

IN THE SUPREME COURT OF THE STATE OF NEVADA

Case Nos. 79425 and 79526

ATHANASIOS SKARPELOS, AN INDIVIDUAL

Appellants,

v.

WEISER ASSET MANAGEMENT, LTD., A BAHAMAS COMPANY AND
WEISER (BAHAMAS) LTD., A BAHAMAS COMPANY,

Respondents.

WEISER ASSET MANAGEMENT, LTD., A BAHAMAS COMPANY AND
WEISER (BAHAMAS) LTD., A BAHAMAS COMPANY

Appellants,

v.

ATHANASIOS SKARPELOS, AN INDIVIDUAL,

Respondent.

Appeal from the Judgment of the Second Judicial District Court, Washoe County
District Court Case No.: CV15-02259
Second Judicial District Court of the State of Nevada
In and For the County of Washoe

**JOINT APPENDIX
VOLUME 6**

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Reply in Support of Skarpelos' Motion to Alter or Amend Judgment	6/7/2019	13	JA2519- JA2526
Reply in Support of Weiser's Motion for Reconsideration for Attorney's Fees Award	9/10/2019	13	JA2656- JA2662
Skarpelos' Answer to Weiser's Cross-Claim	6/17/2016	1	JA0075- JA0081
Skarpelos' Motion to Alter or Amend Judgment	4/25/2019	11	JA2183- JA2248
Skarpelos' Objections to Weiser's Pretrial Disclosures	1/11/2019	4	JA0630- JA0635
Skarpelos' Post-Trial Brief Regarding Restriction on Disposition of Stock	4/8/2019	11	JA2151- JA2155
Skarpelos' Responses to Weiser's Objections to Findings of Fact, Conclusions of Law, and Judgment	4/8/2019	10	JA2049- JA2052
Transcript of Proceedings – Bench Trial – Day 3	1/30/2019	8; 9	JA1565- JA1680; JA1681- JA1713
Transcript of Proceedings – Bench Trial – Day 4	1/31/2019	9	JA1724- JA1838

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Transcript of Proceedings – Bench Trial – Day 5	2/01/219	9; 10	JA1851- JA1890; JA1891- JA1913
Transcript of Proceedings - Trial - Day 1	1/28/2019	7	JA1272- JA1423
Transcript of Proceedings - Trial - Day 2	1//29/2019	7; 8	JA1425- JA1470; JA1471- JA1557
Transcript of Proceedings 02/06/2019	2/6/2019	10	JA1914- JA1950
Trial Exhibit 1, Anavex Life Sciences Corp. Share Certificate 0753 for 6,633,332 shares (WEISER000281)	1/28/2019	6	JA1135- JA1136
Trial Exhibit 11, MHNYMA Swift-Single Customer Credit Transfer (WEISER000346)	1/31/2019	9	JA1716- JA1717
Trial Exhibit 12, 12/21/2012 email Lambros Pedafronimos L. Pedaf@gmail.com to Christos Livadas (WEISER000345)	1/31/2019	9	JA1718- JA1719
Trial Exhibit 13, 1/10/2013 Corporate Indemnity to Nevada Agency and Transfer Company to Reissuance of Lost Certificate (S000007)	1/28/2019	6	JA1160- JA1161

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 14, 3/28/2013 Athanasios Skarpelos Affidavit for Lost Stock Certificate (S000008-S000009)	1/28/2019	6	JA1162-JA1164
Trial Exhibit 15, 3/29/2013 Athanasios Skarpelos Stop Transfer Order (S000010)	1/28/2019	6	JA1165-JA1166
Trial Exhibit 16, 4/4/2013 NATCO Transfer (S000011)	1/28/2019	6	JA1167-JA1168
Trial Exhibit 18, 4/26/2013 email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (WEISER000338)	1/31/2019	9	JA1720-JA1721
Trial Exhibit 19, 5/09/2013 email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (WEISER000312)	1/31/2019	9	JA1722-JA1723
Trial Exhibit 2, WAM New Account Opening Form (WEISER000352-361)	1/28/2019	6	JA1137-JA1147
Trial Exhibit 20, 5/24/2013 email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (WEISER000340)	1/28/2019	6	JA1169-JA1170
Trial Exhibit 21, 06/24/2013 Email Christos Livadas Lambros to Pedafronimos L.Pedaf@gmail.com (S000012)	1/28/2019	6	JA1171-JA1172

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 22, 06/24/2013 Email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (S000013)	1/28/2019	6	JA1173-JA1174
Trial Exhibit 23, 06/24/2013 Email Christos Livadas Lambros to Pedafronimos L.Pedaf@gmail.com (S000014)	1/28/2019	6	JA1175-JA1176
Trial Exhibit 24, 06/24/2013 Email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (S000015)	1/28/2019	6	JA1177-JA1178
Trial Exhibit 25, 06/24/2013 Email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (WEISER000333-000337)	1/28/2019	6	JA1179-JA1184
Trial Exhibit 26, 06/25/2013 Email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (S000016)	1/28/2019	6	JA1185-JA1186
Trial Exhibit 27, 07/02/2013 Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (S000017)	1/28/2019	6	JA1187-JA1188
Trial Exhibit 28, 07/02/2013 Christos Livadas Lambros to Pedafronimos L.Pedaf@gmail.com (S000018)	1/28/2019	6	JA1189-JA1190

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 29, 07/03/2013 Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (S000019)	1/28/2019	6	JA1191-JA1192
Trial Exhibit 3, Letter dated October 30, 2015 from Montello Law Firm to NATCO (WEISER000002-WEISER000003)	1/28/2019	6	JA1148-JA1150
Trial Exhibit 30, 07/05/2013 Stock Sale and Purchase Agreement between Weiser and Skarpelos (WEISER000207-WEISER000209)	1/28/2019	6	JA1193-JA1196
Trial Exhibit 31, 07/09/2013 Lambros Pedafronimos L.Pedaf@gmail.com to Christos (S000020)	1/28/2019	6	JA1197-JA1198
Trial Exhibit 32, 07/09/2013 Blank Stock Sale and Purchase Agreement signed by Skarpelos (WEISER000161-WEISER000163)	1/28/2019	6	JA1199-JA1202
Trial Exhibit 33, 7/09/2013 Email Lambros Pedafronimos L.Pedaf@gmail.com to Christos Livadas (WEISER000328-WEISER000332)	1/28/2019	6	JA1203-JA1208
Trial Exhibit 34, Blank Stock Sale and Purchase Agreement (WEISER000156-WEISER000158)	1/28/2019	6	JA1209-JA1212

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 35, 07/12/2013 Power of Attorney to Transfer Bonds or Shares (WEISER000368)	1/28/2019	6	JA1213-JA1214
Trial Exhibit 36, 07/12/2013 Power of Attorney to Transfer Bonds or Shares (WEISER000369)	1/28/2019	6	JA1215-JA1216
Trial Exhibit 40, 10/28/2013 Email Tom Skarpelos and Christos Livadas (WEISER000339)	1/28/2019	6	JA1217-JA1218
Trial Exhibit 43, 12/31/2013 Weiser Skarpelos Statement of Account for February 1, 2013 - December 31, 2013 (WEISER000378-WEISER000380)	1/28/2019	6	JA1219-JA1222
Trial Exhibit 44, Duplicate copy of 12/31/2013 Weiser Skarpelos Statement of Account for February 1, 2013 - December 31, 2013 (WEISER000378-WEISER000380)	1/28/2019	6	JA1223-JA1226
Trial Exhibit 46, 11/02/2015 Letter Ernest A. Alvarez to Nevada Agency and Transfer Company Weiser Asset Management Ltd. (WEISER000004)	1/28/2019	6	JA1227-JA1228
Trial Exhibit 47, 11/03/2015 Letter Alexander H. Walker III to Ernest A. Alvarez (WEISER000001)	1/28/2019	6	JA1229-JA1230

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 48, 11/12/2015 Letter Elias Soursos, Weiser Asset Management Ltd. to NATCO (WEISER000011)	1/28/2019	6	JA1231-JA1232
Trial Exhibit 49, 11/12/2015 Letter Bernard Pinsky to Nevada Agency and Transfer Company (WEISER000007-WEISER000008)	1/28/2019	6	JA1233-JA1235
Trial Exhibit 50, 11/12/2015 Email Christos Livadas to Nick Boutasalis (WEISER 000214-WEISER000215)	1/28/2019	6	JA1236-JA1238
Trial Exhibit 51, 11/13/2015 Letter Ernesto A. Alvarez to Alexander Walker III, Esq. (WEISER000009)	1/28/2019	6	JA1239-JA1240
Trial Exhibit 52, 11/13/2015 Letter Ernesto A. Alvarez to Nevada Agency and Transfer Company (WEISER000005)	1/28/2019	6	JA1241-JA1242
Trial Exhibit 53, 11/13/2015 email Alexander H. Walker III to Ernesto A. Alvarez cc Amanda Cardinelli (WEISER000187-WEISER000189)	1/28/2019	6	JA1243-JA1246
Trial Exhibit 54, 11/13/2015 Letter Nick Boutasalis to NATCO (PID-00045-PID-00048)	1/28/2019	6	JA1247-JA1251

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 55, 11/16/2015 letter to Ernesto A. Alvarez to Alexander Walker III, Esq., (WEISER000012)	1/28/2019	6	JA1252- JA1253
Trial Exhibit 56, 11/17/2015 email Bill Simonitsch to Louis R. Montello cc Ernesto Alvarez (WEISER000238)	1/28/2019	6	JA1254- JA1255
Trial Exhibit 57, 11/18/2015 email Bill Simonitsch and Ernesto A. Alvarez (WEISER000216-WEISER000217)	1/28/2019	6	JA1256- JA1258
Trial Exhibit 58, 11/19/2015 Email bill Simonitsch and Ernesto A. Alvarez cc Louis Montello (WEISER000218-WEISER000219)	1/28/2019	7	JA1259- JA1261
Trial Exhibit 59, 11/19/2015 Email Christos Livadas re Tom Transfer request (WEISER000320-WEISER000322)	1/28/2019	7	JA1262- JA1265
Trial Exhibit 60, 11/19/2015 email Christos Livadas re Skarpelos Email flow 2011-2013 (WEISER000341-WEISER000343)	1/28/2019	7	JA1266- JA1269
Trial Exhibit 61, Bank documents (S000032-S000035)	1/30/2019	7	JA1560- JA1564
Trial Exhibit 7, 05/30/2011 Email between Athanasios Skarpelos and Howard Daniels re Courier Address for WAM, Ltd. (S000006)	1/28/2019	6	JA1151- JA1152

Document Title (<i>Alphabetical</i>)	Date	Vol.	Page No.
Trial Exhibit 8, 05/31/2011 Skarpelos Identify Verification Form with Supporting Documents (WEISER000362-WEISER00367)	1/28/2019	6	JA1153-JA1159
Verified Memorandum of Costs and Disbursements	4/25/2019	11	JA2363-JA2443
Weiser's Motion for Reconsideration of Attorney's Fee Award (Request for Oral Argument)	8/19/2019	13	JA2616-JA2623
Weiser's Opposition to Motion to Compel	8/14/2017	1	JA0134-JA0137
Weiser's Opposition to Skarpelo's Motion for Attorney's Fees	5/24/2019	12	JA2502-JA2508
Weiser's Opposition to Skarpelos' Motion for Summary Judgment	4/12/2018	3	JA0466-JA0583
Weiser's Opposition to Skarpelos' Motion in Limine	4/12/2018	2; 3	JA0353-JA0420; JA0421-0465
Weiser's Answer and Cross Claim	5/24/2016	1	JA0058-JA0070
Weiser's Answer to Skarpelos' Cross-Claim	6/15/2016	1	JA0071-JA0074

No action taken by "WAM" nor any failure to take action or exercise any right, remedy or power available under this Agreement or otherwise shall be deemed to constitute a waiver or other modification of any of "WAM"'s rights, remedies or powers. This Agreement is subject to modification only by a further agreement in writing between "WAM" and the customer.

All investment decisions are made solely by the customer. Notwithstanding anything in this Agreement, "WAM" accepts no responsibility whatsoever for and shall in no circumstances be liable to the Customer in connection with such decisions.

You may close your Account at any time by providing written notice. "WAM" may close your Account at any time for any reason. Closing the Account will not affect the rights and obligations of either party incurred prior to the date the Account is closed. You will reimburse "WAM" for the cost of collection of any debit balance or deficiency in connection with any of your Accounts including reasonable attorney's fees and court costs. "WAM" will retain the authority to complete any transaction that may be pending at the time your Account is closed, without regard to the reason for the Account being closed.

"WAM" may assign its rights and duties under this Agreement to any of its subsidiaries or affiliates without prior notice; or to any other entity upon prior notice to you.

You understand and agree that the terms and conditions that your account are subject to may change from time to time, as published by "WAM". Notice of amendments to this agreement may be made either by delivery of notice to any address, facsimile number or electronic email address which appears on "WAM" records; or by noting on "WAM" Client Statements, whether statements are delivered by mail, fax, email, or Online Access.

The headings of each provision of this Agreement are for descriptive purposes only and shall not be deemed to modify or qualify any of the rights or obligations set forth in each such provision. The above stated rights of "WAM" are severable. In the event that one or more is unenforceable, such unenforceability shall not affect the whole.

16. Jurisdiction

This Agreement shall be governed by and construed in accordance with the laws of The Bahamas, and the customer hereby irrevocably agrees that any legal suit, action or proceeding brought by him against "WAM" shall be brought in the courts of The Bahamas. The customer hereby accepts and irrevocably submits to the jurisdiction of the said courts and acknowledges their competence and agrees to be bound by any judgement thereof, provided that nothing herein shall limit "WAM"'s right to bring proceedings against the customer elsewhere.



Terms and Conditions.docx

Message

From: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Sent: 7/6/2012 9:49:05 PM
To: Laurine Luo [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=Laurineluo]
Subject: Re: 答复: Travel Itinerary Athanasios Skarpelos

Hi Laurine,

Yes, Next sunday. :-)

Athens-Singapore on 13th looks perfect except we need to check business class pricing (note in the itinerary Lambros sent it is biz class, and he says it was \$4000).

Let me know biz class pricing for the singapore one and the munich one asap.

Cheers,
Christos

From: Laurine Luo
To: Christos
Sent: Fri Jul 06 23:30:42 2012
Subject: 答复: Travel Itinerary Athanasios Skarpelos

Hi Christos,

When you said "needs to arrive Zhuhai by this Sunday" is it means he needs to come by tomorrow? in that case, I will be in big trouble because I didn't get you the quotation yesterday....

And the flight quotation from 7th July to 24th July is \$1463.

If he come on next Sunday from 13th July to 24th July (almost schedule as he showed as below), and the best quotation I can get is \$1014, it is Singapore Air, and good layover time, can catch the ferry from HK.

The schedule as Lambros showed as below, the best price I can get is \$1890.

Please feel free to check more details as attachment and kindly advise.

Best regards,

Laurine



JA1052
WEISER000347

发件人: Christos [mailto:christos@bizex.bz]
发送时间: 2012年7月5日 星期四 21:22
收件人: Laurine Luo
主题: Re: Travel Itinerary Athanasios Skarpelos

Summary: Tom is departing Athens, Greece, and needs to arrive Zhuhai by this Sunday.
And then return to Athens 23rd +/- 1 day.

Cheers,
Christos

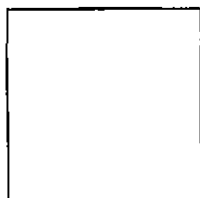
From: Lambros Pedafronimos
To: Laurine Luo
Cc: Athanasios Skarpelos; Christos
Sent: Thu Jul 05 08:59:19 2012
Subject: Travel Itinerary Athanasios Skarpelos

Hi Laurine,

Please find below the travel dates as well as the recommended itinerary for Athanasios Skarpelos.

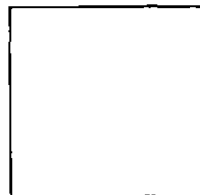
Fri Jul/13/2012 - Departure

1 stop Total travel time : 15h 25m



- | | | |
|--|---|--|
| <ul style="list-style-type: none">• Athens• ATH 7:00pm | <ul style="list-style-type: none">• <i>Munich</i>• MUC 8:35pm• Terminal 2 | <ul style="list-style-type: none">2h 35m944 miles |
| <ul style="list-style-type: none">• Lufthansa 1753• Business (D) Seat Preview• Airbus A321 | | |

Layover: 1h 40m



- | | | |
|---|--|---|
| <ul style="list-style-type: none">• Munich• MUC 10:15pm• Terminal 2 | <ul style="list-style-type: none">• Hong Kong• HKG 3:25pm + 1 day• Terminal 1• Arrives on Sat Jul/14/2012 | <ul style="list-style-type: none">11h 10m5,626 miles |
| <ul style="list-style-type: none">• Lufthansa 730• Business (D) Seat Preview• AIRBUS INDUSTRIE A340-600 | | |

Wed Jul/25/2012 - Return

1 stop Total travel time : 17h 45m

- 
- Hong Kong
 - HKG 11:30pm
 - Terminal 1

- Munich
- MUC 5:45am + 1 day
- Terminal 2

12h 15m
5,626 miles

- Lufthansa 731
- Business (Z) [Seat Preview](#)
- AIRBUS INDUSTRIE A340-600

Layover: 3h 10m

- 
- Munich
 - MUC 8:55am
 - Terminal 2

- Athens
- ATH 12:15pm

2h 20m
944 miles

- Lufthansa 1750
- Business (Z) [Seat Preview](#)

Best Regards,

--

Lambros Pedafronimos

CORPORATE INDEMNITY
To
NEVADA AGENCY AND TRANSFER COMPANY
FOR REISSUANCE OF LOST CERTIFICATE

WHEREAS ANAVEX LIFE SCIENCES (hereinafter "the Company") has requested that a duplicate certificate of stock for shares of common stock of this company be issued in replacement of the original certificate 660 & 753 (hereinafter "Original Certificate"), which has been LOST; and

Whereas, Stockholder has not furnished a bond, the Company desires to replace said certificate without bond, and the Company agrees to indemnify Nevada Agency and Transfer Company (hereinafter "NATCO") against any and all costs, damages, actions, expenses, and attorney's fees which might result from the issuance of a duplicate certificate to replace the original certificate; and

Whereas, the Company acknowledges that pursuant to Sections 8-405 and 8-210 of the Uniform Commercial Code, should the original certificate ever be properly presented for transfer by a protected purchaser, NATCO may be required to transfer and reissue said original certificate and the Company will be required to take the appropriate actions under Article 8 of the Uniform Commercial Code, and/or the Securities Act of 1933, as amended, including, but not limited to, purchasing an equivalent number of shares in the public market for cancellation or registering such shares under the Securities Act of 1933, as amended,

NOW, THEREFORE, the Board of Directors of the Company has adopted the following resolutions;

RESOLVED: that NATCO be authorized and directed to issue a new stock certificate representing 6,725,832 shares of the common stock of the Company in place of the Original Certificate in the name of Athanasios Skarpeios.

AND IT FURTHER BE RESOLVED: that, should the Original Certificate ever be properly presented for transfer, NATCO is hereby instructed to transfer and reissue such certificate and the Company agrees that it will take the appropriate actions in regards to such certificate under the Uniform Commercial Code and/or the Securities Act of 1933, as amended.

AND IT LASTLY BE RESOLVED that the Company hereby agrees to indemnify NATCO against any and all costs, damages, actions, expenses, and attorney's fees which might result from the issuance of a duplicate certificate to replace the original certificate or the transfer and reissuance of the Original Certificate should it ever be properly presented for transfer.

The below Officer of the Company hereby certify that the above resolutions were adopted by the Board of Directors of the Company on JAN 10, 2013, and are binding obligations of the Company.

Signature

Athanasios Skarpeios
Name and Title

ΑΝΑΣΤΑΣΙΟΣ Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 108 79
ΤΗΛ.: 3600154, 3603853, FAX: 3608926
ΑΜ/ΔΣΑ: 3730 - ΑΦΜ: 006521572

DEPOSITION
EXHIBIT

PENGAD 80-531-0389

S080007

JA1055

AFFIDAVIT FOR LOST STOCK CERTIFICATE

1. Athanasios Skarpelos hereby declare and affirm as follows:

1. That I reside at:
NISSOYS 5
 Street Address
Athens GLYFADA
 City, State & Zip
GREECE 16675
 Country

2. That I am the legal and beneficial owner of the following shares of Anavex Life Sciences Corp.
 3. The above-mentioned stock ownership is represented as follows:

ISSUED TO	CERT. NO.	SHARE QTY	DATE ISSUED
Athanasios Skarpelos	660	92500	9/24/2007
	753	6633332	10/29/2009

4. That said certificate was/was not endorsed. (Circle one)
 5. That the present status of the certificate is as follows: (Please describe, i.e. lost, misplaced or stolen.) lost

6. That I have not assigned, hypothecated, pledged, or in any other way disposed of either the stock certificate or its rights as a stockholder, in whole or in part.

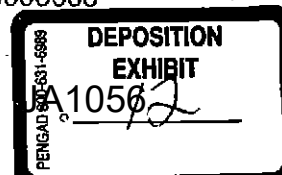
7. That if the original certificate should ever come into my hands, custody or control, I will immediately and without consideration surrender the original to the Issuing Corporation or Nevada Agency and Transfer Company for cancellation.

Page 1 of 2

ΑΝΑΣΤΑΣΙΟΣ Δ. ΧΡΙΣΤΙΑΣ
 ΔΙΔΑΚΤΟΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
 ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
 ΑΚΑΔΗΜΙΑΣ 67 - ΑΘΗΝΑ 106 79
 ΤΗΛ.: 3600154, 3603853, FAX: 3608926
 ΑΜ/ΔΣΑ: 3730 - ΑΦΜ: 096521672

[Handwritten signature]

S000008



Date: March 29, 2013


Athanasios Skarpeles

ACKNOWLEDGEMENT/NOTARIZATION

State of GREECE

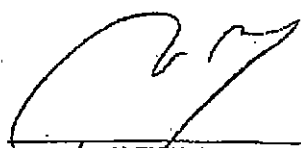
) ss.

County of Attika

On March 29, 2013 (DATE) before me, Athanasios Skarpeles (NAME OF NOTARY), personally appeared Mr. Athanasios Skarpeles (NAME) personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC
Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 108 79
ΤΗΛ: 3600154, 3603853, FAX: 3608926
ΑΜΙΔΣΑ: 3730 - ΑΦΜ: 006521572


NOTARY SIGNATURE

RECEIVED

APR 01 2013

STOP TRANSFER ORDER

DATE: March 29, 2013

NEVADA AGENCY AND
TRANSFER COMPANY

NEVADA AGENCY AND TRANSFER COMPANY:

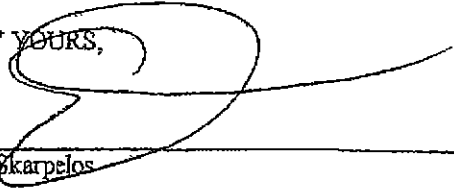
PLEASE BE ADVISED THAT THE FOLLOWING STOCK CERTIFICATE(S) OF ANAVEX LIFE SCIENCES CORP, A NEVADA CORPORATION, have been reported as:

☒ LOST ☐ STOLEN ☐ DESTROYED

ISSUED TO	CERT. NO.	SHARE QTY	DATE ISSUED	RESTRICTED?
64 Athanasios Skarpelos	660	92500	9/24/2007	Yes
	753	6633332	10/29/2009	Yes

KINDLY RECORD A STOP-TRANSFER ORDER AGAINST THE ABOVE CERTIFICATE(S).

VERY TRULY YOURS,


s/ Athanasios Skarpelos

SHAREHOLDER CONTACT INFORMATION:

Name:

Athanasios Skarpelos

Social Security #:

06333202

Address:

MISSOYSS

GLYFADA ATHENS GREECE

Telephone:

+306974657879.

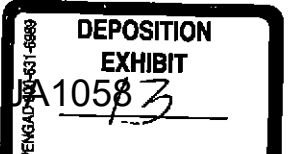
Email:

tomskarp@yelluo.com

THIS DOCUMENT MUST BE COMPLETED AND SIGNED BY THE REGISTERED SHAREHOLDER(S)

ΑΝΑΣΤΑΣΙΟΣ Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 106 79
ΤΗΛ.: 3600154, 3603853, FAX: 3608926
ΑΜ/ΔΣΑ: 3730 - ΑΦΜ: 006521572

S000010



NEVADA AGENCY AND TRANSFER COMPANY

50 WEST LIBERTY, SUITE 880, RENO, NEVADA 89501 • TELEPHONE (775) 322-0626

74061

DATE 4/04/2013

74061

ANAVEX LIFE SCIENCES
CORP.
PO BOX 10068 PACIFIC CTR
VANCOUVER BC V7Y1C3

ANAVEX LIFE SCIENCES
CORP.
PO BOX 10068 PACIFIC CTR
VANCOUVER BC V7Y1C3

WE ACKNOWLEDGE RECEIPT OF CERTIFICATES OF STOCK OF THE ABOVE COMPANY AS FOLLOWS:

CERTIFICATE NUMBER	NAME	NUMBER OF SHARES	CERTIFICATE NUMBER	NAME	NUMBER OF SHARES
660	SKARPELOS, ATHANASIOS *	92500		ISSUED	
753	SKARPELOS, ATHANASIOS *	6633332			
	RESTRICTED - 144 LEGEND				
	LOST CERTIFICATES				
	CANCELED		975	SKARPELOS, ATHANASIOS * 30.00	6725832
				SH # 00064	
				NISSOYS 5	
				GLYFADA ATHENS 16675	
				GREECE	
	CANCELED			RESTRICTED - ISSUED LEGEND	
				LOST CERTIFICATE FEE	50.00
				CERT SENT VIA UPS	50.00
				#1Z60E72E0491625533 TO	
	CANCELED			SHAREHOLDER ISSUED	

OUR CHARGES FOR THE ABOVE TRANSFERS ARE AS FOLLOWS:

6725832

6725832

ISSUING
OTHER CHARGES

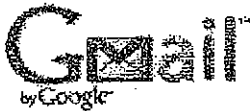
PENGAD 800-631-6989

DEPOSITION
EXHIBIT
14

30.00
100.00

EACH

JA1059



I.pedaf <l.pedaf@gmail.com>

RE: Courier Address for Weiser Asset Management Ltd.

Athanasios Skarpelos <tom@bizex.bz>
To: hbdaniels@weiseram.com
Cc: I.pedaf@gmail.com

Mon, May 30, 2011 at 8:30 PM

Thank you Howard. Also I need the forms to open account with Weiser Asset Management Ltd before I leave so we can deposit the Anavex certificate in that account. We can meet today or tomorrow to open the account.

TOM SKARPELOS
tom@bizex.bz
Cell: +16463184979

-----Original Message-----

From: hbdaniels@weiseram.com [mailto:hbdaniels@weiseram.com]
Sent: Fri 5/27/2011 8:20 AM
To: Athanasios Skarpelos
Subject: Courier Address for Weiser Asset Management Ltd.

Hi Tom,

I hope you are doing well.

I understand you have some certificates that you would like to courier in advance of opening your account with Weiser.

Please Courier them to:

Weiser Asset Management Limited

Attn: Howard Daniels

de la Plaine House,
28 Parliament Street
P.O. Box N-10697,
Nassau, Bahamas
Phone-242-325-0922

Sincerely,

Howard Daniels
Chief Operating Officer, Director
Weiser Asset Management Ltd.
Nassau, Bahamas
Office 242-325-0922
Cell 242-454-7873
Alternate Cell 647-965-2275



This communication and any attachments may contain information that is privileged or confidential and is intended only for the use of the individual to whom it is addressed. Any other distribution, copying or disclosures is strictly prohibited. If you have received this communication in error, please notify us immediately then delete this.

JA1060



I.pedaf <I.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Christos <christos@bizex.bz>
To: I.pedaf@gmail.com

Mon, Jun 24, 2013 at 8:03 PM

I have a call with them late tonight



S000012

JA1061



I.pedaf <l.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Lambros Pedafronimos <l.pedaf@gmail.com>

Mon, Jun 24, 2013 at 8:15 PM

To: Christos <christos@bizex.bz>

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

—
Lambros Pedafronimos



S000013

JA1062



I.pedaf <l.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Christos <christos@bizex.bz>
To: I.pedaf@gmail.com

Mon, Jun 24, 2013 at 8:30 PM

Email me blanks ones now so I can show them what they'll be looking like etc

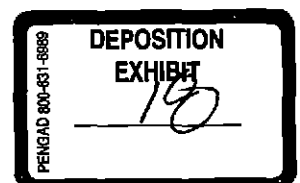
From: Lambros Pedafronimos
To: Christos
Sent: Mon Jun 24 13:15:55 2013
Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

—
Lambros Pedafronimos



S000014

JA1063



I.pedaf <l.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos <christos@bizex.bz>

Mon, Jun 24, 2013 at 8:53 PM

attached bud!

On Mon, Jun 24, 2013 at 8:30 PM, Christos <christos@bizex.bz> wrote:

Email me blanks ones now so I can show them what they'll be looking like etc

From: Lambros Pedafronimos
To: Christos
Sent: Mon Jun 24 13:15:55 2013
Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

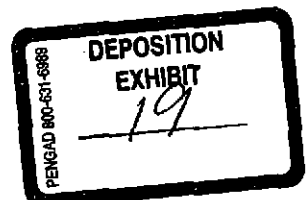
--
Lambros Pedafronimos

Lambros Pedafronimos

2 attachments

 **POA.pdf**
20K

 **STOCK SALE AND PURCHASE AGREEMENT.docx**
17K



S000015

JA1064



I.pedaf <l.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Lambros Pedafronimos <l.pedaf@gmail.com>

Tue, Jun 25, 2013 at 3:54 PM

To: Christos <christos@bizex.bz>

We need someone to complete the language on the purchase and sale agreement. Do we have anyone on our end for that?

On Mon, Jun 24, 2013 at 8:53 PM, Lambros Pedafronimos <l.pedaf@gmail.com> wrote:
attached bud!

On Mon, Jun 24, 2013 at 8:30 PM, Christos <christos@bizex.bz> wrote:

Email me blanks ones now so I can show them what they'll be looking like etc

From: Lambros Pedafronimos

To: Christos

Sent: Mon Jun 24 13:15:55 2013

Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?

