Plaintiff objects to Defendant's requests on the following grounds.
- Plaintiff objects to Defendant's requests to the extent they seek documents that are protected from disclosure by the attorney-client privilege in accordance with Rule 26 of the Nevada Rules of Civil Procedure and NRS 89.095.

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Plaintiff objects to Defendant's requests to the extent they seek b. documents that are protected from disclosure by the work-product exemption in accordance with Rule 26(b)(1)(3) and (4) of the Nevada Rules of Civil Procedure and applicable case law.

- Plaintiff objects to Defendant's requests to the extent they seek documents that are protected from disclosure pursuant to the consultant/expert exemption in accordance with Rule 26(b)(3) and (4) of the Nevada Rules of Civil Procedure and applicable case law.
- đ. Plaintiff objects to Defendant's requests to the extent they seek trade secrets, commercially sensitive information, or confidential proprietary data entitled to protection under Rule 26(c)(7) of the Nevada Rules of Civil Procedure.
- 2. This response is made on the basis of information and writings available to and located by Plaintiff upon reasonable investigation of its records. There may be other and further information respecting the requests propounded by Defendant of which Plaintiff, despite its reasonable investigation and inquiry, is presently unaware. Plaintiff reserves the right to modify or enlarge any response with such pertinent additional information as it may subsequently discover.
- No incidental or implied admissions will be made by the responses to 3. Defendant's requests. The fact that Plaintiff may respond or object to any request or any part thereof shall not be deemed an admission that Plaintiff accepts or admits the existence of any fact set forth or assumed by such request, or that such response constitutes admissible evidence. The fact that Plaintiff responds to a part of any request is not to be deemed a waiver by Plaintiff of its objections, including privilege, to other parts to such request.
  - Plaintiff objects to any instruction to the extent that it would impose upon it 4.

LAW FIRM

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greater duties than are set forth under the Nevada Rules of Civil Procedure. Plaintiff will supplement its responses to the requests as required by the Nevada Rules of Civil Procedure.

5. All responses will be made solely for the purpose of this action. Each response will be subject to all objections as to competence, relevance, materiality, propriety and admissibility, and to any and all other objections on any ground which would require the exclusion from evidence of any statement herein if any such statements were made by a witness present and testifying at trial, all of which objections and grounds are expressly reserved and may be interposed at such hearings.

### RESPONSES TO REQUESTS FOR PRODUCTION OF DOCUMENTS REQUEST NO. 1:

Any and all medical records, for care provided to Harvey Chernikoff in the five years prior to his dimise (sic). In lieu of providing of those records and billings, an authorization for obtaining medical records may be submitted. (Please see attached).

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope. Without waiving said objections, Plaintiff is not in possession of the requested documentation. Please see the attached authorization executed by the Plaintiff in favor of your firm.

### REQUEST NO. 2:

Please provide any and all employment records of the decedent, Harvey Chernikoff, relative to each and every employer he had from 2005 to the time of his dimise (sic). In lieu of providing of the requested documents, assigned consent form authorizing the release of employment records may be submitted. (Please see attached).

### RESPONSE:

 Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 3:**

Please provide any and all tax records of the decedent, Harvey Chernikoff, from 2005 to the present. In lieu of the requested documents, a signed consent form authorizing the release of tax records may be submitted. (Please attached)

### RESPONSE:

Objection relevancy, in that it is overly broad and not relevant to the subject matter in the pending action, and the information sought does not appear reasonably calculated to lead to the discovery of relevant evidence. Subject to and without waiving the foregoing objection(s), Plaintiff responds as follows: The decedent, Harvey Chernikoff did not file tax returns.

### **REQUEST NO. 4:**

Copies of any and all documents or papers relating to previous lawsuits or claims made by HARVEY CHERNIKOFF against any individual or entity for any type of injury or damage since 2005.

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 5:**

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 Please provide copies of any applications that HARVEY CHERNIKOFF had submitted for Social Security Disability benefits.

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 6:**

Please provide copies of responses received to any applications that HARVEY CHERNIKOFF had submitted for Social Security Disability benefits.

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 7:**

Please provide copies of any correspondence between you or your attorneys and the Centers for Medicare and Medicaid Services ("CMS").

