EXHIBIT 6

EXHIBIT 6

Office of the Attorney General 5420 Kietzke Lane, Suite 202 Reno, NV 89511

AFFIRMATION Pursuant to NRS 239B.030/603A.040

The undersigned does hereby affirm that the preceding document does not contain the personal information of any person pursuant to NRS 239B.030.

DATED this 18th day of October, 2016.

ADAM PAUL LAXALT Attorney General

> DOMINIKA J. BATTEN Deputy Attorney General Nevada Bar No. 12258

Attorneys for Petitioner

Office of the Attorney General 5420 Kietzke Lane, Suite 202 Reno, NV 89511

CERTIFICATE OF SERVICE

I hereby certify that I am an employee of the Office of the State of Nevada, Office of the Attorney General and that on the 18th day of October, 2016, I served a true copy of the foregoing

NOTICE OF ENTRY OF ORDER by U.S. Mail and/or email to the following:

Mark Forsberg, Esq. Rick Oshinski, Esq. OSHINSKI & FORSBERG, LTD. 504 E. Musser Street, Suite 302 Carson City, NV 89701	(Via Email and U.S. Mail) <u>Mark@OshinskiForsberg.com</u> <u>Rick@OshinskiForsberg.com</u>
---	---

ł	Kristie Fraser Department of Administration	-	(Via Email: kfraser@adm	in.nv.gov)
1	Hearings Division			

υį	Carson City, Nevada 89701		* *
i			
1	Kevin Ranft	(Via Email: Kevi	n@afscme org)

1050 E. Williams Street, Suite 450

Labor Representative

AFSCMÉ Local 4041

504 E. Musser St. #300

Carson City, Nevada 89701

Linny Srownell

Office of the Attorney General

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Exhibit 1

Order Denying Motion for Attorney's Fees

3 pages

EXHIBIT 1

REC'D & FILED

2016 SEP 16 PM 4: 47

SUSAN MERRIWETHER CLERK

DEPUTY

FIRST JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA IN AND FOR CARSON CITY

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STATE OF NEVADA, ex rel. its DEPARTMENT OF TRANSPORTATION,

5 0c mars CASE NO. 16 DR1 00322 1B

DEPT.

10

Plaintiff.

ORDER DENYING MOTION FOR ATTORNEY'S FEES

CHAD ZENOR,

Defendant.

For the purpose of this order the court accepts the statement of facts in Chad Zenor's Motion for Attorney's Fees.

A court cannot make an award of attorney's fees unless authorized by statute. rule or contract. State, Dep't of Human Resources v. Fowler, 109 Nev. 782, 784, 858 P.2d 375 (1993). Mr. Zenor's Motion for Attorney's Fees seeks judicial action concerning a final decision in a contested case involving an agency. NRS 233B.130(6) states in pertinent part: "The provisions of this chapter are the exclusive means of ... judicial action concerning a final decision in a contested case involving an agency" Chapter 233B does not contain any specific language authorizing the award of attorney's fees in actions involving petitions for judicial review of agency action. Fowler at 785. Because Chapter 233B does not contain any specific language authorizing the award of attorney's fees and Chapter 233B is the exclusive means of judicial action concerning final decision in a contested case involving an agency the court cannot make an award of

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attorney's fees. Mr. Zenor's arguments regarding NRS 18.010(2)(b) and the fact that no Nevada cases bar an award of attorney's fees under these circumstances are not persuasive for the foregoing reasons. IT IS ORDERED: The Motion for Attorney's Fees is denied. September <u>16</u>, 2016. - 17 - 26

CERTIFICATE OF SERVICE

I certify that I am an employee of the First Judicial District Court of Nevada; that on the <u>W</u> day of September, 2016, I served a copy of this document byy placing a true copy in an envelope addressed to: Mark Forsberg, Esq. 504 E. Musser Street, Ste 302 Carson City, NV 89701 Dominika Batton, DAG 5420 Kietzke Lane Reno, NV 89511 the envelope sealed and then deposited in the Court's central mailing basket in the court clerk's office for delivery to the USPS at 1111 South Roop Street, Carson City, Nevada, for mailing. Judicial Assistant

EXHIBIT 5

EXHIBIT 5

REC'D & FILED

2016 SEP 16 PM 4: 47

SUSAN MERRIWETHER CLERK

BY DEPUTY

FIRST JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA
IN AND FOR CARSON CITY

STATE OF NEVADA, ex rel. its DEPARTMENT OF TRANSPORTATION.

15 00 00 27 5 CASE NO. 16 DR1 00322 1B

DEPT. 2

Plaintiff,

vs. ORDER DENYING MOTION FOR ATTORNEY'S FEES

CHAD ZENOR.

Defendant.

For the purpose of this order the court accepts the statement of facts in Chad Zenor's Motion for Attorney's Fees.

A court cannot make an award of attorney's fees unless authorized by statute, rule or contract. State, Dep't of Human Resources v. Fowler, 109 Nev. 782, 784, 858 P.2d 375 (1993). Mr. Zenor's Motion for Attorney's Fees seeks judicial action concerning a final decision in a contested case involving an agency. NRS 233B.130(6) states in pertinent part: "The provisions of this chapter are the exclusive means of ... judicial action concerning a final decision in a contested case involving an agency" Chapter 233B does not contain any specific language authorizing the award of attorney's fees in actions involving petitions for judicial review of agency action. Fowler at 785. Because Chapter 233B does not contain any specific language authorizing the award of attorney's fees and Chapter 233B is the exclusive means of judicial action concerning final decision in a contested case involving an agency the court cannot make an award of

attorney's fees.

Mr. Zenor's arguments regarding NRS 18.010(2)(b) and the fact that no Nevada cases bar an award of attorney's fees under these circumstances are not persuasive for the foregoing reasons.

IT IS ORDERED:

The Motion for Attorney's Fees is denied.

September <u>16</u>, 2016.

James E. Wilson Jr.
District Judge

CERTIFICATE OF SERVICE

I certify that I am an employee of the First Judicial District Court of Nevada; that on the ______ day of September, 2016, I served a copy of this document byy placing a true copy in an envelope addressed to:

Mark Forsberg, Esq. 504 E. Musser Street, Ste 302 Carson City, NV 89701 Dominika Batton, DAG 5420 Kietzke Lane Reno, NV 89511

the envelope sealed and then deposited in the Court's central mailing basket in the court clerk's office for delivery to the USPS at 1111 South Roop Street, Carson City, Nevada, for mailing.

> Gina Winder Judicial Assistant

EXHIBIT 4

EXHIBIT 4

REC'D & FILEL

2016 JUN 15 PM 2: 23

SUSAN MERRIWETHER CLERK

BYG. WINDER

IN THE FIRST JUDICIAL DISTRICT OF THE STATE OF NEVADA

IN AND FOR CARSON CITY

STATE OF NEVADA, ex rel. its

Case No.

15 OC 00275 1B

DEPARTMENT OF TRANSPORTATION,

Dept. No.

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Petitioner,

VS.

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CHAD ZENOR.

Respondent.

ORDER DENYING PETITIONER'S PETITION FOR JUDICIAL REVIEW

Before the Court is the petition for judicial review brought pursuant to NRS 233B.135 by the State of Nevada, ex rel. its Department of Transportation ("NDOT") seeking to overturn the decision of an administrative hearing officer concluding that NDOT improperly terminated employee Chad Zenor based a purported disabling medical condition.

STANDARD OF REVIEW

NRS 233B.135(1)(b) provides that judicial review of an agency decision must be confined to the record created at the administrative hearing. NRS 233B.135(3) provides:

The court shall not substitute its judgment for that of the agency as to the weight of evidence on a question of fact. The court may remand or affirm the final decision or set it aside in whole or in part if substantial rights of the petitioner have been prejudiced because the final decision of the agency is:

- (a) In violation of constitutional or statutory provisions;
- (b) In excess of the statutory authority of the agency;
- (c) Made upon unlawful procedure;

(d) Affected by other error of law;

(e) Clearly erroneous in view of the reliable, probative and substantial evidence on the whole record; or

(f) Arbitrary or capricious or characterized by an abuse of discretion.

The district court reviews an administrative agency's decision for an abuse of discretion or clear error. Taylor v. Nev. Dep't of Health & Human Servs., 129 Nev. Adv. Op. 99, 314 P.3d 949 (2013). The court may also review the evidence in the record to determine if the decision was supported by the evidence and to determine whether the hearing officer acted arbitrarily, capriciously, or contrary to the law. Turk v. Nevada State Prison, 94 Nev. 101, 103, 575 P.2d 599 (1976.

FACTS

The agency decision being challenged was rendered by administrative hearing officer Charles P. Cockerill. NDOT does not dispute any of the findings of fact made by the hearing officer. Therefore, as a matter of law, all the findings of fact contained in the decision of the hearing officer must be and are accepted by the Court.

The following are the findings of fact contained in the decision of the hearing officer and are set forth in his decision. ROA 1-13.

Zenor was employed by NDOT as a Highway Maintenance Worker III. He suffered a work-related injury on August 1, 2013, and received worker's compensation benefits as a result of his injury.

On July 21, 2014, Zenor was directed by NDOT or its third-party worker's compensation benefits administrator, CCMSI, to submit to a Functional Capacity Evaluation ("FCE"). The FCE was performed by a physical therapist and signed by his approved worker's compensation treating physician, Dr. Donald Huene. The FCE concluded that Zenor was not yet capable of performing the activities required by his pre-injury job.

Based on the FCE, NDOT in August, 2014 convened a meeting that included Zenor, Certified Rehabilitation Counselor Debra L. Adler and representatives of the third-party worker's compensation administrator, CCMSI, to review options for Zenor, including vocational rehabilitation training for a new position allowed by his physical restrictions.

Before NDOT began providing rehabilitation services to Zenor as a result of the August

meeting, on September 24, 2014 Dr. Huene released Zenor to full duty without restrictions, noting that Zenor could wear a brace on his injured wrist as needed.

On October 22, 2014, Dr. Huene released Zenor to full duty without limitations or restrictions and did not mention the use of a brace.

Zenor and his wife, Cathie Zenor, delivered the release prepared by Dr. Huene to NDOT on October 22, 2014, the same date it was issued by Dr. Huene. CCMSI claims representative Tani Consiglio also was aware of Zenor's release to full duty and confirmed her knowledge of the release in a letter to Zenor dated October 24, 2014.

Ms. Consiglio testified at the hearing that the vocational rehabilitation option should not have been pursued under the circumstances because CCMSI "would not do vocational rehabilitation" where there is a full release to return to work.

As part of the vocational rehabilitation process, Adler Vocational Rehabilitation Service sent letters to Zenor on September 1 and October 22, 2014 directing him to finalize a plan to return to work through an approved vocational rehabilitation program or risk suspension or termination of benefits pursuant to NRS 616C.601 (an incorrect citation to NAC 616C.601).

On September 29, 2014, five days after Dr. Huene released Zenor to full duty wearing a wrist brace as needed, NDOT employee Diane Kelly sent Ms. Consiglio an e-mail stating that:

Employer is standing by the [July 21, 2014] FCE results regardless of what Dr. Huene states, he signed off on the FCE. Subsequently, Mr. Zenor was referred to voc rehab as appropriate and he needs to be working with Debra Adler in an active and ongoing manner to pursue other career options available through voc rehab. Mr. Zenor does not seem to have any trouble whatsoever riding around on his new Harley. Last time I checked, it takes quite a bit of wrist action and strength to operate these motorcycles.

Bracketed material and emphasis added. This e-mail shows that NDOT made a conscious decision to disregard Dr. Huene's release, and also seems to contradict itself by questioning whether Zenor had an injury that would prevent him from returning to his prior job.

On December 3, 2014, Adler sent Dr. Huene a letter seeking his approval of the vocational rehabilitation in which Zenor was to participate. The letter stated: "Please review the information

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contained in this letter and indicate your decision as to whether you release Mr. Zenor to perform this training and subsequent employment in an administrative capacity with an emphasis in accounting."

The letter listed nine restrictions without identifying the source of the restrictions, which did not come from Dr. Huene. Ms. Adler does not purport to have examined Zenor and so it is evident that the restrictions are derived from the now-superseded FCE. Contrary to NDOT's assertions, the letter from Ms. Adler letter did not seek approval or confirmation of the listed restrictions or that Zenor was incapable of performing his pre-injury job. Rather, the letter asked that Dr. Huene either approve or disapprove the proposed jobs for which Zenor was to be trained. Dr. Huene checked in a space indicating his approval, not of the restrictions, but of the proposed jobs for which Zenor was to be trained. He signed the letter on December 10, 2014. NDOT urges that Dr. Huene's signature approving Zenor to work as a bookkeeper is a reversal of his earlier positions going back to June of 2014 in which he had released Zenor to full duty. This characterization of the letter is unsupported by the letter itself, which never inquires of Dr. Huene whether Zenor is able to return to his pre-injury job but only to consider what his employment in the future might consist of after vocational rehabilitation. The hearing officer declined to accept NDOT's characterization of the meaning of the letter, and his finding of fact in this regard cannot be disturbed by this Court, as set forth in NRS 233B.135(1)(b) and (3). In any event, it can hardly be contrary to the substantial evidence in this case that the hearing officer gave greater weight to the diagnosis of a physician than of a vocational rehabilitation purveyor or an outdated FCE prepared by a physical therapist many months before.

On December 23, 2014, Zenor signed an agreement prepared by NDOT which contained a bullet point stating "Not able to physically perform work as a highway maintenance worker pre injury work." (sic). NDOT urges that this agreement is evidence that Zenor was not able to return to his pre-injury job. This characterization is incompatible with the facts as determined by the hearing officer. The hearing officer found as a matter of fact that Zenor objected to this statement being included in the agreement, but signed when Ms. Adler threatened him with dismissal from the rehabilitation program if he didn't sign the document as prepared. Moreover, NDOT conceded at the hearing that this agreement did not waive any of Zenor's rights under NAC 284.611 relating to a medical discharge from employment.

Zenor then participated in vocational rehabilitation.

NDOT next sent Zenor a letter dated December 31, 2014 stating:

We regret to inform you that the District will not be able to continue to approve leave without pay status indefinitely... If you are unable to provide us with a full duty work release, we will be placed in a regrettable position in which we must, in accordance with NAC 284.611, initiate separation due to a physical disorder.

The letter was signed by NDOT Highway Maintenance Manager Steve Williams but prepared by NDOT Human Relations Manager Kimberly King for Williams's signature. As of the date of the letter, Zenor had long since delivered the October 22, 2014 release to full duty to NDOT, and NDOT also had the earlier release to full duty in its possession. Nevertheless, Zenor again provided a copy of the release to NDOT in response to the letter.