Yes re, were putting the docs in place

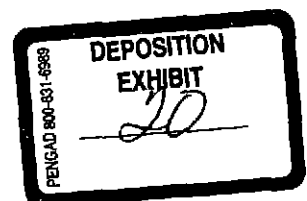
On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

--
Lambros Pedafronimos

--
Lambros Pedafronimos

--
Lambros Pedafronimos



S000016

JA1065



I.pedaf <l.pedaf@gmail.com>

Agreement

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos Livadas <christos@bizex.bz>

Tue, Jul 2, 2013 at 4:12 PM

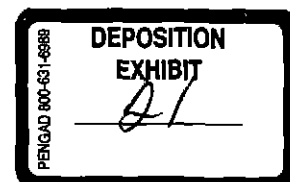
Hi Bud,

Attached is a copy of the purchase and sale agreement, let me know if any adjustments need to be made. I will send you a copy of the POA tonight.

Best,

Lambros Pedafronimos

 STOCK SALE AND PURCHASE AGREEMENT.pdf
55K



S000017

JA1066



l.pedaf <l.pedaf@gmail.com>

Agreement

Christos <christos@bizex.bz>

Tue, Jul 2, 2013 at 4:52 PM

To: Lambros Pedafronimos <l.pedaf@gmail.com>

Dont forget: they need to be notarized.

Courier originals to Bouts.

On Jul 2, 2013, at 9:13 AM, "Lambros Pedafronimos" <l.pedaf@gmail.com> wrote:

> Hi Bud,

>

> Attached is a copy of the purchase and sale agreement, let me know if any adjustments need to be made, I will send you a copy of the POA tonight.

>

> Best,

> --

> Lambros Pedafronimos

> <STOCK SALE AND PURCHASE AGREEMENT.pdf>



S000018

JA1067



l.pedaf <l.pedaf@gmail.com>

Agreement

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos <christos@bizex.bz>

Wed, Jul 3, 2013 at 10:22 PM

Hi Bud,

Please find attached the updated purchase and sale document with the figures that were discussed.

This is the version that will be notarized.

Cheers,

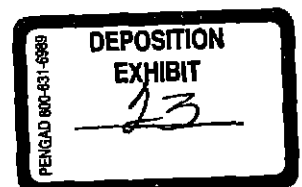
L

On Tue, Jul 2, 2013 at 4:52 PM, Christos <christos@bizex.bz> wrote:

>
> Dont forget: they need to be notarized.
>
> Courier originals to Bouts.
>
>
> On Jul 2, 2013, at 9:13 AM, "Lambros Pedafronimos" <l.pedaf@gmail.com> wrote:
>
>> Hi Bud,
>>
>> Attached is a copy of the purchase and sale agreement, let me know if any adjustments need to be made. I
will send you a copy of the POA tonight.
>>
>> Best,
>> -
>> Lambros Pedafronimos
>> <STOCK SALE AND PURCHASE AGREEMENT.pdf>

-
Lambros Pedafronimos

PAS.pdf
44K



S000019

JA1068



l.pedaf <l.pedaf@gmail.com>

Notarized Documents

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos Livadas <christos@bizex.bz>

Tue, Jul 9, 2013 at 2:08 PM

Hi Bud,

Per our discussion, please find attached the notarized copies.

Regards,

Lambros Pedafronimos

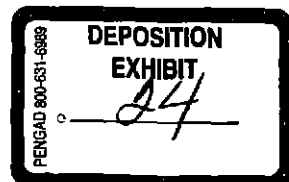
2 attachments



POATom.pdf
230K



Purchase And Sale.pdf
2118K



S000020

JA1069

STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this **Agreement**) is dated as of July 5, 2013 and is made and entered into by and among WEISER LTD. (**Buyer**) and Athanasios Skarpeios (**Seller**) with respect to the following facts:

A.

Seller owns 3,316,666 shares of common stock of Anavex Life Sciences Corp., a Nevada corporation (the **Company**).

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 3,316,666 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Three Million Three Hundred and Sixteen Thousand Six Hundred and Sixty Six (3,316,666) shares of common stock (the **Shares**) of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

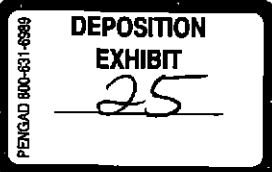
Purchase Price. The purchase price for the Shares (the **Purchase Price**) is Two Hundred and Fifty Thousand dollars (\$250,000.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on September 20, 2013 or such other date as the parties hereto may agree to (the **Closing Date**). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller, and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER



JA1070
WEISER000207

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. The sale, conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement transfers to Buyer legal and valid title to the Shares, free and clear of all liens, security interests, hypothecations or pledges.

ARTICLE III

REPRESENTATIONS AND WARRANTIES OF BUYER

To induce Seller to enter into and perform their obligations under this Agreement, Buyer represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result in a breach of or default under any agreement to which Buyer is a party or by which Buyer is bound.

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with, and shall be governed by, the laws of the State of California without reference to, and regardless of, any applicable choice or conflicts of laws principles.

Section 4.3

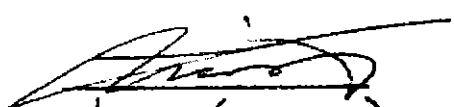
Counterparts. This Agreement may be executed in any number of counterparts and by the several parties hereto in separate counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same Agreement.

Section 4.4

Further Assurances. Each of the parties hereto shall from time to time at the request of any other party hereto, and without further consideration, execute and deliver to such other party such further instruments of assignment, transfer, conveyance and confirmation and take such other action as such other party may reasonably request in order to more effectively fulfill the purposes of this Agreement.

IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer.


WEISER (BAHAMAS) LTD


Seller

Athanasios Skarpelos

ΣΚΑΡΠΕΛΟΣ ΑΘΑΝΑΣΙΟΣ

09/11/2021, 12:43:22

ΣΩΤΗΡΙΟΣ Γ. ΚΑΨΑΛΗΣ

Υπάλληλος Κ.Ε.Π.

Λόγου Βόρειος Κεντρικής

STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this Agreement) is dated as of _____

_____ and is made and entered into by and among _____ (Buyer) and Athanasios Skarpelos (Seller) with respect to the following facts:

A.

Seller owns 3,316,666 shares of common stock of Anavex Life Sciences Corp., a Nevada corporation (the Company).

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 3,316,666 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Three Million

Three Hundred and Sixteen Thousand Six Hundred and Sixty Six (3,316,666) shares of common stock (the Shares) of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

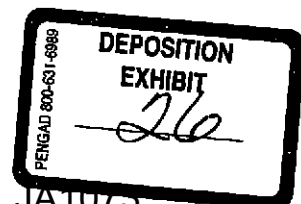
Purchase Price. The purchase price for the Shares (the Purchase Price) is Two Hundred and Fifty Thousand dollars (\$250,000.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on _____, _____, at _____ o'clock, _____ date as the parties hereto may agree to (the Closing Date). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller, and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER



WEISER000161

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. ~~There shall be~~ conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement ~~transfer to Buyer legal and valid title to the Shares, free and clear of all~~.

represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. ~~The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result in a breach of or default under any agreement to which Buyer is a party or by which Buyer is bound.~~

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with

Further, this Agreement shall be construed, interpreted and enforced in accordance with the laws of any other party hereto, and shall be construed, interpreted and enforced in accordance with the laws of any other party hereto, and shall be construed, interpreted and enforced in accordance with the laws of any other party hereto.

IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer:

Seller:

Athanasios Skarpeles

ΣΚΑΡΠΕΛΟΣ ΑΘΑΝΑΣΙΟΣ
09/12/13 ΑΥ 12452

ΣΩΤΗΡΙΟΣ Γ. ΚΑΨΑΛΗΣ
Υπάλληλος Κ.Ε.Π.
Λόγος Βόρειας Κυανοπράσινης

Message

From: Nick Boutsalis [nboutsalis@primorisgroup.com]
Sent: 12/17/2013 5:00:05 PM
To: Christos Weiser Capital [xtos@weisercapital.bz]
Subject: Stock Certificate

WITHOUT PREJUDICE:

Mr. Livadas,

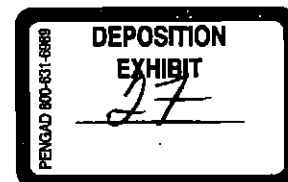
Further to your phone call and email. The transfer agent is prepared to issue a new certificate in your firms name. The TA will require the following, which you have indicated you have.

To transfer shares, the following items must be submitted to the transfer agents office:

- The original stock certificate(s)
- A letter of instruction including contact information for the sender and cost basis
- A stock power or the back of the certificate signed by the current registered shareholder or authorized signatory and medallion guaranteed
- The new shareholder name, address and social security number
- Fees in the amount of \$30 for each new certificate issued
- A courier account number or additional fees of \$15 FedEx ground in the domestic US, \$30 overnight shipping domestic US, \$40 Canada, \$50 International or \$75 for Australia and Israel

NOTE: All stock must ship via courier with a signature required at time of delivery

If you have any further questions please do hesitate to call me.



JA1076
WEISER000168

Message

From: Nick Boutsalis [nboutsalis@primorisgroup.com]
Sent: 12/18/2013 7:45:51 AM
To: Tiffany Erickson [tiffany@natco.org]
Subject: Re: Anavex Life Sciences

Thank you Tiffany. Will pass information along.

From: Tiffany Erickson <tiffany@natco.org>
Date: Tue, 17 Dec 2013 17:53:05 -0500
To: 1 <nboutsalis@primorisgroup.com>
Cc: 'Christopher Missling' <cmisling@anavexcorp.com>, "stocktransfer@natco.org" <stocktransfer@natco.org>
Subject: RE: Anavex Life Sciences

Hi Nick:

To transfer shares, the following items must be submitted to our offices:

- ? The original stock certificate(s)
- ? A letter of instruction including contact information for the sender and cost basis
- ? A stock power or the back of the certificate signed by the current registered shareholder or authorized signatory and medallion guaranteed
- ? The new shareholder name, address and social security number
- ? Fees in the amount of \$30 for each new certificate issued
- ? A courier account number or additional fees of \$15 FedEx ground in the domestic US, \$30 overnight shipping domestic US, \$40 Canada, \$50 International or \$75 for Australia and Israel

NOTE: All stock must ship via courier with a signature required at time of delivery

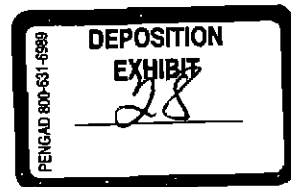
As Mr. Skarpelos is located in Greece, he may not be able to obtain a medallion guarantee. We will accept a signature guarantee or notary on the stock power or back of the certificate(s) and copies of two forms of photo I.D.

Best regards,
Tiffany Erickson
Transfer Agent Manager

Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno NV 89501

Tel: 775-322-0626
Fax: 775-322-5623
Email: tiffany@natco.org

www.natco.org



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USE, COPYING, REVIEW OR DISCLOSURE IS PROHIBITED. PLEASE NOTIFY THE SENDER IMMEDIATELY IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR. THANK YOU FOR YOUR ASSISTANCE AND CO-OPERATION.

From: Nick Boutsalis [<mailto:nboutsalis@primorisgroup.com>]
Sent: Tuesday, December 17, 2013 2:38 PM
To: Tiffany Erickson
Cc: Nick Boutsalis; Christopher Missling
Subject: Re: Anavex Life Sciences

Thank you for you email.

Weiser has the original certificates along with the signed PA and wishes to put them in the firms name as the client had instructed.

How do we proceed?

On Dec 17, 2013, at 5:28 PM, "Tiffany Erickson" <tiffany@natco.org> wrote:
Good afternoon Nick:

Unfortunately, we are unable to assist in this matter. We would recommend having the Buyer contact Mr. Skarpelos directly to obtain the certificates.

Best regards,
Tiffany Erickson
Transfer Agent Manager

Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno NV 89501

Tel: 775-322-0626
Fax: 775-322-5623
Email: tiffany@natco.org

www.natco.org

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From: Nick Boutsalis [<mailto:nboutsalis@primorisgroup.com>]
Sent: Monday, December 16, 2013 9:31 AM
To: tiffany@natco.org

Cc: Christopher Missling
Subject: Anavex Life Sciences

Hello Tiffany,

I have received a phone call and documents from a clients broker that would like some direction.

What I understood was the following.

Buyer (Weiser Client) and Seller (Athanasios Skarpelos) had an agreement where buyer pledged/sold his shares to Buyer.

Buyer now wants to receive his certificate in his name or in the brokers name. This is where problem lies. Buyer has concerns that perhaps Seller has somehow deposited the following certs and therefore buyer will not be able to get his certificate.

What do I tell the broker? How should they proceed?

Thank You

Nick Boutsalis
Anavex Investor Relations
416-489-0092

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

October 30, 2015

VIA EMAIL
info@natco.org
AND FEDEX

Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

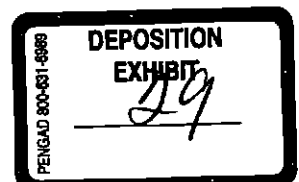
Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

Ladies and Gentlemen:

We are writing on behalf of Weiser Asset Management Ltd., a Bahamas company ("Weiser"). On or about July 12, 2013, Athanasios Skarpelos ("Seller") sold 3,316,666 shares of common stock (the "Sold Stock") of Anavex Life Sciences Corp., a Nevada corporation ("Anavex"). Subsequently, Weiser delivered to Nevada Agency and Transfer Company ("Transfer Agent"), in its capacity as the transfer agent for Anavex common stock, Stock Certificate No. 0753 for 6,633,332 shares of Anavex common stock issued in the name of Seller (the "Stock Certificate") and a stock power executed by Seller in favor of Weiser to effect the transfer of the Sold Stock to Weiser (the "Stock Power").

In response to Weiser's submission of the Stock Certificate and Stock Power, you advised Weiser that Seller had reported to you that he had lost the Stock Certificate and requested that you issue a replacement certificate. It is our understanding that pursuant to your request, Seller submitted an affidavit under oath in which he stated that he had lost the Stock Certificate. You then issued a replacement certificate to Seller (the "Replacement Certificate").

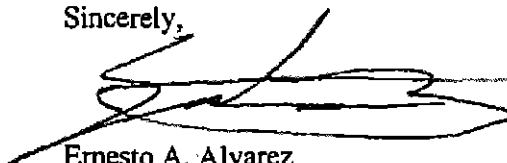
It is clear that Seller obtained the Replacement Certificate under false pretenses. We hereby demand that you immediately place a stop transfer restriction on the shares of Anavex common stock represented by the Replacement Certificate, cancel the Replacement Certificate, and register on Anavex's stock transfer records Weiser's ownership of the 3,316,666 shares of Anavex common stock. If you have any doubt as to your obligations under applicable law, we remind you that pursuant to Nev. Rev. Stat. §104.8405, if, after the issue of a new security certificate, a protected purchaser of the original certificate presents the original certificate for registration of transfer, the transfer agent must register the transfer.



JAF088 000002

We request that you immediately confirm to us in writing that you are taking the steps outlined above. Your immediate action is critical in order to avoid any potential loss or damage to Weiser.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernesto A. Alvarez', with a large, sweeping flourish at the end.

Ernesto A. Alvarez

cc: Weiser Asset Management Ltd. (via email)

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 2, 2015

VIA EMAIL

info@natco.org


Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

Ladies and Gentlemen:

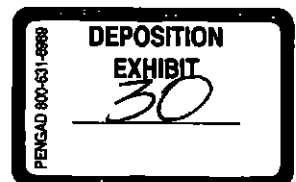
This letter is a follow-up to our letter to you dated October 30, 2015, in which we demanded that Nevada Agency and Transfer Company immediately place a stop transfer order on the Replacement Certificate (as defined in our October 30th letter). This is a time-sensitive issue, and therefore, we reiterate our demand that you immediately place a stop transfer order on the Replacement Certificate and confirm to us that you have done so.

Sincerely,



Ernesto A. Alvarez

cc: Weiser Asset Management Ltd. (via email)



JA1082
WEISER000004

ALEXANDER H. WALKER III

Attorney at Law
American Plaza II
57 West 200 South, Suite 400
Salt Lake City, Utah 84101
(801) 363-0100
(801) 521-3301 (Fax)
admitted in Utah and Nevada

November 3, 2015

Via U.S. Mail and Facsimile: (305) 682-3669

Ernesto A. Alvarez
MONTELLO Law
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Re: Anavex Life Sciences Corp./Skarpelos/Weiser Asset Management, Ltd.

Mr. Alvarez:


I represent Nevada Agency and Transfer Company ("NATCO"). NATCO has received your letter dated October 30, 2015. I am trying confirm the information in your letter and would appreciate it if you could provide me with copies of the documents evidencing your client's presentment of certificate number 0753 as referenced in your letter, including a copy of certificate 0753 and any instruction your client submitted therewith.

Also, for purposes of your request for stop transfer instructions, are you making a request under section 8-403 that the issuer not register a transfer? If so can you please confirm the facts that support your client's claim that is an "appropriate person" as that term is identified under the applicable provisions of the Uniform Commercial Code. That information would be very helpful.

So you are aware, NATCO has contacted both Anavex and Mr. Skarpelos and has requested that they address this matter.

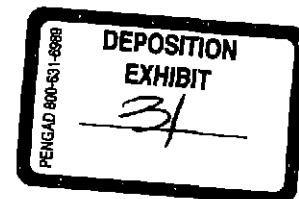
Please contact me at your convenience.

Sincerely,



Alexander H. Walker III

AHW:hm
cc: Client



JA1083
WEISER000001



Attention:
Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Nov. 12, 2015

Re: Share Certificate DWAC Request

To Whom It May Concern:

Enclosed, please find the Anavex Life Sciences Corp. share certificate #0753 (6,633,332 shares) registered in the name of Athanasios Skarpelos.

Please have this share certificate restriction's legend removed and transfer via DWAC to State Street Bank's settlement instructions below.

SETTLEMENT INSTRUCTION:

Name of Bank: State Street Bank
DTC Participant #: 0997
Account Name: Weiser Asset Management Ltd.
Agent account: CCMA
DTC Institution ID: 0997
Agent Bank #: 26022

Sincerely,

Elias Sourcos
Weiser Asset Management Ltd.
Institutional Trade Desk



CLARK WILSON LLP

Reply to: Bernard Pinsky, Q.C.
Direct Tel: 604.643.3153
Email: BPinsky@cwilson.com
File No: 43750-0001

CLARK WILSON LLP
Barristers & Solicitors
Patent & Trade-Mark Agents
900 - 885 West Georgia Street
Vancouver, BC V6C 3H1 Canada
T. 604.687.5700 F. 604.687.6314
cwilson.com

November 12, 2015

WITHOUT PREJUDICE

VIA EMAIL EALVAREZ@MONTELOLAW.COM>

Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno NV 89501

Attention: Ernesto A. Alvarez

Dear Sirs:

Re: Claim of Weiser Asset Management Ltd.

We have been retained by Tom Skarpelos regarding the claim of Weiser Asset Management Ltd. ("Weiser") put forward in your letter dated October 30, 2015 and addressed to Nevada Agency and Transfer Company.

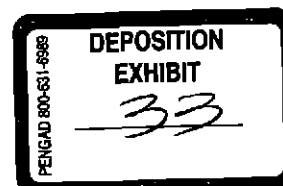
The following are the facts according to our client: Mr. Skarpelos did provide Weiser Asset Management with certificates 660 and 753 in 2011 in order to establish his brokerage account with Weiser, who represented themselves as a registered broker-dealer. The process of opening the account was not going smoothly, and our client found out that Weiser was not in fact a properly licensed dealer in the United States. Mr. Skarpelos tried many times to reach his contact at Weiser to get his shares back, but was unsuccessful in connecting with anyone in authority, and Mr. Skarpelos was alarmed when Weiser then stopped answering their phones.

At this point, Mr. Skarpelos was worried that Weiser was not reliably holding the shares and contacted Nevada Agency to see about cancelling the share certificates he had delivered to Weiser and getting new ones. Mr. Skarpelos was able to cancel the old certificates and had new ones issued in April, 2013.

In July, 2013, Weiser did re-establish contact with Mr. Skarpelos and informed him that they would like to arrange the sale of Mr. Skarpelos' shares. Mr. Skarpelos was prepared to sell on the right conditions, and did sign a purchase agreement on July 9, 2013 with regard to sale of the new certificates, not the cancelled certificates. However, Mr. Skarpelos kept in his possession the share certificates that had been re-issued together with the original stock power of attorney and original purchase agreement. Mr. Skarpelos would transfer the originals of those documents to the buyer when and if the purchase price was forthcoming within a reasonable time. The purchase price was actually never paid, and the proposed purchaser was never identified by Weiser. The purchase and sale agreement expired within a reasonable time of Mr. Skarpelos' signature being affixed. The date of the Agreement which has since expired is July 9, 2013, months after certs 660 and 753 had been cancelled.

In your demand letter to Nevada Agency, you quote Nevada Revised Statute 104.8405. Unfortunately, you neither quote it in full nor refer to the definitions of terms which are crucial for understanding the law. It is true that if "a protected purchaser of the original certificate presents it for registration of

CW9038042.1



JA1085
WEISER000007

transfer, the issuer shall register the transfer" but the clause goes on to say "unless an overissue shall result." In this case, clearly an overissue would result as Mr. Skarpelos replaced those cancelled shares with others.

Secondly, the definition of "protected purchaser" under Nevada law is:

NRS 104.8303 Protected purchaser.

1. "Protected purchaser" means a purchaser of a certificated or uncertificated security, or of an interest therein, who:

- (a) Gives value;
- (b) Does not have notice of any adverse claim to the security; and
- (c) Obtains control of the certificated or uncertificated security.

In this case, Weiser neither gave value nor can claim that it did not have notice of an adverse claim. It knew and knows now that Mr. Skarpelos lays claim to the shares, he has not sold them, because any intended or contemplated sale did not complete.

If Weiser wishes to prove its claim in court, it is welcome to attempt to do so and Mr. Skarpelos will rigorously fight such a claim.

Mr. Skarpelos states that Weiser is holding the cancelled certificate numbers 660 and 753 improperly, and those certificates should be returned to Nevada Agency for cancellation. If Weiser fails to do so, our client will consider his legal remedies.

Yours truly,

CLARK WILSON LLP

Per: 

Bernard Pinsky, Q.C.*
Incorporated Partner

BIP/bip

Encl.

cc: Tom Skarpelos

* Admitted to practice in: British Columbia; California

Message

From: Christos Livadas [xtos@weisercapital.bz]
Sent: 11/12/2015 3:01:11 PM
To: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMontello]
CC: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: Re: Submission request: Anavex Stock Certificate #0753

Redacted

From: Louis Montello <LMontello@montellolaw.com>
Date: Thu, 12 Nov 2015 16:16:14 -0500
To: Christos Weiser Capital<xtos@weisercapital.bz>
Cc: Ernesto Alvarez<ealvarez@montellolaw.com>
Subject: Submission request: Anavex Stock Certificate #0753

Redacted

Louis R. Montello



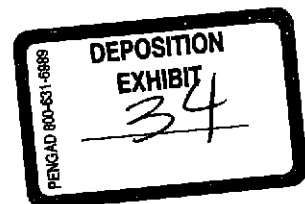
2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

lmontello@montellolaw.com

www.montellolaw.com



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From: Christos Weiser Capital [mailto:xtos@weisercapital.bz]
Sent: Thursday, November 12, 2015 2:45 PM
To: Nick Boutsalis (nboutsalis@primorisgroup.com)
Subject: Submission request: Anavex Stock Certificate #0753

Hi, Nick –

Thank-you for confirming your office received the original stock certificate #0753, stock power, and copy of passport for Athanasios Skarpelos on November 2013, and is in safe-keeping.

Please confirm receipt of this email, and courier these items to Anavex' transfer agent.

Furthermore, please forward tracking# and advise when the courier has been delivered to the transfer agent.

Regards,

Christos

Christos Livadas

Director, Weiser (Bahamas) Ltd.

+1-242-698-6605

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 13, 2015

VIA EMAIL

Alexander Walker III, Esquire
American Plaza II
57 West 200 South, Suite 400
Salt Lake City, Utah 84101

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

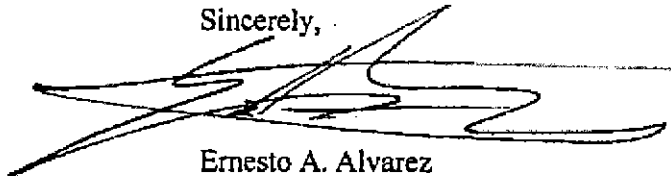
Dear Mr. Walker:

We are writing in response to your letter to us dated November 3, 2015. We have confirmed that Anavex Life Sciences Corp. ("Anavex") has delivered or is in the process of delivering to Nevada Agency and Transfer Company (the "Transfer Agent") original Stock Certificate No. 0753 for 6,633,332 shares of Anavex common stock issued in the name of Mr. Skarpelos and the stock power executed by Mr. Skarpelos in favor of Weiser Asset Management Ltd. ("Weiser"). Enclosed please find our letter to the Transfer Agent requesting that it register on Anavex's stock transfer records Weiser's ownership of the 3,316,666 shares of Anavex common stock.

Because Anavex issued a new certificate to Mr. Skarpelos, we are making this request to the Transfer Agent pursuant to Nev. Rev. Stat. §104.8405, which states that if, after the issue of a new security certificate, a protected purchaser of the original certificate presents the original certificate for registration of transfer, the transfer agent must nonetheless register the transfer. In this case, Weiser meets the §104.8303 definition of a "protected purchaser" because it purchased a certificated security for value without notice of any adverse claim to the security at the time of such purchase, and thereafter obtained control of the certificated security.

Please immediately confirm to us in writing that the Transfer Agent is effecting the transfer of the Stock to Weiser. The Transfer Agent's immediate action is critical in order to avoid loss or damage to Weiser.

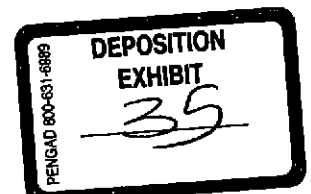
Sincerely,



Ernesto A. Alvarez

Enclosure

cc: Weiser Asset Management Ltd. (via email) (with enclosure)



JA1089
WEISER000009

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 13, 2015

VIA EMAIL

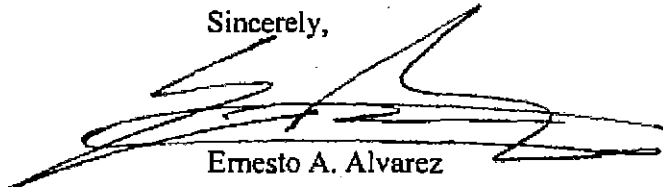
Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

Ladies and Gentlemen:

This letter is in reference to our letter dated October 30, 2015, regarding the registration of the transfer of shares of Anavex Life Sciences Corp. ("Anavex") to Weiser Asset Management Ltd. ("Weiser") pursuant to the July 12, 2013 sale transaction whereby Mr. Skarpelos sold 3,316,666 shares of Anavex common stock (the "Stock") to Weiser. We understand that Anavex has received original Stock Certificate No. 0753 and the stock power executed by Mr. Skarpelos in favor of Weiser to effect the transfer of the Stock to Weiser. We request that you immediately effect the transfer of the Stock from Mr. Skarpelos to Weiser on Anavex's stock transfer records in accordance with the enclosed instruction letter from Weiser.

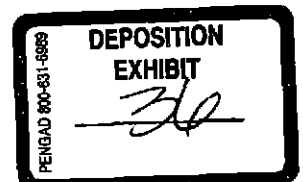
Sincerely,



Ernesto A. Alvarez

Enclosure

cc: Weiser Asset Management Ltd. (via email) (with enclosure)
Alexander Walker III, Esquire (via email) (with enclosure)



JA1090
WEISER000005

Message

From: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMONTELLO]
Sent: 11/13/2015 4:29:30 PM
To: 'xtos@weisercapital.bz' [xtos@weisercapital.bz]
CC: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: Nevada Agency and Transfer Company

Redacted

Louis R. Montello



2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

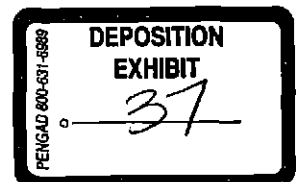
lmontello@montellolaw.com

www.montellolaw.com

Tax Advice Disclosure: To ensure compliance with requirements imposed by the IRS under Circular 230, we inform you that any U.S. Federal tax advice contained in this communication (including any attachments), unless otherwise specifically stated, was not intended or written to be used, and cannot be used, for the purpose of (1) avoiding penalties under the Internal Revenue Code or (2) promoting, marketing or recommending to another party any matters addressed herein.

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From: Alex Walker [<mailto:awalkerlaw@aol.com>]
Sent: Friday, November 13, 2015 6:26 PM
To: Ernesto Alvarez
Cc: Amanda Cardinali
Subject: Re: Nevada Agency and Transfer Company



JA1091
WEISER000187

Mr. Alvarez:

Your letter of November 13, 2015 to me requests that I "immediately confirm [to you] in writing that the Transfer Agent is effecting the transfer of the Stock to Weiser." I have checked with Nevada Agency and Transfer Company and to date the original stock certificate to which your refer has not been received. As you know, no transfer can be effected without a proper presentment of the certificate with the appropriate accompanying documentation in compliance with the requirements of Nevada's Uniform Commercial Code.

Alex Walker

Alexander Walker III

Attorney at Law

American Plaza II

57 West 200 South, Suite 400

Salt Lake City, Utah 84101

(801)363-0100

(801)521-3301 (Fax)

awalkerlaw@aol.com

*Admitted in Utah and Nevada

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On Nov 13, 2015, at 2:59 PM, Ernesto Alvarez <realvarez@montellolaw.com> wrote:

Please see the attached letter.

Ernesto A. Alvarez

<image001.jpg>

2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

realvarez@montellolaw.com

www.montellolaw.com

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<NEVADA.AGENCY.TRANSFER.CO.WALKER.LTR.11.13.15.pdf>

Nevada Agency & Transfer Co
50 W Liberty St # 880,
Reno, NV
89501

November 13, 2015

Dear Sirs;

Please find enclosed Stock Certificate 0753 for Anavex Life Sciences, copy of
Passport # AA3117184 and notarized POA. As instructed by Weiser we are sending
them to you as we had them in our office. We have been informed that a letter of
instruction is to follow.