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 8:**

Please provide copies of any correspondence between you or your attorneys and any other Medicare entities.

### RESPONSE:

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Objection. This request is vague, ambiguous and overly broad as to time and scope. Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### REQUEST NO. 9:

Please provide copies of any Medicare Liens or notices of Medicare liens against any recovery that the Estate of HARVEY CHERNIKOFF may have in this matter.

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 10:**

Please provide any copies of correspondence between you or your attorney and programs for medical assistance, including, but not limited to, Medicaid, and State Children's' Health Insurance Program (SCHIP) and/or any State Children's Health Insurance Plan (CHIP) payments, relative to the treatment at issue in this matter

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### REQUEST NO. 11:

Please provide copies of any liens or notices received from the Department of Public Welfare or any other agency or entity, whether private or public, regarding this matter.

### RESPONSE:

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Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 12:**

Please provide copies of any materials provided to HARVEY CHERNIKOFF by First Transit, Inc. including, pamphlets, handouts, guidelines, rules, schedules, etc., from the date he started using First Transit services to the time of his demise.

### RESPONSE:

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### **REQUEST NO. 13:**

Please provide copies of any correspondence between HARVEY CHERNIKOFF and First Transit, Inc. from the date he started using First Transit serviced to the time of demise.

### **RESPONSE:**

Objection. This request is vague, ambiguous and overly broad as to time and scope.

Without waiving said objections, Plaintiff is not in possession of the requested documentation.

### REQUEST NO. 14:

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Please provide copies of any and all documentation not previously provided which were relied upon and/or referenced in Jack Chernikoff as personal representative of the Estate of Harvey Chernikoff's Answers to Defendant's First Transit, Inc., and Jay Farrales First set of Interrogatories.

### RESPONSE:

All documents in Plaintiff's possession responsive to this Request were produced in Plaintiff's Early Case Conference List of Witnesses and all supplements thereto. Plaintiff reserves the right to supplement this response, as discovery is continuing in this matter.

### REQUEST NO. 15:

Please provide copies of any and all documentations indicating that Plaintiff JACK

CHERNIKOFF has been designated the Personal Representative and/or Special Administratrix

(sic) of the Estate of Harvey Chernikoff.

### RESPONSE:

Please see attached.

DATED this day of September, 2013.

RICHARD HARRIS LAW FIRM

BENJAMIN P. CLOWARI Nevada Bar No. 11087 801 South Fourth Street Las Vegas, NV 89101

Attorneys for Plaintiff

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### CERTIFICATE OF SERVICE

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	Pursuant to NRCP 5(b), I certify that am an employee of RICHARD HARRIS LAW
	FIRM, and that on this do day of , 2013, I served a copy of the foregoing
•	PLAINTIFF JACK CHERNIKOFF, AS PERSONAL REPRESENTATIVE OF THE
	ESTATE OF HARVEY CHERNIKOFF RESPONSES TO DEFENDANT'S FIRST SET
	OF REQUEST FOR PRODUCTION OF DOCUMENTS as follows:
	U.S. Mail—By depositing a true copy thereof in the U.S. mail, first class postage propaid and addressed as listed below; and/or  Facsimile—By facsimile transmission pursuant to EDCR 7.26 to the facsimile number(s) shown below and in the confirmation sheet filed herewith. Consent to service under NRCP 5(b)(2)(D) shall be assumed unless an objection to service by facsimile transmission is made in writing and sent to the sender via facsimile within 24 hours of receipt of this Certificate of Service; and/or
	☐ Hand Delivery—By hand-delivery to the addresses listed below.
	Leann Sanders, Esq. Shirley Blazich, Esq. ALVERSON, TAYLOR, MORTENSEN & SANDERS 7401 W. Charleston Blvd. Las Vegas, Nevada 89117 Fax (702) 385-7000 Attorneys for Defendants  An employee of the Richard Marks Law Norm

CONFIDENTIAL CLIENT INFORMATION Inland Counties Regional Center, Inc. Ses California Welfare & Institutions Code, Section 4514.