On June 1, 2015 NDOT, through Ms. King, provided Zenor a formal written notification that NDOT was pursuing separation of his employment under NAC 284.611 based on "the independent functional capacity evaluation . . . which specifies your permanent physical limitations." This letter did not acknowledge the existence of the October 22, 2014 release. In his decision, the hearing officer noted that Ms. King admitted in her testimony that nowhere in the July 21, 2014 FCE were there described any "permanent physical limitations."

On June 5, 2015, an NDOT official gave notice to Zenor that an administrative services officer would conduct a hearing regarding his separation from service. The notice again relied solely on the July 21, 2014 FCE and disregarded the October 22, 2014 release. At the hearing, NDOT did not produce or introduce the October 22, 2014 release, persisting in its position that the FCE was the dispositive record of Zenor's condition. After the hearing, on June 24, 2015, NDOT Deputy Director Tracy Larkin-Thomason issued formal notice to Zenor that he was separated from service pursuant to NAC 284.611 effective June 26, 2015. NDOT based its decision to terminate Zenor on "your inability to perform the essential functions of your position due to medical reasons."

NDOT has insisted throughout these proceedings that the FCE is Dr. Huene's dispositive statement regarding of Zenor's ability to return to unrestricted employment in his pre-injury job. For

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27 28 example, on June 1, 2015, NDOT Human Relations Director King wrote Zenor a letter giving notice of its intent to terminate Zenor, stating as follows:

The Nevada Department of Transportation (NDOT) is in receipt of the independent functional capacity evaluation performed by Rhonda Fiorillo, PT, MPT with Back In Motion Physical Therapy on July 21, 2014, which specifies your permanent physical limitations. The Department reviewed your limitations and determined that you are unable to return to your previous position as part of your Workers' Compensation case . . . pursuant to NAC 284.416, the Department of Transportation is pursuing your separation from state service for medical reasons. . . . It is with deepest regret, I must inform you the Department will pursue separation under NAC 284.611.

King's letter misrepresents the facts in the record and as determined by the hearing officer. Dr. Huene's sign-off on the FCE was not his last assessment of Zenor. Dr. Huene saw Zenor again on September 24, 2014. His dictation prepared as a result of that visit states in pertinent part:

He comes in emergently per the insurance company. His case manager accompanies him and is concerned about the FCE report. The problem is that the FCE was done in July 2014 and his current work restrictions are different than the FCE...I have reviewed the FCE; again, this was done in July 2014. He was not able to demonstrate the ability to safely perform the physical demands of his preinjury job; however, now his wrist is in better function. I do not see anywhere where I stated he was permanent and stationary prior to this FCE being done...Again, I have gone over the fact that he is not permanent and stationary per my records. I have gone over with him and his case manager that the FCE was done on July 21, 2014 and that he was not permanent and stationary at that point and he obviously has better function of his wrist at this point. I still do not think he is permanent and stationary. He has tendonitis. I have given him a home exercise program. If he does not improve, we will send him to occupational hand therapy and ultimately we may do an injection of the ECU tendon; fortunately, his carpal instability is not causing a significant problem. We will keep him on work restrictions, brace on as necessary; otherwise, he can use it fully.

NDOT does not deny that it knew of Dr. Huene's September 24, 2014 assessment. And, although it clearly supersedes and repudiates his opinion that became part of the FCE, NDOT continued to rely on the FCE almost a year later when it informed Zenor that he was being separated from service based on the FCE and continues to rely on it in its petition now before the Court.

Dr. Huene saw Zenor again on October 22, 2014. His dictation from that visit indicates "improving ECU tendonitis." His recommendation states:

At this point, I think he can do full duties without limitations. I have warned him about worsening and ultimately requiring some form of wrist fusion. I think he has reached permanent stationary status and a rating can be performed. This was discussed with his case manager. We will see him back as necessary. I explained to him that, if he had worsening, his claim can be re-opened at that time.

Despite being fully aware that Zenor had been released to full duty, NDOT plowed ahead with efforts to place him in vocational rehabilitation and then terminated him notwithstanding evidence that the FCE was no longer an accurate assessment of Zenor.

NDOT next relies on a document signed by Zenor called a School Program Agreement dated December 11, 2014 and signed by Zenor on December 23, 2014 as proof that he could not return to work at his former position because it contained the following statement above Zenor's signature: "Not able to physically perform work as highway maintenance preinjury work." [sic.]

The hearing officer found as a matter of fact that Zenor signed this document under protest over the content of the statement above his signature but signed when Ms. Adler again warned him about being dismissed from the vocational rehabilitation program if he didn't sign. The hearing officer accepted as true Zenor's testimony that he was doing what he could to get back to work and provide for his family, and found there to be substantial evidence that Zenor had no realistic choice but to sign the School Program Agreement.

NDOT'S FAILURE TO FOLLOW NAC 284.611

NDOT claims to have terminated Zenor under procedures set forth in NAC 284.611, which provides:

- 1. Before separating an employee because of a physical, mental or emotional disorder which results in the inability of the employee to perform the essential functions of his or her job, the appointing authority must:
- (a) Verify with the employee's physician or by an independent medical evaluation paid for by the appointing authority that the condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required;
- (b) Determine whether reasonable accommodation can be made to enable the employee to perform the essential functions of his or her job;
- (c) Make a request to the administrator of the Rehabilitation Division of the Department of Employment, Training and Rehabilitation to obtain the services provided by that Division, or if the employee is receiving worker's compensation, request the services of the rehabilitation provider, to evaluate the employee's condition and to provide any rehabilitative services possible; and

(d) Ensure that all reasonable efforts have been made to retain the employee.

NDOT did not comply with the administrative code provisions governing Zenor's separation. First, when it commenced separation proceedings in January of 2015, it already had knowledge that Zenor had been cleared by his treating physician to return to full duty in his pre-injury position. The evidence on this point in the record is substantial and NDOT does not and cannot dispute it. Therefore, NDOT was not allowed as a matter of law to invoke the procedures set forth in NAC 281.611 because it could not terminate Zenor for a physical disorder that would result in his inability to perform the essential functions of his job. This Court is not to weigh the evidence that is in the record, but only to determine whether substantial evidence supports the decision of the hearing officer. The decision must be upheld even if there is substantial evidence supporting conflicting versions of the facts. *Robinson Transp. Co. v. Public Serv. Comm'n*, 39 Wis. 2d 653, 658, 159 N.W.3d 636, 638 (1968); *Dias v. Elique*, 436 F.3d 1125, 1130 (9th Cir. 2006). Thus, notwithstanding NDOT's strained argument that the FCE and the vocational rehabilitation plan show otherwise, Dr. Huene's repeated releases of Zenor to full duty constitute substantial evidence, especially when Dr. Huene clearly repudiated his assessment of Zenor at the time of the FCE based on Zenor's steady improvement thereafter.

Since NDOT could not terminate Zenor under the circumstances, no further argument is necessary and NDOT's petition can and is denied on that basis alone.

However, even if Zenor had a physical condition that would disqualify him from working at his pre-injury job, NDOT did not follow the procedures set forth in the administrative code to properly separate him from service for medical reasons. Under NAC 284.611(1) (a-d), a state employer is required to take each of four steps before terminating an employment because of a physical condition. First, it must verify with the employee's treating *physician* or by an independent medical evaluation that the condition does not or is not expected to respond to treatment or that an extended absence from work will be required. NDOT is not permitted to rely on an evaluation by anyone other than a physician – not a physical therapist and not a vocational rehabilitation provider. In fact, NDOT relied on the non-physician preparers of the FCE and the rehabilitation agreement.

 When NDOT commenced proceedings to terminate Zenor, it made no effort to verify with Dr. Huene that Zenor could not work. As set forth above, the FCE had been expressly repudiated by Dr. Huene in September of 2014, long before NDOT initiated separation proceedings. Similarly, the rehabilitation plan simply does not mean what NDOT asserts. Dr. Huene was never asked as part of that process to validate or confirm the listed restrictions in the rehabilitation plan which, in any event, were drawn entirely from the outdated FCE. Rather, he was asked to render an opinion about whether Zenor could, in his current condition, work as a bookkeeper. Dr. Huene knew that Zenor was not physically restricted from these tasks and approved the plan. NDOT's reliance on the FCE and rehabilitation plan is misplaced. Had NDOT complied with this provision of the administrative code, Zenor would not and could not have been terminated. NDOT did not comply with NAC 284.611(a).

Nor is there any evidence in the record that NDOT made any effort to accommodate Zenor if it believed that he had a disabling physical condition. NDOT argues in its petition at length regarding the fact that Dr. Huene released Zenor at one time to work to full duty with a brace, but there is absolutely no evidence showing why, even if that were true, he could not have been accommodated in his pre-injury job. The fact is that NDOT simply made no such effort and therefore did not comply with NAC 284.611(b).

The record is also bereft of evidence that NDOT ensured that all reasonable efforts were made to retain the employee as required by subsection (d). NAC 284.611 requires that all of the steps be taken before an employee is terminated. Failure to take one of the steps is fatal to the process. NDOT failed to carry out three of the four.

DECISION

Chad Zenor was cleared to return to full duty on October 22, 2014, at the latest. NDOT knew he was fit for duty, but without justification required him to participate in vocational rehabilitation. There is no evidence in the record that Zenor received any benefit from the training. NDOT failed to return Zenor to his pre-injury job even though it knew he was fit for duty. Because NDOT did not comply with the provisions of NAC 284.611, it lacked just cause to terminate Zenor and NDOT's petition must be denied on that basis.

This Court must rely on the facts as determined by the hearing officer and the record of the administrative hearing in reaching its decision. It may not weigh the evidence. When applying this standard, there is nothing to demonstrate that the hearing officer committed clear error, abused his discretion or acted arbitrarily in reversing Zenor's termination. The decision of the hearing officer is therefore upheld. NDOT is hereby ordered to comply with the decision and make Zenor whole, putting him in the same position he would have enjoyed had NDOT not improperly caused him to enter vocational rehabilitation and then terminated. The decision of the hearing office and of this Court mean that he should suffer no financial impact as a result of NDOT's misconduct, including the necessity of defending against NDOT's petition for judicial review. Zenor is therefore given leave to file a motion for attorney's fees and costs.

IT IS SO ORDERED.

Dated this	13	day of_	June	, 2016.
			//	

Clamb EUULS A

Submitted by: Mark Forsberg, Esq. Oshinski & Forsberg, Ltd.

EXHIBIT 3

EXHIBIT 3

REC'D & FILED Mark Forsberg, Esq., NSB 4265 2016 APR 21 PM 2:41 Rick Oshinski, Esq., NSB 4127 OSHINSKI & FORSBERG, LTD. SUSAN MERRIWETHER 504 E. Musser Street, Suite 302 3 Carson City, NV 89701 BYC GRIBBLE T 775-301-4250 | F 775-301-4251 Mark@OshinskiForsberg.com Rick@OshinskiForsberg.com Attorney for Respondent 6 7. IN THE FIRST JUDICIAL DISTRICT OF THE STATE OF NEVADA 8 IN AND FOR CARSON CITY 9 10 STATE OF NEVADA, ex rel. its Case No. 15 OC 00275 1B 11 DEPARTMENT OF TRANSPORTATION, Dept. No. 12 Petitioner, 13 VS. 14 CHAD ZENOR, 15 16 Respondent. 17 18 19 RESPONDENT'S ANSWERING BRIEF 20 21 22 23 24 25 Mark Forsberg, Esq. 26 Oshinski & Forsberg, Ltd. 504 E. Musser St., Suite 302 27

Carson City, Nevada 89701 Telephone 775-301-4250

16. 23.

NRAP 26.1 DISCLOSURE STATEMENT

The undersigned counsel of record certifies that the following are entities as described in NRAP 26.1(a). These representations are made in order that the judges of this Court may evaluate possible disqualifications or recusal.

Respondent, Chad Zenor, is an individual and has no parent or affiliated entities.

Dated this 19th day of April, 2016.

OSHINSKI & FORSBERG, LTD.

Ву

Rick Oshinski, Esq. Mark Forsberg, Esq.

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 COMES NOW Respondent Chad Zenor, by and through his counsel, Rick Oshinski, Esq., Mark Forsberg, Esq. and Oshinski & Forsberg, Ltd., and hereby responds to Petitioner's Opening Brief.

T.

JURISDICTIONAL STATEMENT

Respondent agrees with the jurisdictional statement set forth in Petitioner's brief.

П.

STATEMENT OF THE ISSUE

Did the hearing officer commit clear error or abuse his discretion in finding that the Nevada Department of Transportation (hereinafter "NDOT") improperly terminated Respondent Chad Zenor's employment because of a physical disorder when NDOT knew at the time it did so that a physician had released Zenor to full and unrestricted duty?

Ш.

STATEMENT OF THE CASE

As set forth in Petitioners Opening Brief and the Findings of Fact in the Hearing Officer's Decision, Respondent Chad Zenor ("Zenor" and/or "Respondent") was employed by NDOT as a Highway Maintenance Worker III. He suffered a work-related injury on August 1, 2013. Zenor received worker's compensation benefits as a result of his work-related injury. Zenor was assigned light duty from August 2, 2013 until approximately October 31, 2013, when he began taking worker's compensation leave. In July of 2014, Zenor submitted to a Functional Capacity Evaluation (hereinafter "FCE") performed by a physical therapist and signed by his worker's compensation physician, Dr. Donald Huene, who found that Zenor was unable to return to work as a Highway Maintenance Worker at that time.

However, on September 24, 2014, Dr. Huene released Zenor to full duty without restrictions, noting that Zenor could wear a brace on his injured wrist as needed. On October 22, 2014, Dr. Huene released Zenor to full duty without limitations or

restrictions.

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Nonetheless, NDOT insisted that Zenor participate in vocational rehabilitation based on the now superseded FCE. Under pressure from NDOT, on December 23, 2014, notwithstanding the fact that he had twice been released to full and unrestricted duty by Dr. Huene, Zenor signed a document prepared by NDOT in which he agreed to participate in vocational rehabilitation. This agreement was entitled "School Program Agreement." Part of the agreement stated that Zenor was "not able to physically perform work as a highway maintenance preinjury work." Zenor objected to this statement but signed the agreement after he was advised by an agent of NDOT that if he did not participate in vocational rehabilitation and sign the document, he would be dismissed from the program. In fact, Zenor had twice been provided letters, first on November 8, 2014 and again on December 28, 2014, warning him that anyone who rejected a suitable program of vocational rehabilitation rejects employment which is within his physical limitations as prescribed by a physician or refuses to cooperate with the insurer in the development of a program of vocational rehabilitation or a search for a job, is subject to suspension or termination of vocational rehabilitation benefits. The hearing officer found that there was substantial evidence that Zenor had no realistic choice but to sign the December 11 School Program Agreement.