Regards

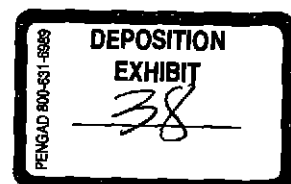


Nick Boutsalis
Primoris Group
160 Eglinton Ave East #602
Toronto, Ontario
M4P 3B5
416-489-0092

RECEIVED

NOV 16 2015

NEVADA AGENCY AND
TRANSFER COMPANY



PID - 000045
JA1094

A A 3 1 1 7 1 8 4



HELLAS

P<GRCSKARPELOS<<ATHANASTOS<<<<<<<<<<<<<<<<<<
AA31171845GRC661124BM1109032<<<<<<<<<<<<<D4

EQUITY TRUST BAHAMAS LIMITED

7:44 13.7.2013 *[Signature]*

Authorized Signatory Authorized Signatory

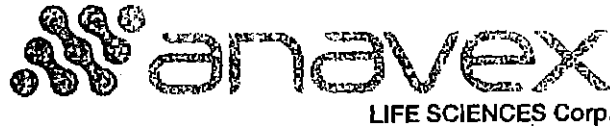
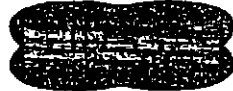
Date: 31 May 2011

PID - 000046
JA1095

NOT VALID UNLESS COUNTERSIGNED BY TRANSFER AGENT.
INCORPORATED UNDER THE LAWS OF THE STATE OF NEVADA.

NUMBER

SHARES



"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AND MAY NOT BE SOLD, TRANSFERRED OR OTHERWISE DISPOSED UNLESS, IN THE OPINION OF COUNSEL SATISFACTORY TO THE ISSUER, THE TRANSFER QUALIFIES FOR AN EXEMPTION FROM OR EXEMPTION TO THE REGISTRATION PROVISIONS THEREOF."

AUTHORIZED COMMON STOCK: 150,000,000 SHARES
PAR VALUE: \$0.001

CUSIP NO. 032797 10 2

This Certifies that

ATHANASIOS SKARPELOS

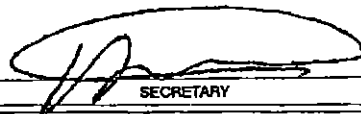
Is The Record Holder Of ***SIX MILLION SIX HUNDRED THIRTY THREE THOUSAND THREE HUNDRED THIRTY TWO***

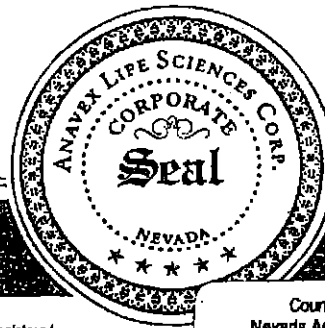
Shares of ANAVEX LIFE SCIENCES CORP. Common Stock

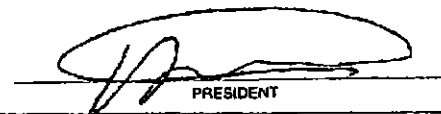
transferable on the books of the Corporation by the holder hereof, in person or by duly authorized attorney, upon surrender of this Certificate properly endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

Witness the facsimile seal of the Corporation and the facsimile signatures of its duly authorized officers.

Dated: OCTOBER 29, 2009


SECRETARY




PRESIDENT

NOT VALID UNLESS COUNTERSIGNED BY TRANSFER AGENT

Countersigned & Registered

Countersigned and Registered
Nevada Agency and Transfer Company
50 West Liberty Street • Suite 880 • Reno, Nevada 89501


Authorized Signature

© 2009 Copyright 2009, Anavex Life Sciences Corp. All Rights Reserved.

PID - 000047

JA1096

POWER OF ATTORNEY TO TRANSFER BONDS OR SHARES

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto:

(Name of transferee)

of

(Transferee's address)

↑ (Description of Security - include number and class of Shares or amount (par value), rate and maturity of Bonds) ↑

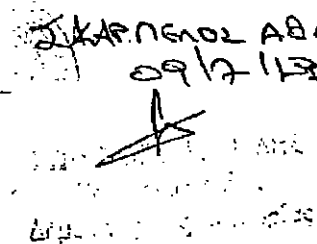
standing in the name of the undersigned on the books of _____ represented by certificate No. _____ and hereby irrevocably constitutes and appoints _____ the attorney of the undersigned to transfer the said shares on the books of the Company with full power of substitution in the premises.

DATED at _____ this _____ day of _____, 20 _____

Signed:

In the presence of:


SIGNATURE OF TRANSFEROR


SIGNATURE OF WITNESS

Signature of transferor guaranteed by

NOTE: The signature to this assignment must correspond with the name as recorded on the certificate/bond in every particular without alteration or enlargement or any change whatever. The signature of the person executing this power must be guaranteed by a Bank or Trust Company, or by a Member of the Toronto Stock Exchange.

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 16, 2015

VIA EMAIL

Alexander Walker III, Esquire
American Plaza II
57 West 200 South, Suite 400
Salt Lake City, Utah 84101

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

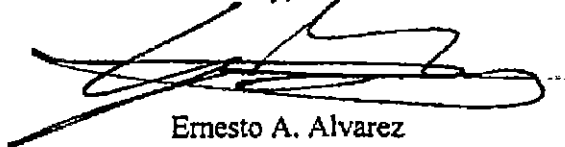
Dear Mr. Walker:

In response to your letter to us dated November 3, 2015, we have confirmed that Anavex Life Sciences Corp. ("Anavex") has delivered to Nevada Agency and Transfer Company (the "Transfer Agent") original Stock Certificate No. 0753 for 6,633,332 shares of Anavex common stock issued in the name of Mr. Athanasios Skarpelos and the stock power executed by Mr. Skarpelos in favor of Weiser Asset Management Ltd. ("Weiser"). In addition, we previously provided the Transfer Agent with an instruction letter from Weiser to the Transfer Agent requesting that it register on Anavex's stock transfer records Weiser's ownership of the 3,316,666 shares of Anavex common stock.

To reiterate our client's position, Weiser has presented an original certificate to the Transfer Agent for registration of transfer, and, pursuant to §104.8405 of Nevada Uniform Commercial Code – Investment Securities (the "Act"), the Transfer Agent must register the transfer. Weiser is a "protected purchaser" in accordance with §104.8303 of the Act because it purchased a certificated security for value, did not have notice of any adverse claim to the security and obtained control of the certificated security.

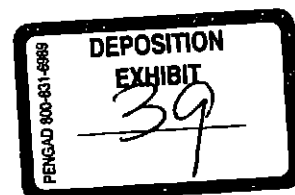
Please immediately confirm to us in writing that the Transfer Agent is effecting the transfer by Mr. Skarpelos to Weiser of 3,316,666 shares of Anavex common stock. The Transfer Agent's immediate action is critical in order to avoid loss or damage to Weiser.

Sincerely,



Ernesto A. Alvarez

cc: Weiser Asset Management Ltd. (via email) (with enclosure)



JA1098
WEISER000012

Message

From: Simonitsch, Bill J [bill.simonitsch@klgates.com]
Sent: 11/17/2015 12:55:28 PM
To: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMontello]
CC: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: Transfer of shares of Anavex Life Science

Nice speaking with you today. Per our conversation, you will send me a copy of the fully executed Power of Attorney and a copy of the fully executed July 12, 2013 sales transaction between Skarpelos and Weiser. After I review those documents, I will speak with Anavex regarding your client's position that it is a protected purchaser.

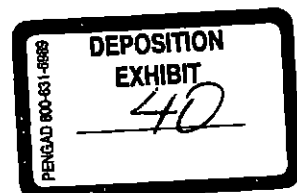
Sincerely,

Bill Simonitsch



William J. Simonitsch
K&L Gates LLP
Southeast Financial Center, Suite 3900
200 S. Biscayne Blvd.
Miami, Florida 33131
Phone: 305.539.3336
Fax: 305.358.7095
E-mail: bill.simonitsch@klgates.com
Website: www.klgates.com

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JA1099
WEISER000238

Message

From: Simonitsch, Bill J [bill.simonitsch@klgates.com]
Sent: 11/18/2015 12:16:14 PM
To: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: RE: Transfer of Shares of Anavex Life Sciences Corp.

Thank you.

From: Ernesto Alvarez [mailto:ealvarez@montellolaw.com]
Sent: Wednesday, November 18, 2015 12:21 PM
To: Simonitsch, Bill J
Cc: Louis Montello
Subject: Transfer of Shares of Anavex Life Sciences Corp.

Mr. Simonitsch:

As per your request, attached please find the following documents:

1. Stock Power.
2. Stock Sale and Purchase Agreement.

Thank you,

Ernesto A. Alvarez



2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

ealvarez@montellolaw.com



JA1100
WEISER000216

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Message

From: Simonitsch, Bill J [bill.simonitsch@klgates.com]
Sent: 11/19/2015 1:50:32 PM
To: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
CC: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMontello]
Subject: RE: Transfer of Shares of Anavex Life Sciences Corp.

Thank you again for providing this back-up. Do you also have proof that you can provide me showing that the purchase price was paid and received?

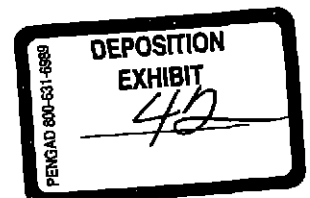
Sincerely,

Bill Simonitsch



William J. Simonitsch
K&L Gates LLP
Southeast Financial Center, Suite 3900
200 S. Biscayne Blvd.
Miami, Florida 33131
Phone: 305.539.3336
Fax: 305.358.7095
E-mail: bill.simonitsch@klgates.com
Website: www.klgates.com

From: Ernesto Alvarez [mailto:ealvarez@montellolaw.com]
Sent: Wednesday, November 18, 2015 12:21 PM
To: Simonitsch, Bill J
Cc: Louis Montello
Subject: Transfer of Shares of Anavex Life Sciences Corp.



JA1102
WEI0000218

Mr. Simonitsch:

As per your request, attached please find the following documents:

1. Stock Power.
2. Stock Sale and Purchase Agreement.

Thank you,

Ernesto A. Alvarez



2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

eaalvarez@montellolaw.com

www.montellolaw.com

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Statement of Account

ACCOUNT USD 200-802992

Statement for the period February 1, 2019 - December 31, 2019

Skarpalos, Athanasios
Tsim II Ghylada
Athens
Greece

For additional service, contact
ELIAS SOURSOS
Investment Advisor

242-698-6600

ACCOUNT SUMMARY

Estimated Current Value

CASH ON DEPOSIT	4,115.36
COMMON SHARES	N/A
Total Assets	USD 4,115.36

CASH SUMMARY

USD Account	USD 4,115.36
-------------	--------------

SECURITIES SUMMARY

USD Account

Quantity	Location	Current Price	Estimated MV
----------	----------	---------------	--------------

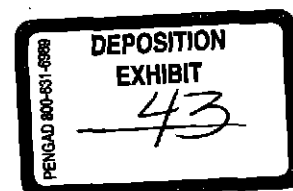
COMMON SHARES

ANAVEX LIFE SCIENCES CORP.	92,500	Res-Seg	N/A
ANAVEX LIFE SCIENCES CORP.	3,318,856	Res-Seg	N/A

(N/A) partial of 100 (0.75%)

Market Value of COMMON SHARES

N/A





Statement for the period February 1, 2013 - December 31, 2013

SKARPELOS, ATHANASIOS
ACCOUNT USD 200-802992

ACCOUNT ACTIVITY

Cash - USD

Date	Activity	Value Date	Debit	Credit	Balance
02/01/2013	Opening Balance		(140,267.64)		(140,268)
03/25/2013	*Transfer TRANS USD TO EUR W200802992032513 10,000 EUR	03/26/2013	(13,391.90)		(153,678.54)
03/25/2013	*Wire Out Fee		(125.00)		(153,804.54)
04/02/2013	*BTOCK SALE ANAVEX LIFE SCIENCE CORP 3,316,866	04/02/2013		249,580.00	85,775.48
05/09/2013	*Transfer TRANS USD TO EUR W200802992050913 15,000 EUR	05/14/2013	(20,098.40)		75,706.08
05/09/2013	*Wire Out Fee		(125.00)		75,581.08
05/22/2013	*Transfer TRANS USD TO EUR W200802992052213 16,033.90 EUR	05/29/2013	(20,000.00)		55,581.08
05/22/2013	*Wire Out Fee		(125.00)		55,456.08
07/02/2013	*Transfer TRANS USD TO EUR W200802992070213 15,000 EUR	07/08/2013	(18,847.60)		35,608.26
07/02/2013	*Wire Out Fee		(125.00)		35,483.26
08/06/2013	*Transfer TRANS USD TO EUR W200802992080613 15,000 EUR	08/09/2013	(20,857.90)		14,625.36
08/06/2013	*Wire Out Fee		(125.00)		14,700.96
08/18/2013	*Transfer TRANS USD TO EUR W200802992081813 7,500 EUR	08/23/2013	(10,480.60)		4,240.38
08/18/2013	*Wire Out Fee		(125.00)		4,115.38

Securities - USD

Settlement Day	Activity Type	Quantity	Description	Price	Amount
04/02/2013	SELL	3,316,866	ANAVEX LIFE SCIENCES CORP	0.075332	\$249,580.00



WEISER

Statement for the period February 1, 2013 - December 31, 2013

SKARPELOS, ATHANASIOS
ACCOUNT USD 200-602982

Important information on depositing physical certificates with Weiser Asset Management Ltd., is available from your account manager or your financial advisor. Please read the document titled "Escheatment of Physical Certificates".

Effective October 1st 2013 our administrative fee for an Early Settlement Check will be 1% (with minimum of \$50,000)

Terms, conditions & other information

- This is a statement of your account according to our records. If it is not in accordance with yours please contact the Chief Compliance Officer immediately.
- The prices shown on the statement, used for the purpose of displaying market values, while obtained from sources believed to be reliable, cannot be guaranteed as to their accuracy. In any event, market values are shown as "estimated". If "N/A" appears in connection with any specific security, either there is no price or we were unable to obtain a reliable one.
- We expect prompt settlement of cash balances due to us.
- Credit balances are payable on request upon receipt by us of securities in "good delivery" form that may be owed by you.
- Any free credit balances, with the exception of balances held for registered plans, represent funds payable on demand, which although properly recorded in our books, are not segregated and may be used in the conduct of our business.

Message

From: Lambros Pedafronimos [l.pedaf@gmail.com]
Sent: 12/21/2012 5:42:42 AM
To: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Subject: Transfer Stuck
Attachments: Trnsfer.jpg

Hi Bud,

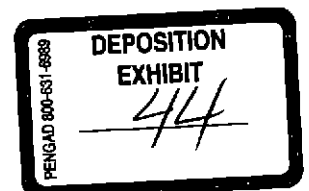
Someone forgot to include the beneficiary in the details of the transfer. Please get the the sender to contact his bank and provide the beneficiary name for the transfer to go through.

Beneficiary Name: Ntina Nikolaoy Pentafronimoy

Thanks

--

Lambros Pedafronimos



JA1107
WEISER000345

ΜΗΝΥΜΑ SWIFT

ΑΡΙΘΜΟΣ ΑΝΑΦΟΡΑΣ: 20121220949014E665

0542 ΑΣΤΡΟΥΣ

ΠΕΞΕ

Αρ. Εντολής: 20121220949014E665 A/A 903163 Κ.Ε. 142

Ημερομηνία 20/12/2012 Ώρα 14:06 Είδος Μηνύματος: SWIFT Κατ.Προσφ. 94

*** I N C O M I N G M E S S A G E ***

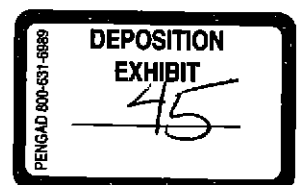
-----Message Header-----
Swift Input :FIN 103 Single Customer Credit Transfer
Sender :MIDLGB22XXX HSBC BANK PLC
: (ALL U.K. OFFICES), LONDON
Receiver :CRBAGRAAXX ALPHA BANK AE
: ATHENS

-----User Header-----
FIN Copy Service:EBA

-----Message Text-----
20: Transaction Reference Number
GBS20122H9WF08A0
23B: Bank Operation Code Identification of the Option
CRED
32A: Date, Currency Code and Amount
20/12/2012 EUR #20.000,#
33B: Currency/Instructed Amount
EUR
20000,
50K: Ordering Customer
VERDMONT CAPITAL S.A.EDIF. HITECH
PLAZACALLE 53 OBARRIOPANAMA / PANAM
A
52A: Ordering Institution (ISO Bank Identifier)
MIDLGB22BHX
57B: Account With Institution (Branch)
/BRANCH 542
59: Beneficiary Customer
/GR7801405420342002101002793
→ ELLINIKO ASTROS KYNOURIAS22001GREEC
E
71A: Details of Charges
SHA
72: Sender to Receiver Information
/ACC/REF 46213NU01E2P

-----End of Message-----

Αναριθμός Αποκριτής: 098003805020614
Κατόχος: ΕΚΑΟΖΗ ΕΠΙ. Κ.Ε=142 20/12/2012-Α0



Message

From: Lambros Pedafronimos [l.pedaf@gmail.com]
Sent: 5/9/2013 1:15:38 PM
To: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Subject: Acct/Details

Bank Name: Alpha Bank A.E

Bank Address: 2, Mavrothalassiti Street, Paralio Astros, 22001

Branch: 542

Bank Tel: +30 27550 52466

Beneficiary: Nikolaos Pentafronimos

Beneficiary Address: Astros Kynourias, Arkadia Greece

IBAN: GR78 0140 5420 5420 0210 1002 793

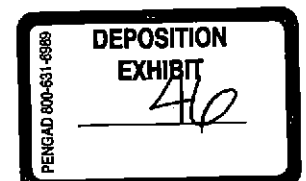
Account Number: 542 00 2101 002 793

BIC/SWIFT: CRBAGRAAXXX

US Intermediary: Bank of New York Mellon, New York, IRVTUS3N

--

Lambros Pedafronimos



JA1109
WEISER000312

Message

From: Christos [christos@bizex.bz]
Sent: 11/19/2015 1:13:30 PM
To: Christos Weiser Capital [/O=WEISER/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Xtos]
Subject: FW: Tom Transfer request. Fw: Quadruple Bypass

Begin forwarded message:

From: Alana Wheaton
Date: April 27, 2013 at 1:17:02 AM GMT+8
To: Rainbow
Cc: Christos
Subject: RE: Transfer request. Fw: Quadruple Bypass

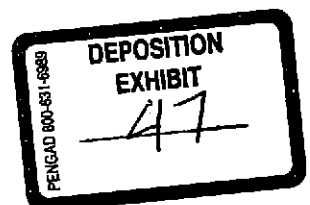
Completed.

From: Rainbow
Sent: Friday, April 26, 2013 12:42 PM
To: Alana Wheaton
Cc: Christos
Subject: FW: Transfer request. Fw: Quadruple Bypass

Hi Alana,

Please authorize the following payment online per Christos' request.

Txn Date	Payment From	Beneficiary	Reference	Amount	Prepared/ 1st Authorised
29/04/2013	Business Integrated Account 817-552540-838 USD Savings	NIKOLAOS PENTAFRONIMOS 542002101002793		USD 20,000.00	PUSER27



JA1110
WEISER000320

Thanks

Rainbow

From: Christos
Sent: 2013年4月26日 23:30
To: Rainbow
Subject: Transfer request. Fw: Quadruple Bypass

Hi R,

Can you transfer \$20k as shareholder withdrawal to details below. Soonest possible.. Tom had heart-attack and is waiting for payments to stay alive.

From: Lambros Pedafronimos
To: Christos
Sent: Fri Apr 26 11:21:32 2013
Subject: Quadruple Bypass

Bank Name: Alpha Bank A.E

Bank Address: 2, Mavrothalassiti Street, Paralio Astros, 22001

Branch: 542

Bank Tel: +30 27550 52466

Beneficiary: Nikolaos Pentafronimos

Beneficiary Address: Astros Kynourias, Arkadia Greece

IBAN: GR78 0140 5420 5420 0210 1002 793

Account Number: 542 00 2101 002 793

BIC/SWIFT: CRBAGRAAXX

US Intermediary: Bank of New York Mellon, New York, IRVTUS3N



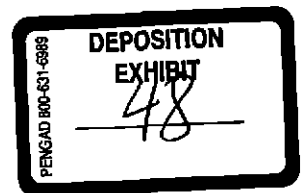
Lambros Pedafronimos



Message

From: Christos Weiser Capital [/O=WEISER/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=XTOS]
Sent: 11/19/2015 1:41:10 PM
To: Christos Weiser Capital [/O=WEISER/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Xtos]
Subject: Skarpelos email flow 2011-2013

Outlook Web Access		Microsoft Outlook Web App	
Labels		Christos Credit: Banks make more profits, bleed all capital for the small guys	Sat 1/25/2013 11:10 PM 4 KB
Mail		Christos Re: Apartment and House to lease at Miami Beach	Thu 1/27/2011 7:11 PM 4 KB
Calendar		Christos Answer presentation for Broward contract	Tue 2/1/2011 2:13 AM 1 KB
Contacts		Christos For: Potentially Systems	Tue 2/1/2011 2:13 AM 90 KB
Tasks		Christos RE: AVXL - Short Term Financing Needs	Fri 2/4/2011 12:14 AM 18 KB
Folders		Christos Re: AVXL - Short Term Financing Needs	Fri 2/4/2011 4:18 PM 36 KB
Public Folders		Christos For: TDV Daily: Free Mediana For ADP	Tue 2/8/2011 4:26 AM 235 KB
Options		Christos For: TDV Daily: Are They Trying to Destroy the US as Purpose?	Tue 2/8/2011 4:26 PM 104 KB
Log Off		Christos Re: Fund money Q-A	Wed 2/9/2011 4:39 PM 4 KB
		Christos Re: AVXL debt	Thu 2/10/2011 3:12 AM 4 KB
		Christos Re: Answer - Director Appointment	Tue 2/13/2011 9:28 PM 9 KB
		Christos Co. AD partner w. search for CDN Company	Wed 2/16/2011 9:19 PM 1 KB
		Christos RE: Answer: Road Show Deck	Thu 2/17/2011 5:55 PM 11 KB
		Christos Director for Administrators - II	Fri 2/16/2011 3:21 PM 1 KB
		Christos Soft	Fri 2/25/2011 12:12 AM 897 B
		Christos Re: AVXL - improvement mathematics	Tue 3/1/2011 1:23 AM 1 KB
		Christos Re: Feedback: How Fast Analysis	Tue 3/1/2011 10:13 PM 4 KB
		Christos Out of Office Answer: ANAVEX Update Report by VRS_01092011	Wed 3/3/2011 12:11 AM 479 B
		Christos For: Potential guy - check out this link ASAP	Sat 3/15/2011 2:36 AM 1 KB
		Christos Answer 2-73	Thu 3/19/2011 1:00 PM 1 KB
		Christos Re: Forecast extended the studies	Fri 3/20/2011 12:14 AM 1 KB
		Christos Re: Forecast extended the studies	Fri 3/20/2011 1:29 AM 1 KB
		Christos Answer cashflow	Thu 6/2/2011 7:19 AM 1 KB
		Christos Re: Answer cashflow	Thu 6/2/2011 1:07 AM 1 KB
		Christos RE: Answer - Device Glitching	Mon 6/13/2011 10:34 PM 11 KB
		Christos AV - S	Thu 6/16/2011 9:40 AM 1 KB
		Christos Harvey - funding payback	Sat 6/18/2011 4:57 AM 1 KB
		Christos Re: Answer CEO	Wed 6/23/2011 7:25 PM 9 KB
		Christos Harvey RE: Answer - Investor Sales	Tue 6/23/2011 8:55 AM 21 KB
		Christos Re: Harvey RE: Answer - Investor Sales	Sat 6/25/2011 5:48 PM 19 KB
		Christos Harvey answer	Mon 11/20/2011 4:10 PM 4 KB
		Christos FW: Byron Capital Markets Announces Acquisition Meeting at Agapostrophe Bay with C14 GO Target Price	Mon 11/20/2011 2:36 PM 1 KB
		Christos FW: Para	Tue 7/19/2011 3:27 PM 1 KB
		Christos Robert C	Mon 8/1/2011 5:30 PM 1 KB
		Christos Lab	Tue 8/23/2011 7:34 PM 2 KB



Outlook Web Access		Microsoft Outlook Web App	
Home			
Mail			
Calendar			
Contacts			
Tasks			
Folders			
Public Folders			
Options			
Log Off			
1	Change Re: Lab proposal	Thu 8/2/2012 4:37 PM	2 KB
2	Change Water treatment for Profile for Anavex	Mon 8/6/2012 4:28 PM	21 KB
3	Change Water 3 updates	Tue 8/7/2012 4:50 PM	1 KB
4	Change Re: Water 3 updates	Tue 8/7/2012 4:10 PM	2 KB
5	Change Re: Profile for Anavex	Thu 8/9/2012 11:16 AM	13 KB
6	Change Re: Hi test	Thu 8/9/2012 9:37 PM	1 KB
7	Change We had an interesting time today	Sat 8/18/2012 1:12 AM	4 KB
8	Change Out of Office Announcement: AV Porcari	Tue 8/21/2012 8:56 PM	548 B
9	Change New: ANGL STOC Sheet	Mon 8/27/2012 9:18 PM	24 KB
10	Change About Alzheimer's Disease: Alexander Tienstein	Mon 8/28/2012 2:05 AM	437 B
11	Change Consumer group says FDA over-asserts safety - Health - Alzheimer's Disease - NBCNews.com	Mon 8/28/2012 2:19 AM	761 B
12	Change For: How the FDA can make you a millionaire	Wed 9/12/2012 6:34 PM	17 KB
13	Change Re: Mackberry is dead	Sun 9/16/2012 9:57 AM	1001 B
14	Change Re: Mackberry is dead	Sun 9/16/2012 12:45 PM	1 KB
15	Change Re: ANAVEX - SUMMARY OUTCOME OF THE TELECONFERENCE WITH Dr. MAZZA	Tue 9/18/2012 4:28 AM	8 KB
16	Change Re: ANAVEX - SUMMARY OUTCOME OF THE TELECONFERENCE WITH Dr. MAZZA	Tue 9/18/2012 5:44 AM	773 B
17	Change For: Looking pharmaceutical firms 'growing up' on Alzheimer's treatment after series of expensive failed trials	Wed 9/19/2012 1:57 PM	3 KB
18	Change Re: U-PSON	Fri 9/21/2012 4:04 PM	4 KB
19	Change Re: Answer's LP: actual version 09/24/2012	Mon 9/24/2012 3:13 AM	12 KB
20	Change Chat with Christopher on 9/25/2012	Fri 9/28/2012 10:21 PM	3 KB
21	Change Re: Answer's requests	Mon 10/1/2012 4:10 PM	4 KB
22	Change New LP agreement to Alex	Mon 10/1/2012 11:18 PM	1 KB
23	Change Re: Answer's requests	Wed 10/3/2012 11:51 AM	9 KB
24	Change Re: Answer's requests	Wed 10/3/2012 2:11 AM	1 KB
25	Change FW: Venerabiles - CNE 2005000118.5 - Approval Requested	Wed 10/10/2012 1:28 AM	24 KB
26	Change Mail FW: Kansas Farm Board	Wed 10/10/2012 9:56 PM	18 KB
27	Change Re: 959-959 Agrees new agreement and end of contract 10-10-12	Mon 10/15/2012 9:42 PM	10 KB
28	Change FW: Alzheimer's: Are We Ready for the Coming Epidemic?	Mon 10/15/2012 9:36 PM	110 KB
29	Change Stockwatch - Z Ticker Eagle Mountain Cross \$2 Million Private Placement with Wynn Capital	Thu 10/18/2012 4:01 AM	669 B
30	Change For: U-ANGL ANAVEX 2-72 shows to block oxidative stress, preventing onset of Alzheimer's Disease	Thu 10/18/2012 4:49 PM	1 KB
31	Change Re: FYI - Answer	Fri 10/19/2012 1:21 AM	2 KB
32	Change Answer	Fri 10/19/2012 1:31 AM	7 KB
33	Change Re: Answer	Fri 10/19/2012 1:39 AM	8 KB
34	Change Re: 154-946 New agreement	Thu 10/25/2012 4:44 PM	21 KB
35	Change For: NDL Delta Four XPRESSO Clean Yacht	Fri 10/26/2012 5:22 PM	12 KB