CHERNIKOFF, Harvey DOB: UCI#: 7926091 S5#:

2/10/86 LIMITED CONSERVATORSHIP

RECOMMENDATIONS: The Regional Center's evaluations disclosed that Mr. Harvey Chernikoff has mild mental retardation and a psychological disorder, schizophrenic personality. The Regional Center recommends that a conservator be appointed to protect Mr. Chernikoff and encourage that the conservator be granted the powers:

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- a. ability to set or determine residence.
- b. access to confidential records.
- c. consent to marriage.

KNOWLEDGE: Mr. Chernikoff was evaluated by Dr. Karol Fisher Ph.D. on 5/11/83. In addition, Mr. Chernikoff has been seen on a quarterly basis by the undersigned since 4/1/85 including 2/3/86.

FUNDINGS: It was Dr. Fisher impression that Mr. Chernikoff has a schizophrenic personality. He was found to have an IQ of 65 on the Wechsler Adult Intelligence Scale. On the Vineland Social Maturity Scale he has a social age of 13 years. Mr. Chernikoff has a good broad vocabulary and can carry on a complex conversation with staff. His speech is easily understood by others. Mr. Chernikoff has all of his self help skills and even has an out-dailed drivers license. He can tell time, make correct changes, use a calculator correctly and read.

Mr. Chernikoff is very immature emotionally and unable to handle stress of girl boy relationship. Currently he attends Community Skills Training Center regularly and has a production rate of 12% I.S.

Medically, Mr. Chernikoff is taking several different medications to control his agitation. He is borderline disbetic but has had no hospitalizations this past year. He is in good health.

This report is signed under penalty of perjury this 10th day of February 1985 at Covina, California.

Suzette Soviero

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ELAINE CHERNIKOFF (2	13) 455-1140	TIGIA.
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Topanga, CA 90290		An KAIL
ORNEY FOR (Hamm): IN PRO PER		1 2 4 30 (61)
PERIOR COURT OF CALIFORNIA, COUNTY OF LO	S ANCELES	TOTAL TO ISON
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IN RE THE LIMITED CONSERVATORSHIP OF: HARVEY A: CHERNIKOFF | Case No. EAP - 19845

Attachment 3j, Form 203A

Pursuant to section 2358 of the Probate Code, Conservator shall have the power to approve objectives and programs (i.e., IPP) of the Conservatee.

Attachment 3n. Form 203A

Pursuant to section 2351.5 of the Probate Code, Conservator shall have the power to:

- il. Fix the residence or appecific domecile of the Conservatee;
  - 2. To access the confidential records of the conservatee; and,
  - 3. To grant or withold consent for the Conservatee to marry,

Date: APP 3

COLD BUILDING

C. Minister of the State of Laboratory of the safe

Judge of the Superior Court

203A Attachment

EXHIBIT D

D

J. BRUCE ALVERSON ERIC TAYLOR DAVID J. MORTENSEN LEANN SANDERS KURT R. BONDS NATHAN R. REINMILLER JONATHAN B. CWENS KARIE N. WILSON SEETAL TEJURA SHIRLEY BLAZICH JENNIFER KISSEL-MORALES

SABRINA G. MANSANAS
CHELSEA R. HUETH
MARI K. SCHAAN
ELLEN S. BOWMAN
NICOLE D. MURRY
STEPHANIE ZINNA
IAN M. HOUSTON
COURTNEY CHRISTOPHER
TAYLOR A. TRUJILLO
MIRIAM SHOVAL
DAVID M. BRAY

LAWYERS

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RENO OFFICE 200 S. VIRGINIA, 8TH FLOOR, RENO, NEVADA 89501 Telephone (775) 398-3025

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REPLY TO: X Las Vegas Office \_\_Reno Office

October 2, 2013

VATANA LAY BRÎGETTE E. FOLEY ALIKA K, ANGERMAN ANNA MIRIJANIAN SEAN FORBUSH MATTHEW PRUITT OF COUNSEL JACK C. CHERRY

Via Facsimile -- 444-4455

Benjamin Cloward, Esq. RICHARD HARRIS LAW FIRM 801 S. Fourth Street Las Vegas, NV 89101

Re:

First Transit, Inc. adv. Chernikoff, et al.

Our File No.: 19969

Dear Mr. Cloward:

Our office is currently in receipt of Plaintiffs Jack Chernikoff and Elaine Chernikoff's Individual Responses to Defendants' First Set of Interrogatories and First Set of Requests for Production. After review of Plaintiffs responses, it appears that they failed to adequately respond to a number of our interrogatories.