In complete disregard for the physician's full release of Zenor to duty as a Highway Maintenance Worker, NDOT commenced proceedings under NAC 284.611 to separate Zenor from service on the ground that he was physically unable to return to work as Highway Maintenance Worker. Zenor ultimately was terminated on June 26, 2015.

Zenor appealed his termination. After an administrative hearing before Hearing Officer Charles P. Cockerill, the hearing officer determined that there was substantial evidence that NDOT failed or refused to comply with the requirements of NAC 284.611(1)(a) which requires that an employer must verify with the employee's physician or by an independent medical evaluation that the condition will not respond to

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treatment and that an extended absence of work will be required, and that NDOT ignored Dr. Huene's October 22 release and failed to return Zenor to work.

The hearing officer granted Zenor's appeal and ordered NDOT to *immediately* reinstate Zenor to his former position at NDOT and to "make Mr. Zenor *whole*" [emphasis added] by paying him the appropriate back pay and benefits retroactive to June 26, 2015 with setoff for any interim earnings or other benefits Zenor received as a result of his vocational rehabilitation training program and/or other employment following June 26, 2015 and prior to his reinstatement.

The hearing officer's decision is dated November 23, 2015. NDOT neither immediately reinstated Zenor nor paid him his back pay and other benefits, nor did it offer an explanation for its failure to do so. Instead, NDOT petitioned for judicial review filings its petition on December 21, 2015. At the same time it moved for a stay pending appeal, which this Court denied by its order of March 1, 2016, in which it ordered NDOT to comply with the decision of the hearing officer by paying back pay as required by the decision and by returning Zenor to work immediately. NDOT directed Zenor to return to work. He did so, for one day, and then tendered his resignation. NDOT has not, as of the date of this responding brief, complied with the decision of the hearing officer or the order of this Court to make Zenor whole by paying him back pay and other benefits, nor has it offered any explanation for its failure to do so.

IV.

FACTS

NRS 233B.131(3) provides that in conducting judicial review of an agency action (here, the decision of the hearing officer requiring NDOT to return Zenor to work and pay him back wages) the court shall not substitute its judgment for that of the agency as to the weight of evidence on a question of fact. Judicial review of a final agency decision must be confined to the record of the administrative proceeding. NRS 233B.135(1)(b). NRS 233B.135(3) provides that the court "shall not substitute its judgment for that of the agency as to the weight of evidence on a question of fact." Here, the agency's decision

was rendered by the administrative hearing officer. NDOT does not dispute any of the findings of fact made by the hearing officer. Therefore, as a matter of law, all the findings of fact contained in the decision of the hearing officer must be accepted by the Court.

The following are the findings of fact contained in the decision of the hearing officer and are set forth in his decision. *ROA 1-13*.

Respondent Chad Zenor ("Respondent" or Zenor") was employed by the Nevada Department of Transportation ("NDOT") as a Highway Maintenance Worker III and suffered a work-related injury on August 1, 2013. He received worker's compensation benefits as a result of his work-related injury.

On July 21, 2014, Respondent was subjected to a Functional Capacity Evaluation ("FCE") performed by a physical therapist and signed by his approved worker's compensation treating physician, Dr. Huene, which determined that he was not yet capable of performing the activities required by his pre-injury job.

Based on the FCE, NDOT in August, 2014 convened a meeting that included Respondent, Certified Rehabilitation Counselor Debra L. Adler and representatives of the third-party worker's compensation administrator, CCMSI, to review options for Zenor, including vocational rehabilitation training for a new position allowed by his physical restrictions.

However, on September 24, 2014, Dr. Huene released Zenor to full duty without restrictions, noting that Zenor could wear a brace on his injured wrist as needed.

On October 22, 2014, Dr. Huene released Zenor to full duty without limitations or restrictions.

Zenor and his wife, Cathie Zenor, delivered the release prepared by Dr. Huene to NDOT on October 22, 2014, the same date it was issued by Dr. Huene. CCMSI claims representative Tani Consiglio also was aware of Zenor's release to full duty and confirmed her knowledge of the release in a letter to Zenor dated October 24, 2014.

The hearing officer found, based on the evidence before him, that CCMSI and

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27 28 NDOT were both aware that Zenor had been released to full duty during the period of time that Zenor was undergoing vocational rehabilitation. Ms. Consiglio testified that the vocational rehabilitation option should not have been pursued under the circumstances because we "would not do vocational rehabilitation" where there is a full release to return to work.

Nonetheless, NDOT did not return Zenor to work and instead pursued vocational rehabilitation. As part of that process, correspondence from Adler Vocational Rehabilitation Service directed Zenor to finalize a plan to return to work through an approved vocational rehabilitation program or risk suspension or termination pursuant to NRS 616C.601, an incorrect citation to NAC 616C.601.

NDOT refused to return Zenor to work, notwithstanding the full release given by Dr. Huene. In fact, on September 29, 2014, after Dr. Huene released Zenor to full duty wearing a wrist brace as needed, NDOT employee Diane Kelly sent Ms. Consiglio an email stating that:

Employer is standing by the [July 21, 2014] FCE results regardless of what Dr. Huene states, he signed off on the FCE. Subsequently, Mr. Zenor was referred to voc rehab as appropriate and he needs to be working with Debra Adler in an active and ongoing manner to pursue other career options available through voc rehab. Mr. Zenor does not seem to have any trouble whatsoever riding around on his new Harley. Last time I checked, it takes quite a bit of wrist action and strength to operate these motorcycles.

Bracketed material and emphasis added. This e-mail shows that NDOT made a conscious decision to disregard the release to duty without restriction by Dr. Huene, and also, disingenuously, seems to question whether Zenor has an injury that would prevent him from returning to his prior job, noting the wrist strength required to ride a motorcycle.

At the request of NDOT, Dr. Huene medically approved Zenor to participate in vocational rehabilitation. Dr. Huene's approval, of course, was not inconsistent with his previous assessment of Zenor since he had released him to unrestricted full duty in his

prior job.

On December 23, 2014, Zenor signed an agreement dated December 11 and prepared by NDOT which contained a bullet point stating "Not able to physically perform work as a highway maintenance worker pre injury work." (sic). The hearing officer found as a matter of fact that Zenor objected to this statement being included in the agreement, but signed when Ms. Adler threatened him with dismissal from the rehabilitation program if he didn't sign the document as prepared. NDOT conceded at the hearing that this agreement did not waive any of Zenor's rights under NAC 284.611.

Despite having in its possession the September 24, 2014 release to full duty without restrictions with the use of a brace as needed, and the October 22, 2014 release to full duty with no restrictions, NDOT sent Zenor a letter dated December 31, 2014 (AX 12¹) stating:

We regret to inform you that the District will not be able to continue to approve leave without pay status indefinitely . . . If you are unable to provide us with a full duty work release, we will be placed in a regrettable position in which we must, in accordance with NAC 284.611, initiate separation due to a physical disorder.

The letter was signed by NDOT Highway Maintenance Manager Steve Williams but prepared by NDOT Human Relations Manager Kimberly King for Williams' signature. At the time the letter was written, Zenor had long since delivered the October 22, 2014 release to full duty to NDOT and NDOT also had the earlier release to full duty in its possession. Still, he again provided a copy of the release to NDOT in response to the letter. The letter from Williams to Zenor misstates the law. Nothing in NAC 284.611 or any other code provision or statute provides that the employer "must, in accordance with NAC 284.611, initiate separation due to physical disorder." What NDOT was required

¹ NDOT did not serve a copy of the Record on Appeal on counsel for Zenor. Therefore, exhibits denominated with exhibit numbers beginning with AX are in the ROA beginning at page 216 and proceed in sequential order. Exhibits denominated SX are in the ROA beginning at page 456 and are in sequential order.

to do was follow the procedure set forth in the regulation. It was not required to terminate Zenor.

Despite its undisputed knowledge that Zenor had twice been released to full and unrestricted duty as a Highway Maintenance Worker, on June 1, 2015 NDOT, through Ms. King, provided Zenor a formal written notification that NDOT was pursuing separation of his employment under NAC 284.611 based on "the independent functional capacity evaluation . . . which specifies your permanent physical limitations." This letter did not acknowledge the existence of the October 22, 2014 release. In his decision, the hearing officer noted that Ms. King admitted in her testimony that nowhere in the July 21, 2014 FCE were there described any "permanent physical limitations."

On June 5, 2015, Administrator II Thor Dyson gave notice to Zenor that an administrative services officer would conduct a hearing regarding his separation from service. The notice again relied solely on the July 21, 2014 FCE and did not mention the October 22, 2014 release. At the hearing, NDOT did not provide the hearing officer with a copy of the October 22, 2014 release for consideration by the administrative services officer. After the hearing, on June 24, 2015, NDOT Deputy Director Tracy Larkin-Thomason issued formal notice to Zenor that he was separated from service pursuant to NAC 284.611 effective June 26, 2015. Despite obviously not considering the October 22, 2014 release to full duty, NDOT based its decision to terminate Zenor on "your inability to perform the essential functions of your position due to medical reasons."

Despite the findings of fact and in the absence of reference to any other evidence or testimony from the record below, NDOT argued in the motion for stay pending appeal that:

It is Petitioner's position that Respondent has repeatedly admitted that he cannot perform the duties of a Highway Services Worker III, that he has not been cleared to perform the duties of a Highway Services Worker III, and that the doctor's note upon which he relies is rendered moot by a later dated note from the same physician, endorsing the statement that Respondent cannot perform the duties of a Highway Services Worker III.

NDOT also asserted that "Respondent has been determined incapable of performing the essential functions of the position."

The evidentiary record is in accord with the findings of fact made by the hearing officer. On July 21, 2014, Zenor was administered a FCE, ROA Vol. 1, pp. 34-35; 230-235. The FCE concluded that Zenor could not perform his pre-injury job as of that date. Dr. Huene signed the FCE although Dr. Huene had previously released Zenor to light duty with a wrist brace (ROA Vol. 1, pp. 112-113; 159) and to full duty without restrictions, including eliminating the need for a wrist brace (ROA Vol. 1, pp. 261-263), he nonetheless signed the FCE in a manner suggesting that he approved of its conclusions. NDOT deems the FCE to be Dr. Huene's final word on the subject of Zenor's ability to return to unrestricted employment in his pre-injury job. In fact, on June 1, 2015, NDOT Human Relations Director Kimberly King wrote Zenor a letter stating as follows:

The Nevada Department of Transportation (NDOT) is in receipt of the independent functional capacity evaluation performed by Rhonda Fiorillo, PT, MPT with Back In Motion Physical Therapy on July 21, 2014, which specifies your permanent physical limitations. The Department reviewed your limitations and determined that you are unable to return to your previous position as part of your Workers' Compensation case . . . pursuant to NAC 284.416, the Department of Transportation is pursuing your separation from state service for medical reasons. . . . It is with deepest regret, I must inform you the Department will pursue separation under NAC 284.611.

SX 004.

King's letter misrepresents the facts in the Record on Appeal and as determined by the hearing officer. In fact, Dr. Huene's sign off on the FCE was not his last assessment of Zenor. Dr. Huene saw Zenor again on September 24, 2014. His dictation prepared as a result of that visit, *ROA Vol. 1*, pp. 52; 267-271, states in pertinent part:

He comes in emergently per the insurance company. His case manager accompanies him and is concerned about the FCE report. The problem is that the FCE was done in July 2014 and his current work restrictions are different than the FCE...I have reviewed the FCE; again, this was

done in July 2014. He was not able to demonstrate the ability to safely perform the physical demands of his pre-injury job; however, now his wrist is in better function. I do not see anywhere where I stated he was permanent and stationary prior to this FCE being done... Again, I have gone over the fact that he is not permanent and stationary per my records. I have gone over with him and his case manager that the FCE was done on July 21, 2014 and that he was not permanent and stationary at that point and he obviously has better function of his wrist at this point. I still do not think he is permanent and stationary. He has tendonitis. I have given him a home exercise program. If he does not improve, we will send him to occupational hand therapy and ultimately we may do an injection of the ECU tendon; fortunately, his carpal instability is not causing a significant problem. We will keep him on work restrictions, brace on as necessary; otherwise, he can use it fully.

NDOT does not deny that it knew of Dr. Huene's September 24, 2014 assessment. And, although it clearly supersedes and repudiates his opinion that became part of the FCE, NDOT disingenuously continued to rely on the FCE almost a year later when it informed Zenor that he was being separated from service based on the FCE and continues to rely on it in its petition now before the Court.

Dr. Huene saw Zenor again on October 22, 2014. His dictation from that visit indicates "improving ECU tendonitis." His recommendation states:

At this point, I think he can do full duties without limitations. I have warned him about worsening and ultimately requiring some form of wrist fusion. I think he has reached permanent stationary status and a rating can be performed. This was discussed with his case manager. We will see him back as necessary. I explained to him that, if he had worsening, his claim can be re-opened at that time.

Id. NDOT expresses confusion regarding Dr. Huene's recommendation because it states that a "rating" can be performed. Dr. Huene's recommendation is straightforward and complies with typical worker's compensation procedure. Dr. Huene is concluding that Zenor's injury is stationary and stable, meaning that it is unlikely to improve further nor is it likely to regress. However, since he has some, but not incapacitating, ongoing

deficits, he is entitled to be rated for his permanent partial disability. In fact, Dr. Huene's October 22, 2014 dictation was not confusing to third party administrator CCMSI. On October 24, 2014, CCMSI sent a letter to Zenor acknowledging that Zenor had completed the medical treatment for his work-related injury. AX 15. The letter recognizes Dr. Huene's release and conclusion that his treatment of Zenor had concluded. The letter stated: "We recently received a report indicating that you completed your medical treatment for your work related injury. Prior to closing your claim we would like to schedule you for an impairment evaluation." Id. The letter was signed by Tani Consiglio, the claims representative for Zenor's case at CCMSI. The letter was copied to NDOT. Thus, on October 24, 2014, NDOT knew that Zenor's treatment had been completed and would also have been aware through CCMSI of Dr. Huene's full release of Zenor.

