| Case No |
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| IN THE SUPREME COURT OF THE STATE OF NEVADA |

X'ZAVION HAWKINS, an Individual,

Nov 22 2016 08:31 a.m. Elizabeth A. Brown Clerk of Supreme Court

Petitioner,

VS.

EIGHTH JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA, IN AND FOR THE COUNTY OF CLARK, THE HONORABLE Joanna Kishner, DISTRICT JUDGE,

Respondent,

-and-

GGP MEADOWS MALL, a Delaware Limited Liability Company; MYDATT SERVICES, INC. D/B/A VALOR SECURITY SERVICES, an Ohio Corporation; and MARK WARNER, an Individual.

Real Parties in Interest.

District Court Case No. A-15-717577-C

PETITION FOR EXTRAORDINARY WRIT RELIEF

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NRAP 21(a)(1) ROUTING STATEMENT

Pursuant to Nevada Rule of Appellate Procedure 17, this Petition for Extraordinary Writ Relief should be retained by the Nevada Supreme Court. Initially, this matter invokes the original jurisdiction of the Nevada Supreme Court. *See* NRAP 17(a)(1); *see also* NRS 34.160. Second, this matter raises, as a principal issue, a question of first impression involving Nevada Rules of Professional Conduct—specifically, whether the Nevada Rules of Professional Conduct prohibit a conflicted law firm from seeking attorneys' fees and costs from an aggrieved client. *See* NRAP 17(a)(13). Third, this matter raises, as a principal issue, a question of statewide public importance. *See* NRAP 17(a)(14); *see also City of Oceanside v. Superior Court*, 96 Cal. Rptr. 2d 621, 630 (2000) (holding application of independent acts exception to joint public safety operations would contravene "public policy consideration[s]."). Finally, this matter does not involve a discovery order or an order resolving a motion in limine. *See* NRAP 17(b)(8).

Accordingly, Petitioner respectfully requests that the Nevada Supreme Court retain, hear, and decide this matter.

X'Zavion Hawkins ("Petitioner") petitions this Court to issue an extraordinary writ of mandamus vacating the Order granting Defendants in the underlying matter's motion for attorneys' fees and costs relating to their motion to dismiss Petitioner's complaint which was both denied and granted.

Alternatively, Petitioner petitions this Court to issue an extraordinary writ of mandamus vacating the Order both denying and granting Defendants' motion to dismiss Petitioner's complaint which was drafted by the conflicted law firm of Lewis Brisbois Bisgaard & Smith ("LBBS") against the aggrieved client, Petitioner.

This Court's intervention at this time is both necessary and appropriate because:

- The directly adverse work LBBS performed against Petitioner violates public policy holding the attorney-client privilege inviolate in all but a small number of instances.
- The public interest in the administration of justice will be significantly impacted as LBBS is seeking \$19,846.00 of the ordered \$41,635.00 in attorneys' fees for a discovery motion seeking to have Petitioner's case dismissed.
- As the ultimate injury to Petitioner, the substituted law firm of Backus
 Carranza & Burden ("BCB") filed a motion to strike and dismiss Petitioner's
 complaint on November 18, 2016, all arising from the work performed by
 LBBS before its disqualification.
- The intent of the statutes at issue (NRPC 1.9 and 1.10) are: 1) to prevent disclosure of confidential information that could be used to a former client's disadvantage; and 2) the scrupulous administration of justice.

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- LBBS' violations of NRPC 1.9 and 1.10 should bar any recovery of attorneys fees and costs against Petitioner. However, the District Court determined Petitioner would not be harmed by the conflicted law firm seeking such recovery. The District Court's determination was erroneous as a matter of law.
- Even though Petitioner produced an errata to his deposition testimony clarifying his memory problems surrounding people involved in the shooting without being compelled to do so, the District Court intends to craft a jury instruction commenting on Petitioner's failure to identify these witnesses pursuant to NRCP 16.1, which could potentially invade the jury's province to determine credibility.
- This Court has examined NRPC 1.9 and 1.10 in various contexts, but it has never addressed the precise questions presented here whether the conflicted law firm may seek to recover attorneys fees and costs from the aggrieved client, and whether the work performed by the conflicted law firm should be allowed to stand, potentially to the ultimate harm of dismissal of the aggrieved client's action.
- Under California's counterpart to NRPC 1.9 and 1.10 (Cal. RPC 3-310), the specific issues presented here have been addressed by the California Supreme Court and the Courts of Appeal, all of whom have squarely held that not only is it improper for a conflicted law firm to seek to benefit from the conflict, but that such a conflict necessitates "disgorgement" of attorneys' fees.
- The District Court nevertheless determined that Petitioner should pay LBBS
 for the directly adverse work it performed against Petitioner, which may
 lead to complete dismissal of his cause of action against Defendants.

| 1 | This decision is plainly wrong— | –as a | matter of law and public policy— | |
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| 2 | and this Court's intervention is necessary. | | | |
| 3 | DATED this 21 st day of November, 2016. | | | |
| 4 | | | INJURY LAWYERS OF NEVADA | |
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| 6 | | By: | /S/JOLENE J. MANKE | |
| 7 | | ٠ | DAVID J. CHURCHILL | |
| 8 | | | Jolene J. Manke Attorneys for Petitioner | |
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I. INTRODUCTION

The facts pertinent to this matter are not disputed. While attending the Nike Air Jordan Green Glow Shoe Launch taking place at Meadows Mall during the early morning hours of August 17, 2013, Petitioner was shot multiple times by another patron. The shooter was with a group of other patrons. As a result of the shooting, Petitioner is paralyzed from the waist down.

