## IN THE SUPREME COURT STATE OF NEVADA Electronically Filed

Mar 12 2021 03:06 p.m.

JOSEPH FOLINO, an individual and NICOLE FOLINO, an individual,

Case No. 81252

Elizabeth A. Brown Clerk of Supreme Court

Appellant,

v.

TODD SWANSON, an individual; TODD SWANSON, Trustee of the SHIRAZ TRUST; SHIRAZ TRUST, a Trust of unknown DEVELOPMENT, origin; LYONS limited liability LLC, a Nevada company; DOES I through X; and ROES I through X,

Respondent.

JOSEPH FOLINO, an individual and NICOLE FOLINO, an individual,

Appellant,

v.

TODD an individual: SWANSON, TODD SWANSON, Trustee of the SHIRAZ TRUST; SHIRAZ TRUST, a Trust of unknown LYONS DEVELOPMENT, origin; LLC. a Nevada limited liability company; DOES I through X; and ROES I through X,

Respondent.

Case No. 81831

## APPEAL FROM THE EIGHTH JUDICIAL DISTRICT COURT THE HONORABLE JIM CROCKETT CASE No. A-18-782494-C

# JOINT APPENDIX ON APPEAL VOLUME XV OF XIX INDEX TO APPELLANTS' APPENDIX OF RECORD

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|------|------------|--------------------------------|-----|----------|
|      |            | Transcript 2/3/2020            |     | JA003583 |
| 121. | 02/06/2020 | Todd Swanson Deposition        | XIX | JA003584 |
|      |            | Transcript Volume II 2/6/20    |     | JA003701 |
| 122. | 01/13/2021 | Hearing Transcript of March    | XIX | JA003702 |
|      |            | 3, 2020 of Defendant's         |     | JA003724 |
|      |            | Motion to Dismiss Plaintiff's  |     |          |
|      |            | Second Amended Complaint       |     |          |
| 123. | 01/13/2021 | Hearing Transcript of April 7, | XIX | JA003725 |
|      |            | 2020 of Defendants' Motion     |     | JA003742 |
|      |            | to Dismiss Plaintiff's Second  |     |          |
|      |            | Amended Complaint              |     |          |

| 124. | 01/13/2021 | Hearing Transcript of June 20, | XIX | JA003743 |
|------|------------|--------------------------------|-----|----------|
|      |            | 2020 of Defendants' Motion     |     | JA003757 |
|      |            | for Fees and Costs and         |     |          |
|      |            | Plaintiffs' Motion to Retax    |     |          |
|      |            | Costs                          |     |          |

#### **CERTIFICATE OF SERVICE**

When All Case Participants are Registered for the Appellate CM/ECF System

I hereby certify that I electronically filed the foregoing with the Clerk of the Court for the Nevada Supreme Court by using the appellate CM/ECF system on March 9<sup>th</sup>, 2021.

I further certify that all participants in the case are registered CM/ECF users and that service will be accomplished by the appellate CM/ECF system.

DATED this 9th day of March 2021.

#### **BLACK & WADHAMS**

/s/ Rusty Graf

Rusty Graf, EsQ Nevada Bar No. 6322 10777 W. Twain Ave., Ste 300. Las Vegas, Nevada 89135 Attorneys for Appellants