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As the hearing officer found as a matter of fact, Zenor delivered a copy of Dr. Huene's October 22, 2014 release to full duty on October 22, the same day Dr. Huene dated the release. NDOT did not dispute that it had received the release. NDOT now had two releases of Zenor to full duty, one with a restriction of a brace and one without, and had knowledge that Dr. Huene had essentially repudiated the FCE as the dispositive document regarding Zenor's condition. Because it will not accept the direct and unambiguous statements of Dr. Huene that Zenor was fit to return to full duty, NDOT finds itself in the unenviable position of having to rely on another document signed by Dr. Huene. On December 3, 2014, Debra Adler of Adler Vocational Rehabilitation Service wrote a letter to Dr. Huene advising him that Zenor had "been released to participate in vocational rehabilitation services and to return to work." SX 34. The letter listed nine restrictions without identifying the source of the restrictions, which obviously did not come from Dr. Huene. Ms. Adler does not purport to have examined Zenor and so it is undeniable that the restrictions are again culled from the now-superseded FCE. Contrary to NDOT's assertions, this letter did not seek approval or confirmation of the listed restrictions. Rather, at the end of the letter, there was a request that Dr. Huene

either approve or disapprove the proposed jobs for which Zenor was to be trained. In 2 fact, most of the letter consisted of detailed job descriptions for various accounting and bookkeeping functions. Dr. Huene checked in a space indicating his approval, not of the 3 restrictions, but of the proposed jobs for which Zenor was to be trained. He signed the 4 letter on December 10, 2014. NDOT urges that Dr. Huene's signature approving Zenor 5 to work as a bookkeeper is a reversal of his earlier positions going back to June of 2014 6 in which he had released Zenor to full duty. This characterization of the letter is 7 unsupported by the letter itself, which never inquires of Dr. Huene whether Zenor is able 8 to return to his pre-injury job but only to consider what his employment in the future might consist of after vocational rehabilitation. The hearing officer declined to accept 10 NDOT's characterization of the meaning of the letter, and his finding of fact in this 11 12 13 14 15

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regard cannot be disturbed by this Court, as set forth in NRS 233B.135(1)(b) and (3). In any event, it can hardly be contrary to the substantial evidence in this case that the hearing officer gave greater weight to the diagnosis of a physician than of a vocational rehabilitation purveyor or an outdated FCE prepared by a physical therapist many months before. Despite being fully aware that Zenor had been released to full duty, NDOT plowed ahead with efforts to place him in vocational rehabilitation. The hearing officer determined as a matter of fact that Zenor received letters dated September 1 and October 22 from Adler warning him that if he rejected vocational rehabilitation he would be waiving his rights to it. The hearing officer noted that CCMSI claims representative Tani Consiglio confirmed that CCMSI, Adler and NDOT human resources were aware of the full release at the time the vocational rehabilitation option was being pursued. Ms. Consiglio also testified at the administrative hearing in response to a question from NDOT's counsel that CCSMI should have ceased its efforts to pursue vocational rehabilitation for Zenor when it became aware of Dr. Huene's October 22, 2014 release,

and further testified that CCSMI would not do vocational rehabilitation when there has

been a full release back to work. Id. The hearing officer concluded that "there was

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27 28 substantial evidence that Zenor should have been returned to work in his former position at NDOT immediately following NDOT's and/or CCMSI's receipt of the October 22 release."

NDOT next relies on a document signed by Zenor called a School Program Agreement dated December 11, 2014 and signed by Zenor on December 23, 2014 as proof that he could not return to work at his former position because it contained the following statement above Zenor's signature: "Not able to physically perform work as highway maintenance preinjury work." [sic.]

The hearing officer found as a matter of fact that Zenor signed this document under protest over the content of the statement above his signature but signed when Ms. Adler again warned him about being dismissed from the vocational rehabilitation program if he didn't sign. In fact, as the hearing officer found, Zenor had received two letters, dated September 1 and October 22 providing an admonition that if the vocational rehabilitation plan was not agreed to by Zenor, he would be subject to suspension or termination from the program and its benefits. The hearing officer noted that Zenor testified he was doing what he could to get back to work and provide for his family and found there to be substantial evidence that Zenor had no realistic choice but to sign the School Program Agreement. Hearing Officer Decision at p. 9, lines 9-27.

These documents, combined with the letter written by Mr. Williams advising him that if he did not provide a release to work, NDOT would pursue medical separation and the letter from Diane Kelly to Ms. Consiglio at CCMSI advising that NDOT would stand by the FCE "regardless of what Dr. Huehn states," show that NDOT was conducting itself in bad faith and contrary to NAC 284.611.

STANDARD OF REVIEW

The standard of review in this case is set forth by statute. NRS 233B.135(1)(b) provides that judicial review of an agency decision must be confined to the record created at the administrative hearing. NRS 233B.135(3) provides:

The court shall not substitute its judgment for that of the agency as to the weight of evidence on a question of fact. The court may remand or affirm the final decision or set it aside in whole or in part if substantial rights of the petitioner have been prejudiced because the final decision of the agency is:

- (a). In violation of constitutional or statutory provisions;
- (b) In excess of the statutory authority of the agency;
- (c) Made upon unlawful procedure;
- (d) Affected by other error of law;
- (e) Clearly erroneous in view of the reliable, probative and substantial evidence on the whole record; or
- (f) Arbitrary or capricious or characterized by an abuse of discretion.

The district court reviews an administrative agency's decision for an abuse of discretion or clear error. Taylor v. Nev. Dep't of Health & Human Servs., 129 Nev. Adv. Op. 99, 314 P.3d 949 (2013). The court may also review the evidence in the record to determine if the decision was supported by the evidence and to determine whether the hearing officer acted arbitrarily, capriciously, or contrary to the law. Turk v. Nevada State Prison, 94 Nev. 101, 103, 575 P.2d 599 (1976). Respondent agrees with Petitioner's additional citations with respect to the standard of review.

VI.

SUMMARY OF ARGUMENT

The hearing officer's decision was supported by substantial evidence and his decision cannot be disturbed based on his findings of fact, which, in any event, NDOT does not dispute. Because his treating physician had released Zenor to full duty in his pre-injury job, NDOT did not have grounds to terminate him pursuant to NAC 284.611, which permits a state agency to terminate an employee when a physical disorder prevents the employee from performing the essential functions of his job. Moreover, NAC 284.611 must verify with the employee's physician or by an independent medical evaluation that the condition does not, or is not expected to, respond to treatment or that an extended absence of work will be required, determine whether reasonable

accommodation can be made to enable the employee to perform the essential functions of his job and to ensure that all reasonable efforts have been made to retain the employee. Even if Zenor did not have the benefit of a full release from his treating physician, NDOT did not carry out any of the aforementioned three steps before terminating him for medical reasons, and does not assert that it did. Therefore, even if its bad faith conduct was substantively justified, its actions were procedurally flawed and could not and cannot be sustained.

NDOT has not identified any error or abuse of discretion by the hearing officer.

VII.

ARGUMENT

NDOT claims to have terminated Zenor under the authority granted by NRS 284.611 and NAC 284.611. The administrative code provision provides:

- 1. Before separating an employee because of a physical, mental or emotional disorder which results in the inability of the employee to perform the essential functions of his or her job, the appointing authority must:
- (a) Verify with the employee's physician or by an independent medical evaluation paid for by the appointing authority that the condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required;
- (b) Determine whether reasonable accommodation can be made to enable the employee to perform the essential functions of his or her job;
- (c) Make a request to the administrator of the Rehabilitation Division of the Department of Employment, Training and Rehabilitation to obtain the services provided by that Division, or if the employee is receiving worker's compensation, request the services of the rehabilitation provider, to evaluate the employee's condition and to provide any rehabilitative services possible; and
- (d) Ensure that all reasonable efforts have been made to retain the employee.

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NDOT did not comply with the administrative code provisions governing Zenor's separation. First, when it commenced separation proceedings in January of 2015, it already had knowledge that Zenor had been cleared by his treating physician to return to full duty in his pre-injury position. The evidence on this point in the record is substantial and NDOT does not and cannot dispute it. Therefore, NDOT was not allowed as a matter of law to invoke the procedures set forth in NAC 281.611 because they could not terminate Zenor for a physical disorder that results in his inability to perform the essential functions of his job. As NDOT's Opening Brief points out, this Court is not to weigh the evidence that is in the record, but only to determine whether substantial evidence supports the decision of the hearing officer. The decision must be upheld even if there is substantial evidence supporting conflicting versions of the facts. Robinson Transp. Co. v. Public Serv. Comm'n, 39 Wis. 2d 653, 658, 159 N.W.3d 636, 638 (1968); Dias v. Elique, 436 F.3d 1125, 1130 (9th Cir. 2006). Thus, notwithstanding NDOT's strained argument that the FCE and the vocational rehabilitation plan show otherwise, Dr. Huene's repeated releases of Zenor to full duty constitute substantial evidence, especially when he clearly repudiated his assessment of Zenor at the time of the FCE based on Zenor's steady improvement thereafter. Because substantial evidence is in the record that Zenor was able to return to work, NDOT had no authority to invoke the provisions of NAC 284.611 because Zenor simply did not have a disabling physical condition. This Court cannot substitute its judgment for that of the hearing officer with regard to the weight of this evidence.

Since NDOT could not terminate Zenor under the circumstances, no further argument is necessary and NDOT's petition should be denied on that basis alone.

However, even if Zenor had a physical condition that would disqualify him from working his pre-injury job, NDOT did not follow the procedures set forth in the administrative code provision to properly separate him from service for medical reasons. Under NAC 284.611(1) (a-d), a state employer is absolutely mandated to take each of four steps before terminating an employment because of a physical condition. First, it

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must verify with the employee's treating physician or by an independent medical evaluation that the condition does not or is not expected to respond to treatment or that an extended absence from work will be required. NDOT is not permitted to rely on an evaluation by anyone other than a physician – not a physical therapist and not a vocational rehabilitation provider. In fact, the latter are individuals NDOT relied on, the non-physician preparers of the FCE and the rehabilitation agreement. When NDOT commenced proceedings to terminate Zenor, it made no effort to verify with Dr. Huene that Zenor could not work. As set forth above, the FCE had been expressly repudiated by Dr. Huene in September of 2014, long before NDOT initiated separation proceedings. Similarly, the rehabilitation plan simply does not mean what NDOT asserts. Dr. Huene was never asked as part of that process to validate or confirm the listed restrictions in the rehabilitation plan which, in any event, were drawn entirely from the repudiated FCE. Rather, he was asked to render an opinion about whether Zenor could, in his current condition, work as a bookkeeper. Obviously, Dr. Huene knew that Zenor was not physically restricted from these tasks and approved the plan. But NDOT's reliance on these two documents is wildly misplaced given Dr. Huene's repudiation of the FCE and his October 22 release of Zenor to full and unrestricted duty in his pre-injury job. The fact that NDOT disregarded the statements and in fact requested that he provide a release long after NDOT had the release, should have given any reasonable administrator cause to do what NAC 284.611(1)(a) requires: verify with the physician that the condition would not respond to treatment or that an extended absence from work would be required. Instead, NDOT botched the process, with one hand not knowing what the other was doing. Had NDOT complied with this provision of the administrative code, Zenor would not and could not have been terminated.

Nor is there any evidence in the record that NDOT made any effort to accommodate Zenor if it believed that he had a disabling physical condition. NDOT argues in its petition at length regarding the fact that Dr. Huene released Zenor at one time to work to full duty with a brace, but there is absolutely no evidence showing why,

 even if that were true, he could not have been accommodated in his pre-injury job. The fact is that NDOT simply made no such effort and therefore did not comply with NAC 284.611(b).

The record is also bereft of evidence that NDOT ensured that all reasonable efforts were made to retain the employee as required by subsection (d). Ironically, had NDOT elected to terminate Zenor for medical reasons earlier in time while Zenor was unable to work, it may have been able to terminate him pursuant to NAC 654.611, but by waiting until he was fully recovered from the injury, it could no longer satisfy the provisions of the code even if it had attempted to.

Because NDOT did not comply with the provisions of NAC 284.611, it lacked just cause to terminate Zenor and NDOT's petition must be denied on that basis as well.

VIII.

CONCLUSION

This Court must rely on the facts as determined by the hearing officer and the record of the administrative hearing in reaching its decision. It may not weigh the evidence. When applying this standard, there is nothing to demonstrate that the hearing officer committed clear error, abused his discretion or acted arbitrarily in reversing Zenor's termination. The decision must be upheld and NDOT should be directed to immediately comply with the decision and make Zenor whole. That necessarily means that he should suffer no financial impact as a result of NDOT's misconduct, including having to bear the attorney's fees and cost necessarily incurred in opposing the Petition for Judicial Review.

The undersigned does hereby affirm that this document, does not contain the social security number of any person or persons pursuant to NRS 239B.

Dated this 19th day of April, 2016.

OSHINSKI FORSBERG, LTD.

By

Rick Oshinski, Esq. Mark Forsberg, Esq.

Attorneys for Respondent

CERTIFICATE OF COMPLIANCE

- 1. I hereby certify that this brief complies with the formatting requirements of NRAP 32(a)(4), the typeface requirements of NRAP 32(a)(5) and the type style requirements of NRAP 32(a)(6) because:
- 1. This brief has been prepared using Microsoft Word with a Times New Roman font (proportional spacing) with a 14 point font size.
- 2. I further certify that this brief complies with the page or type volume limitations of NRAP 32(a)(7) because, excluding the parts of the brief exempted by NRAP 32(a)(7)(C), it contains 6608 words.
- 3. Finally, I hereby certify that I have read this appellate brief, and to the best of my knowledge, information, and belief, it is not frivolous or interposed for any improper purpose. I further certify that this brief complies with all applicable Nevada Rules of Appellate Procedure, in particular NRAP 28(e)(1), which requires every assertion in the brief regarding matters in the record to be supported by a reference to the page and volume number, if any, of the transcript or appendix where the matter relied on is to be found. I understand that I may be subject to sanctions in the event that the accompanying brief is not in conformity with the requirements of the Nevada Rules of Appellate Procedure.

Dated this 19th day of April, 2016.

OSHINSKI & FORSBERG, LTD.

By_

Rick Oshinski, Esq.

Mark Forsberg, Esq.

Attorneys for Respondent

CERTIFICATE OF SERVICE

2 I hereby certify that I am an employee of Oshinski & Forsberg, Ltd., and that on this date, I served the 3 within Respondent's Answering Brief on the following individuals or entities by serving a true copy thereof 4 by the following method(s): enclosed in a sealed envelope with postage fully prepaid thereon, in the United States Post 5 Office mail, pursuant to NRCP 5(b)(2)(B); 6 via electronic filing pursuant to Nevada Electronic Filing and Conversion Rules ("NEFCR") 7 []9(b); 8 hand delivery via Reno/Carson Messenger Service pursuant to NRCP 5(b)(2)(A); 9 [] electronic transmission (e-mail) to the address(es) listed below, pursuant to NRCP 10 5(b)(2)(D);and/or 11 Federal Express, UPS, or other overnight delivery fully addressed as follows: 12 [] Dominika J. Batten 13 Deputy Attorney General Bureau of Litigation 14 Personnel Division 15 5420 Kietzke Lane, Suite 202 Reno, NV 89511 16 Fax 775-688-1822 dbatten@ag.nv.gov 17 Attorneys for Petitioner 18 I declare under penalty of perjury that the foregoing is true and correct. 19 20 Executed on this 19th day of April, 2016, in Carson City, Nevada. 21 inde gelbertson 22

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EXHIBIT 2

EXHIBIT 2

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Petitioner STATE OF NEVADA, ex rel., its DEPARTMENT OF TRANSPORTATION (NDOT), by and through its attorneys, ADAM PAUL LAXALT, Attorney General, and DOMINIKA BATTEN, Deputy Attorney General, files this opening brief in support of its petition for judicial review, pursuant to NRS 233B. The brief is made and based on all papers, pleadings, documents, and record on appeal on file in this matter, and the following memorandum of points and authorities.