Before litigation commenced, Petitioner was represented by Jason W. Barrus, Esq. and Lloyd W. Baker, Esq. of Baker Law Firm.s. On December 18, 2014, Petitioner, his mother and Messrs. Barrus and Baker met with Paul A. Shpirt, Esq. and Tracy A. Eglet, Esq. of Eglet Law Group n/k/a Eglet Prince to discuss referring Petitioner's matter to Eglet Law Group for litigation. Eglet Law Group decided to accept the referral. Accordingly, during the meeting on December 18, 2014, Mr. Shpirt signed the retainer agreement with Petitioner. He also signed the attorney fee sharing agreement between Eglet Law Group, Baker Law Firm and Petitioner.s. Mr. Barrus provided a thumb drive containing materials relating to Petitioner's matter to Eglet Law Group.

On March 16, 2015, Mr. Shpirt telephoned Mr. Barrus to advise him that Eglet Law Group would not be able to continue representing Petitioner. ¹⁰ That same day, Mr. Shpirt sent an e-mail to Messrs. Baker and Barrus memorializing his conversation with

See e.g., Petitioner's Appendix ("PA") Vol. 1, Ex. 1, at 3. Hereinafter, citations to Petitioner's Appendix will immediately be preceded by the volume number, followed by an Exhibit number, followed by a pincite to the Appendix pagination (e.g., "2PA, Ex. 14, at 198").

 $[\]frac{1}{23} = \frac{1}{3}$ Id. at 3.

Id. at 7. (*See also*, 4PA, Ex. 25, at 824.)

 $_{24} \parallel_{5}^{4} \qquad 4PA, Ex. 25, at 824.$

 $[\]int_{1}^{5} Id.$

 $[\]int_{7}^{6}$ Id.

 $[\]int_{8}^{7}$ Id.

Id. 9 *Id.*

Mr. Barrus that Eglet Law Group would not be able to continue representing Petitioner because of "some of the problems we see with liability in this case" and because "the police report creates a lot of issues for us." ¹¹

Baker Law Firm then referred Petitioner's matter to Injury Lawyers of Nevada.¹² On April 27, 2015, Injury Lawyers of Nevada filed Petitioner's complaint alleging claims for negligence, respondent superior and gross negligence against Meadows Mall, Mydatt Services, Inc. d/b/a Valor Security Services ("Mydatt") and Mark Warner ("Warner").¹³

Sometime in July of 2015, Mr. Shpirt left Eglet Law Group and returned to LBBS where he had practiced previously. ¹⁴ In October of 2015, Josh Cole Aicklen, Esq., a partner with LBBS, was retained to monitor the defense of Mydatt and Warner being provided by Lee, Hernandez, Landrum & Garofalo. ¹⁵ Also in October of 2015, Messrs. Aicklen and Shpirt realized Mr. Shpirt had represented Petitioner while practicing with Eglet Law Group. ¹⁶ LBBS took efforts to screen off Mr. Shpirt from Petitioner's matter. ¹⁷ However, LBBS did not send notice to Petitioner that Mr. Shpirt was practicing with LBBS. ¹⁸ On November 16, 2015, LBBS filed a notice of association of counsel for Mydatt and Warner. ¹⁹

Thereafter, LBBS filed a motion to dismiss Petitioner's complaint based on an allegation of unclean hands relating to information obtained from Det. William Majors, the Metro detective who oversaw the investigation of Petitioner being shot at Meadows

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10
      Id.
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       Id.
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      Id. (See also 1PA, Ex. 1, at 1.)
      4PA, Ex. 25 at 824. (See also 3PA, Ex. 19, at 550-551; 580-581.)
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      Id.
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      Id.
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       Id.
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       Id.
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⁴PA, Ex. 25, at 825; 5PA, Ex. 37, at 1018-1029. 24

⁵PA. Ex. 37. at 994.

²⁵ Id.

Warner.²⁷ During an evidentiary hearing on June 8, 2016, the Court determined there was a conflict of interest pursuant to NRPC 1.9 because: 1) Mr. Shpirt as an attorney with Eglet Law Group was viewed to have represented Petitioner; 2) Petitioner's matter with Eglet Law Group was the same matter currently pending before the Court; Mr. Shpirt was currently practicing with LBBS which firm was then representing Mydatt and Warner whose interests are directly adverse to Petitioner in this matter.²⁸ Petitioner specifically did not expressly consent to waive any potential conflict.²⁹ The Court also determined that Mr. Shpirt's conflict was imputed to LBBS because "importantly, no evidence was presented demonstrating compliance with the requirement that written notice be promptly given to the former client."³⁰

Even though LBBS was determined to be a conflicted law firm, the adverse work performed by LBBS was permitted to stand. At a subsequent evidentiary hearing the Court denied Defendants' motion to dismiss Petitioner's complaint. However, the Court indicated an intent to craft a jury instruction regarding Petitioner's failure to identify the shooters pursuant to NRCP 16.1. The Court also indicated a motion for attorneys' fees and costs by Defendants would be entertained. Subsequently, the Court granted Defendants' motion for attorneys' fees and costs against Petitioner for the motion to dismiss, including an award of \$19,846.00 to the conflicted law firm of LBBS.