Outlook Web App		New Message		View Messages		Help	
Left		Advanced Search		Filter		Tools	
Inbox		From		Subject		Received	
<input checked="" type="checkbox"/>	John E. Smith	<input checked="" type="checkbox"/>	Christine	AC comments to Tom		Sat 10/27/2012 1:34 AM	1 KB
<input checked="" type="checkbox"/>	Calendar	<input checked="" type="checkbox"/>	Christine	AV contract performance		Tue 10/30/2012 8:17 PM	1 KB
<input checked="" type="checkbox"/>	Contacts	<input checked="" type="checkbox"/>	Christine	Re: Conclusions on Andrew's initial statement		Wed 10/31/2012 2:22 AM	19 KB
<input checked="" type="checkbox"/>	Tasks	<input checked="" type="checkbox"/>	Christine	AV		Sun 11/4/2012 10:59 PM	662 B
<input checked="" type="checkbox"/>	Folder	<input checked="" type="checkbox"/>	Christine	Re: AV		Sun 11/4/2012 10:27 PM	1 KB
<input checked="" type="checkbox"/>	Public Folder	<input checked="" type="checkbox"/>	Christine	Re: Notes to AC and AV		Tue 11/6/2012 3:52 AM	1 KB
<input checked="" type="checkbox"/>	Options	<input checked="" type="checkbox"/>	Christine	Re: Evid. Physical of interview		Tue 11/6/2012 10:28 PM	59 KB
<input checked="" type="checkbox"/>	Log Off	<input checked="" type="checkbox"/>	Christine	Meet in Office, update 2007 agreement		Thu 11/8/2012 12:31 AM	1 KB
		<input checked="" type="checkbox"/>	Christine	Re: Meet in Office, update 2007 agreement		Thu 11/8/2012 12:35 AM	1 KB
		<input checked="" type="checkbox"/>	Christine	Everyone's schedule not?		Thu 11/15/2012 12:04 AM	573 B
		<input checked="" type="checkbox"/>	Christine	Re: Everyone's schedule not?		Thu 11/15/2012 12:18 AM	1 KB
		<input checked="" type="checkbox"/>	Christine	Re: EPP Annex 14-11-2012		Thu 11/15/2012 12:32 AM	1 KB
		<input checked="" type="checkbox"/>	Christine	Re: EPP Annex 14-11-2012		Thu 11/15/2012 2:26 AM	1 KB
		<input checked="" type="checkbox"/>	Christine	Re: EPP Annex 14-11-2012		Mon 11/19/2012 4:25 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	Where are we at now?		Sat 11/24/2012 5:08 AM	573 B
		<input checked="" type="checkbox"/>	Christine	Re: Where are we at now?		Sat 11/24/2012 5:49 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	Re		Wed 11/28/2012 10:11 PM	9 KB
		<input checked="" type="checkbox"/>	Christine	Catch-up Transfer AMT RE: EPP New draft of schedule and some questions on process		Mon 12/3/2012 8:54 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	FW: AVOL DTCC Sheet		Tue 12/4/2012 7:49 PM	31 KB
		<input checked="" type="checkbox"/>	Christine	Re: AVOL DTCC Sheet		Tue 12/4/2012 8:05 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	Deadline questions		Wed 12/5/2012 10:51 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	Annex - Detox		Wed 12/19/2012 5:51 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	FW: AVOL DTCC Sheet		Mon 1/7/2013 6:20 PM	30 KB
		<input checked="" type="checkbox"/>	Christine	Re: Detox		Thu 1/10/2013 1:23 AM	747 B
		<input checked="" type="checkbox"/>	Christine	Tide		Thu 1/10/2013 5:40 PM	971 B
		<input checked="" type="checkbox"/>	Christine	Call - 1-242-444-2444		Thu 1/10/2013 6:48 PM	761 B
		<input checked="" type="checkbox"/>	Christine	2013: Junior Gold Co. of the Year - ETSX		Wed 1/23/2013 6:30 PM	134 KB
		<input checked="" type="checkbox"/>	Christine	Bayer's Drug May Win U.S. Approval After Early Trials		Tue 2/12/2013 4:29 PM	1 KB
		<input checked="" type="checkbox"/>	Christine	*JANCOLE TAKES 15% STAKE IN EAGLE MOUNTAIN (Z TEX) EAGLE ACQUIRES 100% OF ELM OLYANA PROJECT		Tue 2/12/2013 5:57 PM	23 KB
		<input checked="" type="checkbox"/>	Christine	CM		Wed 2/20/2013 4:01 AM	1 KB
		<input checked="" type="checkbox"/>	Christine	CM		Wed 2/20/2013 6:36 PM	1 KB



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Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Abaco Global Advisors Ltd.	1. Managing Securities	928-2131	956-2155	uno@abaco-ltd.com	One Sandport Place West Bay Street Nassau, Bahamas	38	Michelle Knowles-Dunne, Oliver Notz
Adventus Financial International Ltd.	1. Managing Securities 2. Advising on Securities	327-0669	327-0696	swatson@gemeshiml.com	5th floor East Bay Street Nassau, Bahamas	N-9058	Francesco Conforti, Samantha Watson, Crooks
Abelus Invest Ltd.	1. Managing Securities 2. Advising on Securities	367-2090	367-5788	dennits@hellorits.com	700 Con Mackey Boulevard Abaco, Bahamas	AB-20415	Demetrius Sylva
Alliance Investment Management Ltd.	1. Dealing in Securities as Principal 2. Agent 3. Arranging Deals 4. Advising on Securities	928-7933	326-7236	winklock@allianceinvest.com jthorn@allianceinvest.com	Ground Floor British Colonial Hilton Centre of Commerce 1 Bay Street Nassau, Bahamas	SS-10051	Jillian Brown, April Black-Meyer, Nolan Johnson
Alternative Assets Advisors S.A.	1. Managing Securities 2. Advising on Securities	702-1400	927-6029	jillian.ferguson@aybank.com	Bayview Executive Park West Bay Street, 10th floor Nassau, Bahamas	N-1089	Veneta Dado-Maura, Jacqueline Townsend, Jillian Ferguson, Kelra Pratt
Amitycal Research Advisors, LLC	1. Managing Securities 2. Advising on Securities	322-6448	325-8445	info@starling-bahamas.com	203A Sally Square Bank Lane and Bay Street Nassau, Bahamas	N-9334	Jean-Stephane Merle-Lod, Michelle Bado
Andbank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-7090	394-7089	BAHAMAS.AL@andbank.com wendell.gardner@andbank.com	One Montague Place East Bay Street Nassau, Bahamas	AN-59223	Manuel Martinez Campos, Claudia Farquharson, Wendell Gardner, Daniel Bruneir, Werner Guerin, George Mulla
Arbitech (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-1161	326-6020	carlton.morton@wplaw.com	4th floor Colica Imperial, building 1308 East Bay Street Nassau, Bahamas	N-7768	Sandra Biles, Catherine L. Fido, Ricardo Rolle, Mary Rodland, Carlton Morton, Chantelle Churno, Lashelle White, Katherine Rolle, Azura Rodgers, Emmanuel Komolafe
Audubon Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	(305) 374-8111	(305) 372-9935	enfin@audubon.com	Port Nassau Centre Waldenburgh Street Nassau, Bahamas	SP-61093	Andre Mendes Sosa, Souza, Fernando Mauricio Da Rocha Pereira, Celso, Rodrigo Pato, Wendy Warren, Andre Pereira Landi

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March 31, 2015

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Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Amer Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	327-6594 327-6594	327-6584	david.thain@amerbank.bh	Suite 2 Building 2 Caves Village Nassau, Bahamas	N-3917	David G. Thain; David Naunce; Walter; Christina Lisa Patterson
Aurana Capital Management	1. Managing Securities 2. Advising on Securities	327-5947	327-3338	jme@auranacapital.com	Caves Village West Bay Street Nassau, Bahamas	N-7776	Jean-Marc Enden
Asia Partners	1. Managing Securities	394-4182	394-4183	contact@apms-bd.com	Village Road North Nassau, Bahamas	35-19689	Georges Marcou; Tamara Bohn
Assets Management Inc.	1. Managing Securities 2. Advising on Securities	502-7010	394-3253	gulfem@azmfi.com	308 East Bay Street Nassau, Bahamas	CB-12407	Anthony Ferguson; Yair Eschubing; Prescott Adderley
Bahamas Central Securities Depository	Clearing Facility	322-5572/5	356-3013	michael.anderson@royalclarity.com	Suite 203 Port Nassau Centre Nassau, Bahamas	EE-15672	
Bahamas International Securities Exchange (BISX) Exchange		323-2330	373-2330	info@bahamas-ex.com hgrange@bahamas-ex.com kdarling@bahamas-ex.com	2nd Floor of the Fort Nassau Centre British Colonial Hilton Nassau, Bahamas	EE-15672	Keith Davies
Bahamas Securities (1987) Limited	1. Advising on Securities	325-4691	325-6592	colman@bdcomenpld.com	3rd Floor Ansbacher House Bank Lane Nassau, Bahamas	F-2489	George C. Culver; Myles J. Culver
Barica del Stimpione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-3015	356-2020	bel.limadi@amphone-overseas.com	3rd Floor Georgia House George Street Nassau, Bahamas	N-3159	Best Viktor Meier; Neil De Cordova Baker; Edrick Carter; Stanley Coulter; Simone Bertoldo; Michelle Plumb; Francesca Trizino
Banco Santander Bahamas International Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7500	312-3585	possegonaladecastillon@bahamas.com	3rd Floor Goodman's Bay Corporate Centre West Bay Street Nassau, Bahamas	N-1682	Jose Gonzalez de Castillon; Roberto Morales
Bank of the Bahamas Limited	1. Dealing in Securities as Principal 2. Agent 3. Managing Securities 4. Advising on Securities	326-2560	325-7162	info.bob@bankbahamas.com	Clayton House Shirley & Charlotte Streets Nassau, Bahamas	N-7118	Paul McWaters; Renee Davis; Robert Edwards; Laura Williams; Michael Barden; Philip Albany



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Edmond de Rochemont (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-4000 702-4008		n.sawyer@ed.com	Lyford Financial Centre Lyford Coy #2 West Bay Street Nassau, Bahamas	SP-63048	Christine Mahand, Michelle Rectory, Brenda Davis, Mark-Claude Tremblay, John Mallone, Andrew Saunders, Lockley Knowles II
Beaumont Osgood International Limited	1. Managing Securities 2. Advising on Securities	362-8859	562-3978	sakya.boulter@beaumontosgood.com	Unit 2 Old Fort Bay Lyford Coy Nassau, Bahamas	N-4287	Nicole M. Dupont, Zalya Boulter
Bondimark Advisors (Bahamas) Ltd.	1. Advising on Securities	326-7333	325-6592	jbrown@bondimarkinvest.com	Gresham House Charles Street North Nassau, Bahamas	N-10244	Julian Brown
Berkley (Bahamas) Limited	1. Arranging Deals	393-8395	394-6841	contact@berkleypartners.com	2nd Floor One Montague Place Nassau, Bahamas	N-3927	Warren T. Roberts, Christopher I. Thompson, Frank L. Saunders
Binnode Advisors Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	502-8822	502-8140	diane@groupleymona.com	Bayville House Bayville Executive Park West Bay Street & Blythe Road Nassau, Bahamas	AP-59213	Monique L. Major, Matthew Gibbons, Shanda Rockelle Pearson
Binnade Investment Group Ltd.	1. Managing Securities	502-8822	502-8840	diane@groupleymona.com	Bayville House Bayville Executive Park West Bay Street & Blythe Road Nassau, Bahamas	AP-59213	Matthew Gibbons
Birex Capital Ltd.	1. Managing Securities 2. Advising on Securities	699-4039	327-1179	juliana.ginton@birexcapital.com	3rd Floor Suite # 304 Centre of Commerce 1 Bay Street Nassau, Bahamas	SP-64214	
Black Ink Management Services Ltd	1. Managing Securities 2. Advising on Securities	437-0808 1-0660 807 2303		lan@blackinkmanagement.com	2nd Floor Centre of Commerce 1 Bay Street Nassau, Bahamas	SS-19501	Ian Black, Charmka Gibson, Claudio Miller
Blackberry Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@bgam-ltd.com	Village Road North Nassau, Bahamas	SS-19689	Roger Landucci, Taniere Bain



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Blue Hope Ltd.	1. Managing Securities	325-2150	326-2151	bluehope@bluehopeinvestments.net	2nd Terrace Conventville Nassau, Bahamas	N-7755	Olliver Chapinier, Wejdy Warren
Blue Line Advisors Ltd.	3. Managing Securities	394-4182	394-4183	contact@bluelineadvisors.com	Village Road North Nassau, Bahamas	SS-19689	David Puller, Tamara Bain
Blue Sea Asset Management Ltd.	1. Managing Securities 2. Arranging Securities	502-7010	393-4638	psd@bluesea.com	301 East Bay Street Nassau, Bahamas	CB-12407	Anthony Ferguson, Prescott Adderley
Brown & CIE Ltd.	2. Managing Securities 2. Advising on Securities	325-6581	325-6582	brwn@allbankinvest.com	Androscher House East Street Nassau, Bahamas	N-10144	Julian Brown
BSI Overseas (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-2200	502-2200	bsi@bsioverseas.com	Goodman's Bay Corporate Centre West Bay Street & Starline Drive Nassau, Bahamas	N-7130	Jennifer Weller, Alida Trenchon-Newry, Matteo Ramon de, Patrick Alberia Tulecia, Vasiliki Victoria Meyers, Alec Bell, Brenda Ferguson-Jones, Denise Campbell, Tonya Swearing, Julian Adderley, Andrea Frenson, Pierluigi Pecorini, Andrew Crutch, Luca Tamburini, Christian Salming, Sigit Mohorovic, Franco Martinez, Katerina Marchant
Butterfield Trust (Bahamas) Limited	1. Managing Securities 2. Advising on Securities	393-8200	393-3772	info@butterfieldgroup.com	Montague Building Centre East Bay Street Nassau, Bahamas	N-3242	Julien Martel, Timothy Colclough
CBH (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	594-6161	394-4262	dhilton@cbhbank.com	CBH House East Bay Street Nassau, Bahamas	N-1724	Steven L. Miller, Usula Belle, Ahmad Starchan, Lucette Smith, Jason O'Brien, Dorothy Hilton
CFAL	1. Managing Securities 2. Advising on Securities	502-7010	356-3077	stuart@cfal.com	508 East Bay East Bay Street Nassau, Bahamas	CB-12407	Anthony Ferguson, Pamela Mugrove, Prescott Adderley
CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7010	356-3077	stuart@cfal.com	4th floor 508 East Bay East Bay Street Nassau, Bahamas	CB-12407	Anthony Ferguson, Shaferah Duncan, Pamela O'Leary Mugrove, Sophia Patricia Thurston, Tiffany Angelique Smith, Dwaine Swann, James Stubb, Jennelle Christal Francis, Anna Zavros, Kevin Burrows, Giovanna Evans, Prescott Adderley



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Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
CIBC Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	334-1800	322-5892	ricedmen_bahamas@ibc.com	Goldman's Bay Corporate Centre West Bay Street & Sawney Drive Nassau, Bahamas	N-3833	Sean R. Harrington, Norma Major
Citic Bank & Trust Company (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	502-4100	394-0701	lhp@citibank.com	One Montague Place East Bay Street Nassau, Bahamas	N-4908	Nawala M. Deane, Tamar M. Ambrose, Delaney, Ula A. Hepburn, Rupert Wyle
Colonial Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7526	502-7501	Larry.Gibson@atlanticpension.com.bs	2nd Floor Albion House Cotton Avenue Nassau, Bahamas	SS-6246	Larry Gibson, Doreen L. Pitzer
Cove Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	676-2775/6		hbb@ccplcapital	115 Cumberland House Cumberland Street Nassau, Bahamas	SS-6836	Heather Bollet-Husar, Ulfan Russell
Cornet Bank (Overseas) Limited	1. Managing Securities 2. Advising on Securities	394-4977	394-3264	info@cornetbank.com	1st Floor 308 East Bay Street Nassau, Bahamas	N-7234	Colyn Roberts, Michelle Olier, Dina Miller
Credit Suisse AG (Bahamas Branch) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-8100	326-6589	veronica.jourdain@credit-suisse.com	4th Floor The Bahamas Financial Centre Shirley & Charlotte Streets Nassau, Bahamas	N-4928	Muriel Mackey, Delia Benbow, Michael Barson, Alexandra Sampson, Tonda Mambou, Muelo Candine Macedor, Leonilda Bryner, Francisco Gonzalez, Guillermo Simoes, Blanche Juan, Zaurius Thomas, Karen, Luis Barron, Maria Lefandio de Puga, Darren Campbell, Vanessa Kordley, Sofia Maria Pinto Pinheiro, Philippa Symonowicz, Sergio das Santos, Rodrigo Pires, Carlos D'Almeida



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Name	Categories	Phone	Fax	Email	Address	Registered Personnel
Credit Suisse Bank (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent	302-4000	302-4049	marco.naldedo@credit-suisse.com	The Bahamas Financial Centre Shirley & Charlotte Streets Nassau, Bahamas	Marco Mascolo
de la Fontaine & Associates Ltd.	1. Managing Securities	934-0128	334-4183	contact@amgs-ltd.com	Halibury Commercial Centre Village Road Nassau, Bahamas	Paul Hubert; Tamara Bain
Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-4100	362-4623	log@deltecbank.com	Deltec House Lyford Cay Nassau, Bahamas	Terrance Gilling; David McLaughlin; James Moss; Sherene Saunders; Lianne Johnson; Damien Ezzan Forbes; David McLaughlin; Richard Bank; Aul Lale; David Minoz; Robert Turnquest; Wilfrone Watts
Deltec Investment Advisors Limited	1. Managing Securities 2. Advising on Securities	302-1000	362-4623	dmurro@deltecbank.com	Deltec House Lyford Cay Nassau, Bahamas	Demian Forbes; Lianne Johnson; David McLaughlin; David Munoz; Lale Aul; Vivienne Watts
EGG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-5400	502-5429	theresa.hoven-addeley@eggbank.com	2nd floor Centre of Commerce 1 Bay Street Nassau, Bahamas	Karen Puder; Robert Morrison; Christopher Southgate; Theresa Hoven-Addeley; Jorge Zeballos; Gita Singh; Paul Martin-Segulo; Neil Emery; Tara Ambrosio; Leah Treco; Jaime Essonnet; Neil Schuermagne; Dulhiza Smith
Eton Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	367-2558	367-2568	etobank@bale.net.bs	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	John D. Benjamin; Ellison Colling; Lawrence Collins; David Huang; Linda Carolina Leonard; Robert Elinoff
Equity Bank and Trust Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	676-8388	676-8129	info@equitybahamas.com	Squire Trust House Carol Village West Bay Street Nassau, Bahamas	Dillon Dean; Shelley Ward
Eurobank Bank Limited	1. Dealing in Securities as Agent	327-4157	327-4159	clients@eurobankbhtd.com	Suite 12 Caves Professional Center West Bay Street & Bibo Road Nassau, Bahamas	Maritilda Esther Benitez



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Euro-Dutch Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent	325-1033	323-7918	ceo@euro-dutch.com	Templeton Building Lyford Cay Nassau, Bahamas	N-9204	Dawn E. Donaldson-Davies; Antonius L. Imhof-Standart Ede Stroben
Exner Partners Limited	1. Managing Securities	356-5454	356-8923	info@exnerpartners.com	Meriborough & Queen Streets Nassau, Bahamas	N-9028	Jesse McBrain, David Rourke, Juan Manuel Sosa, Francisco Verstraeten
Fuller Ltd.	1. Managing Securities 2. Advising on Securities	327-6070	327-6073	info@fuller.com	Building 84 Caval Village West Bay Street Nassau, Bahamas	SP-65801	Richard Broughton; Flavio de Paula; Simone Zambelli
H.A. Management Limited (formerly F.L. Management Ltd.)	1. Managing Securities 2. Advising on Securities	503-7020	893-5002	hathwest@hvedu	508 East Bay Street Nassau, Bahamas	N-9058	Nicola Shewell
FAS Portfolio Management (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	362-6623	335-8445	fabridaasabun@fasportfoliomanagement.com	Daltec House Western Road Nassau, Bahamas	N-289	Alan Edward Cole; Fabrice Zamboni
PC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	877-9661	356-6875	info@pcbahamas.com	Domition House Montrose Avenue Nassau, Bahamas	SS-18027	Leo Beuchart; Beverly Rahming; Tiffany Jones
PG Capital Markets Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	386-4000	283-1100	info@familyguardian.com	Family Guardian Corporate Centre, East Bay & Shirley Streets	SS-6232	Lyrene Burney; Cardinal McCand; Stuart Ambrose Kelly; Ashley Reedley; C. Andre White; Sherell Westlake-Croft
Fideli Asset Management & Partners (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	502-7978	326-2489	info@fsm.com	Bayview House East Bay Street Nassau, Bahamas	CB-12407	Brett Anthony Juane; Prescott Adderley
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	327-4775	327-4778	info@firstoverseasbank.com	Office #1, Building #2 Caves Village Professional Centre West Bay Street Nassau, Bahamas	CB-11903	Jorge Horacio Shedd; Carlos Patricia Walton



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First Caribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal 2. Agent 3. Managing Securities 4. Advising on Securities	325-7384	323-1087	cherryln.burton@firstcaribbeanbank.com	Charlotte House Shirley A. Charles Streets Nassau, Bahamas	N-2321	Sean Byden, Janille Morley, Paul Major, Sharon Deveson, Randy Taylor, Maria Rodland-Allea, Giza MacKenzie
Pfizer Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	356-2240	356-3470	bentley@pfizer.bm	Bahamas Financial Centre Shirley A. Charles Streets Nassau, Bahamas	CB-1315	Fernando Antonio Prado, Indira Ferguson
Global International Ltd.	2. Managing Securities 2. Advising on Securities	328-7000	323-7001	adj@globalinternational.com	Lyford Cay Western Road Nassau, Bahamas	SP-62095	Xavier Delastre, Jean-Pierre Ribas, Dave Smith
Global Asset Management Ltd.	1. Managing Securities	326-2150	326-2151	gale@leading-services.net	2nd Terr. West Cantreilly Nassau, Bahamas	N-7755	Officer Chapman, Indira Ferguson
SEI Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	677-5270	352-5257	ceo@euro-dutch.com	Templeton Building West Bay Street Nassau, Bahamas	N-2104	Anthony L.M. Inden-Randers, Eric Stuehlan
Gems Management Limited	1. Managing Securities 2. Advising on Securities	326-1905	326-3839	cedric.carroll@gemadvisors.com	Norfolk House Frederick Street Nassau, Bahamas	CB-12809	Cedric Carroll, Sheldon Cartwright, Thelma Swainling-Daglio, David Harsh, Wendy Warren
Geoffrains (Bahamas) Limited	1. Managing Securities 2. Advising on Securities	356-4114	356-4125	lgomez@glbbsintl.com	The Dranery 28 Camberland Street Nassau, Bahamas	N-1991	Oliver Camporeale, Peter Carey, Jerome Gomez, Garcia Whyms
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	323-1622	323-1622	hans@globaladvisors.com	3rd Floor Coda of the Realm Building Charlotte Street Nassau, Bahamas	N-9471	Yvonne Altair, Alexander Yancoff, Edgar Selgmann, Sergio Delaude



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Name	Categories	Phone	Fax	Email	Address	V.O. Box	Registered Personnel
Global Private Portfolio Management Limited	1. Managing Securities 2. Advising on Securities	327-1097	327-1070	hemidppm@gmail.com	Suite 232, 2nd floor, Island Lane Building, Old Towne, Sandyport Nassau, Bahamas	N-407	Henry A. Fedakowski Margaret Nathan Adderley
Goncal Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	352-5406	352-5405	phantimer@bankgoncal.com	Offices at Old Fort Bay West Bay St. Nassau, Bahamas	SP-61302	Patric Hammerer Rendyha Miskic Raquel Jacqueline Duncombe James French
Green Cay Private Client Ltd.	1. Managing Securities 2. Advising on Securities	362-6400	362-6402	ju@greencay.com.bs	Lyford Cay Drive Nassau, Bahamas	N-7776	Jane Maria Sabing Joseph Byrne; Russell Fryer; Wendy Warren
Hasenbichler Asset Management Inc.	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genulfindservices.com	4th Floor 308 East Bay Street P.O. Box N-9038 Nassau, Bahamas	N-9038	Bernad Michael Hasenbichler
Holowesko Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	mholowesko@holoweskopartners.com	Shiplon House West Bay Street Lyford Cay Nassau, Bahamas	N-7776(74)	Richard Farrington; Mark Holowesko; Gregory Cline; Karen Zipp; Richard S.
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shiplon House West Bay Street Lyford Cay Nassau, Bahamas	N-7776(74)	Mark Holowesko; Gregory Cline; Karen Zipp; Richard S.
Lyford International Bank & Trust Limited (formerly Hollinger Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-7000	362-7007	compliance@hollingerbank.bs	Lyford Manor West Bay Street Nassau, Bahamas	CD-13012	Porcia Vette Smith; Deyantra Edgcombe; Nellie Scott; Joel Jardier
Huller Management Limited	1. Managing Securities 2. Advising on Securities	335-4885	323-7284	ums@veriteco-bahamas.com bh@hullerint.com	3rd Floor Atlantic House Nassau, Bahamas	N-529	Bernard Huber; Richard Coulton



**SECURITIES COMMISSION OF THE BAHAMAS
LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 2011**

Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Personnel
Independant Advisory Services Limited	1. Managing Securities 2. Advising on Securities	337-6037	337-6058	info@iadservices.com	Lagoon Court Suite 215 Old Town Mall Southport Nassau, Bahamas	SP-43924	Adelle P. Miranda, Natasha Dias
Itza Bank & Trust Bahamas Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-3100	278-2750	andrea.watson@itza.com	318 Annex Building East Bay Street Nassau, Bahamas	N-3930	
J. Safra Asset Management Limited	1. Managing Securities 2. Advising on Securities	677-6555	677-6550	w.pentino@jafra.bs	Building III Bayville Executive Park West Bay Street & Blake Road Nassau, Bahamas	CB-10988	Israel Bobay, Daniel Kamling
J. Safra Strategic Asset Management Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	601-6400	601-6401	norma.litman@jafrastrat.com	Suite 100-B Balfour Square Building Bay Street & Bank Lane Nassau, Bahamas	N-10988	Norman Plesner
Julius Baer Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-0100	394-9140	rochelle.roffe@juliusbaer.com	Ocean Centre Montague Pineshore Nassau, Bahamas	N-4830	Maria Stavrouli-Davilla, Rochelle Boile, Nicholas Martin Goud, Rene Cudret, Patrick Feuz, Patrick Guye-Begamot, Sharon Laffeur, Emil Sutter, Tiffany Jones, Philip Vignier, Andrea Bianchi, Anika Boile, Anna Zwickel-Guesay, Lwiza McPhee-Paul, Mark Zolman
K.P. Investment Advisors Ltd.	1. Managing Securities	594-4182	394-4183	contact@kps-iad.com	Hatfield Commercial Centre Village Road Nassau, Bahamas	SS-19689	Karl Hoerlans, Phyllis M. Monnards, Tumara Bain
Quantum Analytics Management Ltd. (Formerly Limited Asset Management)	1. Managing Securities 2. Advising on Securities	434-8327		tabbo@qam.com	Shoreline Drive Old Fort Island Nassau, Bahamas	CR-50768 #218	Tabbo Allaway, Dave Smith



SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Lebanon Global Advisors Ltd.	1. Advising on Securities	356-4454	356-2432	lga@lebanonglobal.com	Wetherham Place Marine Park & Queen Streets Nassau, Bahamas	N-8026	David Rocco Miguel Sanchez
Uno Corporate Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	364-2800	364-5880	alongier@unocorporateservices.com	2nd Floor Pineapple Place Nassau, Bahamas	N-7535	Sean Longley; Khalil Drailhalla; Tristia Smiley; Allan Bestwick
Uesco Asset Management Ltd.	1. Managing Securities	394-4182	394-4183	contact@uesco-ld.com	Suite No. 2 Nelson's Commercial Center Village Road Nassau, Bahamas	SS-15689	Pierre Blabien; Pierre Monard; Karl Hoefken; Tamara Ban
Uphillhouse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	327-6564	327-6594	rgd@uphillhouse-am.com	Offices at Old Fort Bay Building 4, Lyford Cay Nassau, Bahamas	N-7776	Riccardo Romani; Susanna Carmela Grilli-Duck; Walter Chirben
UOM Stockbrokers International Ltd. (formerly UOM Securities (Bahamas) Limited)	1. Dealing in Securities as Agent 2. Advising on Securities	323-0032	323-0084	enig@uom.com	Suite 209 British Colonial Hilton Centre of Commerce 1 Bay Street Nassau, Bahamas	CB-12762	Craig Linn; Samy Sully
Leonard Oiler & Co (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2100	302-2101	ndelia.horton@lco.com	Goodman's Bay Corporate Centre West Bay Street & Seaview Drive Nassau, Bahamas	N-4938	Nadia Horton; Rosemary Barrett; Maurice Chacchere; Christopher Courant; Claudio Godano; Renaud Vaudaine; Henry Feil; Bertrand Loeak; Christian Pultroni; Christopher Leonard
Lyford Investment Management Ltd.	1. Managing Securities 2. Advising on Securities	325-0922	325-0911	ddneer@equitybahamas.com	Equity House, Cayes Village West Bay Street Nassau, Bahamas	N-10687	Dillon Dean; Stephen Walker
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	327-6418	327-6427	rsfinao@macrobank.com	Cover Village Professional Centre West Bay Street Nassau, Bahamas	N-4444	Rodna Simko; Elen Kerr; Stella Gani
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	326-2700	326-2710	bisawidowski@magellanssecurities.net	Goodman's Bay Corporate Centre 2nd floor Nassau, Bahamas	CB-12762	Robert Dawidowski; Alicia Roberts; Meredith Miller



SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Personnel
Metropolitan Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals in Securities	677-1925	594-2142	john.lawrence@metbankbahamas.com	Suite 700 2nd Floor New Providence Financial Centre East Bay St. Nassau, Bahamas	CR-56766	Jacqueline Bell; Anna McAlpine; Indravati Gibson; John Lawrence
Mifanahum Investment Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apma-llc.com	Hickory Commercial Centre Village Road Nassau, Bahamas	SS-19689	Karl Howkins; Pierre M. Monnard; Fabrice Carpentier de Caro; Tamara Bain
NGP Global Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	677-6770		smackey@niglobal.com	South Beach Square Sandport Nassau, Bahamas	CB-10935	Stephen Mackey; Nicolette Duncan; Jaspreet Chahal
MMG Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	325-2400	322-5567	luerna.thompson@mmgbank.com	Suite 102 Suffrey Square Bay Street & Bank Lane Nassau, Bahamas	N-4889	Frédérique Hanna-Ferguson; Laverne Thompson
Nordica Investment Management Limited	1. Managing Securities 2. Advising on Securities	327-2600	325-8445	scote@sandling-bahamas.com	Suite 205A Suffrey Square Bay Street & Bank Lane Nassau, Bahamas	N-10222	Daniel Hedrick; Anna Hedrick
Octagon Fund Management Limited	1. Managing Securities 2. Advising on Securities	362-4985	362-4986	rcm@octagone.com.bs	No. 9 Offices Old Fort Bay Nassau, Bahamas	SP-43157	R. Clive Moore; Roger Kristian Kewelsch; Cindy Thompson
Old Fort Financial	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6900	362-6901	shunwela.fernandez@oldfortfinancial.com james.schaefer@oldfortfinancial.com	Offices at Old Fort Bay Building #4 Western Road Nassau, Bahamas	SP-43771	Robert F. Jensen; C. James Schaefer; Shunwela Fernandez; Laverne Culmer
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	325-5448	325-5448	info@sterling-bahamas.com	Suffrey Square Bank Lane and Bay Street Nassau, Bahamas	N-0934	Oleg Nidnyuk; Michelle Bain
Pacific Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apma-llc.com	Hickory Commercial Centre Nassau, Bahamas	SS-19689	Arthur Blais; Karl Howkins; Pierre Monnard; Emano Tamburini; Tamara Bain



SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Personnel
Barque Hedland (formerly Pasche Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	327-4512 327-4512	327-1514	G.Schmitt@barquhedland.com	Unit 1 Western Commercial Centre, Mt. Pleasant Village Western Road Nassau, Bahamas	AP-59241	Gilias Arnold Schmitt, Patricia Miller
Publie Global Advisors Ltd.	1. Managing Securities	394-0126	394-4183	601444@publie-td.com	Hobbs Commercial Centre Nassau, Bahamas	SS-19649	Pierre Moncheur de Mandote, Tamara Bish
Picket Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2722	327-6510	jmcintosh@picket.com	Rapide Executive Park West Bay Street & Baha Road Nassau, Bahamas	N-4037	Yves Y. Lourd, Eric W. Musman, Jan H.C. Muselman, Gregory Schuler, Jeremy Schuler, Allison Moss, Tamara Helleo Leo Ruberg, Pierre Coll, Jean Villanar, Yvonne Fomberg, Sandra Walker, William Scott, Donna Barri, Michael Beckley, Jose Swans
PHC Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	924-4379	325-8445	info@starling-bahamas.com	2nd Floor Suite 202A Serfery Square Building Bank Lane and Bay Street Nassau, Bahamas	N-9934	Ann Edward Cole, Elena Emmanuel Prandoni, Nicholea Bui
Prime Capital Management S.A.	1. Managing Securities 2. Advising on Securities	362-4955	362-4996	rem@octogen.com.bs	100.3 Offices at Old Fort Bay Nassau, Bahamas	SP-43157	Lana Taylor, Ronald Chae Moore
Private Investment Bank Limited	1. Dealing in Securities as Agent	503-5950	302-5970	plb@pib.bs	Derivative House Queen Street Nassau, Bahamas	N-3918	Jacqueline Bain, Yvonne Coleby, Clarita Thompson, Valerio Zauch, Roger Duerig, Christine Leo
Prudence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	325-7115	328-7129	monique.cropper@prudenceadvisors.net	3rd Floor Goodman's Day Corporate Centre Nassau, Bahamas	AP-59223-409	Carrel E. Burrows, Monique H. Cooper, Bradley S. Cunningham, Oliva C. Galtor, Kenneth M. Kerr, Florabelle Rodgers, Clarinda Loretta Elynd, Wendy Warren
R.I.A.F. Ltd	1. Managing Securities 2. Advising on Securities	601-5334	601-8139	m.thompson@ria-bahamas.com	No. 1 Bay Executive Park West Bay Street Nassau, Bahamas	N-9937	Danielle Saxon



SECURITIES COMMISSION OF THE BAHAMAS
LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 2011

Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Personnel
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6740	362-6744	marlo.smith@bc.com	Lyford Manor Lyford Cay Nassau, Bahamas	N-3234	John R. Bond; Andrew A. McCarthy; Sophia E. McKenzie; Caryl D. Newbold; Michael Umwer; Ashley Logan; Jamie Scott; Maria Smith
RMG Investment Advisors Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-7100	342-7210	eroberts@rmdv.com	Winterbourn Place Marlborough & Queen St. Nassau, Bahamas	N-3026	Roberto A. Roberts; Robert Ingray
Royal Fidelity Capital Markets Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-7764	376-3000	michael.anderson@fidelitybahamas.com	Fidelity Financial Centre 51 Frederick Street Nassau, Bahamas	CB-12337	Melinda A. Anderson; Sherell Woodside; Paulette Louique; Barbara Payne
Royal Fidelity Merchant Bank & Trust Limited	1. Managing Securities 2. Advising on Securities	356-7764	376-3000	judy.higgs@fidelitybahamas.com	Fidelity Financial Centre 51 Frederick Street Nassau, Bahamas	CB-12337	Claire Farquharson; Joseph James Butenune; Paulette Louique; Tahirah Gray; Michael Anderson; Karen Major; Spencer Von Dean
RCSI Limited	1. Managing Securities 2. Advising on Securities	502-7020	393-5092	shah@pernestfundservices.com	4th Floor 308 East Bay Street Nassau, Bahamas	N-9058	Christopher Wesley Saxonfeldt; Neil Patrick Ramsey; Antoine Bastien
Safra Asset Management (Bahamas) Limited	1. Managing Securities 2. Advising on Securities	677-6555	677-6550	w.pertin@safra.bs	1st Floor King's Court Bay Street Nassau, Bahamas	CB-10988	Daniel Nisim Kamkhalji; Israel Benba
Bank Leumi Leumi (Bahamas) Ltd. (formerly Safra International Bank & Trust Ltd.)	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	601-6003	601-6001	norman.frasen@lbfassarah.com	Saffray Square, Suite 103-B Bay Street & Bank Lane Nassau, Bahamas	CB-10988	Norman Frasen; Adrian Zadeh; Yantoni Zebouni; Jogo Franco; Daniel Samalagut
Scotiabank (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	358-1433	356-1618	sean.albert@scotiabank.com	Rawson Square Bay Street Nassau, Bahamas	N-751B	Dena Nisar; Manda Laramore; Kevin Tashik; Lea Taylor; Penny Ferguson; Sean Albert; Tanara Van Bruggel



**SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Representative
Southbank Caribbean Treasury Limited	1. Managing Securities 2. Advising on Securities	302-2950	328-2432	wayne.howard@scsbank.com	Suite 300 British Colonial Hilton Centre of Commerce 1 Bay Street Nassau, Bahamas	N-7518	Wayne Howard; Robin Scordis; Shanda Miller
Selton Securities International Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-4330	352-4181	jpr@seltonsecuritiesinternational.com	Lyford Cay House 1st Floor Lyford Cay Nassau, Bahamas	CS-13041	Ernie Dolven; Jay Gollis; Craig Spier
Societa Generale Private Banking (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-5000	302-4709	brun.storpe@stogroup.com	2nd Floor Lyford Cay House Western Road Nassau, Bahamas	N-7795	William Rorwick; Gede; Jonke; Adria; Dominique J. Lohard; Linda Mackay; Therese Hudson; Shandra Barry William Gibson; Jay M. Eggs; Anthony Hagar; Adrian Smith; Tyra Shurup; Valentino Bethell; Monica Miller; Fahda Mark Smith; Jeannette Chadebay-Selland
Stratling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	677-1900	677-1810	info@stratling-bahamas.com	New Providence Financial Centre East Bay Street Nassau, Bahamas	N-1812	David Barry; Antonio Collis; Yvonne Russell; Stephen Tiller
Stone Tower Management Ltd.	1. Advising Securities 2. Advising on Securities	326-1150	326-2151	stonetower@leadlogparity.com	2nd Terrace West Centreville Nassau, Bahamas	N-7755	Olliver Claptonnier; Wendy Warren
Swift Capital Ltd.	1. Managing Securities 2. Advising on Securities	603-2000	603-2001	jm@swiftpsp.net	Old Fort Bay Town Centre Unit 7, Building 2 Nassau, Bahamas	SS-0333	Ereife; Alan Paul Dalponte; Marlene Rumbaut
Swiss America Securities, Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	488-5175	324-0953	info@swissamerica.com	Suite 117 Clubhouse on Bay Plaza Elizabeth Ave. & Bay Street Nassau, Bahamas	SE-17971	Philip Dornier; Guy Gaudin; Edwin Rahndorf; Tiffany Denzilson; Drimido Noire
Swiss Atlantic S.A.	1. Managing Securities 2. Advising on Securities	956-6430	322-4094	swa@swissatlantic.net	2nd Terrace West Centreville Nassau, Bahamas	N-10507	Adam Richardson; Oliver Chapotonier; Wendy Warren



**SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Personnel
SSS Solutions Ltd. (Formerly Swiss Investment Solutions (BVI) Ltd.)	1. Managing Securities	603-5345	601-5340	lenn.mrophee@sis-solutions-bd.com	Devonshire House Queen Street Nassau, Bahamas	SP-49111	Kaya McPherson, Michelle Miller
Syl Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	327-6933	327-6629	miguel.gonzalez@sybank.com	Bayside Executive Park West Bay Street & Blake Road Nassau, Bahamas	N-1089	Miguel Gonzalez, Vanessa Ruffs Doyle-Haures, Jacqueline Townsend, Philippe Dumont, Frank Neget
Templation Global Advisors Limited	1. Managing Securities 2. Advising on Securities	362-4600	362-5261	tsanda@templation.com	Templation House Lyford Cay Nassau, Bahamas	N-7759	Lisa Myers, James Burger, Cynthia Sweeting, Edgerton Scott III, Norman Boersma, Michael O'Grada, Peter Pallas, Heather Arnold
Tenderich Asset Management Limited	1. Managing Securities 2. Advising on Securities	328-7020		contact@tenderichgroup.com	Goodman's Bay Corporate Centre West Bay Street Nassau, Bahamas	SP-60596	Leo Kyss, Indra Ferguson
The Bank of Nova Scotia Trust Company (Bah) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	602-5700	336-0891	raj.jasubhain@scotiabank.com	Scotia House 404 East Bay Street Nassau, Bahamas	N-3016	Seale Banerji, John Ravindra Jasubhain, Shamini Symonette, Gibson, Gregory Walker
The Private Trust Corporation Limited	1. Dealing in Securities as Agent 2. Arranging Deals	329-4574	326-8388	scj@privatetrustco.com	Charlotte House Shirley & Charlotte Streets Nassau, Bahamas	N-45	Adrian Double-Jones, Bruno Roberts, Christine Y. Storr, Monique Bowo
The Street Trader Limited	1. Dealing in Securities	326-6963		millerlaw95@gmail.com	Lagoon Court Sandport Nassau, Bahamas	EE-12971	Von Andre Wilson, Calvin Rolle
The Whitehall Trust Company Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	336-5454	336-9132	nassau@whitehall.com	Whitehall Place Marlborough & Queen Streets Nassau, Bahamas	N-3026	Ivan G. Hooyer, David Rooney, Jaska Thornley



SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Tillman Securities Ltd.	1. Dealing in Securities as Principal 2. Agent 3. Arranging Deals 4. Managing Securities 5. Advising on Securities	356-3244	356-3245	info@tillmansecurities.com	1 George Street Nassau, Bahamas	55-19420	Hiro C. Spandberg, Charlene Major, Monalisa Nashat, Vicki Wall Roberts, Dominique Munroe
UBS (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-9300	395-3312	andrews.jo@ubs.com	UBS House East Bay Street Nassau, Bahamas	N-7757	Marcia V. Adderley, Jose A.A. Aguilar Millan, Clarissa Nobles, Karin Price, Karen A. Torngvist, Andreas Eucharis Gouge, Marcel Ott, Ross Bonan Poulthor, Felicia Caudel Boyer-Bazant, Thibaud Hakwerdt, Fabian Falk Henry, Rene Baumgartner, Guillermo Bano, Walter Barreto
UCAP Bahamas Limited	1. Managing Securities 2. Advising on Securities	702-4500	702-4524	clmment.ducatsis@capitalunibn.com	Lyford Cay Financial Centre Western Road Nassau, Bahamas	N-7776	Clemente Ducatsis, Wendy Wern
Union Bancapria Private (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-4307	362-4339	edg@ubp.ch	5th Floor Lyford Cay House West Bay Street Nassau, Bahamas	N-7529	Eric M. Dyer, Vandyke Saunders, Peter Butler, Branda Powell, Dawson Turner, Paul Russell, Cori McPhee, Pascal Michener, Kiyoun Nohse-Young
Union Bancapria Private, UBP SA	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	325-6755	325-6765	edg@ubp.ch	5th Floor Lyford Cay House West Bay Street Nassau, Bahamas	N-7529	Eric M. Dyer, Branda Powell, Dawson Turner, Vandyke Saunders, Paul Russell, Cori McPhee, Peter Butler, Kiyoun Nohse-Young



SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	J.O. Box	Registered Person(s)
Vaughan Asset Management Limited	1. Managing Securities 2. Advising on Securities	927-4170/ 227-4174	327-4176	vauban@corahome.com	Suite 212 2nd Floor The Old Towne Lagoon Court Building West Bay Street Nassau, Bahamas	SP-61708	James Burrows; Nathan Addesley; Hubert Francois-Ponce
Votorantim Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	328-0981	328-0983	mpayan@votorantimbank.com	Suite 204 Saffrey Square Building Bay Street & Bank Lane Nassau, Bahamas	N-951	Mario R.K. Payan; Samuel J.H. Williams
Wilson Advisors III Ltd.	1. Advising	502-7030	399-5002	info@generalistfundservices.com	5th Floor 308 East Bay Street Nassau, Bahamas	N-9058	Boris Utsch; Antoine Baudin
Weiner Asset Management Ltd.	1. Dealing in Securities as Principal 2. Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	699-6800	698-6801	terah.rahnine@weber.com.bm	Offices at Old Fort Bay Building 68, Pineapple Plaza Lyford Cay Nassau, Bahamas	N-10697	Dillon Dean; Ivlyn Cesar; Elias Sourouz; David Siddons; Terah Rahning
Wells Capital Management Inc.	1. Managing Securities 2. Advising on Securities	362-3080	362-6950	terah.barnford@wellcap.com	Lyford Manor Victims Road Nassau, Bahamas	N-7776	Jeffrey A. Everett; Dale Winner
Wendmore Corporate Management Limited	1. Managing Securities	677-1922	394-6326	john@wendmorecm.com	Suite 2000 New Providence Financial Centre East Bay Street Nassau, Bahamas	CR-36768	John Lawrence
WACG Ltd.	1. Managing Securities	394-4182	394-4183	contact@wacg-ltd.com	Willage Road North Nassau, Bahamas	SS-19689	Charles de la Baume; Tamara Bain



SECURITIES COMMISSION OF THE BAHAMAS
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Name	Categories	Phone	Fax	Email	Address	P.O. Box	Registered Personnel
Jefferson Capital Limited (formerly WING Management Ltd.)	1. Managing Securities 2. Advising on Securities	502-7070	393-5002	info@genesisfundservices.com	1st Floor 308 East Bay Street Nassau, Bahamas	N-9038	All Bilgrami, Samantha Watson
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	856-4114	323-2154	jaramaz@globalmtd.com	Global House Cumberland Court One Cumberland Street Nassau, Bahamas	N-1991	Garda Whyne

NOT VALID UNLESS COUNTERSIGNED BY TRANSFER AGENT.
INCORPORATED UNDER THE LAWS OF THE STATE OF NEVADA.

NUMBER

0753

SHARES

6,633,332



"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AND MAY NOT BE SOLD, TRANSFERRED OR OTHERWISE DISPOSED UNLESS, IN THE OPINION OF COUNSEL SATISFACTORY TO THE ISSUER, THE TRANSFER QUALIFIES FOR AN EXEMPTION FROM OR EXEMPTION TO THE REGISTRATION PROVISIONS THEREOF."

AUTHORIZED COMMON STOCK: 150,000,000 SHARES
PAR VALUE: \$0.001

CUSIP NO. 032797 10 2

This Certifies that

ATHANASIOS SKARPELOS

Is The Record Holder Of
***SIX MILLION SIX HUNDRED THIRTY THREE THOUSAND THREE HUNDRED
THIRTY TWO***

Shares of ANAVEX LIFE SCIENCES CORP. Common Stock

transferable on the books of the Corporation by the holder hereof, in person or by duly authorized attorney, upon surrender of this Certificate properly endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

Witness the forsmode seal of the Corporation and the forsmode signatures of its duly authorized officers.

Dated: OCTOBER 29, 2009

SECRETARY

PRESIDENT



* VALID UNLESS COUNTERSIGNED BY TRANSFER AGENT

Countersigned & Registered

Countersigned and Registered
Nevada Agency and Transfer Company
50 West Liberty Street • Suite 800 • Reno, Nevada 89501

No. CVIS-02259

Skarpelos

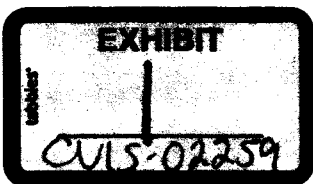
vs.

Weizel et al

Skarpelos Ex. 1

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy





Weiser Asset Management Ltd

de la Plaine House, 28 Parliament Street, P.O. Box N-10697, Nassau, Bahamas

Tel: 647-965-2275

www.weiseram.com

About this Application

This is the Weiser Asset Management Ltd. Account Application. Please read it carefully, as you will select products and services, tell us how you want to communicate with us, and agree to certain provisions that will govern our relationship. When we accept it, this Application and all accompanying or supplemental documents form the entire Agreement between us for this account.

Unless otherwise indicated in this Application, the words "you," "your," "yourself," and "yours" mean the applicant(s). The words "we," "us," and "our" mean {Weiser Asset Management Ltd, de la Plaine House, 28 Parliament Street, P.O. Box N-10697, Nassau, Bahamas } and our branches, subsidiaries, and affiliates.

Getting Started

Please complete this application in full, sign and return the original to WEISER ASSET MANAGEMENT LTD. along with any additional documents required as noted below.

Individual Account

- ☐ Account Application Form
- ☒ Completed Identity Verification Form

- ☒ Copy of Passport
- ☐ Bank Reference

Joint Account

- ☐ Account Application form
- ☐ Completed Identity Verification form*

- ☐ Copy of Passport
- ☐ Bank reference

* For each party in the joint account

Corporate Account

- ☐ Account Application Form
- ☐ Completed Identity Verification Form*
- ☐ Sealed Corp Resolution Authorizing Account Opening
- ☐ Certified Copy of Articles of Incorporation

- ☐ Copy of Passport*
- ☐ Bank Reference*
- ☐ Verification of Officers and Directors of Company
- ☐ Certified Copy of Certificate of Good Standing

* For each Signatory and Beneficial Owner

Trust Account

- ☐ Account Application Form
- ☐ Copy of Passport for each Trustee and Signatory
- ☐ Complete Identity Verification Form for each Trustee and Signatory
- ☐ Certified copy of the Trust Agreement
- ☐ Bank Reference for Trustees and Signatories
- ☐ Copy of Passport for primary clients under the Trust
- ☐ Complete Identity Verification Form for primary clients under the Trust
- ☐ Bank Reference for primary clients under the Trust

The above information helps us comply with various securities regulations and rules. Please note: if we cannot verify the information you provide, we may be required to restrict or deny your account.

Please remember to notify us if you experience a significant life change, such as the birth of a child, marriage, divorce, death of a spouse, loss of a job, change in financial situation, etc.



1 Select An Account**Account Type**

- ☒ Individual Account
☐ Joint Account (more than one account holder)
☐ Corporate Account
☐ Trust Account

Other Accounts

Do you have other accounts with us? ☐ Yes ☒ No

If yes, please provide details

Preferred Account Name _____



2 Please Tell Us About Yourself

Primary Applicant

Contact Information

☒ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. Suffix ☐ Sr. ☐ Jr.

ATHANASIOS SKAPELOS
First Name Middle Name Last Name

ATLANTA GLENDALE
Permanent Address

ATLANTA 16675 GREECE
City State ZIP Code Apt/Suite No.

+30 6974 657 879 Home b1222 b2
Work Phone Home Phone Mobile Phone Country Email Address

☐ Please check if you have been at your current home address for less than one year.

Mailing Address (if different from above) Apt/Suite No.

City State ZIP Code Country

Are you:

☐ Single ☐ Married ☐ Domestic Partner ☐ Divorced ☐ Widowed Number of Dependents: _____

Employment Status

Are you currently:

☐ Employed ☒ Self-Employed ☐ Not Employed ☐ Retired ☐ Student ☐ Other: _____

Job Title Occupation INVESTMENTS

Employer Years with this Employer

Business Address Apt/Suite No.

City State ZIP Code Country



2

Please Tell Us About Yourself—CONTINUED

Co-Applicant (if applicable)**Contact Information**

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. **Suffix** ☐ Sr. ☐ Jr.

First Name Middle Name Last Name

☐ Use the same contact information listed for the primary applicant.

Permanent Address Apt/Suite No.

City State ZIP Code Country

Work Phone Home Phone Mobile Phone Email Address

☐ Please check if you have been at your current home address for less than one year.

Mailing Address (if different from above) Apt/Suite No.

City State ZIP Code Country

Are you:

☐ Single ☐ Married ☐ Domestic Partner ☐ Divorced ☐ Widowed Number of Dependents: _____

Employment Status

Are you currently:

☐ Employed ☐ Self-Employed ☐ Not Employed ☐ Retired ☐ Student ☐ Other: _____

Job Title Occupation

Employer Years with this Employer

Business Address Apt/Suite No.

City State ZIP Code Country



2 Please Tell Us About Yourself—CONTINUED

All Applicants

Industry and Other Affiliations

Primary Applicant <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Co-Applicant <input type="checkbox"/> Yes <input type="checkbox"/> No	Are you, your spouse, or any other immediate family members, including parents, in-laws, siblings and dependents: Employed by or associated with the securities industry (for example, a sole proprietor, partner, officer, director, or branch manager of a broker-dealer firm) or a financial regulatory agency? If yes, please specify entity below. If employed by the entity and, if required, please provide a letter from your employer (with this Application) approving establishment of this account. <input type="checkbox"/> Broker-Dealer or Securities Dealer <input type="checkbox"/> Investment Adviser Name of entity(ies): _____ An officer, director or 10% (or more) shareholder in a publicly-owned company? Name of company and symbol: <u>Amavex Life Science-AVX</u> A senior military, governmental or political official in a non-US country? Name of country: _____ <small>(Firms may consider whether to include this question in the context of their risk assessment procedures and the products and services they offer.)</small>
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Household Financial Background

Please tell us your best estimate as to:

ANNUAL INCOME ¹ (from all sources)	NET WORTH ² (excluding your residence)	LIQUID NET WORTH ³	TAX RATE (highest marginal)
<input type="checkbox"/> \$25,000 and under	<input type="checkbox"/> \$25,000 and under	<input type="checkbox"/> \$25,000 and under	<input type="checkbox"/> 0-15%
<input type="checkbox"/> \$25,001-50,000	<input type="checkbox"/> \$25,001-50,000	<input type="checkbox"/> \$25,001-50,000	<input type="checkbox"/> 16-25%
<input type="checkbox"/> \$50,001-100,000	<input type="checkbox"/> \$50,001-200,000	<input type="checkbox"/> \$50,001-200,000	<input type="checkbox"/> 26-30%
<input type="checkbox"/> \$100,001-250,000	<input type="checkbox"/> \$200,001-500,000	<input type="checkbox"/> \$200,001-500,000	<input type="checkbox"/> 31-35%
<input checked="" type="checkbox"/> \$250,001-500,000	<input type="checkbox"/> \$500,001-1,000,000	<input type="checkbox"/> \$500,001-1,000,000	<input type="checkbox"/> Over 35%
<input type="checkbox"/> Over \$500,000	<input checked="" type="checkbox"/> \$1,000,001-3,000,000	<input type="checkbox"/> \$1,000,001-3,000,000	
	<input type="checkbox"/> Over \$3,000,000	<input type="checkbox"/> Over \$3,000,000	

ANNUAL EXPENSES ⁴ (recurring)	SPECIAL EXPENSES ⁵ (future, non-recurring)	
<input type="checkbox"/> \$50,000 and under	<input type="checkbox"/> \$50,000 and under	<p>¹ Annual income includes income from sources such as employment, alimony, social security, investment income, etc.</p> <p>² Net worth is the value of your assets minus your liabilities. For purposes of this application, assets include stocks, bonds, mutual funds, other securities, bank accounts, and other personal property. Do not include your primary residence among your assets. For liabilities, include any outstanding loans, credit card balances, taxes, etc. Do not include your mortgage.</p> <p>³ Liquid net worth is your net worth minus assets that cannot be converted quickly and easily into cash, such as real estate, business equity, personal property and automobiles, expected inheritances, assets earmarked for other purposes, and investments or accounts subject to substantial penalties if they were sold or if assets were withdrawn from them.</p> <p>⁴ Annual expenses might include mortgage payments, rent, long-term debts, utilities, alimony or child support payments, etc.</p> <p>⁵ Special expenses might include a home purchase, remodeling a home, a car purchase, education, medical expenses, etc.</p>
<input type="checkbox"/> \$50,001-100,000	<input type="checkbox"/> \$50,001-100,000	
<input type="checkbox"/> \$100,001-250,000	<input type="checkbox"/> \$100,001-250,000	
<input type="checkbox"/> \$250,001-500,000	<input type="checkbox"/> Over \$250,000	
<input type="checkbox"/> Over \$500,000		

Timeframe for special expenses:

☐ Within 2 years

☐ 3-5 years

☐ 6-10 years



3 Tell Us How You Intend to Use This Account

The more we know about you and your goals for this account, the better we can serve you. Please answer the following questions about your investment objectives, financial situation and attitude toward investment risk to help us determine which investment products and strategies are suitable for you.

The investments in this account will be (check one):

- ☐ Less than 1/3 of my financial portfolio
☒ Roughly 1/3 to 2/3 of my financial portfolio
☐ More than 2/3 of my financial portfolio

I plan to use this account for the following (check all that apply):

- ☐ Generate income for current or future expenses
☐ Partially fund my retirement
☐ Wholly fund my retirement
☒ Steadily accumulate wealth over the long term
☐ Preserve wealth and pass it on to my heirs
☐ Pay for education
☐ Market speculation
☐ Other: _____

When is the earliest you expect to need funds from this account?

- ☒ Under 3 years ☐ 3-5 years ☐ 6-10 years ☐ 11-20 years ☐ Over 20 years

Select the category that best describes the risk that you are willing to take in this account

Investing involves risk. Different investment products and strategies involve different degrees of risk. The higher the expected returns of a product or strategy, the greater the risk that you could lose most of your investment. Investments should be chosen based on your objectives, timeframe, and tolerance for market fluctuations.

Please select the degree of risk you (and any co-applicants, if applicable) are willing to take with the assets in this account, in light of the purpose(s) you identified above.

- ☐ **Conservative.** I want to preserve my initial principal in this account, with minimal risk, even if that means this account does not generate significant income or returns and may not keep pace with inflation.
- ☐ **Moderately Conservative.** I am willing to accept low risk to my initial principal, including low volatility, to seek a modest level of portfolio returns.
- ☐ **Moderate.** I am willing to accept some risk to my initial principal and tolerate some volatility to seek higher returns, and understand I could lose a portion of the money invested.
- ☒ **Moderately Aggressive.** I am willing to accept high risk to my initial principal, including high volatility, to seek high returns over time, and understand I could lose a substantial amount of the money invested.
- ☐ **Significant Risk.** I am willing to accept maximum risk to my initial principal to aggressively seek maximum returns, and understand I could lose most, or all, of the money invested.



3

Tell Us How You Intend to Use This Account—CONTINUED

Financial Investment Experience

We are collecting the information below to better understand your investment experience. We recognize your responses may change over time as you work with us.

Please check the boxes that best describe your investment experience to date

Investment	Years experience			Transactions per year (excluding automatic investments)		
Mutual Funds/ Exchange Traded Funds	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-15	<input checked="" type="checkbox"/> Over 15
Individual Stocks	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input type="checkbox"/> 0-5	<input type="checkbox"/> 6-15	<input checked="" type="checkbox"/> Over 15
Bonds	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input checked="" type="checkbox"/> 0-5	<input type="checkbox"/> 6-15	<input type="checkbox"/> Over 15
Options	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input type="checkbox"/> 0-5	<input checked="" type="checkbox"/> 6-15	<input type="checkbox"/> Over 15
Securities Futures	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input type="checkbox"/> 0-5	<input checked="" type="checkbox"/> 6-15	<input type="checkbox"/> Over 15
Annuities	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input checked="" type="checkbox"/> 0-5	<input type="checkbox"/> 6-15	<input type="checkbox"/> Over 15
Alternative ⁵	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5	<input type="checkbox"/> 0-5	<input checked="" type="checkbox"/> 6-15	<input type="checkbox"/> Over 15
Margin	<input type="checkbox"/> 0	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> Over 5			

⁵ May include structured products, hedge funds, etc.

Decision-Making (check all that apply)

- ☐ I consult with my broker, investment adviser, CPA, or other financial professional.
- ☒ I generally make my own decisions and/or consult with my co-applicant(s).
- ☐ I discuss investment decisions with family and/or friends.

Other Investment Information (optional)

Please consider providing us with additional information about your other investments to help us more fully understand your financial situation and what types of investments or strategies may be appropriate for your total investment portfolio.

Investment type/Description	Firm holding the investment	Amount (\$US)
		\$
		\$
		\$
		\$

(use additional space as needed)



4 Tell Us How You Will Fund This Account

Please tell us how you are funding this account (check all that apply):

- ☐ Income
☐ Pension or retirement savings
☐ Funds from another account
☐ Gift
☐ Sale of business or property

- ☐ Insurance payout
☐ Inheritance
☐ Social Security benefits
☐ Home Equity Line of Credit/Reverse Mortgage
☐ Other: certificate for AUXL

5 Tell Us How You Want to Work With Us

Account Features

Borrowing Money to Buy Securities (Buying "On Margin") – Please Read Carefully

You will have a "cash account," unless you choose to have a "margin loan account" (customarily known as a "margin account"). To help you decide whether a margin loan account is right for you, please read this information and the Margin Loan Agreement.

In a cash account, you pay for your securities in full at the time of purchase. In a margin loan account, we may lend you a portion of the purchase price. This is called buying securities "on margin."

* For example, when you buy equity securities (such as common stock) on margin, you typically must deposit at least 50% of the purchase price, and we would loan you the balance. You are liable for repaying the borrowed funds and the interest incurred.