MEMORANDUM OF POINTS AND AUTHORITIES

JURISDICTIONAL STATEMENT

This Court has jurisdiction pursuant to NRS 233B.130(2)(b). NDOT timely filed the petition for judicial review on December 21, 2015, within 30 days of the hearing officer's final decision, dated November 24, 2015.

STATEMENT OF ISSUES ON APPEAL

Did the hearing officer clearly err and abuse his discretion in finding that vocational rehabilitation, which retrained employee into a new occupation because he could no longer perform highway maintenance work, was insufficient to verify that the employee could not return to his pre-injury highway maintenance work under NAC 284.611(1)(a).

M. STATEMENT OF THE CASE

Respondent, Chad Zenor ("Employee") was an NDOT highway maintenance worker when he injured his wrist on the job. The injury's severity resulted in physical restrictions that prevented Employee's return to highway maintenance work. Because the State of Nevada could not permanently provide a position within his physical limitations, Employee received vocational rehabilitation benefits pursuant to NRS 616C and retrained to become a bookkeeper, a position within those limitations. NDOT then separated Employee from his NDOT highway maintenance position.

Employee appealed his medical separation to the hearing officer, even though he received full vocational rehabilitation benefits that NDOT had provided to him because he could no longer physically perform highway maintenance work. The hearing officer reinstated

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Employee to his pre-injury job and awarded him back pay and benefits. NDOT now appeals the hearing officer's decision as clear error and abuse of discretion.

IV. STATEMENT OF FACTS

Employee's injury, workers' compensation benefits, and medical separation

Employee was a Highway Maintenance Worker III for NDOT. ROA, Vol. I, p. 105. On August 1, 2013, he injured his wrist while on duty and qualified for Chapter 616 workers' compensation. ROA, Vol I, pp. 30-31. CCMSI was the insurer. ROA, Vol. I, p. 67; 158; 229. Following injury, NDOT provided Employee light duty work for three months. ROA, Vol. I. p. 108. Employee also began treating with Dr. Huene.

In October, 2013, light duty expired, but Employee's wrist injury continued to prevent him from returning to his pre-injury job and he began workers' compensation leave, ROA, Vol. I, p. 86; 108. On July, 21, 2014, Employee completed a functional capacity evaluation (FCE). a four-to-five hour evaluation performed by a physical therapist to determine his ability to safely return to work. ROA, Vol. I, pp. 33-34; 230-235. The FCE indicated that Employee could not perform the pre-injury job. ROA, Vol. I, p. 35; 177; 230-235. Dr. Huene signed the FCE. ROA, Vol. I, p. 180; 192; 484.

After the FCE, CCMSI sent a permanent restriction letter and scheduled a roundtable to determine if the State of Nevada had a permanent light-duty position within Employee's physical restrictions, as determined by the FCE. ROA, Vol. I, p. 35; 64-65; 177; 184-185. In August of 2014, the roundtable took place. ROA, Vol. I, p. 64-65. At the roundtable, there were no state jobs that were within Employee's physical restrictions. ROA, Vol. I, p. 64-65; 76-78; 120; 178. Because no positions were available, Employee was deemed eligible for vocational rehabilitation on September 1, 2014, and he subsequently began a vocational rehabilitation program to become a bookkeeper, a position that was within his physical restrictions. ROA, Vol. I, p. 65-66; 301-302.

After Employee initiated vocational rehabilitation, the next step was for NDOT to terminate Employee's highway maintenance employment pursuant to NAC 284.611. Accordingly, on December 31, 2014, NDOT sent Employee a letter about the impending

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medical separation. ROA, Vol. I, p. 71; 276-277. In response, Employee provided NDOT a medical release from Dr. Huene dated October, 2014; however, Dr. Huene issued this release before he went on to approve vocational rehabilitation, and vocational rehabilitation direct conflicts with a release to the pre-injury job. ROA, Vol. i, p. 72; 75; 97; 198; 273-274; 285-288.

The following month, mid-January, 2015, Employee began the vocational rehabilitation. training, completing the training in November, 2015. ROA, Vol. I, p. 66; 138.

NDOT finalized the medical separation on June 26, 2015. ROA, Vol. I, p. 223.

В. Employee's medical treatment and evaluation

Dr. Huene is a workers' compensation doctor who treated and evaluated Employee's wrist following his injury, ultimately referring Employee for an impairment rating and approving a vocational rehabilitation plan. ROA, Vol. I, p. 107-108. Dr. Huene's recommendations as to whether Employee could work as a highway maintenance worker varied during the approximate year he treated Employee. ROA, Vol. I, p. 136. Initially, Dr. Huene recommended Employee assume light duty. ROA, Vol. I, p. 237-257. Then, on June, 18, 2014, Dr. Huene recommended Employee assume what appeared to be modified duty: Dr. Huene checked off "Released to Full Duty without Restriction; however, he also wrote an "X" next to "Brace on;" thus indicating, the release was not a full one, but included use of a brace. ROA, Vol. I, p. 112-113; 259. One week later, on June 25, 2014, Dr. Huene recommended "Released to Full Duty without Restriction," though this time, he did not place an "X" next to "Brace on" and recommended "work hardening." ROA, Vol. I, p. 261–263. Thus, it appears that in late June, Dr. Huene thought Employee could return to highway maintenance work. ROA, Vol. I, p. 116.

However, on July 21, 2014, less than one month after issuing the June 25, 2014, full duty release, Dr. Huene went back and indicated that Employee could not actually work full duty—or even modified duty (release with brace on)—after all. On July 21, 2014, Employee underwent a comprehensive functional capacity evaluation (FCE) concluding that Employee's physical restrictions prevented his return to highway maintenance work with NDOT. The FCE

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stated that Employee could only perform light/medium level work, listing nine restrictions, including "Not able to physically perform work as a highway maintenance worker- pre-injury work." A physical therapist administered the FCE, indicating Employee "did not demonstrate the ability to safely perform the physical demands of the pre-injury job." ROA, Vol. I, 39, Dr. Huene signed the FCE, indicating Employee "did not demonstrate the ability to safely perform the physical demands of the pre-injury job." ROA, Vol. I, p. 39.

After the FCE, Dr. Huene indicated that Employee would return to work; however, Dr. Huene went on to instead approve Employee for vocational retraining into a new occupation. On August 13, 2014, and on September 24, 2014, Dr. Huene recommended "Released to Full Duty without Restriction," with "Brace on." ROA, Vol. I, p. 52; 267–271. On October 22, 2014, Dr. Huene adjusted his recommendation to "Released to Full Duty without Restriction"; this time, he did not place an "X" next to "Brace on" and, for the first time, he also indicated "stable and ratable" ("stable and ratable" indicated that this was Employee's release from Dr. Huene's care). ROA, Vol. I, 36, 44; 120; 273-274.

Dr. Huene's October, 2014, recommendation was confusing because while Dr. Huene released Employee to work, he also referred him for a disability rating. ROA, Vol. I, p. 273-274. In any event, the October, 2014, release was not Dr. Huene's final word as to Employee's ability to perform highway maintenance work. Following this release in December, 2014, Dr. Huene signed off on Employee's vocational rehabilitation program, a program that called for Employee to abandon his highway maintenance occupation for a bookkeeping occupation. ROA, Vol. I, p. 285–288. The vocational rehabilitation plan clearly stated that Employee could not return to his pre-injury job; it stated:

Not able to physically perform work as a highway maintenance worker... ROA, Vol. I., p. 137-138; 285. The vocational rehabilitation plan, contradicting the October, 2014, release was Dr. Huene's last recommendation before NDOT separated Employee, ROA, Vol. I, p. 41–43; 206.

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C. Employee's medical separation appeal

Employee appealed the medical separation to the hearing officer. The hearing officer reversed Employee's termination because of Dr. Huene's October 22, 2014, "full duty" recommendation. In doing so, the hearing officer discounted the vocational rehabilitation plan that Dr. Huene also subsequently approved, indicating that Employee could not actually perform highway maintenance work, a document that came *after* the October 22, 2014, "full duty" recommendation. In spite of the vocational rehabilitation agreement stating that Employee could not return to highway maintenance work, the hearing officer stated that NDOT did not verify that Employee's wrist "is not expected to respond to treatment or that an extended absence from work will be required." NAC 284.611(1)(a).

V. SUMMARY OF ARGUMENT

The hearing officer's decision reversing Employee's medical separation is contrary to NAC 284.611, which allows state employers to terminate injured employees who cannot safely return to their jobs. See NAC 284.611. Employee's physician, Dr. Huene, represented that Employee could no longer work in highway maintenance because he approved a vocational rehabilitation program for Employee to retrain into bookkeeping, a position that the plan stated was within Employee's physical restrictions. Employee accepted and proceeded with the vocational rehabilitation plan as an alternative to his highway maintenance position, and never appealed the plan to a workers' compensation hearing officer. NDOT in good faith acted on Employee's vocational rehabilitation plan and separated his employment when Employee's injury prevented him from returning to highway maintenance work.

After completing vocational rehabilitation, Employee sought to return to his pre-injury job, but Employee's change of heart does not change that the medical separation was proper and pursuant to NAC 284.611. Accordingly, this Court should set aside the hearing officer's decision because it prejudices NDOT's substantial rights to separate employees who can no longer perform their jobs due to injury.

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VI. ARGUMENT

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Standard of Review

This court should set aside the hearing officer's decision because the decision prejudices NDOC's substantial rights as set forth in NRS. NRS 233B provides that courts may reverse or modify an agency's decisions that prejudice the aggrieved party because the final decision of the agency is:

- (a) In violation of constitutional or statutory provisions;
- (b) In excess of the statutory authority of the agency;
- (c) Made upon unlawful procedure;
- (d) Affected by other error of law;
- (e) Clearly erroneous in view of the reliable, probative and substantial evidence on the whole record; or
- (f) Arbitrary or capricious or characterized by abuse of discretion.

NRS 233B, 135(3).

The courts have set out the standard of review in cases appealing a hearing officer's final decision pursuant to NRS 233B. Courts review a hearing officer's decision for an abuse of discretion or clear error. See Taylor v. State Dep't of Health & Human Servs., 129 Nev. --, 314 P.3d 949, 951 (2013). The Court also reviews the evidence presented at the hearing to determine if the decision was supported by the evidence, and to ascertain whether the hearing officer acted arbitrarily, capriciously, or contrary to the law. Turk v. Nevada State Prison, 94 Nev. 101, 103, 575 P.2d 599, 601 (1976).

The standard of review depends on whether the court is reviewing a hearing officer's legal conclusions or factual findings. A reviewing court will uphold the hearing officer's findings of fact if substantial evidence supports the findings. However, questions of law are viewed law de novo, but Taylor, 129 Nev. ---, ---, 314 P.3d 949, 951 (2013); see also NRS 233B.135(3). Substantial evidence is that evidence "a reasonable mind might accept as adequate to support a conclusion." State, Emp. Security v. Hilton Hotels, 102 Nev. 602, 608, 792 P.2d 497 (1986). Substantial evidence [does] not include the idea of this Court weighing the evidence to determine if a burden of proof was met or whether a view was supported by a preponderance of the evidence. Such tests are not applicable to administrative findings and

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decisions." Id., n.1. "Evidence sufficient to support an administrative decision is not equated with the preponderance of the evidence, as there may be cases wherein two conflicting views each may be supported by substantial evidence." See Robinson Transp. Co. v. Public Serv. Comm'n, 39 Wis, 2d 653, 658, 159 N.W.2d 636, 638 (1968); see also Dias v. Elique, 436 F.3d 1125, 1130 (9th Cir. 2006).

The Hearing Officer's Decision Should be Reversed Because His Finding That NDOT Did Not Comply with NAC 284.611 is Clearly Erroneous and an Abuse of Discretion

NAC 284.611 permits state employers to terminate employees when a physical disorder prevents them from performing the essential functions of their positions. 284.611(1). The statute requires the employer meet several requirements before termination. including "[v]erif[ying] with the employee's physician or by an independent medical evaluation... that the condition does not, or is not expected to respond to treatment, or that an extended absence from work will be required. NAC 284.611(1)(a). An employee dismissed under NAC 284.611 is entitled to the same rights and privileges afforded permanent employees who are dismissed for disciplinary reasons. NAC 284.611(3). One of those rights is hearing officer review of the termination, which can set aside a dismissal if the hearing officer determines that the dismissal was without just cause. Here, the hearing officer improperly set aside the dismissal because NDOT had just cause to terminate Employee.

NDOT properly separated Employee's employment because the vocational rehabilitation plan verified that his wrist injury prevented his return to highway maintenance work

NDOT properly separated Employee pursuant to NAC 284.611 because the vocational rehabilitation plan verified that Employee could not safely return to work. 1 Nevada law permits injured workers to receive certain benefits via the employer's insurer. See NRS Chapter 616C.

¹ NAC 284.611 requires the employer meet various other requirements before medically separating the employee for medical reasons; however, the hearing officer held only that NDOT did not meet NAC 284.611(1)(a): Before separating an employee because of a physical, mental or emotional disorder which results in the inability of the employee to perform the essential functions of his or her job, the appointing authority must ...[v]erify with the employee's physician or by an Independent medical evaluation paid for by the appointing authority that the condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required[.]

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Vocational rehabilitation is an available option, allowing an employee that is unable to return to the pre-injury job to retrain for another occupation, though it is the last resort on the insurer's priorities for returning injured employees to work. NRS 616C.530. ROA, Vol. I, 175; 192. An injured worker may be entitled to vocational rehabilitation benefits if the employee has permanent restrictions on his ability to work and the pre-injury employer is unable to accommodate the restrictions by offering a permanent light duty position. NRS 616C.590(1)(a)-(b). An injured employee can appeal workers' compensation benefits by requesting hearing officer review of "any matter within the hearing officer's authority." NRS 616C.315; ROA, Vol I, 188-189.