Specifically, Plaintiffs failed to provide responses to the following Interrogatories:

### **INTERROGATORY NO. 10:**

According to the Complaint, you allege that "Defendants and each of them knew that HARVEY had a mental disability." Please describe, in detail, all facts upon which you intend to rely in proving that Defendants, "knew that HARVEY had a mental disability."

### **INTERROGATORY NO. 11:**

According to the Complaint, you allege that Defendants "breached the duty to use the highest degree of care and act reasonably in this matter when they neglected to take precautionary measures, including but not limited to, failing to contact emergency services and assisting Plaintiff while he choked." Please describe, in detail, all facts upon which you intend to rely in proving this allegation.



Page Number: 2

Continuing Letter: October 2, 2013

### **INTERROGATORY NO. 12:**

According to the Complaint, you allege that Defendants "consciously disregarded the safety of HARVEY CHERNIKOFF." Please describe, in detail, all facts upon which you intend to rely in proving that Defendants, "consciously disregarded the safety of HARVEY CHERNIKOFF."

### **INTERROGATORY NO. 13:**

According to the Complaint, you allege that Defendants "breached their duty and negligently disregarded the safety of HARVEY CHERNIKOFF, by failing among other things, to have adequate first aid training, to prevent such an occurrence, by failing to have employees adequately trained or competent personnel on duty at the time of the incident to respond to the presence of a medical problem, or to enforce rules already in place to prevent HARVEY or others from being allowed to eat food on the bus." Please describe, in detail, all facts upon which you intend to rely in proving this allegation.

### INTERROGATORY NO. 14:

According to the Complaint, you allege that, "from the time of his injuries until his death, HARVEY CHERNIKOFF suffered intense physical and mental pain, shock and agony." Please describe, in detail, all facts upon which you intend to rely in support of that claim.

In response to the foregoing Interrogatories, Plaintiffs responded as follows:

Objection, this may call for a legal opinion, for which Plaintiff is not qualified to render. Plaintiff further objects this interrogatory in that it calls for a legal conclusion and invades the attorney's trial strategy.

We would like to point-out that the interrogatories your client objected to asked that they identify <u>facts</u> upon which they intends to rely in supporting various allegations set forth in the Complaint. Thwarting the discovery of relevant facts is in direct conflict with the purpose of discovery as outlined in the Nevada Rules of Civil Procedure. Pursuant to N.R.C.P. 26(b)(1):

Parties may obtain discovery regarding any matter, not privileged, which is relevant to the subject matter involved in the pending action, whether it relates to the claim or defense of the party seeking discovery or to the claim or defense of any other party, including the existence, description, nature, custody, condition and location of any books, documents, or other tangible things and the identity and location of persons having knowledge of any discoverable matter. It is not ground for objection that the information sought will be inadmissible at the trial if the information sought appears reasonably calculated to lead to the discovery of admissible evidence.

Page Number: 3

Continuing Letter: October 2, 2013

The aforementioned Interrogatories do not ask your clients to offer a legal and/or expert conclusion by applying the facts of this case to the applicable law. Rather, these interrogatories merely request that your clients identify certain *facts* that they intend to rely on in support of the allegations made in the Complaint. In no way are they being asked to offer a legal conclusion, nor do the questions call for an expert opinion. As such, the Interrogotories are not beyond the scope of NRCP 16.1(a)(2)(B) and 26(b)(4).

Also, during our review of Jack Chernikoff's responses on behalf of the Estate of Harvey Chernikoff, we noticed that no signed HIPAA authorization was provided for Harvey Chernikoff, despite indication within the responses that they were enclosed. As such, please provide our office with completed HIPAA authorizations for Harvey Chernikoff, as soon as possible.

In addition, the documentation produced in response to <u>Request No. 15</u>, was not sufficient documentation to establish that Jack Chernikoff has been designated the personal representative of the Estate of Harvey Chernikoff. Specifically, <u>Request No. 15</u> stated:

Please provide copies of any and all documentation indicating that Plaintiff JACK CHERNIKOFF has been designated the Personal Representative and/or Special Administratrix of the Estate of Harvey Chernikoff.