Because the District Court's granting of Defendants' motion for attorneys fees and costs is erroneous as a matter of law, this Court should issue a writ of mandamus vacating

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26
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           Id. at 1017.
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           4PA, Ex. 25, at 825.
23
           Id. at 826.
     29
           Id. at 827.
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     30
           4PA, Ex. 25, at 826.
     31
           See generally, 4PA, Ex. 24. (See also generally, 6PA, Ex. 39.)
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     32
           4PA, Ex. 24, at 820-821.
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           4PA, Ex. 25, at 820.
     34
           4PA, Ex. 34, at 950.
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the District Court's order and instructing the District Court to deny the motion with prejudice. Alternatively, the District Court's granting and denying of Defendants' motion to dismiss Petitioner's complaint is erroneous as a matter of law, and this Court should issue a writ of mandamus vacating the District Court's order and instructing the District Court to deny the motion with prejudice.

II. STATEMENT OF FACTS

A. The Parties.

1. Petitioner.

Mr. X'Zavion Hawkins ("X'Zavion") is an individual who at all relevant times, including the date of the incident on August 17, 2013, did and does now reside in Clark County, Nevada. (1PA, Ex 1, at 2.)

2. Defendants.

GGP Meadows Mall, LLC is a Delaware Limited Liability Company ("GGP") that operates the Meadows Mall located at 4300 Meadows Lane in Clark County, Nevada. On August 17, 2013, stores located within Meadows Mall were participating in the Nike Air Jordan Green Glow shoe launch. (*Id.* at 2; 4.)

Mydatt Security Services d/b/a Valor Security, Inc. ("Mydatt") is an Ohio corporation that was providing security services for Meadows Mall on August 17, 2013. (*Id.* at 2-3.)

On August 17, 2013, Mr. Mark Warner was employed by Mydatt as the head of security for Meadows Mall. (*Id.* at 3.)

III. PROCEDURAL HISTORY

A. Petitioner Files His Complaint Against Defendants.

On April 27, 2015, Petitioner brought claims against the Real Parties in Interest (GGP, Mydatt and Warner) for negligence, respondent superior and gross negligence relating to their handling of the shoe launch. (*See generally* 1PA, Ex. 1, at 1-10.)

B. LBBS' Representation of Mydatt and Warner.

In October of 2015, Messrs. Aicklen and Shpirt knew Mr. Shpirt had a conflict with X'Zavion. At the same time, Mr. Aicklen, a partner with LBBS, was retained to monitor the defense of Mydatt and Warner being provided by Lee, Hernandez, Landrum & Garofalo. (3PA, Ex. 19, at 550-551; 580-583.) LBBS took efforts to screen off Mr. Shpirt from Petitioner's matter. (4PA, Ex. 25, at 826.) However, LBBS did not send notice to Petitioner that Mr. Shpirt was practicing with LBBS. (*Id.*) On November 16, 2015, LBBS filed a notice of association of counsel for Mydatt and Warner. (*See generally*, 1PA, Ex. 10.)

C. Petitioner Moves to Disqualify LBBS; the District Court Grants the Motion.

At an evidentiary hearing on June 8, 2016, the Court determined there was a conflict of interest pursuant to NRPC 1.9 because: 1) Mr. Shpirt as an attorney with Eglet Law Group was viewed to have represented X'Zavion; 2) Petitioner's matter with Eglet Law Group was the same matter currently pending before the Court; Mr. Shpirt was currently practicing with LBBS which firm was then representing Mydatt and Warner whose interests are directly adverse to X'Zavion in this matter. (4PA, Ex. 25, at 826.) X'Zavion specifically did not expressly consent to waive any potential conflict. (*Id.* at 827.) The Court also determined that Mr. Shpirt's conflict was imputed to LBBS because "importantly, no evidence was presented demonstrating compliance with the requirement that written notice be promptly given to the former client." (*Id.* at 826.)

D. <u>Defendants Move to Dismiss Petitioner's Complaint; the District Court Denies</u> <u>the Motion, but Grants Sanctions.</u>

Before its disqualification, LBBS filed a motion to dismiss X'Zavion's complaint on behalf of Mydatt and Warner based on NRP 37 and the case of *Young v. Johnny Ribiero Bldg.*, 106 Nev. 88 (1990). (*See generally*, 1PA, Ex. 11.) Although LBBS was disqualified as a conflicted law firm at the hearing on June 8, 2016, the work LBBS

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IV. ISSUES PRESENTED

Did the District Court improperly allow work performed by a conflicted law firm to stand against the aggrieved client when it undermines Petitioner's case to the point of possible dismissal, and dismissal is now being requested by the substituted law firm based upon work performed by the conflicted law firm?

Did the District Court improperly make an award of attorney fees and costs against Petitioner and in favor of a conflicted law firm working completely against Petitioner?

Did the District Court improperly decide to craft a jury instruction as a sanction for an alleged discovery abuse when Petitioner had already produced an errata sheet correcting his deposition testimony before any motion was filed?