If you borrow funds in your margin loan account and the value of your holdings declines significantly, you may be subject to a "margin call." This means that we can either (1) require you to deposit additional cash or marketable securities to your account immediately, or (2) sell any of the securities in your account to cover any shortfall, without informing you in advance. We will decide which of your securities to sell. Even if we notify you that you have a certain number of days to cover the shortfall, we may still sell your securities before that timeframe expires. Further, we may increase at any time the level of equity that you must maintain in your margin account without triggering a margin call.

Borrowing funds to buy securities is only appropriate for those investors who can tolerate losing more than the amount of money deposited in the account. To avoid the use of margin, even in a margin loan account, always pay for your purchases in full at the time of purchase.

- ☒ No I do not want the ability to borrow funds in my account, which means I will have a cash account.
☐ Yes I want the ability to borrow funds in my account. I have read the Margin Account Agreement and understand my rights and obligations under it.

Note: If you do not check any box above, by default you will have a cash account.

Communications Choices

Communications Options

We will use online access and email to send you any communications.

Please tell us the email address we should use:

fm@bizex.biz

If required, please tell us an additional email addresses we should use:

Relationship to Primary Applicant/Co-Applicant:



5

Tell Us How You Want to Work With Us—CONTINUED

Please supply a username and password for online access. These should be between 6 and 12 characters and are case sensitive

Username: _____

Password: _____

Back-Up Contact Information

If we are unable to reach you for the period of time stated in the Terms & Conditions, you authorize us to contact the person listed below and to disclose information about you in order to confirm the specifics of your current contact information, health status, and the identity of any legal guardian, executor, trustee, or holder of a power of attorney.

Note: Your back-up contact should not be a co-applicant.

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. Suffix ☐ Sr. ☐ Jr.

First Name

Middle Name

Last Name

Address

Apt/Suite No.

City

State

ZIP Code

Country

Work Phone

Home Phone

Mobile Phone

Email Address

Relationship to Primary Applicant/Co-Applicant: _____



6 Review and Submit This Application

Confirmations and Signatures – Please Read Carefully

By signing this Application, you affirm that you have received and read this Application and any supplemental documents governing this relationship. You affirm that the information you have provided is accurate and you agree to notify us of any changes in the information provided.

Additional Certifications

Please check all boxes that apply, and sign and date below.

Primary Applicant

Co-Applicant

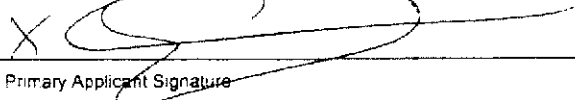


Non-Resident Alien: I certify that I am not a U.S. citizen, U.S. resident alien, or other U.S. person for U.S. tax purposes, and I am submitting the applicable I.D. to certify my foreign status and, if applicable, claim tax treaty benefits.

Signatures

Athanasios (Tom) Skarpelos.

Primary Applicant Name (please print)

X 

Primary Applicant Signature

Date

05/31/2011

Co-Applicant Name (please print)

Co-Applicant Signature

Date

INTERNAL

Weiser Management Approval-



Signature

10/13/11

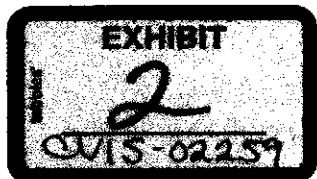
Date

Account Number:

11120001



No. CV15-02259
Skarpelos
vs.
Weiser
Skarpelos Ex. 2
Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK
By nm
Deputy



MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

October 30, 2015

VIA EMAIL
info@natco.org
AND FEDEX

Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

Ladies and Gentlemen:

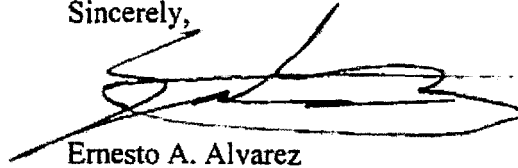
We are writing on behalf of Weiser Asset Management Ltd., a Bahamas company ("Weiser"). On or about July 12, 2013, Athanasios Skarpelos ("Seller") sold 3,316,666 shares of common stock (the "Sold Stock") of Anavex Life Sciences Corp., a Nevada corporation ("Anavex"). Subsequently, Weiser delivered to Nevada Agency and Transfer Company ("Transfer Agent"), in its capacity as the transfer agent for Anavex common stock, Stock Certificate No. 0753 for 6,633,332 shares of Anavex common stock issued in the name of Seller (the "Stock Certificate") and a stock power executed by Seller in favor of Weiser to effect the transfer of the Sold Stock to Weiser (the "Stock Power").

In response to Weiser's submission of the Stock Certificate and Stock Power, you advised Weiser that Seller had reported to you that he had lost the Stock Certificate and requested that you issue a replacement certificate. It is our understanding that pursuant to your request, Seller submitted an affidavit under oath in which he stated that he had lost the Stock Certificate. You then issued a replacement certificate to Seller (the "Replacement Certificate").

It is clear that Seller obtained the Replacement Certificate under false pretenses. We hereby demand that you immediately place a stop transfer restriction on the shares of Anavex common stock represented by the Replacement Certificate, cancel the Replacement Certificate, and register on Anavex's stock transfer records Weiser's ownership of the 3,316,666 shares of Anavex common stock. If you have any doubt as to your obligations under applicable law, we remind you that pursuant to Nev. Rev. Stat. §104.8405, if, after the issue of a new security certificate, a protected purchaser of the original certificate presents the original certificate for registration of transfer, the transfer agent must register the transfer.

We request that you immediately confirm to us in writing that you are taking the steps outlined above. Your immediate action is critical in order to avoid any potential loss or damage to Weiser.

Sincerely,

A handwritten signature in black ink, appearing to be "Ernesto A. Alvarez", written over a horizontal line.

Ernesto A. Alvarez

cc: Weiser Asset Management Ltd. (via email)

No. CVIS-02259

Skarpelos

vs.

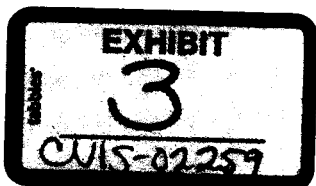
Weisenstal

Skarpelos Ex. 3

Admitted: 1/28, 20 19

JACQUELINE BRYANT, CLERK

By Mh
Deputy



JA1150



l.pedaf <l.pedaf@gmail.com>

RE: Courier Address for Weiser Asset Management Ltd.

Athanasios Skarpelos <tom@bizex.bz>
To: hbdaniels@weiseram.com
Cc: l.pedaf@gmail.com

Mon, May 30, 2011 at 8:30 PM

Thank you Howard. Also I need the forms to open account with Weiser Asset Management Ltd before I leave so we can deposit the Anavex certificate in that account. We can meet today or tomorrow to open the account.

TOM SKARPELOS
tom@bizex.bz
Cell: +16463184979

-----Original Message-----

From: hbdaniels@weiseram.com [mailto:hbdaniels@weiseram.com]
Sent: Fri 5/27/2011 8:20 AM
To: Athanasios Skarpelos
Subject: Courier Address for Weiser Asset Management Ltd.

Hi Tom,

I hope you are doing well.

I understand you have some certificates that you would like to courier in advance of opening your account with Weiser.

Please Courier them to:

Weiser Asset Management Limited

Attn: Howard Daniels

de la Plaine House,
28 Parliament Street
P.O. Box N-10697,
Nassau, Bahamas
Phone-242-325-0922

Sincerely,

Howard Daniels
Chief Operating Officer, Director
Weiser Asset Management Ltd.
Nassau, Bahamas
Office 242-325-0922
Cell 242-454-7873
Alternate Cell 647-965-2275

This communication and any attachments may contain information that is privileged or confidential and is intended only for the use of the individual to whom it is addressed. Any other distribution, copying or disclosure is strictly prohibited. If you have received this communication in error, please notify us immediately then delete this

5000006
JA1151

No. CV15-02259

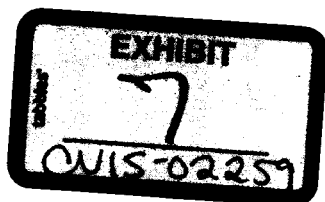
Skarpelos
vs.

Weiser et al

Weiser Ex. 7

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy





Weiser Asset Management Ltd

de la Plaine House, 28 Parliament Street, P.O. Box N-10697, Nassau, Bahamas

Tel: 647-965-2275

www.weiseram.com

Identity Verification Form (Form IVF)

This form must be completed for each beneficial owner and signatory of a Weiser Asset Management Ltd account, including individuals named on Powers of Attorney and Trading Authorizations. The information requested is required under Anti-Money Laundering regulations of The Bahamas. Please copy this form as necessary to complete for each relevant individual.

Full Name	ATHANASIOS (TOM) SKARPELOS
Residential Street Address (No PO Boxes)	
	TIXIS II GLYFADA ATHENS
	GREECE 16675
City / State / Province	
Country & Postal Code	
Contact Phone	tom@bizex.bz
Nationality/Citizenship	GREEK
Date of Birth	24 / 11 / 66
Place of Birth	GREECE
Occupation / Position	SELF EMPLOYED
Length of Employment	
Employers Name and Full Address	
Employers website (if applicable)	
If Self-Employed, describe the nature of your business	INVESTMENTS



Have you ever been convicted of an indictable offence, fraud, or any other criminal offence? (If yes, provide details) YES / <u>NO</u>
Have you ever had an investment account application refused/account closed by a bank or other financial institution? YES / <u>NO</u>
Have you ever been convicted of a securities related offence, or entered into an agreement with a securities regulator as a result of a regulator's allegations? (If yes, provide details) YES / <u>NO</u>
Are you a director or officer of a public company? (If yes, provide details) YES / <u>NO</u>
Name and address of the financial institution supplying your reference (if not attached)

Please attach:

- Notarized copy of your valid passport/photo ID
- Bank Reference for an account in your name, that has been open for one year or more
- Utility bill or other acceptable verification of residential address (Not required if address is included in bank reference)

A bank, licensed trust company, or brokerage firm in an approved jurisdiction should provide references. References and utility bills should be recently dated.

I CERTIFY THAT THE INFORMATION PROVIDED BY ME IN THIS DOCUMENT IS TRUE AND CORRECT, AND I AGREE TO ADVISE **WESIER ASSET MANAGEMENT LTD.** IMMEDIATELY OF ANY CHANGE TO THIS INFORMATION.

Signed

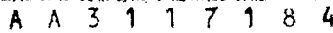
Date

MAY 31 2011

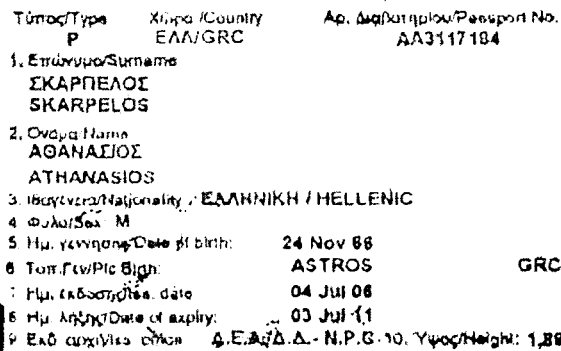


Identity Verification Form.docx

WEISER000363
JA1154



$\frac{1}{2} \left(\frac{1}{2} \right)^{n-1} = \frac{1}{2^n}$



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AA31171845GRC6611248M1107032<<<<<<<<<<<<<D4

EQUITY TRUST BAHAMAS LIMITED

John R. Williams Authorized Signatory

Date: 3 May 2011

WEISER000364
JA1155

644.00

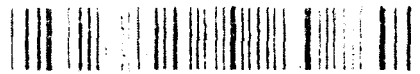
ΠΡΟΣΟΧΗ !! ΕΙΔΟΠΟΙΗΣΗ ΔΙΑΚΟΠΗΣ

Αγαπητέ Πελάτη,

Έχετε οφειλή στο προηγούμενο λογαριασμό σας.
Παρακαλούμε για την εξόφληση της οφειλής σας
το αργότερο μέχρι την ημερομηνία λήξης του
λογαριασμού, διαφορετικά μετά λήξης μας,
θα βρεθούμε στη δυσάρεστη θέση
να διακόψουμε την ηλεκτροδότηση σας.

ΕΛΛΗΝΙΚΗ ΔΗΜΟΚΡΑΤΙΑ

ΑΡ. Π. ΑΛΦΟΝΟΥ ΠΕΛΑΓΙΩ



ΠΛΗΡΑΔΑΣ

0041 14 10 002300

0041 14 10 002300

19/05/2011 19/07/2011

ΕΛΛΗΝΙΚΗ

[illegible]

1. 1994 1995 1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 2014 2015 2016 2017 2018 2019 2020 2021 2022 2023 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033 2034 2035 2036 2037 2038 2039 2040 2041 2042 2043 2044 2045 2046 2047 2048 2049 2050 2051 2052 2053 2054 2055 2056 2057 2058 2059 2060 2061 2062 2063 2064 2065 2066 2067 2068 2069 2070 2071 2072 2073 2074 2075 2076 2077 2078 2079 2080 2081 2082 2083 2084 2085 2086 2087 2088 2089 2090 2091 2092 2093 2094 2095 2096 2097 2098 2099 2100 2101 2102 2103 2104 2105 2106 2107 2108 2109 2110 2111 2112 2113 2114 2115 2116 2117 2118 2119 2120 2121 2122 2123 2124 2125 2126 2127 2128 2129 2130 2131 2132 2133 2134 2135 2136 2137 2138 2139 2140 2141 2142 2143 2144 2145 2146 2147 2148 2149 2150 2151 2152 2153 2154 2155 2156 2157 2158 2159 2160 2161 2162 2163 2164 2165 2166 2167 2168 2169 2170 2171 2172 2173 2174 2175 2176 2177 2178 2179 2180 2181 2182 2183 2184 2185 2186 2187 2188 2189 2190 2191 2192 2193 2194 2195 2196 2197 2198 2199 2200 2201 2202 2203 2204 2205 2206 2207 2208 2209 2210 2211 2212 2213 2214 2215 2216 2217 2218 2219 2220 2221 2222 2223 2224 2225 2226 2227 2228 2229 2230 2231 2232 2233 2234 2235 2236 2237 2238 2239 2240 2241 2242 2243 2244 2245 2246 2247 2248 2249 2250 2251 2252 2253 2254 2255 2256 2257 2258 2259 2260 2261 2262 2263 2264 2265 2266 2267 2268 2269 2270 2271 2272 2273 2274 2275 2276 2277 2278 2279 2280 2281 2282 2283 2284 2285 2286 2287 2288 2289 2290 2291 2292 2293 2294 2295 2296 2297 2298 2299 2300 2301 2302 2303 2304 2305 2306 2307 2308 2309 2310 2311 2312 2313 2314 2315 2316 2317 2318 2319 2320 2321 2322 2323 2324 2325 2326 2327 2328 2329 2330 2331 2332 2333 2334 2335 2336 2337 2338 2339 2340 2341 2342 2343 2344 2345 2346 2347 2348 2349 2350 2351 2352 2353 2354 2355 2356 2357 2358 2359 2360 2361 2362 2363 2364 2365 2366 2367 2368 2369 2370 2371 2372 2373 2374 2375 2376 2377 2378 2379 2380 2381 2382 2383 2384 2385 2386 2387 2388 2389 2390 2391 2392 2393 2394 2395 2396 2397 2398 2399 2400 2401 2402

The latter confirms that Athanasios is employed by the company for 1 year. He is involved in good standing of this Bank. Our record of the Athanasios is long, starting in an office in 1980 and has been a client at this bank for the past 10 years. He is married to Maria Skorpelos has 2 children and lives in a good neighborhood in the city.

During the past few years, the
 1970-1980:

Mr. Vassilios Kostopoulos

1. Chlorophyll is the green pigment in plants that captures light energy.

03/03/2011

4060 0114 9765 4000

ΕΥΡΩ 1.000

ΣΥΛΛΗΨΗ ΑΒΑΝΤΙΣΤΟΣ ΤΟΥ ΠΑΛΑ
ΤΥΧΗΣ 15
15875 ΓΑΥΔΑΔΑ

ΚΑΤΕΛΕΞΕΤΕ ΤΟΝ ΚΑΡΤΕΛΛΟΝ
ΕΙΣ ΤΗΝ ΚΑΡΤΑ
ΑΝΤΙΣΤΟΙΧΩΣ ΕΙΣ ΤΗΝ ΚΑΡΤΑ

ΑΝΤΙΣΤΟΙΧΩΣ ΤΗΣ ΚΑΡΤΑΣ
ALPHA BANK BONUS
ΟΡΑ. ΑΝΤΙΣΤΟΙΧΩΣ 5.000 ΠΟΝΤΟΥΣ ΔΟΠΟ
ΕΙΣ ΤΗΝ ΚΑΡΤΑ ΤΟΥΣ ΕΛΑΦΥΤΗΡΩΣΕΤΕ
ΣΤΟ 1111 1111
ΕΠΙΧΕΙΡΗΣΕΤΕ ΕΙΣ ΤΟΥΣ ΟΡΟΥΣ
ΕΙΣ ΤΟ WWW.ALPHA.GR/BONUS

ΑΝΤΙΣΤΟΙΧΩΣ ΤΗΣ ΣΥΛΛΗΨΗΣ
ΤΟΥ ALPHA WEB BANKING
ΚΑΙ ALPHA PHONE BANKING
ΕΙΣ ΤΗΝ ΚΑΡΤΑ ΕΠΙΧΕΙΡΗΣΕΤΕ ΚΑΙ ΔΙΑΒΑΣΤΕ
ΟΥ ΑΝΤΙΣΤΟΙΧΩΣ ΤΗΣ ΚΑΡΤΑΣ ΕΙΣ
ΟΛΟΚΛΗΡΩΣΕΤΕ ΚΑΙ ΑΝΤΙΣΤΟΙΧΩΣ
ΕΙΣ ΤΟ 801 11 326 0000 ΜΗ
ΕΙΣ ΤΟ WWW.ALPHA.GR

ΗΜΕΡΑ	ΩΡΑ	ΑΡΙΘΜΟΣ	ΠΕΡΙΓΡΑΦΗ	ΤΥΠΟΣ	ΠΟΣΟΣΤΟ
03/03/2011	04/03/2011	1914777	ΕΠΙΧΕΙΡΗΣΗ ΚΑΡΤΑΣ	ΟΛΟΚΛΗΡΩΣΗ	47,43
				ΕΥΡΩ	47,43



No. CWIS-02259

JK Kpelos

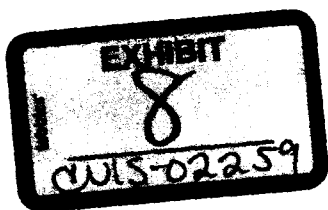
vs.

Weiser et al

Weiser Ex. 8

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By mm
Deputy



CORPORATE INDEMNITY
To
NEVADA AGENCY AND TRANSFER COMPANY
FOR REISSUANCE OF LOST CERTIFICATE

WHEREAS ANAVEX LIFE SCIENCES (hereinafter "the Company") has requested that a duplicate certificate of stock for shares of common stock of this company be issued in replacement of the original certificate 660 & 753 (hereinafter "Original Certificate"), which has been LOST; and

Whereas, Stockholder has not furnished a bond, the Company desires to replace said certificate without bond, and the Company agrees to indemnify Nevada Agency and Transfer Company (hereinafter "NATCO") against any and all costs, damages, actions, expenses, and attorney's fees which might result from the issuance of a duplicate certificate to replace the original certificate; and

Whereas, the Company acknowledges that pursuant to Sections 8-405 and 8-210 of the Uniform Commercial Code, should the original certificate ever be properly presented for transfer by a protected purchaser, NATCO may be required to transfer and reissue said original certificate and the Company will be required to take the appropriate actions under Article 8 of the Uniform Commercial Code, and/or the Securities Act of 1933, as amended, including, but not limited to, purchasing an equivalent number of shares in the public market for cancellation or registering such shares under the Securities Act of 1933, as amended,

NOW, THEREFORE, the Board of Directors of the Company has adopted the following resolutions;

RESOLVED: that NATCO be authorized and directed to issue a new stock certificate representing 6,725,832 shares of the common stock of the Company in place of the Original Certificate in the name of Athanasios Skarpeios.

AND IT FURTHER BE RESOLVED: that, should the Original Certificate ever be properly presented for transfer, NATCO is hereby instructed to transfer and reissue such certificate and the Company agrees that it will take the appropriate actions in regards to such certificate under the Uniform Commercial Code and/or the Securities Act of 1933, as amended.

AND IT LASTLY BE RESOLVED that the Company hereby agrees to indemnify NATCO against any and all costs, damages, actions, expenses, and attorney's fees which might result from the issuance of a duplicate certificate to replace the original certificate or the transfer and reissuance of the Original Certificate should it ever be properly presented for transfer.

The below Officer of the Company hereby certify that the above resolutions were adopted by the Board of Directors of the Company on JAN 10, 2013, and are binding obligations of the Company.

Signature

Athanasios Skarpeios
Name and Title

ΑΝΑΣΤΑΣΙΟΣ Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 106 79
ΤΗΛ.: 3600154, 3603853, FAX: 3608926
ΑΜ/ΔΣΑ: 3730 - ΑΦΜ: 006521572

S000007

JA1160

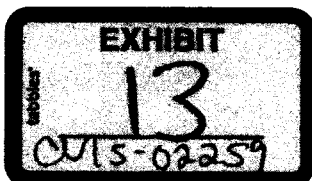
No. CN15-02259
Skarpelos
vs.

Weiser

Weiser Ex. 13

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



AFFIDAVIT FOR LOST STOCK CERTIFICATE

I, Athanasios Skarpelos hereby declare and affirm as follows:

1. That I reside at:

NISSOYS 5
Street Address
Athens GLYFADA
City, State & Zip
GREECE 16675
Country

2. That I am the legal and beneficial owner of the following shares of Anavex Life Sciences Corp.

3. The above-mentioned stock ownership is represented as follows:

ISSUED TO	CERT. NO.	SHARE QTY	DATE ISSUED
Athanasios Skarpelos	660	92500	9/24/2007
	753	6633332	10/29/2009

4. That said certificate was/was not endorsed. (Circle one)

5. That the present status of the certificate is as follows: (Please describe, i.e. lost, misplaced or stolen.) lost

6. That I have not assigned, hypothecated, pledged, or in any other way disposed of either the stock certificate or its rights as a stockholder, in whole or in part.

7. That if the original certificate should ever come into my hands, custody or control, I will immediately and without consideration surrender the original to the Issuing Corporation or Nevada Agency and Transfer Company for cancellation.

ΑΝΑΣΤΑΣΙΟΣ Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 106 79
ΤΗΛ: 3600154, 3603853, FAX: 3608926
ΑΜ/ΔΣΑ: 3730 - ΑΦΜ: 006521572



Date: March 28, 2013


Athanasios Skarpeios

ACKNOWLEDGEMENT/NOTARIZATION

State of GREECE

) ss.

County of Attika

On March 28, 2013 (DATE) before me, Athanasios Skarpeios (NAME OF NOTARY), personally appeared Athanasios Skarpeios (NAME) personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that s/he executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 106 79
ΤΗΛ: 3600154, 3603853, FAX: 3608926
ΑΜΙΔΕΑ: 3730 - ΑΦΜ: 006521572


NOTARY SIGNATURE

No. CV15-02259

Skarpelos

vs.

Weisen et al

Weisen Ex. 14

Admitted: 1/28, 2019

JACQUELINE BRYANT, CLERK

By [Signature]

Deputy



RECEIVED

APR 01 2013

STOP TRANSFER ORDER

DATE: March 29 2013

NEVADA AGENCY AND
TRANSFER COMPANY

NEVADA AGENCY AND TRANSFER COMPANY:

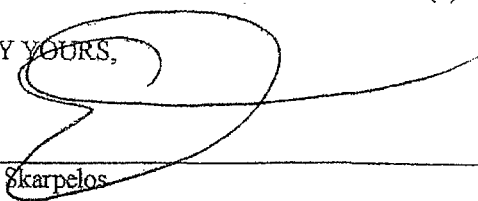
PLEASE BE ADVISED THAT THE FOLLOWING STOCK CERTIFICATE(S) OF ANAVEX LIFE SCIENCES CORP, A NEVADA CORPORATION, have been reported as:

☒ LOST ☐ STOLEN ☐ DESTROYED

ISSUED TO	CERT. NO.	SHARE QTY	DATE ISSUED	RESTRICTED?
⁶⁴ Athanasios Skarpelos	660	92500	9/24/2007	Yes
	753	6633332	10/29/2009	Yes

KINDLY RECORD A STOP-TRANSFER ORDER AGAINST THE ABOVE CERTIFICATE(S).

VERY TRULY YOURS,


s/ Athanasios Skarpelos

SHAREHOLDER CONTACT INFORMATION:

Name:

Athanasios Skarpelos

Social Security #:

06333202

Address:

NISSOYSS

GLYFADA ATHENS GREECE

Telephone:

+306974657879

Email:

tomskarp@yellcoo.com

THIS DOCUMENT MUST BE COMPLETED AND SIGNED BY THE REGISTERED SHAREHOLDER(S)

ΑΝΑΣΤΑΣΙΟΣ Δ. ΧΡΙΣΤΙΑΣ
ΔΙΔΑΚΤΩΡ ΝΟΜΙΚΗΣ ΠΑΡΙΣΙΩΝ
ΔΙΚΗΓΟΡΟΣ ΠΑΡ' ΑΡΕΙΩ ΠΑΓΩ
ΑΚΑΔΗΜΙΑΣ 57 - ΑΘΗΝΑ 106 79
ΤΗΛ.: 3600154 - 3603853, FAX: 3608926
ΑΜ/ΔΣΑ: 3730 - ΑΦΜ: 006521572

S000010

JA1165

No. CWIS-02259

Skarpelos

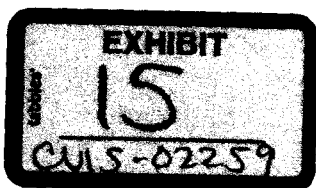
vs.

Weisenhof

Weisen Ex. 15

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



NEVADA AGENCY AND TRANSFER COMPANY

50 WEST LIBERTY, SUITE 880, RENO, NEVADA 89501 • TELEPHONE (775) 322-0626

74061

DATE 4/04/2013

74061

ANAVEX LIFE SCIENCES
CORP.
PO BOX 10068 PACIFIC CTR
VANCOUVER BC V7Y1C3

ANAVEX LIFE SCIENCES
CORP.
PO BOX 10068 PACIFIC CTR
VANCOUVER BC V7Y1C3

WE ACKNOWLEDGE RECEIPT OF CERTIFICATES OF STOCK OF THE ABOVE COMPANY AS FOLLOWS:

CERTIFICATE NUMBER	NAME	NUMBER OF SHARES	CERTIFICATE NUMBER	NAME	NUMBER OF SHARES
660	SKARPELOS, ATHANASIOS *	92500			
753	SKARPELOS, ATHANASIOS *	6633332			
	RESTRICTED - 144 LEGEND				
	LOST CERTIFICATES				
	CANCELED		975	SKARPELOS, ATHANASIOS SH # 00064	30.00
	CANCELED			NISSOYS 5	
	CANCELED			GLYFADA ATHENS 16675	
	CANCELED			GREECE	
	CANCELED			RESTRICTED - 144 LEGEND	
	CANCELED			LOST CERTIFICATE FEE	50.00
	CANCELED			CERT SENT VIA UPS	50.00
	CANCELED			#1260E72E0491625533 TO	
	CANCELED			SHAREHOLDER ISSUED	
5000					
11					

OTHER CHARGES FOR THE ABOVE TRANSFERS ARE AS FOLLOWS:

6725832

6725832

ISSUING
OTHER CHARGES

CERTIFICATES @

EACH

30.00
100.00

No. CNIS-02259

Skarpelos

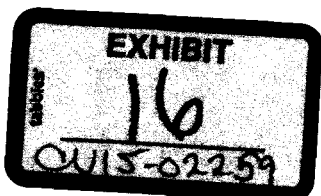
vs.

Weiser et al

Weiser Ex. 16

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By mm
Deputy



Message

From: Lambros Pedafronimos [l.pedaf@gmail.com]
Sent: 5/24/2013 11:12:00 AM
To: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Subject: Re: Tom update????

Ela bud, he will be active on Monday, I brought him down to village for some fresh air.

I monitor all of his correspdance.

On Fri, May 24, 2013 at 5:21 PM, Christos <christos@bizex.bz> wrote:

Lou, are you getting Tom's emails? Is he?

--

Lambros Pedafronimos

No. CV15-02259

Skarpelos

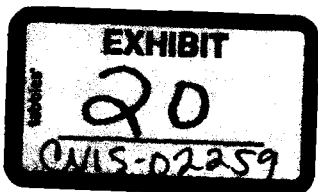
vs.

Weiser et al

Weiser Ex. 20

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy



JA1170



I.pedaf <l.pedaf@gmail.com>

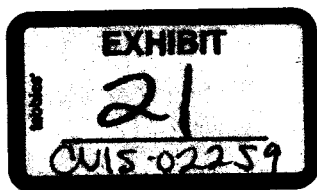
Did u get my txt few days ago re. Kinezi and I need docs?

Christos <christos@bizex.bz>
To: I.pedaf@gmail.com

Mon, Jun 24, 2013 at 8:03 PM

I have a call with them late tonight

S000012
JA1171



No. CUIS-02259
Skarpelos
vs.
Weiser et al
Weiser Ex. 21
Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK
By MM
Deputy

JA1172



I.pedaf <I.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Lambros Pedafronimos <I.pedaf@gmail.com>

Mon, Jun 24, 2013 at 8:15 PM

To: Christos <christos@bizex.bz>

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

—
Lambros Pedafronimos

S000013
JA1173

No. CVIS-02259

Skarpelos

vs.

Weisenetal

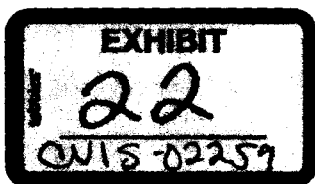
Weisen Ex. 22

Admitted: 1/28, 20 19

JACQUELINE BRYANT, CLERK

By WMM

Deputy



JA1174



I.pedaf <I.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Christos <christos@bizex.bz>
To: I.pedaf@gmail.com

Mon, Jun 24, 2013 at 8:30 PM

Email me blanks ones now so I can show them what they'll be looking like etc

From: Lambros Pedafronimos
To: Christos
Sent: Mon Jun 24 13:15:55 2013
Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

—
Lambros Pedafronimos

S000014

JA1175

No. CN15-02259

Skarpelos

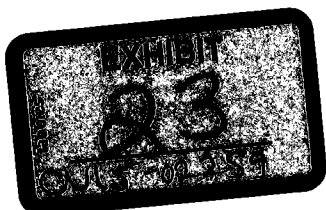
vs.