The documentation provided by your office was related to Jack and Elaine Chernikoff's conservatorship over Harvey while he was living. However, our office requested the documentation showing that Mr. Chernikoff has been appointed as administrator of Harvey's intestate estate. As such, please provide our office with the appropriate documentation.

We appreciate your attention to the foregoing issues and anticipated cooperation. If you have any questions or concerns regarding the foregoing, please feel free to contact our office.

Very truly yours,

ALVERSON, TAYLOR, MORTENSEN & SANDERS

/s/LeAnn Sanders

LeAnn Sanders

LS/chh

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RICHARD A. MARRIS, J.D., \*\* LL.M.O.

JOSHUA: R. HARRIS, J.D.\*

- JONATHAN D. RUTHERFORD Professional Disability Representatives admitted in Nevada
- admicted in California " sdmitted in Utah \* sdmitted in Washington
- Ω admitted in Texas

  = admitted in Plinois

m Master of Laws in Dispute Resolution

November 14, 2013

Via Facsimile: (702) 385-7000

Leann Sanders, Esq. ALVERSON, TAYLOR MORTENSEN & SANDERS 7401 W. Charleston Boulevard Las Vegas, Nevada 89117-1401

Re:

The Estate of Harvey Chernikoff v. First Transit Clark County District Court Case No. A-13-682726-C

Dear Ms. Sanders:

Thank you for your November 5, 2013, correspondence addressing various issues.

As to the issue surrounding the medical authorization for Harvey Chernikoff, the appropriate documents have been sent Jack Chernikoff for signature; we will supplement our discovery responses with the release as soon as it is received.

As to the issue regarding the documentation to support Jack Chernikoff as personal representative of the Estate of Harvey Chernikoff the Petition, Orders and Letter appointing Jack have been submitted to the Court and we await their return, upon receipt we will provide your office with copies.

Finally, as you know, Jack and Elaine's deposition is scheduled to take place on Nevember 21, 2013, Jack is still ill with a heart condition and is unable to travel at this time. I have attached correspondence from Jack's physician Joseph Raffetto, MD affirming Jack is unable to fly until he is released from his care. Although the letter does state that "hopefully the beginning of November" Jack would be able to travel however, Jack has since returned to the Dr. Raffetto who put further restrictions on his travel. If necessary we will provide additional decumentation confirming this fact. We request to reschedule the Chernikoff's deposition to a later date once Jack has returned to good health.

Thank you for your continued professionalism in working to accommodate the scheduling of Jack and Elaine's deposition.

Most sincercly.

BENJAMIN P. CLOWARD, ESQ. RICHARD HARRIS LAW FIRM

LS

R&E 19969

BN

ON CAL

To: +17023857000 From:

Date: 14/11/13 Time: 15:59 Page: 01

Date: 14/11/13 Time: 16:00 Page: 02 P.002

From: 4102507274 Page: 2/2

Date: 11/13/2013 8:50:39 AM

### PENINSULA CARDIOLOGY ASSOCIATES, P.A.

DONALD M. WOOD, M.D. ioseph L. Raffetto, M.D. DENNIS J. CHODNICKI, M.D. EMMANUEL N. NSAH, M.D. LUCY B. VAN VOORHEES, M.D. JENNIFER A. DORR, D.O.



JOHN G. GREEN, M.D. JEFFREY M. WIELAND, M.D. STEPHAN PAVLOS, M.D. RENE L. DESMARAIS, M.D. TOM A. J. SWIERKOSZ, M.D., Ph.D.

www.pencard.com American Board Of Internal Medicine American Board Of Cardiovascular Medicine

October 18, 2013

RE: Jack Chernikoff DOB: 1/28/1937

To Whom It May Concern:

Mr. Chernikoff has been a patient of mine since June 26, 2013. After first seeing patient he had a stent placed on 7/22/13 and then a permanent pacemaker placed on 10/7/13. During this time period he was in and out of the hospital. I did not feel the patient was stable to travel until after pacemaker placement and follow up visits. At this time, Mr. Chemikoff should be able to fly once released from our care, hopefully the beginning of November 2013.

Sincerely,

Joseph Raffetto, M.D.