Here, NDOT had just cause to separate Employee because the vocational rehabilitation agreement signed by Dr. Huene verified that Employee could not physically return to his pre-injury job. While in October of 2014, Dr. Huene released Employee to "full duty without restrictions" after this release, Dr. Huene ultimately indicated that Employee was limited to light/medium work after all when in December of 2014, he approved a vocational rehabilitation plan stating that Employee could not work as a highway maintenance worker. ROA, Vol. I. p. 285-288. The vocational rehabilitation plan "verif[ied]" that Employee's wrist was "not expected to respond to treatment or that an extended absence from work will be required." NDOT did not need to conduct an independent medical evaluation (IME) in this case, as the hearing officer suggested, because Employee's physician himself verified that Employee could not return to work by approving the vocational rehabilitation.3

NDOT properly and reasonably relied on the vocational rehabilitation plan to verify that Employee could not safely return to work. Dr. Huene would not have signed the vocational rehabilitation plan if he had also released Employee to perform highway maintenance work because Nevada law does not entitle Employee to both options. NRS 616C.590; NRS 616C.530; ROA, Vol. I, p. 192. The vocational rehabilitation program also included the

² There are other requirements for vocational rehabilitation qualification. See NRS 616C.590(1)(a)-(c).

³ NAC 284,611 required NDOT to verify with the employee's physician or by an independent medical evaluation.

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restriction that Employee could not perform highway maintenance work and it was Dr. Huene's final correspondence before NDOT medically separated Employee. The hearing officer erred and abused his discretion because he did not appreciate that vocational rehabilitation means that the employee can no longer perform the pre-injury job.

State employers should be allowed to rely upon injured-employees' physician recommendations in the workers' compensation process. Here, that recommendation was vocational rehabilitation retraining into a position within Employee's physical restrictions because he could not physically perform the highway maintenance job, a determination that NDOT relied upon in good faith because Employee did not appeal it under the workers' compensation statutes. ROA, Vol. I, p. 139. Allowing the hearing officer's decision to stand here would give benefits to employees exceeding the benefits they are entitled to under Nevada law.

VII. CONCLUSION

NDOT asks this Court to grant its petition for judicial review, reverse the hearing officer's decision, and reinstate NAC 284.611 medical separation.

Respectfully submitted this 7th day of March, 2016.

ADAM PAUL LAXALT Attorney General

By:

Deputy Attorney General Bureau of Litigation Personnel Division

Attomeys for Petitioner State of Nevada, Department of Transportation

Nevada Office of the Attorney General 5420 Kietzke Lane, Suite 202 Reno, Nevada 89511

AFFIRMATION Pursuant to NRS 239B.030/603A.040

The undersigned does hereby affirm that the preceding document does not contain the personal information of any person pursuant to NRS 239B.030.

DATED this 7th day of March, 2016.

ADAM PAUL LAXALT Attorney General

DOMINIKA J. BATTEN Deputy Attorney General Nevada Bar No. 12258

Attorneys for Petitioner

Nevada Office of the Attorney General 5420 Kietzke Lane, Suite 202 Reno, Nevada 89511 .19

CERTIFICATE OF SERVICE

I hereby certify that I am an employee of the Office of the State of Nevada, Office of the Attorney General and that on the 7th day of March, 2016, I served a true copy of the foregoing **Petitioner's Opening Brief** by U.S. Mail, facsimile, and/or email to the following:

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An Employee of the Office of the Attorney General

EXHIBIT 1

EXHIBIT 1

			·
		.d)	Affected by other error of law;
		е)	Clearly erroneous in view of the reliable, probative and substantial
			evidence on the whole record; and/or
		e) .	Arbitrary or capricious, and characterized by abuse of discretion.
•	5.	Petitio	ner will file a Memorandum of Points and Authoritles after a copy of the
entire	record	on app	peal has been transmitted to the Court in accordance with NRS 233B.133.
	6.	Petitic	ner reserves its right to request oral argument in this matter pursuant to
NRS 2	233B.1	33(4).	
	WHE	REFOR	RE, Petitioner prays as follows:
	1.	That	this Court conduct a review of the final decision of the Nevada State
Perso	nnel A	dminis	trative Hearing Officer pursuant to NRS 233B.135 and enter an Order
			aside in whole or part the decision; and
	2.		uch further and other relief as the Court deems legal, equitable and just.
-	3.	A Mot	ion for Stay Pending Appeal is filed concurrently herewith pursuant to NRS
233B.	140.		. H
	DATE	D this	day of December, 2015.
-			ADAM PAUL LAXALT ATTORNEY GENERAL
		ž	By: DAVID R. KEENE, II Senior Deputy Attorney General
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CERTIFICATE OF SERVICE 1 I hereby certify that I am an employee of the Office of the State of Nevada, Office of the 2 day of December, 2015, I served a copy of the Attorney General and that on the _ 3 foregoing PETITION FOR JUDICIAL REVIEW by U.S. Mail, facsimile or email a true copy to 4 5 the following: (Via Email: kfraser@admin.nv.gov) б Kristie Fräser Department of Administration Hearings Division 1050 E. Williams Street, Suite 450 Carson City, Nevada 89701 (Via U.S. Mail and Personal Service) 9 Chad Zenor 1233 Beverly Dr. Carson City, NV 89706 10 (Via Email: Kevin@afscme.org) Kevin Ranft 11 Labor Representative AFSCME Local 4041 12 504 E. Musser St. #300 555 E. Washington, Suite 3900 Las Vegas, NV 89101 Attorney General's Office Carson City, Nevada 89701 13 14 15 An Employee of the Office of the Attorney General 16 17 18 19 20 21 22 23 24 25 26

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EXHIBIT 1

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BEFORE THE NEVADA STATE PERSONNEL COMMISSION ED

ADMINISTRATIVE HEARING OFFICER

NOV 24 2015

DEPT. OF ADMINISTRATION APPEALS OFFICER

CHAD ZENOR,

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Appellant/Employee,

Case No. 53630-CC

VS.

Decision

NEVADA DEPARTMENT OF TRANSPORTATION,

Appellee/Employer.

On or about July 8, 2015 Appellant Chad Zenor (Appellant or Mr. Zenor) filed an appeal of his June 26, 2015 non-disciplinary involuntary separation of employment that was imposed by the Nevada Department of Transportation (NDOT) pursuant to the requirements of NAC 284.611 based on Mr. Zenor's physical condition caused by a work related injury.

On November 19, 2015 a hearing was conducted in Carson City, Nevada, pursuant to the requirements of NRS 284.390 to 284.405; and NAC 284.650; 284.774-284.818. Mr. Zenor was present at the hearing represented by Kevin Ranft, Labor Representative, AFSCME Local 4041. The Respondent Nevada Department of Transportation (NDOT) was present represented by Barbara Patrouch, Program Officer III and Deputy Attorney General David R. Keene, II. All parties and their witnesses were sworn in, the hearing was digitally recorded and exhibits were marked and admitted as Appellant Exhibits (AX) 1-27 and State Exhibits (SX) NDOT 1-115. The admitted exhibits were provided to Kristi Fraser at the conclusion of the hearing.

A. Findings of Fact

1. Mr. Zenor was employed by NDOT as a Highway Maintenance Worker III and incurred a work related injury to his right wrist on August 1, 2013 and continued his employment with NDOT until June 26, 2015 when he was involuntarily separated pursuant to NAC 284.611;

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- Mr. Zenor had an approved workers compensation claim for the August 1, 2013 injury (AX 4) 2. and he testified that he was assigned light duty from on or about August 2, 2013 until on or about October 31, 2013 at which time his light duty contract expired and he was transitioned to workers compensation leave. While there were CCMSI Claim Notes in evidence (AX 21, pgs. 8-10, 14-16) that indicated that NDOT requested and CCMSI conducted surveillance of Mr. Zenor while he was on workers compensation claim, there was no evidence introduced at the hearing that NDOT or CCMSI determined any wrong doing by Mr. Zenor that would affect his rights under NAC 284.611;
- Mr. Zenor's assigned and approved treating physician for the worker's compensation claim was 3. Donald S. Huene, M.D. who provided medical evaluation and treatment for the August 1, 2013 injury until October 22, 2014 when Mr. Zenor was released to unrestricted full duty. AX 11 & 14. There was no other treating physician following October 22, 2014;
- On July 21, 2014 Mr. Zenor underwent a Functional Capacity Evaluation (FCE) which identified certain physical restrictions and determined that "patient did not demonstrate the ability to safely perform the physical demands of the pre-injury job" citing the physical demands of his job. SX 0021-0029. The FCB was administered by Physical Therapist (PT) Rhonda Fiorillo, PT, MPT who is an employee of "Back In Motion Physical Therapy". The FCE was signed off by PT Fiorillo and Dr. Huene, as Mr. Zenor's treating physician. SX 0029;
- NDOT Program Officer III Barbara Patrouch testified that following the FCB, in August, 2014, 5, NDOT Human Resources (HR) determined that there were no available positions in NDOT meeting the work restrictions in the FCB and a "Roundtable" was convened including Mr. Zenor, Certified Rehabilitation Counselor Debra L. Adler, M.S. CRC and representatives from CCMSI and NDOT HR to review options for Mr. Zenor including vocational rehabilitation training into a new position allowed by his physical restrictions;
- Subsequently, Dr. Huene released Mr. Zenor to "Full Duty without Restrictions on 9/24/14" with the only stipulation being a "Brace" for his wrist "as needed," AX 10. Dr. Huene then released Mr. Zenor to "Full Duty without Restrictions on 10/22/14," (October 22nd release). There were no stipulations in the October 22nd release and Dr. Huene's dictated notes "At this point, I think he can do full duties without limitations." SX 0006-0007, Dr. Huene verified in a September 22, 2015 letter

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admitted in evidence without objection: "Chad Zenor was last seen on 10/22/2014 for his right wrist which he injured on 8/1/2013. At that time, Mr. Zenor reported he was doing well with little pain, and he did not feel the wrist was limiting his activities. He was released to full duty with no restrictions, as permanent and stationary, and stable and rateable as of 10/22/2014. He was not scheduled to be seen again, and he has not returned since 10/22/2014 for any additional treatment or problem." AX 14;

- Mr. Zenor and his wife Kathy Zenor testified convincingly and NDOT did not dispute that he delivered the October 22nd release to NDOT HR the same date. CCMSI Claims Representative Tani Consiglio testified that she was provided a copy of the October 22nd release shortly thereafter and was aware of same during discussions with Debra L. Adler, M.S. CRC and NDOT HR addressing a potential vocational rehabilitation program for Mr. Zenor. On October 24, 2014 Ms. Consiglio wrote Mr. Zenor a letter confirming receipt of the October 22nd release: "We recently received a report indicating that you had completed your medical treatment for your work related injury." AX 15. CCMSI Claim Notes admitted in evidence verify that CCMSI was aware of the October 22nd release not later than November 10, 2014. AX 22, pg. 18. Ms. Consiglio testified that CCMSI was aware of the October 22nd full release at the time that the vocational rehabilitation option was being pursued by Ms. Adler, CCMSI and NDOT in the Fall of 2014. In response to question by Mr. Keene why didn't someone "throw on the brakes" when they became aware of the October 22nd release, Ms. Consiglio testified that "we should have." Ms. Consiglio testified that we "would not do vocational rehabilitation" program where there is a full release back to work;
- On September 1 and October 22, 2014 Mr. Zenor was provided virtually identical letters from 8. Adler Vocational Rehabilitation Service providing him until November 8 and then December 28, 2014 "to finalize a plan to return to work" via an approved vocational rehabilitation program. Certified Rehabilitation Counselor Debra L. Adler, M.S. CRC authored the letters that contained the admonition "Please note that NRS 616C.6011 states: 'Anyone who rejects a suitable program of vocational rehabilitation which is offered to him; rejects employment which is within the limitations prescribed by a treating physician or chiropractor; or refuses to cooperate with the insurer in the development of a

¹ The proper reference is to the "NAC" not the "NRS": NAC 616C,601

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program of vocational rehabilitation or a search for a job, is subject to suspension or termination of vocational rehabilitation benefits'," AX 18 & 19.

- On September 29, 2015 NDOT employee Diane Kelly sent Ms. Consiglio a "confidential" emall that "Employer is standing by the FCE results regardless of what Dr. Huene states, he signed off on the FCE. Subsequently Mr. Zenor was referred to voc rehab as appropriate and he needs to be working with Debra Adler in an active and ongoing manner to pursue other career options available through voc rehab. Mr. Zenor does not seem to have any trouble whatsoever riding around on his new Harley. Last time I checked, it takes quite a bit of wrist action and strength to operate these motorcycles," AX 21, pg. 23;
- On December 3, 2014 Ms. Adler sent Dr. Huene a letter addressing a plan for vocational 10. rehabilitation containing work restrictions previously listed in the July 21st FCE. The letter stated in part "At the present time Mr. Zenor is interested in pursuing educational retraining in Reno Nevada so he can acquire general computer and accounting skills and training." SX 0034. The letter concluded "Please review the information contained in this letter and indicate your decision as to whether you release Mr. Zenor to perform this training and subsequent employment of in an administrative capacity with an emphasis in accounting." SX 0036, Dr. Huene checked "Approved" "Regarding Mr. Zenor's training and working as an accounting clerk". SX 0037;
- On December 23rd Mr. Zenor signed a December 11th letter addressing a plan for vocational 11. rehabilitation also containing work restrictions previously listed in the July 21st FCE, SX 0047;
- On December 23rd Mr. Zenor signed a December 11th "School Program Agreement" prepared 12, by Ms. Adler and which contained the bullet point that "Not able to physically perform work as a highway maintenance worker pre-injury work." SX 0087-0089. Mr. Zenor testified that he protested this builet point but signed when Ms. Adler allegedly threatened him with dismissal from the voc rehab program if he didn't sign the document as prepared;
- Mr. Keene stated for the record that NDOT was not advocating that the December 11th letter and agreement signed by Mr. Zenor waived the requirements of NAC 284.611;

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15. NDOT HR Manager Kimberly King testified that she oversaw the non-disciplinary separation process pursuant to the requirements of NAC 284.611 beginning with drafting the December 31st letter for Mr. Williams' signature, She testified that she did not become aware of the October 22nd release until she was reviewing all NDOT personnel files including worker's compensation files addressing Mr. Zenor's work related injury. She testified that it was her opinion that all records "taken as a whole" including July 21st FCE (SX 0021-0029), the October 22nd release (SX 0006-0007) and December 3rd letter (SX 0034-0037) signed by Dr. Huene and December 11th letter (SX 0087-0088) and agreement (SX 0087-0089) signed by Mr. Zenor established that Mr. Zenor's medical "condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required" pursuant to NAC 284.611(1)(a). She testified that she did not feel that an "independent medical evaluation" pursuant to NAC 284.611(1)(a) was warranted under the circumstances;