Weizenhof

Weisen Ex. 23

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



JA1176



l.pedaf <l.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos <christos@bizex.bz>

Mon, Jun 24, 2013 at 8:53 PM

attached bud!

On Mon, Jun 24, 2013 at 8:30 PM, Christos <christos@bizex.bz> wrote:

Email me blanks ones now so I can show them what they'll be looking like etc

From: Lambros Pedafronimos
To: Christos
Sent: Mon Jun 24 13:15:55 2013
Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

--
Lambros Pedafronimos

--
Lambros Pedafronimos

2 attachments

 **POA.pdf**
20K

 **STOCK SALE AND PURCHASE AGREEMENT.docx**
17K

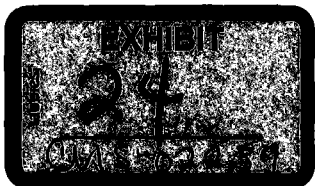
S000015

JA1177

No. CN15-02259
Skarpelos
vs.
Weiseretal
Weiser Ex. 24

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



JA1178

Message

From: Lambros Pedafronimos [l.pedaf@gmail.com]
Sent: 6/24/2013 11:53:04 AM
To: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?
Attachments: POA.pdf; STOCK SALE AND PURCHASE AGREEMENT.docx

attached bud!

On Mon, Jun 24, 2013 at 8:30 PM, Christos <christos@bizex.bz> wrote:

Email me blanks ones now so I can show them what they'll be looking like etc

From: Lambros Pedafronimos
To: Christos
Sent: Mon Jun 24 13:15:55 2013
Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?
Yes re, were putting the docs in place
On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

--

Lambros Pedafronimos

--

Lambros Pedafronimos

POWER OF ATTORNEY TO TRANSFER BONDS OR SHARES

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto:

(Name of transferee)
of _____

(Transferee's address)

↑

↑ (Description of Security - include number and class of Shares or, amount (par value), rate and maturity of Bonds)

standing in the name of the undersigned on the books of _____ represented by certificate No. _____ and hereby irrevocably constitutes and appoints _____ the attorney of the undersigned to transfer the said shares on the books of the Company with full power of substitution in the premises.

DATED at _____ this _____ day of _____, 20 _____.

Signed: _____ In the presence of: _____

SIGNATURE OF TRANSFEROR

SIGNATURE OF WITNESS

Signature of transferor guaranteed by: _____

NOTE: The signature to this assignment must correspond with the name as recorded on the certificate/bond in every particular without alteration or enlargement or any change whatever. The signature of the person executing this power must be guaranteed by a Bank or Trust Company; or by a Member of the Toronto Stock Exchange.

STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this " **Agreement** ") is dated as of November 30, 2010, and is made and entered into by and among Parrish Medley (" **Buyer** ") and Kyle Beddome (" **Seller** ") with respect to the following facts:

A.

Seller owns 31,500,000 shares of common stock of ABC Corp., a Nevada corporation (the " **Company** ").

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 11,250,000 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Eleven Million Two Hundred and Twenty Five Thousand (11,250,000) shares of common stock (the " **Shares** ") of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

Purchase Price. The purchase price for the Shares (the " **Purchase Price** ") is One Thousand One Hundred and Twenty Five dollars (\$1,125.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on December 22, 2010, or such other date as the parties hereto may agree to (the " **Closing Date** "). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller, and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. The sale, conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement transfers to Buyer legal and valid title to the Shares, free and clear of all liens, security interests, hypothecations or pledges.

ARTICLE III

REPRESENTATIONS AND WARRANTIES OF BUYER

To induce Seller to enter into and perform their obligations under this Agreement, Buyer represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result in a breach of or default under any agreement to which Buyer is a party or by which Buyer is bound.

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with, and shall be governed by, the laws of the State of California without reference to, and regardless of, any applicable choice or conflicts of laws principles.

Section 4.3

Counterparts. This Agreement may be executed in any number of counterparts and by the several parties hereto in separate counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same Agreement.

Section 4.4

Further Assurances. Each of the parties hereto shall from time to time at the request of any other party hereto, and without further consideration, execute and deliver to such other party such further instruments of assignment, transfer, conveyance and confirmation and take such other action as such other party may reasonably request in order to more effectively fulfill the purposes of this Agreement.

IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer:

PARRISH MEDLEY

Parrish Medley

Seller:

KYLE BEDDOME

Kyle Beddome

No. CV15-02259

Skarpelos

vs.

Weisenetal

Weiser Ex. 25

Admitted: 1/28, 20 19

JACQUELINE BRYANT, CLERK

By [Signature]
Deputy





I.pedaf <l.pedaf@gmail.com>

Did u get my txt few days ago re. Kinezi and I need docs?

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos <christos@bizex.bz>

Tue, Jun 25, 2013 at 3:54 PM

We need somone to complete the language on the purchase and sale agreement. Do we have anyone on our end for that?

On Mon, Jun 24, 2013 at 8:53 PM, Lambros Pedafronimos <l.pedaf@gmail.com> wrote:
attached bud!

On Mon, Jun 24, 2013 at 8:30 PM, Christos <christos@bizex.bz> wrote:

Email me blanks ones now so I can show them what they'll be looking like etc

From: Lambros Pedafronimos

To: Christos

Sent: Mon Jun 24 13:15:55 2013

Subject: Re: Did u get my txt few days ago re. Kinezi and I need docs?

Yes re, were putting the docs in place

On Mon, Jun 24, 2013 at 8:03 PM, Christos <christos@bizex.bz> wrote:

I have a call with them late tonight

--
Lambros Pedafronimos

--
Lambros Pedafronimos

--
Lambros Pedafronimos

S000016
JA1185

No. CWIS-02259
Skarpelos

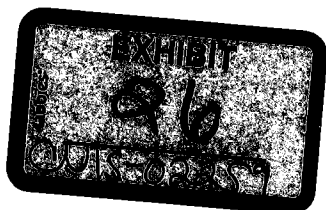
vs.

Weiser et al

Weiser Ex. 26

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By mm
Deputy





L.pedaf <l.pedaf@gmail.com>

Agreement

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos Livadas <christos@bizex.bz>


Tue, Jul 2, 2013 at 4:12 PM

Hi Bud,

Attached is a copy of the purchase and sale agreement, let me know if any adjustments need to be made. I will send you a copy of the POA tonight.

Best,

Lambros Pedafronimos

 **STOCK SALE AND PURCHASE AGREEMENT.pdf**
55K

S000017

JA1187

No. CUIS-02259
Skarpelos

vs.

Weiser et al

Weiser Ex. 27

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy





I.pedaf <I.pedaf@gmail.com>

Agreement

Christos <christos@bizex.bz>

Tue, Jul 2, 2013 at 4:52 PM

To: Lambros Pedafronimos <I.pedaf@gmail.com>

Dont forget: they need to be notarized.

Courier originals to Bouts.

On Jul 2, 2013, at 9:13 AM, "Lambros Pedafronimos" <I.pedaf@gmail.com> wrote:

> Hi Bud,

>

> Attached is a copy of the purchase and sale agreement, let me know if any adjustments need to be made. I will send you a copy of the POA tonight.

>

> Best,

> --

> Lambros Pedafronimos

> <STOCK SALE AND PURCHASE AGREEMENT.pdf>

S000018

JA1189

No. CN15-02259

Skarpelos

vs.

Weiser et al

Weiser Ex. 28

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By Wm
Deputy



JA1190



I.pedaf <l.pedaf@gmail.com>

Agreement

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos <christos@bizex.bz>

Wed, Jul 3, 2013 at 10:22 PM

Hi Bud,

Please find attached the updated purchase and sale document with the figures that were discussed.

This is the version that will be notarized.

Cheers,

L

On Tue, Jul 2, 2013 at 4:52 PM, Christos <christos@bizex.bz> wrote:

>

> Dont forget: they need to be notarized.

>

> Courier originals to Bouts.

>

>

> On Jul 2, 2013, at 9:13 AM, "Lambros Pedafronimos" <l.pedaf@gmail.com> wrote:

>

>> Hi Bud,

>>

>> Attached is a copy of the purchase and sale agreement, let me know if any adjustments need to be made. I will send you a copy of the POA tonight.

>>

>> Best,

>> --

>> Lambros Pedafronimos

>> <STOCK SALE AND PURCHASE AGREEMENT.pdf>

—

Lambros Pedafronimos



PAS.pdf
44K

S000019

JA1191

No. Civil-02289
Skappelos
vs.
Weiseretal
Weiser Ex. 29

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By Wm
Deputy

STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this **Agreement**) is dated as of July 5, 2013 and is made and entered into by and among WEKER LTD. (**Buyer**) and Athanasios Skarpeles (**Seller**) with respect to the following facts:

A.

Seller owns 3,316,666 shares of common stock of Anavex Life Sciences Corp., a Nevada corporation (the **Company**).

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 3,316,666 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Three Million Three Hundred and Sixteen Thousand Six Hundred and Sixty Six (3,316,666) shares of common stock (the **Shares**) of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

Purchase Price. The purchase price for the Shares (the **Purchase Price**) is Two Hundred and Fifty Thousand dollars (\$250,000.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on September 20, 2013 or such other date as the parties hereto may agree to (the **Closing Date**). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller, and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. The sale, conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement transfers to Buyer legal and valid title to the Shares, free and clear of all liens, security interests, hypothecations or pledges.

ARTICLE III

REPRESENTATIONS AND WARRANTIES OF BUYER

To induce Seller to enter into and perform their obligations under this Agreement, Buyer represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result in a breach of or default under any agreement to which Buyer is a party or by which Buyer is bound.

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with, and shall be governed by, the laws of the State of California without reference to, and regardless of, any applicable choice of conflicts of laws principles.

Section 4.3

Counterparts. This Agreement may be executed in any number of counterparts and by the several parties hereto in separate counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same Agreement.

Section 4.4

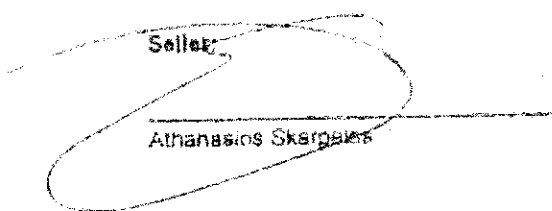
Further Assurances. Each of the parties hereto shall from time to time at the request of any other party hereto, and without further consideration, execute and deliver to such other party such further instruments of assignment, transfer, conveyance and confirmation and take such other action as such other party may reasonably request in order to more effectively fulfill the purposes of this Agreement.


IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer:


Weiser (Enquirer) Ltd.

Seller:


Athanasios Skarpeles

IKATANOR ABANAYAK
09/21/23 42-2102

ΑΘΑΝΑΣΙΟΣ ΣΚΑΡΠΕΛΗΣ
Υπάλληλος ΚΕ.Α.
Από: 09/21/23

No. CNIS-02259

Skarpelos

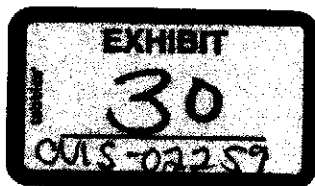
vs.

Weiseretal

Weiser Ex. 30

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By nm
Deputy





L.pedaf <l.pedaf@gmail.com>

Notarized Documents

Lambros Pedafronimos <l.pedaf@gmail.com>
To: Christos Livadas <christos@bizex.bz>

Tue, Jul 9, 2013 at 2:08 PM

Hi Bud,

Per our discussion, please find attached the notarized copies.

Regards,

Lambros Pedafronimos

2 attachments



POATom.pdf
230K



Purchase And Sale.pdf
2118K

S000020

JA1197

No. CV15-02259
Skarpelos
vs.
Weiser et al
Weiser Ex. 31
Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK
By WMM
Deputy



JA1198

STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this **Agreement**) is dated as of

_____, _____, and is made and entered into by and among
_____, _____ (**Buyer**) and Athanasios Skarpelos (**Seller**) with respect to the following facts:

A.

Seller owns 3,316,666 shares of common stock of Anavex Life Sciences Corp., a Nevada corporation (the **Company**).

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 3,316,666 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Three Million

Three Hundred and Sixteen Thousand Six Hundred and Sixty Six (3,316,666) shares of common stock (the **Shares**) of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

Purchase Price. The purchase price for the Shares (the **Purchase Price**) is Two Hundred and Fifty Thousand dollars (\$250,000.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on _____, _____, at such number, date as the parties hereto may agree to (the **Closing Date**). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller; and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. The only conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement transfers to Buyer legal and valid title to the Shares, free and clear of all such

represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with

Section 4.3. This Agreement shall be binding on the parties hereto and their heirs, assigns, personal representatives, successors and assigns, and shall be enforceable by the parties hereto and their heirs, assigns, personal representatives, successors and assigns. This Agreement shall be binding on the parties hereto and their heirs, assigns, personal representatives, successors and assigns, and shall be enforceable by the parties hereto and their heirs, assigns, personal representatives, successors and assigns.

IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer:

Seller:

Athanasios Skarpeles

ΣΚΑΡΠΕΛΙΔΗΣ ΑΘΑΝΑΣΙΟΣ
09/12/13 Α2 72452

ΣΩΤΗΡΙΟΣ Γ. ΚΑΨΑΛΗΣ
Υπάλληλος Κ.Ε.Π.
Λήμου Βόρειας Κυνουρίας

No. CW15-02259

Skarpelos

vs.

Weisenhof

Weisen Ex. 32

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By mm
Deputy



Message

From: Lambros Pedafronimos [l.pedaf@gmail.com]
Sent: 7/9/2013 5:08:33 AM
To: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Subject: Notarized Documents
Attachments: POATom.pdf; Purchase And Sale.pdf

Hi Bud,

Per our discussion, please find attached the notarized copies.

Regards,

--

Lambros Pedafronimos

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto:

POWER OF ATTORNEY TO TRANSFER BONDS OR SHARES

(Name of transferee)
of

(Transferee's address)

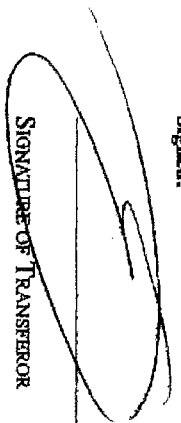
↑ _____ ↑

Description of Security - include number and class of Shares or amount (par value), rate and maturity of Bonds

standing in the name of the undersigned on the books of _____ represented by certificate No. _____ and hereby irrevocably constitutes and appoints _____ the attorney of the undersigned to transfer the said shares on the books of the Company with full power of substitution in the premises.

DATED at _____ this _____ day of _____, 20 _____.

Signed:


SIGNATURE OF TRANSFEROR

Signature of transferor guaranteed by:

In the presence of:

SKR 6502 ABANASIA AT AT 24522
09/19/13

SIGNATURE OF WITNESS

Amended by _____

NOTE: The signature to this assignment must correspond with the name as recorded on the certificate/bond in every particular without alteration or enlargement or any change whatever. The signature of the person executing this power must be guaranteed by a Bank or Trust Company or by a Member of the Toronto Stock Exchange.

STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this **Agreement**) is dated as of _____, _____, and is made and entered into by and among _____ (**Buyer**) and Athanasios Skarpelos (**Seller**) with respect to the following facts:

A.

Seller owns 3,316,666 shares of common stock of Anavex Life Sciences Corp., a Nevada corporation (the **Company**).

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 3,316,666 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Three Million Three Hundred and Sixteen Thousand Six Hundred and Sixty Six (3,316,666) shares of common stock (the **Shares**) of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

Purchase Price. The purchase price for the Shares (the **Purchase Price**) is Two Hundred and Fifty Thousand dollars (\$250,000.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on _____, _____, or such other date as the parties hereto may agree to (the **Closing Date**). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller, and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. The sale, conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement transfers to Buyer legal and valid title to the Shares, free and clear of all liens, security interests, hypothecations or pledges.

ARTICLE III

REPRESENTATIONS AND WARRANTIES OF BUYER

To induce Seller to enter into and perform their obligations under this Agreement, Buyer represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result in a breach of or default under any agreement to which Buyer is a party or by which Buyer is bound.

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with, and shall be governed by, the laws of the State of California without reference to, and regardless of, any applicable choice or conflicts of laws principles.

Section 4.3

Counterparts. This Agreement may be executed in any number of counterparts and by the several parties hereto in separate counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same Agreement.

Section 4.4

Further Assurances. Each of the parties hereto shall from time to time at the request of any other party hereto, and without further consideration, execute and deliver to such other party such further instruments of assignment, transfer, conveyance and confirmation and take such other action as such other party may reasonably request in order to more effectively fulfill the purposes of this Agreement.

IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer:

Seller:

Athanasios Skarpeles

ΣΚΑΡΠΕΛΙΟΣ ΑΘΑΝΑΣΙΟΣ
0912112341272452

ΣΩΤΗΡΙΟΣ Γ. ΚΑΨΑΛΗΣ

Υπάλληλος Κ.Ε.Π.

Δήμου Βόρειας Κυνουρίας

No. CN15-02259
Skarpelos
vs.
Weisenhof
Weiser Ex. 33
Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK
By mm
Deputy



STOCK SALE AND PURCHASE AGREEMENT

THIS STOCK SALE AND PURCHASE AGREEMENT (this **Agreement**) is dated as of _____, _____, and is made and entered into by and among _____ (**Buyer**) and Athanasios Skarpelos (**Seller**) with respect to the following facts:

A.

Seller owns 3,316,666 shares of common stock of Anavex Life Sciences Corp., a Nevada corporation (the **Company**).

B.

Seller desires to sell to Buyer, and Buyer desires to purchase from Seller, 3,316,666 shares of the Company's common stock upon the terms and conditions set forth in this Agreement.

Accordingly, for and in consideration of the premises, the mutual promises, covenants and agreements hereafter set forth, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer, intending to be legally bound, do hereby agree as follows:

ARTICLE I

SALE AND PURCHASE

Section 1.1

Sale and Purchase of Shares. On and subject to the terms and conditions of this Agreement, effective as of the Closing Date, Buyer shall purchase from Seller, and Seller shall sell to Buyer, Three Million Three Hundred and Sixteen Thousand Six Hundred and Sixty Six (3,316,666) shares of common stock (the **Shares**) of the Company registered in the name of Seller for the consideration specified in Section 1.2 and upon the terms and conditions set forth in this Agreement.

Section 1.2

Purchase Price. The purchase price for the Shares (the **Purchase Price**) is Two Hundred and Fifty Thousand dollars (\$250,000.00). The Purchase Price shall be paid to the Seller at the Closing, in cash.

Section 1.3

Closing Date; Deliveries. The closing shall occur on _____, _____, or such other date as the parties hereto may agree to (the **Closing Date**). On the Closing Date, Buyer shall deliver a check in the amount of the Purchase Price to Seller, and Seller shall deliver to Buyer a share certificate representing the Shares issued in the name of the Seller.

ARTICLE II

REPRESENTATIONS, WARRANTIES AND COVENANTS OF SELLER

To induce Buyer to enter into and perform its obligations under this Agreement, Seller hereby represents and warrants to Buyer, and covenants with Buyer, as follows:

Section 2.1

Authority and Capacity. Seller has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Seller does not, and the consummation of the transaction contemplated hereby will not, result

in a breach of or default under any agreement to which Seller is a party or by which Seller is bound.

Section 2.2

Binding Agreement. This Agreement has been duly and validly executed and delivered by Seller and constitutes Seller's valid and binding agreement, enforceable against Seller in accordance with and subject to its terms.

Section 2.3

Title to Shares. Seller is the lawful, record and beneficial owner of all of the Shares, free and clear of any liens, claims, agreements, charges, security interests and encumbrances whatsoever. The sale, conveyance, assignment, and transfer of the Shares in accordance with the terms of this Agreement transfers to Buyer legal and valid title to the Shares, free and clear of all liens, security interests, hypothecations or pledges.

ARTICLE III

REPRESENTATIONS AND WARRANTIES OF BUYER

To induce Seller to enter into and perform their obligations under this Agreement, Buyer represents and warrants to Seller as follows:

Section 3.1

Authority and Capacity. Buyer has all requisite power, authority and capacity to enter into this Agreement. The execution, delivery and performance of this Agreement by Buyer does not, and the consummation of the transaction contemplated hereby will not, result in a breach of or default under any agreement to which Buyer is a party or by which Buyer is bound.

Section 3.2

Disclosure. Buyer has reviewed the SEC Reports and is aware of the Company's business and financial condition.

Section 3.3

Investment Representations. Buyer is acquiring the Shares for Buyer's own account and is not acquiring the Shares with a view to or for sale in connection with any distribution thereof within the meaning of the Securities Act of 1933, as amended.

ARTICLE IV

MISCELLANEOUS

Section 4.1

Entire Agreement. This Agreement constitutes the entire understanding and agreement of the parties relating to the subject matter hereof and supersedes any and all prior understandings, agreements, negotiations and discussions, both written and oral, between the parties hereto with respect to the subject matter hereof.

Section 4.2

Governing Law. This Agreement shall be construed, interpreted and enforced in accordance with, and shall be governed by, the laws of the State of California without reference to, and regardless of, any applicable choice or conflicts of laws principles.

Section 4.3

Counterparts. This Agreement may be executed in any number of counterparts and by the several parties hereto in separate counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same Agreement.

Section 4.4

Further Assurances. Each of the parties hereto shall from time to time at the request of any other party hereto, and without further consideration, execute and deliver to such other party such further instruments of assignment, transfer, conveyance and confirmation and take such other action as such other party may reasonably request in order to more effectively fulfill the purposes of this Agreement.

IN WITNESS WHEREOF, this Agreement has been signed by the parties hereto as of the date first above written.

Buyer:

Seller:

Athanasios Skarpeles

ΣΚΑΡΠΕΛΙΟΣ ΑΘΑΝΑΣΙΟΣ
09/12/13 ΑΠ 12452

ΣΩΤΗΡΙΟΣ Γ. ΚΑΨΑΛΗΣ
Υπάλληλος Κ.Ε.Π.
Λόγος Βόρειας Κυνουρίας

No. CV15-02259

Skarpelos

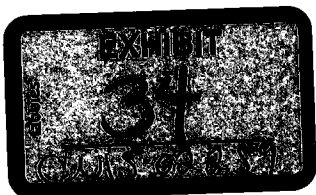
vs.

Weiser et al

Weiser Ex. 34

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By Wm
Deputy



JA1212

POWER OF ATTORNEY TO TRANSFER BONDS OR SHARES

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto:

Weiser (Bahamas) Ltd.

(Name of transferee)

of
#104 Island Lane

Olde Towne, Sandport

Nassau, Bahamas

(Transferee's address)

6,633,332 Share of Anavex Life Sciences Corp. Common Stock

(Description of Security - include number and class of Shares or amount (par value), rate and maturity of Bonds)

standing in the name of the undersigned on the books of ATHANASTIOS SKARPELOS represented by certificate No. 753 and hereby irrevocably constitutes and appoints WEISER (BAHAMAS) LTD. the attorney of the undersigned to transfer the said shares on the books of the Company with full power of substitution in the premises.

DATED at Athens this 12 day of JULY, 2013.

Signed:

In the presence of:

ATHANASTIOS SKARPELOS AT 124522

SIGNATURE OF TRANSFEROR

ATHANASTIOS SKARPELOS

SIGNATURE OF WITNESS

Signature of transferor guaranteed by:

YENNY AOS KELL
Agiou Bopanis Kouroufas

NOTE: The signature to this assignment must correspond with the name as recorded on the certificate/bond in every particular without alteration or enlargement or any change whatever. The signature of the person executing this power must be guaranteed by a Bank or Trust Company, or by a Member of the Toronto Stock Exchange.

No. CW15-02259
Skappalos

vs.

Weiser, et al

Weiser Ex. 35

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



POWER OF ATTORNEY TO TRANSFER BONDS OR SHARES

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto:

(Name of transferee)
of

(Transferee's address)

(Description of Security - include number and class of Shares or amount (par value), rate and maturity of Bonds)

standing in the name of the undersigned on the books of _____ represented by certificate No. _____ and hereby irrevocably constitutes and appoints _____ the attorney of the undersigned to transfer the said shares on the books of the Company with full power of substitution in the premises.

DATED at _____ this _____ day of _____, 20____.

Signed:

In the presence of:

SIGNATURE OF TRANSFEROR

SIGNATURE OF WITNESS

Signature of transferor guaranteed by:

Amir

NOTE: The signature to this assignment must correspond with the name as recorded on the certificate/bond in every particular without alteration or enlargement or any change whatever. The signature of the person executing this power must be guaranteed by a Bank or Trust Company or by a Member of the Toronto Stock Exchange.

JA1215

No. CN15-02259

Skarpelos

vs.

Weisenfeld

Weisen Ex. 36

Admitted: 1/28, 2019

JACQUELINE BRYANT, CLERK

By mm
Deputy



JA1216

Message

From: tom skarp [tomskarp@yahoo.com]
Sent: 10/28/2013 11:18:05 AM
To: Christos [/O=CL/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=CHRISTOS]
Subject: Re: Loulou

ok

Tom Skarpelos
tomskarp@yahoo.com

From: Christos <christos@bizex.bz>
To: tomskarp@yahoo.com
Sent: Monday, October 28, 2013 7:18 PM
Subject: Re: Loulou

So what he's gone to the village - has he explained nothing to you??

I'll be online in about 45min

From: Tom Skarpelos
To: Christos
Sent: Mon Oct 28 13:10:00 2013
Subject: Re: Loulou
Hi bud

He is move down to the village and working in the vineyard but I am around if you like to chat.

Tom Skarpelos

On Oct 28, 2013, at 6:50 PM, "Christos" <christos@bizex.bz> wrote:

I haven't heard from him in a week. I had everything's ready for \$ and Christopher to go ahead.

No. CN15-02259

Skarpelos

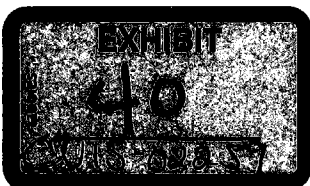
vs.

Weizenhof

Weiser Ex. 40

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By Wm
Deputy



JA1218



Statement of Account

ACCOUNT USD 200-802992

Statement for the period February 1, 2013 - December 31, 2013

Skarpelos, Athanasios
Tsim II Glylada
Athens
Greece

For additional service, contact
ELIAS SOURSOS
Investment Advisor

242-888-6900

ACCOUNT SUMMARY

Estimated Current Value

CASH ON DEPOSIT	4,115.36
COMMON SHARES	N/A
Total Assets	USD 4,115.36

CASH SUMMARY

USD Account	USD 4,115.36
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SECURITIES SUMMARY

USD Account

Quantity	Location	Current Price	Estimated MV
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COMMON SHARES

ANAVEX LIFE SCIENCES CORP.	92,900	Res-Seg	N/A
ANAVEX LIFE SCIENCES CORP.	3,318,856	Res-Seg	N/A
*OTC (part of acct 0050)			

Market Value of COMMON SHARES

N/A



Statement for the period February 1, 2013 - December 31, 2013

SKARPELOS, ATHANASIOS
ACCOUNT USD 200-802992

ACCOUNT ACTIVITY

Cash - USD

Date	Activity	Value Date	Debit	Credit	Balance
02/01/2013	Opening Balance		(140,267.84)		(140,268)
03/25/2013	*Transfer TRANS USD TO EUR W200802992032513 10,000 EUR	03/28/2013	(13,891.90)		(153,879.64)
03/25/2013	*Wire Out Fee		(125.00)		(153,804.64)
04/02/2013	*STOCK SALE ANAVEX LIFE SCIENCE CORP 3,315,855	04/02/2013		249,580.00	95,775.48
05/09/2013	*Transfer TRANS USD TO EUR W200802992050913 15,000 EUR	05/14/2013	(20,098.40)		75,706.08
05/09/2013	*Wire Out Fee		(125.00)		75,581.08
05/22/2013	*Transfer TRANS USD TO EUR W200802992052213 16,033.90 EUR	05/29/2013	(20,000.00)		55,581.08
05/22/2013	*Wire Out Fee		(125.00)		55,456.08
07/02/2013	*Transfer TRANS USD TO EUR W200802992070213 15,000 EUR	07/08/2013	(18,847.80)		36,608.28
07/02/2013	*Wire Out Fee		(125.00)		35,483.28
08/06/2013	*Transfer TRANS USD TO EUR W200802992060513 15,000 EUR	08/08/2013	(20,857.90)		14,625.38
08/13/2013	*Wire Out Fee		(125.00)		14,700.95
09/18/2013	*Transfer TRANS USD TO EUR W200802992091813 7,500 EUR	09/23/2013	(10,460.60)		4,240.35
09/18/2013	*Wire Out Fee		(125.00)		4,115.35

Securities - USD

Settlement Day	Activity Type	Quantity	Description	Price	Amount
04/02/2013	SELL	3,315,855	ANAVEX LIFE SCIENCES CORP	0.075232	\$249,580.00



Statement for the period February 1, 2013 - December 31, 2013

SKARPELOS, ATHANASIOS
ACCOUNT USD 200-802982

Important information on depositing physical certificates with Weiser Asset Management Ltd., is available from your account manager or your financial advisor. Please read the document titled "Escheatment of Physical Certificates".

Effective October 1st 2013 our administrative fee for an Early Settlement Check will be 1% (with minimum of \$50,000).

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Terms, conditions & other information

- This is a statement of your account according to our records. If it is not in accordance with yours please contact the Chief Compliance Officer immediately.
- The prices shown on the statement, used for the purpose of displaying market values, while obtained from sources believed to be reliable, cannot be guaranteed as to their accuracy. In any event, market values are shown as "estimates". If "N/A" appears in connection with any specific security, either there is no price or we were unable to obtain a reliable one.
- We expect prompt settlement of cash balances due to us.
- Credit balances are payable on request upon receipt by us of securities in "good delivery" form that may be owed by you.
- Any free credit balances, with the exception of balances held for registered plans, represent funds payable on demand, which although properly recorded in our books, are not segregated and may be used in the conduct of our business.