SALISBURY This fax was received by GFI FaxMaker fax server. For more information, visit: http://www.gfi.com

TOTAL P.002

To: +17023857000 From: Date: 14/11/13 Time: 16:00 Page: 02



J, BRUCE ALVERSON
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JONATHAN B. CWENS
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SEETAL TEJURA
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REPLY TO: X Las Vegas Office \_\_Reno Office

January 7, 2014

ANNA MIRIJANIAN SEAN FORBUSH MATTHEW PRUITT TYSON E. HAFEN SARAH M. DICKEY ALIISA N. LEON ADAM R. KNEGHT ISAIAH COSTAS JOSHUA SCHLACHTER MORGAN LEE OF COUNSEL
JACK C. CHERRY

Via Facsimile – 444-4455

Benjamin Cloward, Esq. RICHARD HARRIS LAW FIRM 801 S. Fourth Street Las Vegas, NV 89101

Re:

First Transit, Inc. adv. Chernikoff, et al.

Our File No.: 19969

Dear Mr. Cloward:

The purpose of this correspondence is to request that you voluntarily dismiss the claims brought by the Estate of Harvey Chernikoff in the above referenced matter. Upon filing the Complaint on May 31, 2013, Plaintiffs brought a claim for the Estate of Harvey Chernikoff, representing to the Court that Jack Chernikoff was the personal representative of the Estate of Harvey Chernikoff. However, based on the information available to our office, it is clear that Plaintiff failed to be appointed as administrator by order of a court of competent jurisdiction. As such, Jack Chernikoff, as Special Administrator of the Estate, is not a proper party pursuant to Nevada Rule of Civil Procedure 17(a) and any claims made by the Estate should be dismissed.

On August 21, 2013, our office propounded written discovery upon the Estate of Harvey Chernikoff requesting copies of any and all documentation indicating that Plaintiff Jack Chernikoff has been designated the Personal Representative and/or Special Administratrix of the Estate of Harvey Chernikoff. In response, we were provided documentation regarding Jack and Elaine Chernikoff's limited conservatorship over Harvey Chernikoff during his lifetime, which was granted in California in 1986. This documentation is/was insufficient to establish that Jack Chernikoff has been designated the Personal Representative and/or Special Administratrix of the Estate.

As such, our office sent correspondence on October 2, 2013, requesting that you provide our office with the proper documentation so as to avoid further delay in discovery. In response to our October 2, 2013, correspondence, your office represented that the documentation to support Jack Chernikoff as personal representative of the Estate of Harvey Chernikoff had been submitted to the Court and would be provided to our office once they were returned.



Page Number: 2

Continuing Letter: January 7, 2013

As such, it became clear that Plaintiffs failed to properly appoint a representative of the Estate prior to filing the Complaint.

No cause of action is lost by reason of the death of any person, but may be maintained by or against the person's executor or administrator. Nev. Rev. Stat. Ann. § 41.100. However, before suing, the executor or administrator must be appointed by order of a court of competent jurisdiction. See N.R.S. 143.060; N.R.S. 143.210; Schwartz v. Wasserburger, 117 Nev. 703 (Nev. 2001). Pursuant to Schwartz v. Wasserburger, a personal representative inherits the benefits and burdens connected with the running of any applicable statute of limitations, measured from when the cause of action first accrued in favor of the decedent. 117 Nev. 703 (Nev. 2001). As such, the applicable statute of limitations has run against any personal representative of the Estate who may now be appointed.

In addition to the misrepresentations to both Defendant and the Court, Plaintiffs have impermissibly delayed discovery in this matter. Plaintiffs should have disclosed the proper documentation regarding representation of the Estate at the outset of this matter. Further, Defendant has been actively seeking the documentation since August of 2013, to no avail. Defendants have been unable to request any of Harvey Chernikoff's medical records, as there is no support for a HIPAA release that is signed by Jack Chernikoff. Further, Jack Chernikoff's responses and verification to written discovery directed towards the Estate is invalid.

Please inform our office if you are willing to voluntarily dismiss the claims of the Estate of Harvey Chernikoff. If you are not willing to do so, we will move forward to file a motion seeking dismissal of the Estate. We look forward to hearing from you on or before January 17, 2014. If you have any questions regarding the foregoing, please feel free to contact our office.