V. RELIEF REQUESTED

Petitioners seek a writ of mandamus vacating the District Court's order and compelling the District Court to enter an order denying Defendants' motion for attorney fees and costs against Petitioner because of the work performed by the conflicted law firm of LBBS, especially when Defendants are now seeking the ultimate sanction of dismissal of Petitioner's complaint based upon work performed by the conflicted law firm. The District Court denied Respondent's underlying motion to dismiss Petitioner's complaint, public policy must prohibit a conflicted law firm from working completely against the aggrieved client to the benefit of another client and from monetarily profiting from the conflict, and a jury instruction potentially touching on Petitioner's credibility must not be allowed when such a determination is soundly within the province of the trier of fact.

VI. TIMING OF PETITION

Extraordinary writ relief must be timely sought. *Moseley v. Eighth Jud.Dist. Ct.*, 124 Nev. 654, 659 n.6, 188 P.3d 1136, 1140 n.6 (2008) (concluding that the equitable doctrine of laches did not preclude writ relief where the petition was filed approximately

four months after entry of the underlying order); *State v. Eighth Jud. Dist. Ct.*, 118 Nev. 140, 148, 42 P.3d 233, 238 (2002) (finding writ petition filed four months after the district court denied a motion to dismiss did "not present inexcusable delay.").

Here, the District Court's Order denying the Motion for Summary Judgment was entered on January 5, 2016. (Order.) Notice of entry of the Order was filed on January 6, 2016. (Notice of Entry of Order.) MedicWest filed this Petition in a timely manner—approximately one month following the entry of the Order. *See Moseley*, 124 Nev. at 659 n.6, 188 P.3d at 1140 n.6; *State*, 118 Nev. at 148, 42 P.3d at 238.

VII. EXTRAORDINARY RELIEF IS APPROPRIATE

A writ of mandamus is available to compel the performance of an act which the law requires as a duty resulting from an office, trust or station, or to control a manifest abuse or an arbitrary or capricious exercise of discretion. This Court has original jurisdiction to issue writs of mandamus. Nev. Const. Art. 6 § 4; see also NRS 34.160 ("The writ [of mandamus] may be issued by the Supreme Court"). A writ of mandamus is "available to compel the performance of an act that the law requires or to control an arbitrary or capricious exercise of discretion." Nevada Yellow Cab Corp. v. Dist. Ct., 123Nev. 44, 49, 152 P.3d 737, 740 (2007). Petitioner has the burden of demonstrating why extraordinary writ relief is warranted. Pan v. Dist. Ct., 120 Nev. 222, 228, 88 P.3d 840, 844 (2004). This Court has broad discretion to decide whether to consider a petition for a writ of mandamus. See Leibowitz v.Dist. Ct., 119 Nev. 523, 529, 78 P.3d 515, 519 (2003) (citing NRS 34.170). In exercising its discretion, "this [C]ourt may entertain mandamus petitions when judicial economy and sound judicial administration militate in favor of writ review." Scarbo v. Dist. Ct., 125 Nev. 118, 121, 206 P.3d 975, 977 (2009).

A writ of mandamus should issue here because the District Court committed an arbitrary and capricious exercise of discretion when it both granted and denied the motion

to dismiss the complaint based on work performed by the conflicted law firm and subsequently entered an order granting attorneys' fees and costs to the conflicted law firm. *See Int'l Game Tech., Inc.v. Dist. Ct.*, 122 Nev. 132, 142, 127 P.3d 1088, 1096 (2006) ("A writ of mandamus . . . is appropriate when the district court manifestly abuses its discretion."). The relief is warranted in the name of judicial economy and sound judicial administration, because Petitioner's case is in jeopardy of dismissal based on work performed by the conflicted law firm and Petitioner could alternatively be forced to litigate the remainder of this case before he could appeal the District Court's orders. *See, Walters*, 127 Nev. at ___, 263 P.3d at 234.

Additionally, a writ is also appropriate because this matter involves an important matter of public policy in which the Court could provide further guidance and clarification. See Walters, 127 Nev. at ___, 263 P.3d at 234. Specifically, entertaining the writ will provide the Court with an opportunity to clarify whether it is appropriate for a conflicted law firm to seek attorneys' fees and costs from the aggrieved client and whether the work performed by the conflicted law firm should stand. See Goldstein v. Lees, 120 Cal. Rptr. 253 (Cal. App. 1975)(holding conflicted attorney must be denied attorney's fees when the attorney possessed corporate secrets that were material); Jeffry v. Pounds, 136 Cal.Rptr. 373 (Cal. App. 1977)(holding that attorney must be denied any fees for work performed after a conflict arose even though the representations involved unrelated matters)[Emphasis Added.]; Sheppard Mullin Richter & Hampton LLP v. J-M Mfg. Co., Inc., 198 Cal.Rptr.3d 253 (Cal. App. 4th 2016)(holding that applying Cal. RPC 3-310 prohibiting attorney-client conflicts without written consent requires disgorgement of attorneys' fees by conflicted law firm consistent with the purpose of the statute even when the conflict relates to completely different matters)[Emphasis Added).

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VIII. A WRIT SHOULD ISSUE

A. Standard of Review.

Questions of law, such as those at issue in this petition, are reviewed de novo. *Marquis & Aurbach v. Eighth Jud. Dist. Ct.*, 122 Nev. 1147, 1156, 146 P.3d 1130, 1136 (2006); *Borger v. Eighth Jud. Dist. Ct.*, 120 Nev. 1021, 1026, 102 P.3d 600, 604 (2004).