No. CUI5-02259
Skarpelos

vs.

Weisenetal
Weisen Ex. 43

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



JA1222



Statement of Account

ACCOUNT USD 200-802992

Statement for the period February 1, 2013 - December 31, 2013

Skarpelos, Athanasios
Tixix II Glyfada
Athens
Greece

For additional service, contact:
ELIAS SOURSOS
Investment Advisor

242-698-6000

ACCOUNT SUMMARY

Estimated Current Value

CASH ON DEPOSIT	4,115.36
COMMON SHARES	N/A
Total Assets	USD 4,115.36

CASH SUMMARY

USD Account	USD 4,115.36
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SECURITIES SUMMARY

USD Account

Quantity	Location	Current Price	Estimated MV
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COMMON SHARES

ANAVEX LIFE SCIENCES CORP.	92,500	Res-Seg	N/A
ANAVEX LIFE SCIENCES CORP.*	8,316,666	Res-Seg	N/A

*REF (price of 0.0753)

Market Value of COMMON SHARES

N/A



Statement for the period February 1, 2013 - December 31, 2013

SKARPELOS, ATHANASIOS
ACCOUNT USD 200-802982

ACCOUNT ACTIVITY

Cash - USD

Date	Activity	Value Date	Debit	Credit	Balance
02/01/2013	Opening Balance		(140,287.54)		(140,288)
03/25/2013	*Transfer TRANS USD TO EUR W200802982032513 10,000 EUR	23/26/2013	(13,991.90)		(153,679.54)
03/25/2013	*Wire Out Fee		(125.00)		(153,804.54)
04/02/2013	*STOCK SALE ANAVEX LIFE SCIENCE CORP 3,316,886	04/02/2013		249,580.00	95,775.46
05/09/2013	*Transfer TRANS USD TO EUR W200802982050913 15,000 EUR	05/14/2013	(20,059.40)		75,716.06
05/09/2013	*Wire Out Fee		(125.00)		75,591.06
05/22/2013	*Transfer TRANS USD TO EUR W200802982052213 15,033.90 EUR	05/29/2013	(20,000.00)		55,591.06
05/22/2013	*Wire Out Fee		(125.00)		55,466.06
07/02/2013	*Transfer TRANS USD TO EUR W200802982070213 15,000 EUR	07/06/2013	(19,847.80)		35,608.26
07/02/2013	*Wire Out Fee		(125.00)		35,483.26
08/06/2013	*Transfer TRANS USD TO EUR W200802982080613 15,000 EUR	08/09/2013	(20,657.30)		14,825.96
08/06/2013	*Wire Out Fee		(125.00)		14,700.96
09/18/2013	*Transfer TRANS USD TO EUR W200802982091813 7,500 EUR	09/23/2013	(10,462.60)		4,240.36
09/18/2013	*Wire Out Fee		(125.00)		4,115.36

Securities - USD

Settlement Day	Activity Type	Quantity	Description	Price	Amount
04/02/2013	SELL	3,316,886	ANAVEX LIFE SCIENCES CORP	0.075332	\$249,580.00



Statement for the period February 1, 2013 - December 31, 2013

SKARPELOS, ATHANASIOS
ACCOUNT USD 200-802892

Important information on depositing physical certificates with Weiser Asset Management Ltd., is available from your account manager or your financial advisor. Please read the document titled "Escheatment of Physical Certificates".

Effective October 1st 2013 our administrative fee for an Early Settlement Check will be 1% (with minimum of \$50,000)



Terms, conditions & other information:

- This is a statement of your account according to our records. If it is not in accordance with yours please contact the Chief Compliance Officer immediately.
- The prices shown on the statement, used for the purpose of displaying market values, while obtained from sources believed to be reliable, cannot be guaranteed as to their accuracy. In any event, market values are shown as "estimated". If "N/A" appears in connection with any specific security, either there is no price or we were unable to obtain a reliable one.
- We expect prompt settlement of cash balances due to us.
- Credit balances are payable on request upon receipt by us of securities in "good delivery" form that may be owed by you.
- Any free credit balances, with the exception of balances held for registered plans, represent funds payable on demand, which although properly recorded in our books, are not segregated and may be used in the conduct of our business.

No. CV15-02259

Skarpelos

vs.

Weiser et al

Weiser Ex. 44

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy



MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 2, 2015

VIA EMAIL

info@natco.org


Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

Ladies and Gentlemen:

This letter is a follow-up to our letter to you dated October 30, 2015, in which we demanded that Nevada Agency and Transfer Company immediately place a stop transfer order on the Replacement Certificate (as defined in our October 30th letter). This is a time-sensitive issue, and therefore, we reiterate our demand that you immediately place a stop transfer order on the Replacement Certificate and confirm to us that you have done so.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernesto A. Alvarez', with a stylized flourish at the end.

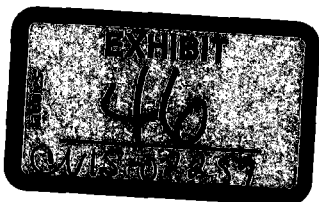
Ernesto A. Alvarez

cc: Weiser Asset Management Ltd. (via email)

No. CWIS-02259
Skarpelos
vs.
Weisenstal
Weisen Ex. 46

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By mm
Deputy



ALEXANDER H. WALKER III

Attorney at Law
American Plaza II
57 West 200 South, Suite 400
Salt Lake City, Utah 84101
(801) 363-0100
(801) 521-3301 (Fax)
admitted in Utah and Nevada

November 3, 2015

Via U.S. Mail and Facsimile: (305) 682-3669

Ernesto A. Alvarez
MONTELLO Law
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Re: Anavex Life Sciences Corp./Skarpelos/Weiser Asset Management, Ltd.

Mr. Alvarez:

I represent Nevada Agency and Transfer Company ("NATCO"). NATCO has received your letter dated October 30, 2015. I am trying confirm the information in your letter and would appreciate it if you could provide me with copies of the documents evidencing your client's presentment of certificate number 0753 as referenced in your letter, including a copy of certificate 0753 and any instruction your client submitted therewith.

Also, for purposes of your request for stop transfer instructions, are you making a request under section 8-403 that the issuer not register a transfer? If so can you please confirm the facts that support your client's claim that is an "appropriate person" as that term is identified under the applicable provisions of the Uniform Commercial Code. That information would be very helpful.

So you are aware, NATCO has contacted both Anavex and Mr. Skarpelos and has requested that they address this matter.

Please contact me at your convenience.

Sincerely,


Alexander H. Walker III

AHW:hm
cc: Client

No. CV15-02259
Skarpelos
vs.
Weiser et al
Weiser Ex. 47
Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK
By mm
Deputy





Attention:
Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Nov. 12, 2015

Re: Share Certificate DWAC Request

To Whom It May Concern:

Enclosed, please find the Anavex Life Sciences Corp. share certificate #0753 (6,633,332 shares) registered in the name of Athanasios Skarpelos.

Please have this share certificate restriction's legend removed and transfer via DWAC to State Street Bank's settlement instructions below.

SETTLEMENT INSTRUCTION:

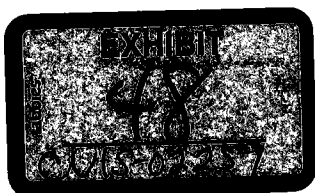
Name of Bank: State Street Bank
DTC Participant #: 0997
Account Name: Weiser Asset Management Ltd.
Agent account: CCMA
DTC Institution ID: 0997
Agent Bank #: 26022

Sincerely,

A handwritten signature in black ink, appearing to read 'Elias Sourlos', is written over a horizontal line.

Elias Sourlos
Weiser Asset Management Ltd.
Institutional Trade Desk

No CVIS-02259
Skappelos
vs.
Weiser et al
Weiser Ex. 48
Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK
By Wm
Deputy



November 12, 2015

WITHOUT PREJUDICE

VIA EMAIL EALVAREZ@MONTELOLAW.COM>

Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno NV 89501

Attention: Ernesto A. Alvarez

Dear Sirs:

Re: Claim of Weiser Asset Management Ltd.

We have been retained by Tom Skarpelos regarding the claim of Weiser Asset Management Ltd. ("Weiser") put forward in your letter dated October 30, 2015 and addressed to Nevada Agency and Transfer Company.

The following are the facts according to our client: Mr. Skarpelos did provide Weiser Asset Management with certificates 660 and 753 in 2011 in order to establish his brokerage account with Weiser, who represented themselves as a registered broker-dealer. The process of opening the account was not going smoothly, and our client found out that Weiser was not in fact a properly licensed dealer in the United States. Mr. Skarpelos tried many times to reach his contact at Weiser to get his shares back, but was unsuccessful in connecting with anyone in authority, and Mr. Skarpelos was alarmed when Weiser then stopped answering their phones.

At this point, Mr. Skarpelos was worried that Weiser was not reliably holding the shares and contacted Nevada Agency to see about cancelling the share certificates he had delivered to Weiser and getting new ones. Mr. Skarpelos was able to cancel the old certificates and had new ones issued in April, 2013.

In July, 2013, Weiser did re-establish contact with Mr. Skarpelos and informed him that they would like to arrange the sale of Mr. Skarpelos' shares. Mr. Skarpelos was prepared to sell on the right conditions, and did sign a purchase agreement on July 9, 2013 with regard to sale of the new certificates, not the cancelled certificates. However, Mr. Skarpelos kept in his possession the share certificates that had been re-issued together with the original stock power of attorney and original purchase agreement. Mr. Skarpelos would transfer the originals of those documents to the buyer when and if the purchase price was forthcoming within a reasonable time. The purchase price was actually never paid, and the proposed purchaser was never identified by Weiser. The purchase and sale agreement expired within a reasonable time of Mr. Skarpelos' signature being affixed. The date of the Agreement which has since expired is July 9, 2013, months after certs 660 and 753 had been cancelled.

In your demand letter to Nevada Agency, you quote Nevada Revised Statute 104.8405. Unfortunately, you neither quote it in full nor refer to the definitions of terms which are crucial for understanding the law. It is true that if "a protected purchaser of the original certificate presents it for registration of

transfer, the issuer shall register the transfer" but the clause goes on to say "unless an overissue shall result." In this case, clearly an overissue would result as Mr. Skarpelos replaced those cancelled shares with others.

Secondly, the definition of "protected purchaser" under Nevada law is:

NRS 104.8303 Protected purchaser.

1. "Protected purchaser" means a purchaser of a certificated or uncertificated security, or of an interest therein, who:

- (a) Gives value;
- (b) Does not have notice of any adverse claim to the security; and
- (c) Obtains control of the certificated or uncertificated security.

In this case, Weiser neither gave value nor can claim that it did not have notice of an adverse claim. It knew and knows now that Mr. Skarpelos lays claim to the shares, he has not sold them, because any intended or contemplated sale did not complete.

If Weiser wishes to prove its claim in court, it is welcome to attempt to do so and Mr. Skarpelos will rigorously fight such a claim.

Mr. Skarpelos states that Weiser is holding the cancelled certificate numbers 660 and 753 improperly, and those certificates should be returned to Nevada Agency for cancellation. If Weiser fails to do so, our client will consider his legal remedies.

Yours truly,

CLARK WILSON LLP

Per: 

Bernard Pinsky, Q.C.*
Incorporated Partner

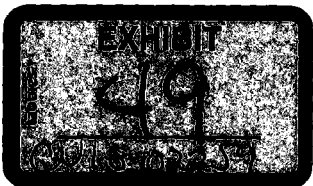
BIP/bip

Encl.

cc: Tom Skarpelos

* Admitted to practice in: British Columbia; California

No. CN15-02259
Skappelos
vs.
Weisen et al
Weisen Ex. 49
Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK
By [Signature]
Deputy



Message

From: Christos Livadas [xtos@weisercapital.bz]
Sent: 11/12/2015 3:01:11 PM
To: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMontello]
CC: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: Re: Submission request: Anavex Stock Certificate #0753

Redacted

From: Louis Montello <LMontello@montellolaw.com>
Date: Thu, 12 Nov 2015 16:16:14 -0500
To: Christos Weiser Capital<xtos@weisercapital.bz>
Cc: Ernesto Alvarez<ealvarez@montellolaw.com>
Subject: Submission request: Anavex Stock Certificate #0753

Redacted

Louis R. Montello



2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

lmontello@montellolaw.com

www.montellolaw.com

Tax Advice Disclosure: To ensure compliance with requirements imposed by the IRS under Circular 230, we inform you that any U.S. Federal tax advice contained in this communication (including any attachments), unless otherwise specifically stated, was not intended or written to be used, and cannot be used, for the purpose of (1) avoiding penalties under the Internal Revenue Code or (2) promoting, marketing or recommending to another party any matters addressed herein.

This transmission is intended to be delivered only to the named addressee(s) and may contain information that is confidential or proprietary, attorney work-product or attorney-client privileged. If this information is received by anyone

other than the named addressee(s), the recipient should immediately notify the sender by e-mail and by telephone (305-682-2000) and obtain instructions as to the disposal of the transmitted material. In no event shall this material be read, used, copied, reproduced, stored or retained by anyone other than the named addressee(s), except with the express consent of the sender or the named addressee(s). Thank you.

From: Christos Weiser Capital [mailto:xtos@weisercapital.bz]
Sent: Thursday, November 12, 2015 2:45 PM
To: Nick Boutsalis (nboutsalis@primorisgroup.com)
Subject: Submission request: Anavex Stock Certificate #0753

Hi, Nick –

Thank-you for confirming your office received the original stock certificate #0753, stock power, and copy of passport for Athanasios Skarpelos on November 2013, and is in safe-keeping.

Please confirm receipt of this email, and courier these items to Anavex' transfer agent.

Furthermore, please forward tracking# and advise when the courier has been delivered to the transfer agent.

Regards,

Christos

Christos Livadas

Director, Weiser (Bahamas) Ltd.

+1-242-698-6605

No. CN15-02259
Skarpelos

vs.

Weisenhof

Weisen Ex. 50

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 13, 2015

VIA EMAIL

Alexander Walker III, Esquire
American Plaza II
57 West 200 South, Suite 400
Salt Lake City, Utah 84101

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

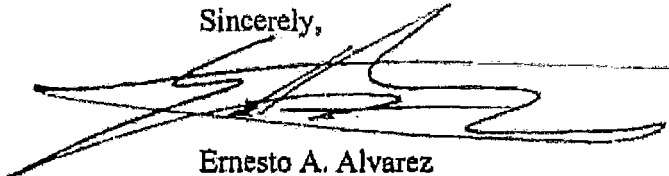
Dear Mr. Walker:

We are writing in response to your letter to us dated November 3, 2015. We have confirmed that Anavex Life Sciences Corp. ("Anavex") has delivered or is in the process of delivering to Nevada Agency and Transfer Company (the "Transfer Agent") original Stock Certificate No. 0753 for 6,633,332 shares of Anavex common stock issued in the name of Mr. Skarpelos and the stock power executed by Mr. Skarpelos in favor of Weiser Asset Management Ltd. ("Weiser"). Enclosed please find our letter to the Transfer Agent requesting that it register on Anavex's stock transfer records Weiser's ownership of the 3,316,666 shares of Anavex common stock.

Because Anavex issued a new certificate to Mr. Skarpelos, we are making this request to the Transfer Agent pursuant to Nev. Rev. Stat. §104.8405, which states that if, after the issue of a new security certificate, a protected purchaser of the original certificate presents the original certificate for registration of transfer, the transfer agent must nonetheless register the transfer. In this case, Weiser meets the §104.8303 definition of a "protected purchaser" because it purchased a certificated security for value without notice of any adverse claim to the security at the time of such purchase, and thereafter obtained control of the certificated security.

Please immediately confirm to us in writing that the Transfer Agent is effecting the transfer of the Stock to Weiser. The Transfer Agent's immediate action is critical in order to avoid loss or damage to Weiser.

Sincerely,

A handwritten signature in black ink, appearing to read "Ernesto A. Alvarez", with a long horizontal flourish extending to the right.

Ernesto A. Alvarez

Enclosure

cc: Weiser Asset Management Ltd. (via email) (with enclosure)

No. CNIS-02259

Skappelos

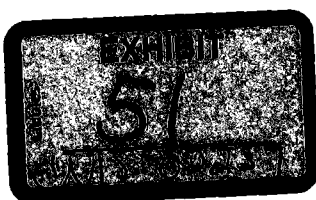
vs.

Weisen et al

Weisen Ex. 51

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy



JA1240

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 13, 2015

VIA EMAIL

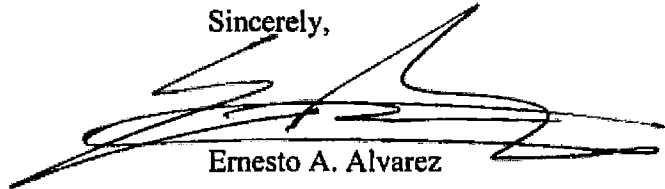
Nevada Agency and Transfer Company
50 West Liberty Street, Suite 880
Reno, Nevada 89501

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

Ladies and Gentlemen:

This letter is in reference to our letter dated October 30, 2015, regarding the registration of the transfer of shares of Anavex Life Sciences Corp. ("Anavex") to Weiser Asset Management Ltd. ("Weiser") pursuant to the July 12, 2013 sale transaction whereby Mr. Skarpelos sold 3,316,666 shares of Anavex common stock (the "Stock") to Weiser. We understand that Anavex has received original Stock Certificate No. 0753 and the stock power executed by Mr. Skarpelos in favor of Weiser to effect the transfer of the Stock to Weiser. We request that you immediately effect the transfer of the Stock from Mr. Skarpelos to Weiser on Anavex's stock transfer records in accordance with the enclosed instruction letter from Weiser.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernesto A. Alvarez', with a long horizontal flourish extending to the right.

Ernesto A. Alvarez

Enclosure

cc: Weiser Asset Management Ltd. (via email) (with enclosure)
Alexander Walker III, Esquire (via email) (with enclosure)

No. CN15-02259
S Karpelos

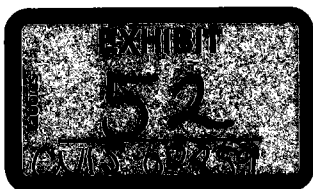
vs.

Weisenstal

Weisen Ex. 52

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy



Message

From: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMONTELLO]
Sent: 11/13/2015 4:29:30 PM
To: 'xtos@weisercapital.bz' [xtos@weisercapital.bz]
CC: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: Nevada Agency and Transfer Company

Redacted

Louis R. Montello



2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

lmontello@montellolaw.com

www.montellolaw.com

Tax Advice Disclosure: To ensure compliance with requirements imposed by the IRS under Circular 230, we inform you that any U.S. Federal tax advice contained in this communication (including any attachments), unless otherwise specifically stated, was not intended or written to be used, and cannot be used, for the purpose of (1) avoiding penalties under the Internal Revenue Code or (2) promoting, marketing or recommending to another party any matters addressed herein.

This transmission is intended to be delivered only to the named addressee(s) and may contain information that is confidential or proprietary, attorney work-product or attorney-client privileged. If this information is received by anyone other than the named addressee(s), the recipient should immediately notify the sender by e-mail and by telephone (305-682-2000) and obtain instructions as to the disposal of the transmitted material. In no event shall this material be read, used, copied, reproduced, stored or retained by anyone other than the named addressee(s), except with the express consent of the sender or the named addressee(s). Thank you.

From: Alex Walker [<mailto:awalkerlaw@aol.com>]
Sent: Friday, November 13, 2015 6:26 PM
To: Ernesto Alvarez
Cc: Amanda Cardinalli
Subject: Re: Nevada Agency and Transfer Company

Mr. Alvarez:

Your letter of November 13, 2015 to me requests that I "immediately confirm [to you] in writing that the Transfer Agent is effecting the transfer of the Stock to Weiser." I have checked with Nevada Agency and Transfer Company and to date the original stock certificate to which your refer has not been received. As you know, no transfer can be effected without a proper presentment of the certificate with the appropriate accompanying documentation in compliance with the requirements of Nevada's Uniform Commercial Code.

Alex Walker

Alexander Walker III

Attorney at Law

American Plaza II

57 West 200 South, Suite 400

Salt Lake City, Utah 84101

(801)363-0100

(801)521-3301 (Fax)

awalkerlaw@aol.com

*Admitted in Utah and Nevada

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IRS CIRCULAR 230 NOTICE. To ensure compliance with requirements imposed by the IRS, I am informing you that, unless specifically indicated otherwise, any tax advice contained in this communication (including any attachments) is not intended or written to be used, and cannot be used, for the purpose of (i) avoiding penalties under the Internal Revenue Code or (ii) promoting, marketing, or recommending to another party any tax-related matter addressed herein.

On Nov 13, 2015, at 2:59 PM, Ernesto Alvarez <ealvarez@montellolaw.com> wrote:

Please see the attached letter.

Ernesto A. Alvarez

<image001.jpg>

2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

ealvarez@montellolaw.com

www.montellolaw.com

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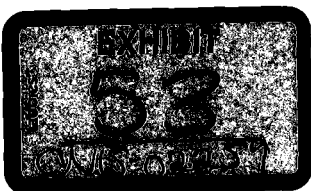
<NEVADA.AGENCY.TRANSFER.CO.WALKER.LTR.11.13.15.pdf>

No. CNIS-02259
Skarpelos
vs.

Weiser
Weiser Ex. 53

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By nm
Deputy



Nevada Agency & Transfer Co
50 W Liberty St # 880,
Reno, NV
89501

RECEIVED

NOV 16 2015

NEVADA AGENCY AND
TRANSFER COMPANY

November 13, 2015

Dear Sirs;

Please find enclosed Stock Certificate 0753 for Anavex Life Sciences, copy of
Passport # AA3117184 and notarized POA. As instructed by Weiser we are sending
them to you as we had them in our office. We have been informed that a letter of
instruction is to follow.

Regards



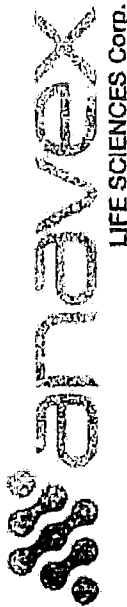
Nick Boutsalis
Primoris Group
160 Eglinton Ave East #602
Toronto, Ontario
M4P 3B5
416-489-0092

PID - 000045
JA1247

NOT VALID UNLESS COUNTERSIGNED BY TRANSFER AGENT.
INCORPORATED UNDER THE LAWS OF THE STATE OF NEVADA.

NUMBER

SHARES



"THE SHARES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AND MAY NOT BE SOLD, TRANSFERRED OR OTHERWISE DISPOSED UNLESS, IN THE OPINION OF COUNSEL SATISFACTORY TO THE ISSUER, THE TRANSFER QUALIFIES FOR AN EXEMPTION FROM OR EXEMPTION TO THE REGISTRATION PROVISIONS THEREOF."

AUTHORIZED COMMON STOCK: 150,000,000 SHARES
PAR VALUE: \$1.001

CUSIP NO. 032797 10 2

This Certifies That

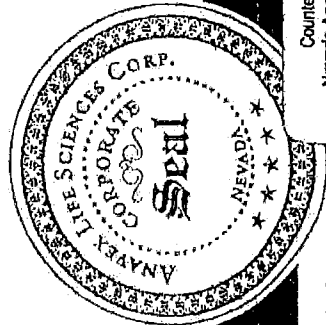
ATHANASTOS SKARPELOS

Is The Record Holder Of
***SIX MILLION SIX HUNDRED THIRTY THREE THOUSAND THREE HUNDRED
THIRTY TWO***

Shares of ANAVEX LIFE SCIENCES CORP. Common Stock
transferable on the books of the Corporation by the holder hereof, in person or by duly authorized attorney, upon surrender of this
Certificate properly endorsed. This Certificate is not valid until countersigned by the Transfer Agent and registered by the Registrar.

Witness the facsimile seal of the Corporation and the facsimile signatures of its duly authorized officers.

Dated: OCTOBER 29, 2009



SECRETARY

PRESIDENT

NOT VALID UNLESS COUNTERSIGNED BY TRANSFER AGENT

Countersigned & Registered

Countersigned and Registered
Nevada Agency and Transfer Company
50 West Liberty Street • Suite 890 • Reno, Nevada 89501

Authorized Signature

POWER OF ATTORNEY TO TRANSFER BONDS OR SHARES

FOR VALUE RECEIVED the undersigned hereby sells, assigns and transfers unto:

(Name of transferee)
of _____

(Transferee's address)

↑

↑ Description of Security - Include number and class of Shares or amount (par value), rate and maturity of Bonds

standing in the name of the undersigned on the books of _____ represented by certificate No. _____ and hereby irrevocably constitutes and appoints _____ the attorney of the undersigned to transfer the said shares on the books of the Company with full power of substitution in the premises.

DATED at _____ this _____ day of _____, 20 _____

Signed:

In the presence of:

[Signature] SHARON AGANASIE AT 1724522
09/17/13

SIGNATURE OF TRANSFEROR

SIGNATURE OF WITNESS

Signature of transferor guaranteed by

NOTE: The signature to this assignment must correspond with the name as recorded on the certificate/bond in every particular without alteration or enlargement or any change whatever. The signature of the person executing this power must be guaranteed by a Bank or Trust Company; or by a Member of the Toronto Stock Exchange.

No. CN15-02259

SKarpelos

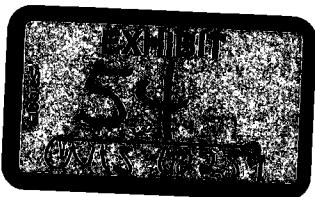
vs.

Weiser et al

Weiser Ex. 54

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy



JA1251

MONTELLO LAW
2750 N.E. 185th Street, Suite 201
Aventura, Florida 33180

Telephone: (305) 682-2000
Facsimile: (305) 682-3669

November 16, 2015

VIA EMAIL

Alexander Walker III, Esquire
American Plaza II
57 West 200 South, Suite 400
Salt Lake City, Utah 84101

Re: Transfer of Shares of Anavex Life Sciences Corp. Common Stock

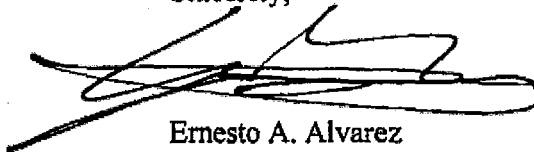
Dear Mr. Walker:

In response to your letter to us dated November 3, 2015, we have confirmed that Anavex Life Sciences Corp. ("Anavex") has delivered to Nevada Agency and Transfer Company (the "Transfer Agent") original Stock Certificate No. 0753 for 6,633,332 shares of Anavex common stock issued in the name of Mr. Athanasios Skarpelos and the stock power executed by Mr. Skarpelos in favor of Weiser Asset Management Ltd. ("Weiser"). In addition, we previously provided the Transfer Agent with an instruction letter from Weiser to the Transfer Agent requesting that it register on Anavex's stock transfer records Weiser's ownership of the 3,316,666 shares of Anavex common stock.

To reiterate our client's position, Weiser has presented an original certificate to the Transfer Agent for registration of transfer, and, pursuant to §104.8405 of Nevada Uniform Commercial Code – Investment Securities (the "Act"), the Transfer Agent must register the transfer. Weiser is a "protected purchaser" in accordance with §104.8303 of the Act because it purchased a certificated security for value, did not have notice of any adverse claim to the security and obtained control of the certificated security.

Please immediately confirm to us in writing that the Transfer Agent is effecting the transfer by Mr. Skarpelos to Weiser of 3,316,666 shares of Anavex common stock. The Transfer Agent's immediate action is critical in order to avoid loss or damage to Weiser.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernesto A. Alvarez', with a stylized, overlapping flourish at the end.

Ernesto A. Alvarez

cc: Weiser Asset Management Ltd. (via email) (with enclosure)

No CW15-02259
Skarpelos

vs.

Weisenfeld
Weisen Ex. 55

Admitted: 1/28, 20 19
JACQUELINE BRYANT, CLERK

By [Signature]
Deputy



Message

From: Simonitsch, Bill J [bill.simonitsch@klgates.com]
Sent: 11/17/2015 12:55:28 PM
To: Louis Montello [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=LMontello]
CC: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: Transfer of shares of Anavex Life Science

Nice speaking with you today. Per our conversation, you will send me a copy of the fully executed Power of Attorney and a copy of the fully executed July 12, 2013 sales transaction between Skarpelos and Weiser. After I review those documents, I will speak with Anavex regarding your client's position that it is a protected purchaser.

Sincerely,

Bill Simonitsch

K&L GATES

William J. Simonitsch
K&L Gates LLP
Southeast Financial Center, Suite 3900
200 S. Biscayne Blvd.
Miami, Florida 33131
Phone: 305.539.3336
Fax: 305.358.7095
E-mail: bill.simonitsch@klgates.com
Website: www.klgates.com

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No CNIS-02259

Skarpelos

vs.

Weisen et al

Weisen Ex. 56

Admitted: 1/28, 2019

JACQUELINE BRYANT, CLERK

By Wm

Deputy



JA1255

Message

From: Simonitsch, Bill J [bill.simonitsch@klgates.com]
Sent: 11/18/2015 12:16:14 PM
To: Ernesto Alvarez [/O=FIRST ORGANIZATION/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Ealvarez]
Subject: RE: Transfer of Shares of Anavex Life Sciences Corp.

Thank you.

From: Ernesto Alvarez [mailto:ealvarez@montellolaw.com]
Sent: Wednesday, November 18, 2015 12:21 PM
To: Simonitsch, Bill J
Cc: Louis Montello
Subject: Transfer of Shares of Anavex Life Sciences Corp.

Mr. Simonitsch:

As per your request, attached please find the following documents:

1. Stock Power.
2. Stock Sale and Purchase Agreement.

Thank you,

Ernesto A. Alvarez



2750 NE 185th St., Suite 201

Aventura, FL 33180

T: (305) 682-2000 | F: (305) 682-3669

ealvarez@montellolaw.com

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No. CW15-02259
Skappelos
vs.
Weiskopf
Weiskopf Ex. 57

Admitted: 1/28, 2019
JACQUELINE BRYANT, CLERK

By mm
Deputy