Very truly yours,

ALVERSON, TAYLOR, MORTENSEN & SANDERS

/s/LeAnn Sanders

LeAnn Sanders

LS/chh

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NOEL S. ANSCHUTZ SAMANTHA M. HENZ JONATHAN D. RUTHERFORD Professional Disability Representatives

- \* admitted in Nevada
- ▲ admitted in California
- ° admitted in Utah
- ullet admitted in Washington  $\Omega$  admitted in Texas
- ∞ admitted in Illinois
- Master of Laws in Dispute Resolution

January 16, 2014

Via Facsimile: (702) 385-7000

Leann Sanders, Esq.
ALVERSON, TAYLOR MORTENSEN & SANDERS 7401 W. Charleston Boulevard
Las Vegas, Nevada 89117-1401

Re:

The Estate of Harvey Chernikoff v. First Transit Clark County District Court Case No. A-13-682726-C

Dear Ms. Sanders:

Please find attached the Court's Order of appointing Jack Chernikoff as Special Administrator for the estate of his son, Harvey Chernikoff. Also attached are Letters of Special Administration issued by Clerk of Court, Steven D. Grierson.

Thank you in advance for your time and attention to this matter. In the interim, should you have questions comments please contact our office at your convenience.

Most sincerely

BENJAMIN P. CLOWARD, ESQ.
RICHARD HARRIS LAW FIRM

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RICHARD	

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### DISTRICT COURT

Alma & Lluim

CLARK COUNTY, NEVADA

CLERK O	F THE	COURT
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In the Matter of the Estate of	)
HARVEY CHERNIKOFF, Deceased.	)

Case No: P-13-079562-E

### LETTERS OF ADMINISTRATION Regular Special

On the 30 day of Chinal , 2013, an Order of the Court was entered appointing JACK CHERNIKOFF as Special Administrator of the Estate of the Decedent, and who having duly qualified is hereby authorized to act and has the authority and shall perform the duties of such Administrator.

In testimony of which, I have this date signed these Letters and affixed the seal of the Court.

STEVEN D. GRIERSON

CLERK OF COURT

By Mul

OATH

I, JACK CHERNIKOFF, of 940 Eagle Mountain Drive, Henderson, Nevada 89123, solemnly affirm that I will faithfully perform the duties of Administrator according to law.

SUBSCRIBED and SWORN to before me on this 1. day of 1. WWW 2013 JACK CHERNIKOFF

NOTARYPUBLIC

TINA MCWHORTER
Notary Public State of Nevada
No. 05-100781-1
My appt. exp. Aug. 23, 2017

CLERK OF THE COURT

10

15

CAW PERM

RICHARD HARRIS

27 28

ORDR BENJAMIN P. CLOWARD, ESQ. Nevada Bar No. 11087 Utah Bar No. 12336 RICHARD HARRIS LAW FIRM

801 South Fourth Street Las Vegas, Nevada 89101

Phone: (702) 444-4444 Fax: (702) 444-4455

E-Mail: Benjamin@RichardHarrisLaw.com

Attorneys for Petitioner

### DISTRICT COURT CLARK COUNTY, NEVADA

In the Matter of the Estate of HARVEY CHERNIKOFF, Deceased.

CASE NO. P-13-079562-E

ORDER FOR APPOINTMENT OF SPECIAL ADMINISTRATOR AND FOR ISSUANCE OF LETTERS OF SPECIAL ADMINISTRATION

Upon the submission of a verified Petition for Special Letters of Administration representing HARVEY CHERNIKOFF who died on or about May 7, 20 July 29, 2011 2, in Las Vegas, Neyada: Decedent was, at the time of his death, a resident of the State of Nevada; Decedent's Estate has a cause of action against those responsible for injuries resulting in his death, and that the action needs to be maintained in the Eighth Judicial District Court of Nevada;

Petitioner JACK CHERNIKOFF has never been convicted of a felony; and that Petitioner is willing to act as Special Administratrix of the Estate to maintain the wrongful death action.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED that Potitioner, JACK CHERNIKOFF, be and hereby is, appointed as Special Administrator of the Estate of HARVEY CHERNIKOFF, Deceased, and that Special Letters of Administration be issued to

**\$**.

Petitioner upon his taking the eath of office, and that he may serve without the necessity of posting bond.