16. On June 1, 2015 Ms. King provided Mr. Zenor a formal written notification that NDOT was pursuing separation of his employment under NAC 284.611 based on "the independent functional capacity evaluation . . . which specifies your permanent physical limitations." SX 0004. This letter did not mention the October 22nd release. Ms. King admitted in her testimony that nowhere in the July 21, 2014 FCE were there "permanent physical limitations". The October 22nd release established that there were no such permanent restrictions on Mr. Zenor's return to work in his previous position at NDOT;

17. On June 5, 2015 Administrator II Thor Dyson provided Mr. Zenor notice that Administrative Services Officer Eden Lee would be conducting a hearing in accordance with NAC 284.656. This

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notice relied on the July 21st FCE and did not mention the October 22nd release. At the hearing Mr. nor again provided a copy of the October 22nd release for consideration by NDOT in its separation proceedings;

- 18. On June 24, 2015 NDOT Deputy Director Tracy Larkin-Thomason issued her decision "I have reviewed the Recommendation of Separation Pursuant to NAC 284.611 (NPD-42) that was served upon you in consideration of your inability to perform the essential functions of your position due to medical reasons. This letter serves as your notification that separation pursuant to NAC 284.611 will be carried out effective June 26, 2015. It is my determination that there exists a substantial basis for this separation based on the reasons set forth in the NPD-42, and as such, separation is justified." SX 0001;
- 19. On July 8, 2015 Mr. Zenor timely appealed his separation of employment from NDOT. AX 3;
- 20. Prior to separation of a State employee because of a physical condition the law requires:

NAC 284.611 Separation for physical, mental or emotional disorder. (NRS 284.065, 284.155, 284.355, 284.383, 284.385, 284.390)

1. Before separating an employee because of a physical, mental or emotional disorder which results in the inability of the employee to perform the essential functions of his or her job, the appointing authority must:

(a) Verify with the employee's physician or by an independent medical evaluation paid for by the appointing authority that the condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required;

(b) Determine whether reasonable accommodation can be made to enable the employee to perform

the essential functions of his or her job;

(c) Make a request to the Administrator of the Rehabilitation Division of the Department of Employment, Training and Rehabilitation to obtain the services provided by that Division, or if the employee is receiving worker's compensation, request the services of the rehabilitation provider, to evaluate the employee's condition and to provide any rehabilitative services possible; and

(d) Ensure that all reasonable efforts have been made to retain the employee.

2. A separation pursuant to this section is only justified when:

(a) The information obtained through the procedures specified in subsection I supports the decision to separate;

(b) The employee is not on sick leave or other approved leave; and

(c) A referral has been made to the Public Employees' Retirement System and the employee has been

determined to be ineligible for, or has refused, disability retirement.

3. A permanent employee separated pursuant to this section is entitled to the same rights and privileges afforded permanent employees who are dismissed for disciplinary reasons. The procedures contained in NAC 284.656, 284.6561 and 284.6563 must be followed, and he or she may appeal the separation to the hearing officer.

4. A permanent employee who is separated because of a physical, mental or emotional disorder is

eligible for reinstatement pursuant to NAC 284.386 If he or she recovers from the disorder.

(Added to NAC by Dep't of Personnel, eff. 10-26-84; A 8-1-91; 12-26-91; 7-6-92; R197-99, 1-26-2000; A by Personnel Comm'n by R182-03, 1-27-2004; R143-05, 12-29-2005; R063-09, 11-25-2009; R009-14, 6-23-2014)

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B. Conclusions of Law

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- 1. There was substantial evidence that Mr. Zenor provided a copy of Dr. Huene's "Full Duty without Restrictions on 10/22/14" to NDOT HR on or about October 22, 2014. CCMSI Claims Representative Tani Consiglio confirmed that CCMSI, Ms. Adler and NDOT HR were aware of the full release at the time that the vocational rehabilitation option was being pursued in the fall of 2014. CCMSI Claim Notes admitted in evidence verify this fact as of November 10, 2014. AX 22, pg. 18. In response to question by Mr. Keene why didn't someone "throw on the brakes" when they became aware of the October 22nd release Ms. Consiglio testified "we should have." Ms. Consiglio testified that we "would not do vocational rehabilitation" where there is a full release back to work. There was substantial evidence that Mr. Zenor should have been returned to work in his former position at NDOT immediately following NDOT's and/or CCMSI's receipt of the October 22nd release;
- 2. There was substantial evidence that Mr. Zenor provided a copy of Dr. Huene's "Full Duty without Restrictions on 10/22/14" (SX 0006-0007) to his immediate supervisor immediately following receipt of Mr. Williams' December 31, 2014 letter (SX 0005) requesting such release. Mr. Williams testified that he provided a copy of the October 22nd release to NDOT HR which receipt was acknowledged in testimony by HR Manager King;
- 3. Before NDOT could separate Mr. Zenor because of a physical condition it was required to comply with the requirements of NAC 284.611(1)(a):

NAC 284,611 Separation for physical, mental or emotional disorder. (NRS 284,065, 284,155, 284,355, 284,383, 284,385, 284,390)

- 1. Before separating an employee because of a physical, mental or emotional disorder which results in the inability of the employee to perform the essential functions of his or her job, the appointing authority must:
- (a) Verify with the employee's physician or by an independent medical evaluation paid for by the appointing authority that the condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required (emphasis added);

There was substantial evidence provided in the testimony of Mr. Zenor and his wife, CCMSI Claims Representative Consiglio and Mr. Williams that NDOT HR was provided copies of the October 22nd release on at least two occasions in October, 2014 and January, 2015 and yet NDOT failed or refused to put Mr. Zenor back to work. There was no evidence that NDOT obtained a second "independent"

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medical evaluation" allowed by NAC 284.611 to countermand the October 22nd unrestricted release provided by Dr. Huene. Ms. King testified that she did not feel that an "Independent medical evaluation" pursuant to NAC 284.611(1)(a) was warranted under the circumstances based on the findings of the July 21, 2015 FCE and December 3, 2014 letter signed by Dr. Huene that reasserted the findings of the July 21, 2015 FCE. The problem for the Hearing Officer is that Dr. Huene's October 22nd release was unequivocal and contained no restrictions. The December 3, 2014 letter prepared by Ms. Adler and signed by Dr. Huene can at most be characterized as Dr. Huene's approval of "Mr. Zenor's training and working as an accounting clerk", SX 0037. The fact that the letter recited the restrictions from the July 21, 2014 FCE cannot be reasonably construed as a change to the unequivocal October 22nd release. This conclusion is reinforced by Dr. Huene's September 22, 2015 letter admitted in evidence without objection that Mr. Zenor "was released to full duty with no restrictions" on October 22nd, 2014 and was not seen by Dr. Huene after that date. NDOT also relies on 5% impairment rating by David Rovetti, DC, Qualified DIR Rating Physician, Certified Chiropractic Rehabilitation Physician and certain of the narrative of DC Rovetti's report as supporting the separation of Mr. Zenor. In the November 21, 2014 report DC Rovetti narrowly reports on and documents a 5% impairment for purposes of lump sum payment to a temporary total disability, AX 0103-0115. The problem with this evidence is DC Royetti was not retained to perform "an independent medical evaluation" contemplated by NAC 284.611(1)(a) and in any event he was not addressing return to work restrictions for Mr. Zenor. DC Rovetti was only narrowly addressing a 5% impairment for purposes of lump sum payment to a temporary total disability. DC Rovetti's letter and opinion do not satisfy the requirements of NAC 284.611(1)(a). The bottom line is that if NDOT had a problem with or disagreed with Dr. Huene's October 22nd unrestricted release it had every opportunity under NRS 284.611(1)(a) to "Verify . . . by an independent medical evaluation paid for by the appointing authority that the condition does not, or is not expected to, respond to treatment or that an extended absence from work will be required." NDOT did not obtain an "independent medical evaluation" after the December 31, 2014 separation process was commenced and thus NDOT is bound by Dr. Huene's October 22nd release;

The NDOT argues that a December 11, 2014 letter signed by Mr. Zenor December 23rd also proves that he could not return to work in his former position at NDOT since like the December 3rd

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letter signed by Dr. Huene the December 11th letter contained the work restrictions from the July 21, 2014 FCE, This letter authored by Ms. Adler was not addressing any change in Dr. Huene's October 22nd release. This letter was solely addressing enrollment in an approved vocational rehabilitation program. This letter in any event does not satisfy the requirements of NAC 284.611(1)(a). The NDOT also argues that a December 11, 2014 "School Program Agreement" signed by Mr. Zenor December 23rd proves that he could not return to work in his former position at NDOT because it contained the following "bullet" above Mr. Zenor's signature:

"Not able to physically perform work as a highway maintenance pre-injury work." SX 0089 Mr. Zenor testified convincingly that he protested this bullet point but signed when Ms. Adler allegedly threatened him with dismissal from the voc rehab program if he didn't sign the document as prepared. While Ms. Adler was not called as a witness by either party, her statutory admonition to Mr. Zenor contained in her September 1st and October 22nd letters (finding of fact #8) provides corroboration that Mr. Zenor was "between a rock and a hard place". On the one hand Mr. Zenor had provided NDOT HR Dr. Huene's October 22nd unrestricted release and had not been forthwith returned to work by NDOT. On the other hand Mr. Zenor is told by Ms. Adler's September 1st and October 22nd letters and allegedly on December 23rd essentially that if he does not agree to the approved vocational rehabilitation plan as prepared by Ms. Adler that he was subject to suspension and/or termination from the vocational rehabilitation plan and benefits. Mr. Zenor testified he was doing what he could to get back to work "to provide for his family". There is substantial evidence that Mr. Zenor really had no realistic choice but to sign the December 11th "School Program Agreement" as prepared by Ms. Adler. In any event this "School Program Agreement" prepared by Ms. Adier and signed by Mr. Zenor does not satisfy the requirements of NAC 284.611(1)(a);

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The NDOT argues that Mr. Zenor cannot "have his cake and eat it too" referring to receiving 5. the fruits of his completed vocational rehabilitation training and a return to his former NDOT position of Highway Maintenance Worker III. The hearing officer is sympathetic to the plight of NDOT which expended considerable staff and monetary resources to provide Mr. Zenor vocational rehabilitation training. The problem with this argument is that Mr. Zenor always made it clear that he wanted to return to his pre-injury position at NDOT and in that regard provided NDOT HR copies of his October 22nd release not once but twice prior to NDOT proceeding with separation proceedings pursuant to NAC 284,611. As Ms. Consiglio testified everyone "should have" put on the brakes on the vocational rehabilitation option once they became aware of the October 22nd release. CCMSI's own records verify that it had the October 22nd release at the latest on November 10th, 2014. AX 22, pg. 18. When requested on December 31st, 2014 to provide "full duty work release" Mr. Zenor again provided NDOT HR with a copy of Dr. Huene's October 22nd release. If anyone at NDOT had a problem with the October 22nd release NDOT could have requested an "independent medical evaluation" pursuant to NAC 284,611 but did not, NDOT could have returned Mr. Zenor to work on or about October 22, 2014 when it received the October 22nd release and at the latest shortly following December 31, 2014 when it received the October 22nd release, Such timely return back to work in October, 2014 or at the latest in January, 2015 would have avoided any costs in pursuing costly vocational rehabilitation which was rendered unnecessary based on the October 22nd release. Mr. Keene stated for the record that NDOT was not advocating that the December 11th letter and agreement signed by Mr. Zenor waived the requirements of NAC 284,611. In any event Mr. Zenor remains eligible for reinstatement under the terms of NDOT's December 31, 2014 letter and NAC 284.611(4): "A permanent employee who is separated because of a physical, mental or emotional disorder is eligible for reinstatement pursuant to NAC 284.386 if he or she recovers from the disorder". The October 22nd release establishes that Mr. Zenor recovered from his "physical disorder" within the parameters of the December 31st letter and the requirements of NAC 284.611(1)(a);

There is substantial evidence that NDOT failed and/or refused to comply with the requirements of NAC 284,611(1)(a) prior to Mr. Zenor's June 26, 2015 separation by (1) ignoring Dr. Huene's October 22nd release and failing to forthwith return Mr. Zenor to work in October, 2014; and/or by (2)

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proceeding with separation proceedings on and after December 31, 2014 pursuant to NAC 284,611 in light of Dr. Huene's October 22nd unrestricted release and not obtaining an "Independent medical evaluation" pursuant to NAC 284.611(1)(a) if NDOT disagreed with Dr. Huene's October 22nd unrestricted release. There was substantial evidence based on NDOT employee Diano Kelly's "confidential" September 29, 2014 e-mail to Ms. Consiglio at CCMSI quoted below that at that time NDOT and its representatives intentionally and without factual basis ignored all of Dr. Huene's medical opinions and work releases issued and of record after the July 21, 2015 FCE: "Employer is standing by the FCE results regardless of what Dr. Huene states, he signed off on the FCE, Subsequently Mr. Zenor was referred to voc rehab as appropriate and he needs to be working with Debra Adler in an active and ongoing manner to pursue other career options available through voc rehab. Mr. Zenor does not seem to have any trouble whatsoever riding around on his new Harley. Last time I checked, it takes quite a bit of wrist action and strength to operate these motorcycles." AX 21, pg, 23. This e-mail provides direct and substantial evidence that (1) NDOT was intentionally ignoring Dr. Huene's medical opinions and work releases following the July 21, 2014 FCE; and (2) that Ms. Kelly as a representative of NDOT had personal knowledge that Mr. Zenor's wrist had recovered well beyond the physical limitations set forth in the FCE;

7. There was no substantial evidence of just cause to separate Mr. Zenor from his employment with NDOT. There was substantial evidence that the requirements of NAC 284,611(1)(a) were not adhered to or fulfilled by NDOT prior to its June 26, 2015 separation of Mr. Zenor from his employment at NDOT and on that that basis Mr. Zenor should be returned to his former pre-injury position at NDOT with back pay and benefits retroactive to June 26, 2015 with set off for any interim earnings or other benefits Mr. Zenor received as a result of his vocational rehabilitation and/or other employment following June 26, 2015 and prior to his reinstatement.

C. Decision

Based on the above findings of fact and conclusions of law it is the determination and decision of the hearing officer that there was no substantial evidence of compliance with NAC 284.611(1)(a) or other just cause justifying the June 26, 2015 involuntary separation of Mr. Zenor's employment from his pre-injury employment at NDOT for his physical condition caused by an August 1, 2013 work

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related injury. Mr. Zenor's appeal is granted and NDOT is directed to immediately reinstate Mr. Zenor to his former pre-injury position at NDOT and to make Mr. Zenor whole by paying him the appropriate back pay and benefits retroactive to June 26, 2015 with set off for any interim earnings or other benefits Mr. Zenor received as a result of his vocational rehabilitation training program and/or other employment following June 26, 2015 and prior to his reinstatement,

Dated this 23 day of November, 2015.