B. The District Court Erred as a Matter of Law By Awarding Attorneys Fees to the Conflicted Law Firm of Lewis Brisbois Bisgaard & Smith

1. The Rules Prohibiting Attorney-Client Conflicts are Well Established.

At the evidentiary hearing on June 8, 2016, the District Court determined that LBBS violated NRPC 1.9 and 1.10 relating to its defense of Mydatt and Warner against Petitioner. (*See generally*, 4PA, Ex. 25 and 5PA, Ex. 38.) NRPC 1.9 provides as follows:

Rule 1.9. Duties to Former Clients.

- (a) A lawyer who has formerly represented a client in a matter shall not thereafter represent another person in the same or a substantially related matter in which that person's interests are materially adverse to the interests of the former client unless the former client gives informed consent, confirmed in writing.
- (b) A lawyer shall not knowingly represent a person in the same or a substantially related matter in which a firm with which the lawyer formerly was associated had previously represented a client:
- (1) Whose interests are materially adverse to that person; and
- (2) About whom the lawyer had acquired information protected by Rules 1.6 and 1.9(c) that is material to the matter;
- (3) Unless the former client gives informed consent, confirmed in writing.

- (c) A lawyer who has formerly represented a client in a matter or whose present or former firm has formerly represented a client in a matter shall not thereafter:
- (1) Use information relating to the representation to the disadvantage of the former client except as these Rules would permit or require with respect to a client, or when the information has become generally known; or
- (2) Reveal information relating to the representation except as these Rules would permit or require with respect to a client.

Further, NRPC 1.10 provides as follows:

Rule 1.10. Imputation of Conflicts of Interest.

- (a) While lawyers are associated in a firm, none of them shall knowingly represent a client when any one of them practicing alone would be prohibited from doing so by Rules 1.7, 1.9, or 2.2, unless the prohibition is based on a personal interest of the prohibited lawyer and does not present a significant risk of materially limiting the representation of the client by the remaining lawyers in the firm.
- (b) When a lawyer has terminated an association with a firm, the firm is not prohibited from thereafter representing a person with interests materially adverse to those of a client represented by the formerly associated lawyer and not currently represented by the firm unless:
- (1) The matter is the same or substantially related to that in which the formerly associated lawyer represented the client; and
- (2) Any lawyer remaining in the firm has information protected by Rules 1.6 and 1.9(c) that is material to the matter.
- (c) A disqualification prescribed by this Rule may be waived by the affected client under the conditions stated in Rule 1.7.
 - (d) Reserved.
- (e) When a lawyer becomes associated with a firm, no lawyer associated in the firm shall knowingly represent a person in a matter in which that lawyer is disqualified under Rule 1.9 unless:
- (1) The personally disqualified lawyer did not have a substantial role in or primary responsibility for the matter that causes the disqualification under Rule 1.9;

- (2) The personally disqualified lawyer is timely screened from any participation in the matter and is apportioned no part of the fee therefrom; and
- (3) Written notice is promptly given to any affected former client to enable it to ascertain compliance with the provisions of this Rule.

2. <u>Public Policy Cannot Condone Conflicted Attorneys Benefitting a</u> <u>Directly Adverse Client to the Detriment of an Aggrieved Client</u>

Messrs. Aicklen and Shpirt conceded that Mr. Shpirt had a conflict relating to X'Zavion. (3PA, Ex. 19, at 550-551, 580-583; 4PA, Ex. 25, at 826.) The Court determined that the conflict was imputed to LBBS because of the failure to provide X'Zavion notice of the conflict. (*Id.*) From the inception of LBBS' representation of Mydatt and Warner, it had a direct conflict of interest with X'Zavion pursuant to NRPC 1.9 and 1.10. No exception under the State Bar of Nevada's Formal Opinion from the Standing Committee on Ethics, No. 39 applied to LBBS' employment of Mr. Shpirt because LBBS never provided notice to X'Zavion that Mr. Shpirt was employed with LBBS. X'Zavion never had the opportunity to consent or withhold his consent for LBBS to represent Defendants Mydatt and Warner in this matter.

Every jurisdiction has rules prohibiting attorney-client conflicts, and California RPC 3.310 comports with NRPC 1.9 and 1.10, providing as follows:

Rule 3-310 Avoiding the Representation of Adverse Interests

- (A) For purposes of this rule:
- (1) "Disclosure" means informing the client or former client of the relevant circumstances and of the actual and reasonably foreseeable adverse consequences to the client or former client;
- (2) "Informed written consent" means the client's or former client's written agreement to the representation following written disclosure;
- (3) "Written" means any writing as defined in Evidence Code section 250.
- (B) A member shall not accept or continue representation of a client without providing written disclosure to the client where:

- (1) The member has a legal, business, financial, professional, or personal relationship with a party or witness in the same matter; or
- (2) The member knows or reasonably should know that:
- (a) the member previously had a legal, business, financial, professional, or personal relationship with a party or witness in the same matter; and
- (b) the previous relationship would substantially affect the member's representation; or
- (3) The member has or had a legal, business, financial, professional, or personal relationship with another person or entity the member knows or reasonably should know would be affected substantially by resolution of the matter; or
- (4) The member has or had a legal, business, financial, or professional interest in the subject matter of the representation.
- (C) A member shall not, without the informed written consent of each client:
- (1) Accept representation of more than one client in a matter in which the interests of the clients potentially conflict; or
- (2) Accept or continue representation of more than one client in a matter in which the interests of the clients actually conflict; or
- (3) Represents a client in a matter and at the same time in a separate matter accept as a client a person or entity whose interest in the first matter is adverse to the client in the first matter.