IT IS FURTHER ORDERED that all monies received by this estate will be placed in the attorney's trust account until further Order by the Court.

IT IS FURTHER ORDERED that the settlement of the Decedent's lawsuit is subject to this Court's approval.

DATED this 19th day of December 2013.

DISTRICT COURT JUDGE

Respectfully submitted by:

RICHARD HARRIS LAW FIRM

BENJAMIN P. CLOWARD, ESQ.

Nevada Bar No. 11087 801 South Fourth Street Las Vegas, Nevada 89101

Attorney for Plaintiff

1	NEO Electronically Filed 04/04/2014 02:22:50 PM
2	ALVERSON, TAYLOR, MORTENSEN & SANDERS
3	MORTENSEN & SANDERS LEANN SANDERS, ESQ. Nevada Bar No. 000390
	SHIRLEY BLAZICH, ESQ. CLERK OF THE COURT
4	Nevada Bar No. 008378 7401 W. Charleston Boulevard
5	Las Vegas, Nevada 89117
6	(702) 384-7000 Attorneys for Defendants
7	DISTRICT COURT
8	CLARK COUNTY, NEVADA
9	%
10	
11	The Estate of HARVEY CHERNIKOFF, Deceased; ) CASE NO. A-13-682726-C by JACK CHERNIKOFF as personal representative, ) DEPT. NO. XXIII
12	individually and as heir; ELAINE CHERNIKOFF ) individually and as heir, )
13	Plaintiffs,
14	) NOTICE OF ENTRY OF STIPULATION AND ORDER
15	VS. STIF CLATION AND ORDER
16	FIRST TRANSIT, INC., LAIDLAW TRANSIT SERVICES, INC. d/b/a FIRST TRANSIT; JAY
17	FARRALES; DOES 1-10, and ROES 1-10, inclusive, Defendants.
18	Defendants.
19	
	NOTICE OF ENTRY OF STIPULATION AND ORDER
20	
21	TO: ALL PARTIES AND THEIR COUNSEL OF RECORD:
22	YOU AND EACH OF YOU WILL PLEASE TAKE NOTICE that on the 31 <sup>st</sup> day of
23	March, 2014, the Court entered a Stipulation and Order To Dismiss The Estate of Harvey
24	Chernikoff With Prejudice in the above-entitled action.
25	
26	
27	
28	
	LS#1996

## ALVERSON, TAYLOR, MORTENSEN & SANDERS LAWYERS 7401 WEST CHARLESTON BOULEVARD LAS VEGAS, NEVADA 89117-1401 (702) 384-7000

1	A copy of said Order is attached	ed hereto.
2	DATED this3_ day of Ap	ril, 2014.
3		ALVERSON, TAYLOR, MORTENSEN & SANDERS
4		
5		
6		By Shuly Bajel
_ 1		LEANN SÁNDEKS, ESQ. Nevada Bar No. 000390
7	n Çîr	SHIRLEY BLAZICH, ESQ.
8		Nevada Bar No. 008378 7401 W. Charleston Boulevard
9		Las Vegas, NV 89117-1401
10		(702) 384-7000
11		Attorneys for Defendants
12	<u>CER'</u>	TIFICATE OF SERVICE
13	Pursuant to NRCP 5(b), I	hereby certify that I am an employee of ALVERSON,
14	TAYLOR, MORTENSEN & SANDI	ERS and that on the 🖳 day of April, 2014, I caused to be
15	served a true and correct copy of the	document described herein by U.S. mail, postage prepaid
16	addressed to the following:	
17	Document Served:	NOTICE OF ENTRY OF STIPULATION AND
18		ORDER
19	Person(s) served:	
20	Benjamin Cloward, Esq.	
21	RICHARD HARRIS LAW FIRM 801 S. Fourth Street	
22	Las Vegas, NV 89101	-
23	Attorneys for Plaintiff	
24		Janya Osterman
25		An employee of ALVERSON, TAYLOR, MORTENSEN & SANDERS
26		ALTERON, ITTLON, MONTENBEN & BANDERS
27		
28		
		LS#19969