Charles P, Cockerill, Esq. Hearing Officer

NOTICE: Pursuant to NRS 233B.130, should any party desire to appeal this final determination of the Appeals Officer, a Petition for Judicial Review must be filed with the district court within thirty (30) days after service by mail of this decision.

CERTIFICATE OF MAILING

1	CERTIFICATE OF MAILING
2	The undersigned, an employee of the State of Nevada, Department of Administration, Hearings Division, does hereby certify that on the date shown below, a true and correct copy
3	of the foregoing <u>DECISION AND ORDER</u> was duly mailed, postage prepaid OR placed in
4	the appropriate addressee runner file at the Department of Administration, Hearings
5	Division, 1050 E. Williams Street, Carson City, Nevada, to the following:
6	CHAD ZENOR
7	1233 BEVERLY DR CARSON CITY, NV 89706
8	KEVIN RANFT
9	AFSCME LOCAL 4041 504 E MUSSER ST STE 300
10	CARSON CITY NV 89701
11	DAVID R KEENE II OFFICE OF THE ATTORNEY GENERAL
12	555 EAST WASHINGTON AVE
13	LAS VEGAS NV 89101
}	KIMBERLY KING
14	DEPARTMENT OF TRANSPORTATION 1263 S STEWART ST ROOM 115
15	CARSON CITY NV 89701
16	RODOLFO MALFABON
17	DEPARTMENT OF TRANSPORTATION 1263 S STEWART ST ROOM 201
18	CARSON CITY NV 89701
19	
20	Dated this day of November, 2015,
21	Than
22	Employee of the State of Nevada
23	
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In the Supreme Court of the State of Nevad Electronically Filed Dec 29 2016 02:31 p.m.

CHAD ZENOR,

Supreme Court No. 717 Elizabeth A. Brown District Court Case No. 150C 00275 HB

Appellant,

vs.

DOCKETING STATEMENT

CIVIL APPEALS

Respondent.

GENERAL INFORMATION

Appellants must complete this docketing statement in compliance with NRAP 14(a). The purpose of the docketing statement is to assist the Supreme Court in screening jurisdiction, identifying issues on appeal, assessing presumptive assignment to the Court of Appeals under NRAP 17, scheduling cases for oral argument and settlement conferences, classifying cases for expedited treatment and assignment to the Court of Appeals, and compiling statistical information.

WARNING

This statement must be completed fully, accurately and on time. $NRAP\ 14(c)$. The Supreme Court may impose sanctions on counsel or appellant if it appears that the information provided is incomplete or inaccurate. Id. Failure to fill out the statement completely or to file it in a timely manner constitutes grounds for the imposition of sanctions, including a fine and/or dismissal of the appeal.

A complete list of the documents that must be attached appears as Question 27 on this docketing statement. Failure to attach all required documents will result in the delay of your appeal and may result in the imposition of sanctions.

This court has noted that when attorneys do not take seriously their obligations under NRAP 14 to complete the docketing statement properly and conscientiously, they waste the valuable judicial resources of this court, making the imposition of sanctions appropriate. *See KDI Sylvan Pools v. Workman*, 107 Nev. 340, 344, 810 P.2d 1217, 1220 (1991). Please use tab dividers to separate any attached documents.

Revised December 2015

1.	Judicial Distr County District Court	ict First Carson City t Case No. 15OC 00275 1B	Department Judge	2 James E. Wilson Jr.
2.	Attorney filing this docketing statement:			
	Attorney Firm Address Client	Mark Forsberg Oshinski & Forsberg, Ltd. 504 E. Musser Street, Suite : Carson City, NV 89701 Appellant Chad Zenor	-	none 775-301-4250
		by multiple appellants, add the name		f other counsel and the names of their the filing of this statement.
3.	3. Attorney(s) representing respondent(s):			
	Attorney Firm Address	Dominika J. Batten Deputy Attorney General 5420 Kietzke Lane Reno, NV 89511	Telepl	none 775-687-2103
	Client	Respondent State of Nevada	Dept. of Trans	portation
	Attorney Firm Address	Adam P. Laxalt Attorney General Appeals C 1050 E. William St., Ste. 450 Carson City, NV 89701	Office	none 775-687-8420
	Client	Respondent State of Nevada	Dept. of Trans	portation
4.	Nature of Disposition below (check all that apply):			
	☐ Judgment after bench trial ☐ Judgment after jury verdict ☐ Summary judgment ☐ Default judgment ☐ Grant/Denial of NRCP 60(b) relief ☐ Grant/Denial of injunction ☐ Grant/Denial of declaratory relief ☐ Review of agency determination		☐ Failure to s ☐ Fail X Other: Aw ☐ Divorce De	lure to prosecute rard of attorney fees ecree
5.	☐ Child custo	peal raise issues concerning a ody on of parental rights	any of the follo	wing: No

- **6. Pending and prior proceedings in this court.** List the case name and docket number of all appeals or original proceedings presently or previously pending before this court which are related to this appeal: **None**
- **7. Pending and prior proceedings in other courts.** List the case name, number and court of all pending and prior proceedings in other courts which are related to this appeal (*e.g.*, bankruptcy, consolidated or bifurcated proceedings) and their dates of disposition: **None**
- **8. Nature of the Action.** Briefly describe the nature of the action and the result below:

This matter began with the wrongful termination of Chad Zenor, an NDOT employee after a work related injury. A hearing officer found Mr. Zenor had been wrongfully terminated. NDOT filed a petition for judicial review challenging the hearing officer's decision. The district court upheld the hearing officer's decision, which required that Mr. Zenor be made whole by the payment of back pay with certain deductions. NDOT has not paid Mr. Zenor any back pay, calculating that it owes him none after deductions for wages earned elsewhere, worker's comp benefits and other benefits he received as a result of his work related injury. Mr. Zenor filed a motion for order to show cause why NDOT should not be held in contempt for failing to pay Mr. Zenor. The district court remanded the matter to the hearing officer for a determination of how much Mr. Zenor was owed, if anything. The remanded issue remains undecided by the hearing officer and could return to the district court for an ultimate decision. In addition to the description set forth in response to section 7, after the district court upheld the hearing officer's decision, Mr. Zenor filed a motion for attorney's fees and costs, arguing that NDOT and its counsel conducted themselves in bad faith as described in NRS 18.010(2)(b). The district court denied the motion based in part on State Dept. of Human Resources, Welfare Div. v. Fowler, 109 Nev. 782 (1993) which held that the prevailing party was not entitled to attorney's fees. Nor, the district court held, did NRS Chapter 284, which provides for judicial review of an agency's disciplinary action against an employee, or NRS 233B specifically provide that a prevailing party is entitled to attorney's fees. Therefore, the court denied the motion. The denial of the motion is the only issue on appeal, as NDOT did not appeal the district court order upholding the hearing officer decision that Mr. Zenor was wrongfully terminated.

9. Issues on appeal. State concisely the principal issue(s) in this appeal:

Do the holding of *Fowler*, cited above, or the absence of an attorney's fee provision in NRS 284 or 233B, essentially immunize the State of Nevada for its bad faith and wrongful termination of an employee when the State brought a frivolous petition for judicial review challenging a hearing officer's decision, thus compelling the employee to participate in litigation or risk having the motion granted for failure to oppose or inadequately opposing the petition?

10. Pending proceedings in this court raising the same or similar issues. If you are aware

of any proceedings presently pending before this court which raises the same or similar issues raised in this appeal, list the case name and docket numbers and identify the same or similar issues raised: **Appellant is unaware of any pending cases in this court raising same or similar issues.**

11.	Constitutional Issues. If this appeal challenges the constitutionality of a statute, and the
	state, any state agency, or any officer or employee thereof is not a party to this appeal, have
	you notified the clerk of this court and the attorney general in accordance with NRAP 44
	and NRS 30.130?
	X N/A
	☐ Yes
	\square No
	If not, explain:
12.	Other issues. Does this appeal involve any of the following issues? Yes
	☐ Reversal of well-settled Nevada precedent (identify the case(s))
	☐ An issue arising under the United States and/or Nevada Constitutions
	X A substantial issue of first impression
	X An issue of public policy
	☐ An issue where en banc consideration is necessary to maintain uniformity of this court's decisions
	☐ A ballot question

If so, explain: As set forth above, Appellant was the beneficiary of a hearing officer's decision determining that NDOT wrongfully terminated him. Nonetheless, he was forced to retain counsel and defend that decision when NDOT filed a frivolous and ultimately unsuccessful petition for judicial review. This unjust set of circumstances forced a wrongfully terminated employee to expend nearly \$10,000 in attorney's fees defending himself against NDOT's petition for judicial review, yet there is evidently no remedy that would permit him to be made whole as a result of NDOT's conduct. This Court has addressed the situation where the employee seeks judicial review and prevails, but not the circumstance here, where an employee is the respondent who prevails on the State's petition.

13. Assignment to the Court of Appeals or retention in the Supreme Court. Briefly set forth whether the matter is presumptively retained by the Supreme Court or assigned to the Court of Appeals under NRAP 17, and cite the subparagraph(s) of the rule under which the matter falls. If appellant believes that the Supreme Court should retain the case despite its presumptive assignment to the Court of Appeals, identify the specific issue(s) or circumstance(s) that warrant retaining the case, and include an explanation of their importance or significance:

This case falls within the category of those presumptively to be heard by the Supreme Court, specifically under NRAP 17(a)(13), a matter raising as a principal issue a question of common law and (14) matters raising as a principal issue a question of state by public importance. The question presented is whether respondents to a petition for judicial review brought by a state agency may recover its attorney's fees when it compelled to litigation, even in a frivolous action.

14. Trial. If this action proceeded to trial, how many days did the trial last? N/A Was it a bench or jury trial? N/A 15. **Judicial Disqualification.** Do you intend to file a motion to disqualify or have a justice recuse him/herself from participation in this appeal? If so, which Justice? **Appellant does** not intend to disqualify any justice. TIMELINESS OF NOTICE OF APPEAL **16.** Date of entry of written judgment or order appealed from: Sept. 16, 2016 If no written judgment or order was filed in the district court, explain the basis for seeking appellate review: N/A **17.** Date written notice of entry of judgment or order was served Oct. 18, 2016 Was service by ☐ Delivery X Mail/electronic/fax 18. If the time for filing the notice of appeal was tolled by a post-judgment motion (NRCP 50(b), 52(b), or 59) N/A Specify the type of motion, the date and method of service of the motion, and date (a) of filing. □ NRCP 50(b) Date of filing _____ Date of filing _____ \square NRCP 52(b) □ NRCP 59 Date of filing NOTE: Motions made pursuant to NRCP 60 or motions for rehearing or reconsideration may toll the time for filing a notice of appeal. See AA Primo Builders v. Washington, 126 Nev. ____, 245 P.3d 1190 (2010). (b) Date of entry of written order resolving tolling motion N/A (c) Date written notice of entry of order resolving tolling motion was served N/A

19. Date notice of appeal was filed: Nov. 17, 2016

Was service by:

☐ Delivery

☐ Mail

	If more than one party has appealed from the judgment or order, list the date each no of appeal was filed and identify by name the party filing the notice of appeal: N/A			
20.		ify statute or rule governing the time limit for filing the notice of appeal, e.g P 4(a), or other NRAP 4(a)(1)	·•,	
		SUBSTANTIVE APPEALABILITY		
21.	Specify the statute or other authority granting this court jurisdiction to review the judgment or order appealed from:			
	(a)	 □ NRAP 3A(b)(1) □ NRS 38.205 □ NRAP 3A(b)(2) □ NRS 233B.150 □ NRAP 3A(b)(3) □ NRS 703.376 X Other: 3A(b)(8) 		
	(b)	Explain how each authority provides a basis for appeal from the judgment or orde	r:	
	post-	The district court's order affected the rights of the Appellant by denying his judgment motion for attorney's fees.		
22. List all parties involved in		all parties involved in the action or consolidated actions in the district court:		
	(a)	Parties: State of Nevada, ex rel. its Department of Transportation, Chad Zenor		
	(b)	If all parties in the district court are not parties to this appeal, explain in detail wh those parties are not involved in this appeal, <i>e.g.</i> , formally dismissed, not served or other: N/A	•	
23.		a brief description (3 to 5 words) of each party's separate claims, counterclaims-claims or third-party claims, and the date of formal disposition of each claim.		
	denia	See No. 7 above regarding issues raised by the petition for judicial review an l of post-judgment motion for attorney's fees.	d	
24.		the judgment or order appealed from adjudicate ALL the claims alleged below the rights and liabilities of ALL the parties to the action or consolidated action v: X Yes No		

N/A

If you answered "No" to question 24, complete the following:

25.

	(a)	Specify the claims remaining pending below:		
	(b)	Specify the parties remaining below:		
	(c)	Did the district court certify the judgment or order appealed from as a final		
		judgment pursuant to NRCP 54(b)?		
		□ Yes		
		\square No		
	(d)	Did the district court make an express determination, pursuant to NRCP 54(b), that		
		there is no just reason for delay and an express direction for the entry of judgment?		
		☐ Yes		
		□ No		
26.	If you answered "No" to any part of question 25, explain the basis for seeking appellate review (e.g., order is independently appealable under NRAP 3A(b)):			
		N/A		

27. Attach file-stamped copies of the following documents:

- The latest-filed complaint, counterclaims, cross-claims and third party claims
- Any tolling motion(s) and order(s) resolving tolling motion(s)
- Orders of NRCP 41(a) dismissals formally resolving each claim, counterclaims, cross-claims and/or third-party claims asserted in the action or consolidated action below, even if not at issue on appeal
- Any other order challenged on appeal
- Notice of entry for each attached order

VERIFICATION

I declare under penalty of perjury that I have read this docketing statement, that the information provided in this docketing statement is true and complete to the best of my knowledge, information and belief, and that I have attached all required documents to this docketing statement.

Chad Zenor Mark Forsberg, Esq.
Name of Appellants Name of counsel of record

/s/ Mark Forsberg, Esq.

Date December 29, 2016 Signature of Counsel of record

Carson City, Nevada **State and county where signed**

CERTIFICATE OF SERVICE

I certify that on the 29th day of December, 2016, I served a copy of this completed Docketing Statement upon all counsel of record:				
	By personally serving it upon him/her; or			
X address(es):				
Dep 542 Ren	minika J. Batten buty Attorney General O Kietzke Lane, Suite 202 no, NV 89511 orneys for Respondent	J. Douglas Clark, Esq. 510 W. Plumb Lane, Ste. B Reno, NV 89509 Settlement Judge		
		/s/Linda Gilbertson Linda Gilbertson		