At the time LBBS filed the motion to dismiss against X'Zavion on behalf of Mydatt and Warner, the firm was actively violating its duty of loyalty to X'Zavion. Such action is against public policy. LBBS seeking to recover attorneys' fees from X'Zavion for the very work it performed while it was acting contrary to his interests is also against public policy. Again, based upon X'Zavion's belief that LBBS never should have had any adversarial involvement in this matter, Defendants should not be allowed to benefit from LBBS conflict with X'Zavion. Now, based upon the work performed by LBBS while it was conflicted against X'Zavion, his complaint is potentially in jeopardy of being dismissed. (*See generally*, 4PA, Ex. 36.)

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3. <u>Public Policy Cannot Permit Conflicted Attorneys to Monetarily</u> Benefit from the Conflict.

While this Court has not specifically addressed the issue of conflicted law firms monetarily benefitting from the conflict, California courts have done so. When California courts have addressed the issue of whether conflicted law firms may benefit from work performed relating to conflicted matters, they have long determined that forfeiture and disgorgement of attorneys' fees is appropriate. In *Sheppard Mullin Richter & Hampton LLP v. J-M Mfg. Co., Inc.*, 198 Cal.Rptr.3d 253 (Cal. App. 4th 2016), a California appellate court relied on California's long-standing precedent to require a conflicted law firm to disgorge and forfeit millions of dollars in legal fees based on the firm's failure to disclose an actual conflict of interest.

Specifically, the Sheppard court found as follows:

Sheppard Mullin's breach of the duty of loyalty set forth in Rule 3-310 was a violation of public policy. A finding that Sheppard Mullin was nonetheless entitled to its attorney fees as if no breach had occurred would undermine the same public policy. We therefore follow the reasoning of *Goldstein* and *Jeffry* and hold that Sheppard Mullin is not entitled to its fees for the work it did for J-M while there was an actual conflict with South Tahoe. *Id.* at 274.

In *Sheppard*, the law firm had billed over \$3.8 million defending its client J-M Manufacturing Co., Inc., the world's largest manufacturer of polyvinyl chloride (PVC) pipe in a large Qui Tam lawsuit involving numerous parties. *Id.* at 257. Prior to its engagement, the firm ran a standard conflicts check that indicated the firm had represented one of the adverse intervening parties in the Qui Tam action, South Tahoe Public Utility District, on certain unrelated employment matters. *Id.* Several weeks later the firm also undertook a new and minor unrelated matter for South Tahoe, but failed to disclose the existing conflict with J-M or obtain an informed waiver of the conflict from either of its clients. *Id.* at 258. Neither the court nor J-M disputed the limited scope of

the work performed by the firm on behalf of South Tahoe. The most important fact was the firm's failure to give notice of its ongoing, adverse representation. *Id.* at 260.

South Tahoe brought a successful motion to disqualify the Sheppard Mullin firm in the Qui Tam action. *Id.* After Sheppard Mullin was disqualified, J-M refused to pay approximately \$1.3 million in outstanding legal fees. *Id.* Sheppard Mullin sued J-M to recover those outstanding fees and compel arbitration where the arbitration panel awarded the firm a majority of its attorneys' fees. *Id.* at 261. The arbitration panel found that "Sheppard Mullin's conduct was not so serious or egregious as to make disgorgement of fees appropriate" where the representation of the adverse client "was unrelated to the subject of the J-M representation, and therefore the conflict did not pervade the whole relationship with J-M ..." *Id.* A California trial court affirmed the arbitration award, but J-M appealed, arguing that the violation of CRPC 3-310 on conflicts of interest rendered the engagement contract illegal or void as a matter of public policy. *Id.* at 261.

First, the California appeals court determined that, under the applicable arbitration agreement and California law, the court should make a de novo determination as to whether the engagement contract was enforceable. *Id.* at 262-265. Second, the court determined that, despite standard waivers of both current and future conflicts contained in their client's engagement agreements, Sheppard Mullin had failed to obtained informed written consent as required by Rule 3-310(C)(3). *Id.* at 266-267. Third, the court found that the "attorney's duty of undivided loyalty that forms the basis of Rule 3-310 constitutes the very foundation of an attorney-client relationship" and, thus, the engagement agreement was invalid and unenforceable because it "violated an expression of public policy." *Id.* at 272-273.

Turning to the merits, the court held that Sheppard Mullin "[was] not entitled to its fees for the work it did for J-M while there was an actual conflict with [its other client]."

Id. at 274. In reaching this conclusion, the court relied primarily on two California appeals court cases from the 1970's. See, Id. at 272 (analyzing Goldstein v. Lees, 120 Cal.Rptr. 253 (Cal. App. 1975) and Jeffry v. Pounds, 136 Cal.Rptr.373 (Cal. App. 1977)). The Goldstein court found an engagement contract "void for reasons of public policy" where counsel represented a minority shareholder and director in a proxy fight to gain control of a company for which the attorney had served as in-house counsel several years prior to the proxy fight. 120 Cal.Rptr. at 254-255. Central to the decision was the fact that the attorney possessed "corporate secrets that [were] material to the proxy fight." Id. at 255. In Jeffry, a small law firm's lead partner represented both a husband in a personal injury action and his wife in her divorce proceeding against the husband. 67 Cal.App 3d at 374-375. There, the court denied any fees for work performed after the conflict arose even though the representations involved "unrelated matters" and the law firm did not have a "dishonest purpose" or engage in "deliberately unethical conduct." Id. at 377.

Besides arguing for all its fees, Sheppard Mullin also argued that despite its violation of CRPC 3-310, quantum meruit should be allowed for its representation of J-M in the Qui Tam action. *Id.* at 274. However, the court pointed out that the California Supreme Court had found that quantum meruit recovery must be denied in cases of ethical violations. *Id.* (citing *Huskinson & Brown v. Wolf,* 32 Cal.4th 453, 9 Cal. Rptr.3d 693, 84 P.3d 379 (2004).) The *Sheppard Mullin* court further found that "when a conflict of interest is asserted as a "[d]efense in the attorney's action to recover fees or the reasonable value of services[, a] violation of the fiduciary obligation will defeat recovery." *Id.* at 272. (citing 1 Witkin, Cal. Proc. 5th (2008) Attys, 104, p. 142.) The *Sheppard Mullin* court found that "Sheppard Mullin's violation of Rule 3-310 preclude[d] if from receiving compensation [whatsoever] for services provided to J-M in the Qui Tam Action." *Id.* Likewise, LBBS' violation of its fiduciary duty to X'Zavion created by its failure to provide notice of its employment of Mr. Shpirt should have

precluded it from looking to X'Zavion for any compensation for services provided defending Mydatt and Warner against X'Zavion.

The *Sheppard Mullin* court was swayed by the *Fair* decision, in which the court found disgorgement of attorney fees appropriate regardless of any proof of damages when a conflict of interest is involved, *See*, *Fair*, 125 Cal.Rptr.3d at 779. Besides precluding a conflicted firm from seeking recovery from the aggrieved client, the *Sheppard Mullin* decision arguably sets precedent for the automatic disgorgement of all attorneys' fees incurred while a conflict of interest violation exists.

The facts relating to X'Zavion and LBBS are more egregious than those relating to *Sheppard Mullin*. While the work Sheppard Mullin performed for South Tahoe was completely unrelated and quite minor, the work performed by LBBS defending Mydatt and Warner was directly related to X'Zavion and so adverse that LBBS filed a motion to dismiss X'Zavion's complaint. Now, based on the Court's granting of Defendants' motion for attorneys' fees and costs against X'Zavion, his complaint is in jeopardy of being dismissed. (*See generally*, 4PA, Ex. 36.)

- C. The District Court Erred as a Matter of Law Granting and Denying Defendants' Motion to Dismiss Because Petitioner Corrected His Deposition Testimony Without Being Compelled to Do So and He Did Not Disobey A Court Order
 - 1. Petitioner Voluntarily Corrected His Deposition Testimony.

NRCP 37 relates to compelling disclosure or discovery, and provides a remedy when a party fails to do so. Defendants never brought a motion to compel against Petitioner. Defendants filed a motion to dismiss Petitioner's complaint. (*See generally*, 1PA, Ex. 11.) Petitioner told Defendants at the outset of his deposition that he takes a number of pain medications (morphine, hydrocodone, bacopin and gabapentin.) (1PA, Ex. 11, at 119.) He also testified that he probably would not be able to give his best

testimony because "I forget sometimes." (*Id.*) Petitioner testified repeatedly that he did not know the answer to specific questions relating to the shooters. (*Id.*, *generally*.) Petitioner voluntarily produced an errata sheet clarifying his deposition testimony. (2PA, Ex. 14, at 335-338.) Defendants never moved to compel Petitioner to participate in discovery, and Petitioner never refused to do so. (4PA, Ex. 24, at 819.) Accordingly, it was proper for the Court to deny Defendants' motion to dismiss Petitioner's complaint. (*Id.*) However, the granting of Defendants' motion for attorneys' fees and costs is now potentially acting as a dismissal of Petitioner's complaint. (*See generally*, 4PA, Ex. 36.)

2. Crafting a Jury Instruction Relating to Petitioner's Failure to Identify the Shooters Pursuant to NRCP 16.1 Potentially Invades the Jury's Province to Determine Credibility.

The District Court intends to craft a jury instruction relating to Petitioner's failure identify the shooters pursuant to NRCP 16.1. (4PA, Ex. 34, at 820-821.) Such a jury instruction potentially invades the province of the jury to determine credibility. Specifically, "[c]redibility is a matter to be decided by the jury." *United States v. Binder*, 769 F.2d 595, 602 (9th Cir. 1985).

The responsibility of the jury relating to witness credibility is clearly stated in Nevada Jury Instruction No. 2.07 which specifically provides as follows:

The credibility or believability of a witness should be determined by his manner upon the stand, his relationship to the parties, his fears, motives, interests or feelings, his opportunity to have observed the matter to which he testified, the reasonableness of his statements and the strength or weakness of his recollections.

If you believe that a witness has lied about any material fact in the case, you may disregard the entire testimony of that witness or any portion of his testimony which is not proved by other evidence.

The District Court's role is to determine the proper application of the law, not the weight of witness testimony. The District Court cannot invade the province of the jury to

determine credibility while remaining impartial. The District Court specifically advises the jury regarding its impartiality in Nevada Jury Instruction No. 1.08 as follows:

If, during this trial, I have said or done anything which has suggested to you that I am inclined to favor the claims or position of any party, you will not be influenced by any such suggestion.

I have not expressed, nor intended to express, nor have I intended to intimate, any opinion as to which witnesses are or are not worthy of belief, what facts are or are not established, or what inferences should be drawn from the evidence. If any expression of mine has seemed to indicate an opinon relating to any of these matters, I instruct you to disregard it. [Emphasis added.]

The determination of whether Petitioner is or is not being honest, and the weight his testimony should be given is an issue that should be left for the jury. Any benefit from allowing the District Court to craft a jury instruction relating to Petitioner's credibility would be "substantially outweighed by the danger of unfair prejudice, of confusion of the issues or of misleading the jury." NRS 48.035. Neither the District Court nor counsel should be permitted to express an opinion concerning the credibility of parties per Nevada Rule of Professional Conduct 3.4(e). *See also Lioce v. Cohen*, 124 Nev. 1, 174 P.3d 970 (2008). Allowing the District Court to craft a jury instruction relating to Petitioner's credibility would be contrary to Nev. J.I. 1.08 and would obviate Nev. J.I. 2.07. Stepping outside the bounds of determining the proper law to apply to the facts and assuming determining witness credibility would be appealable error.

IX. CONCLUSION

As explained above, public policy must prohibit a conflicted law firm from using confidential information to act contrary to the aggrieved client's interests to the point of

| 1 | dismissal of his complaint and adding to ins | sult to injury by forcing the aggrieved client to | |
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| 2 | pay for the conflicted law firm's Accordingly, Petitioner respectfully requests that the | | |
| 3 | Court issue a writ of mandamus vacating the District Court's order and directing the | | |
| 4 | District Court to enter an order denying Respondents' motion for attorney fees and costs | | |
| 5 | and an adverse jury instruction. | | |
| 6 | DATED this 21 st day of November, 2 | 2016. | |
| 7 | Injury Lawyers of Nevada | | |
| 8 | | | |
| 9 | By:_ | /s/ Jolene J. Manke | |
| 10 | | DAVID J. CHURCHILL | |
| 11 | | JOLENE J. MANKE Attorneys for Petitioner | |
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VERIFICATION OF X'ZAVION HAWKINS

I, X'Zavion Hawkins declare as follows:

- 1. I am a resident of Clark County, Nevada.
- 2. The foregoing Petition is true of my own knowledge except as to those matters stated on information and belief, and that, as to such matters, I believe them to b true.

I declare under penalty of perjury that the foregoing is true and correct. EXECUTED this 21 day of November, 2016.

XZavion 1 HAWGINS

CERTIFICATION PURSUANT TO NRAP 28.2 AND NRAP 21(5)

- I, Jolene J. Manke, attorney for Petitioner in the above-matter, do hereby certify pursuant to NRAP 28.2 the following:
 - (1) I have read the Petition;
- (2) To the best of my knowledge, information and belief, the Petition is not frivolous or interposed for any improper purpose, such as to harass or to cause unnecessary delay or needless increase in the cost of litigation;
- (3) I believe that the Petition complies with all applicable Nevada Rules of Appellate Procedure, including the requirement of Rule 28(e) that every assertion in the briefs regarding matters in the record be supported by a reference to a page and volume number, if any, of the appendix where the matter relied on is to be found; and
- (4) I represent that the Petition complies with the formatting requirements of Rule 32 (a)(4)-(6), and either the page- or type-volume limitations stated in Rule 32(a)(7).
- (5) Pursuant to NRAP 12(5), I verify that the facts set forth herein are true and correct to the best of my knowledge.

I declare the foregoing is true under penalty of perjury.

DATED this 2 day of November, 2016.

IOIJENE J. MANKE

1 **CERTIFICATE OF SERVICE** I certify that I am an employee of and that on the 21st day of November, 2016, 2 service of the foregoing Petition for Extraordinary Writ of Relief was made by electronic 3 service through the Nevada Supreme Court's electronic filing system and/or by 4 5 depositing a true and correct copy in the U.S. Mail, first class postage prepaid, and addressed to the following at their last known address: 6 7 HON. JOANNA KISHNER Respondent DEPARTMENT XXXI Eighth Judicial District Court 8 **Regional Justice Center** 9 200 Lewis Avenue Las Vegas, NV 89155 10 11 DAVID S. LEE Email: CHARLENE N. RENWICK LEE HERNANDEZ LANDRUM & dlee@lee-lawfirm.com crenwick@lee-lawfirm.com 12 **GAROFALO** Attorneys for Real Parties in Interest GGP MEADOWS MALL, LLP, MYDATT SECURITY SERVICES, 13 7575 Vegas Drive, Suite 150 Las Vegas, NV 89128 14 INC. d/b/a VALOR SECURITY SERVICES and MARK WARNER 15 16 17 EDGAR CARRANZA Email: BACKUS, CARRANZA & BURDEN edgarcarranza@backuslaw.com 3050 S. Durango Drive 18 Las Vegas, NV 89117 Attorneys for Real Parties in Interest MYDATT SECURITY SERVICES, 19 INC. d/b/a VALOR SECURITY 20 SERVICES and MARK WARNER 21 22 23 /s/ LSalonga 24 Employee of INJURY LAWYERS OF NEVADA 25 26

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