#### ELECTRONICALLY SERVED 1/10/2020 4:28 PM

| 1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11 | RESPN CHRISTOPHER M. YOUNG, ESQ. Nevada Bar No. 7961 JAY T. HOPKINS, ESQ. Nevada Bar No. 3223 CHRISTOPHER M. YOUNG, PC 2460 Professional Court, #200 Las Vegas, Nevada 89128 Tel: (702) 240-2499 Fax: (702) 240-2489 cyoung@cotomlaw.com jaythopkins@gmail.com  Jeffrey L. Galliher, Esq. Nevada Bar No. 8078 GALLIHER LEGAL P.C. 1850 East Sahara Avenue, Suite 107 Las Vegas, Nevada 89104 Telephone: (702) 735-0049 Facsimile: (702) 735-0049 Facsimile: (702) 735-0204 jgalliher@galliherlawfirm.com  Attorneys for Todd Swanson, et al. |
|---|--|
| 13  | DISTRICT COURT   |
| 14  | CLARK COUNTY, NEVADA   |
| 15  | JOSEPH FOLINO, an individual and NICOLE CASE NO.: A-18-782494-C  |
|   | FOLINO, an individual,  DEPT. NO.: XXIV  |
| 16  | Plaintiff(s),  |
| 17  | v.   |
| 18  | TODD SWANSON, an individual; TODD  |
| 19  | SWANSON, Trustee of the SHIRAZ TRUST;<br>SHIRAZ TRUST, a Trust of unknown origin;  |
| 20  | LYON DEVELOPMENT, LLC, a Nevada limited liability company; DOES I through X; and ROES I through X.   |
| 21  | 1-24-20  |
| 22  | Defendant(s).  Heidi Konsten, CCR 845  |
| 23  | DEFENDANT TODD SWANSON'S RESPONSES   |
| 24  | TO PLAINTIFF'S FIRST REQUEST FOR PRODUCTION OF DOCUMENTS   |
| 25  | TO: JOSEPH FOLINO, and NICOLE FOLINO, Plaintiff, and   |
| 26  | TO: RUSTY GRAF, ESQ., their Attorney.  |
| 27  | Defendant TODD SWANSON by and through its attorneys CHRISTOPHER M.   |
| 28  | YOUNG, ESQ., of the law firm of CHRISTOPHER M. YOUNG, PC, and JEFFREY L.   |
| ŀ   |  |

1 of 9

#### GALLIHER, ESQ. of GALLIHER LEGAL PC,

hereby responds to Plaintiff's First Request for Production of Documents, pursuant to N.R.C.P. 34, as follows:

#### **REQUEST NO. 1:**

Please produce all documentation or communications referring, regarding, or related in any manner to the Property and the subject matter of the instant litigation from January 1, 2015 to present.

#### **RESPONSE NO. 1:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000001-000136.

#### **REQUEST NO. 2:**

Please produce all documentation or communications referring, regarding, or related in any manner to water leaks, water loss, and/or drywall damage occurring at the Property from January 1, 2015 to present.

#### **RESPONSE NO. 2:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000137-000197.

#### **REQUEST NO. 3:**

Please produce all bills, work orders, proposals, receipts, communications, and/or any other documentation referring, regarding, or related to Rakeman Plumbing from January 1, 2015 to present.

#### **RESPONSE NO. 3:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210.

#### **REQUEST NO. 4:**

Please produce all documentation or communication referring, regarding, or related in any manner to any construction, repairs, and/or remodeling conducted by you or any contractor at the Property from January 1, 2015 to present.

#### **RESPONSE NO. 4:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000211-000284.

#### **REQUEST NO. 5:**

Please produce all documentation or communications referring, regarding, or related in any manner to a class action lawsuit against the company Uponor from January 1, 2015 to present.

#### **RESPONSE NO. 5:**

Defendant is not in possession of any documents responsive to this request.

#### **REQUEST NO. 6:**

Please produce all documentation or communication referring, regarding, or related in any manner to company Uponor from January 1, 2015 to present.

#### **RESPONSE NO. 6:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000148-000151 and 000285.

#### **REQUEST NO. 7:**

Please produce all communication with Ashley Lazosky or referring, regarding or related in any manner to Ashley Lazosky from January 1, 2017 to present.

#### RESPONSE NO. 7:

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000173-000178 and 000286.

#### **REQUEST NO. 8:**

Please produce all communications with Ivan Sher and/or agents and employees of Ivan Sher, including any documentation referring, regarding, or related in any manner to Ivan Sher, and any contracts formed with Ivan Sher from January 1, 2017 to present.

#### RESPONSE NO. 8:

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000000152-000153, 000182, 000201-000202, 000244, 000248-000251, 000285, and 000287-000434.

#### **REQUEST NO. 9:**

Please produce all contracts, bills, work orders, proposals, receipts, communications, and/or any other documentation referring, regarding or related to Repipe Specialists from January 1, 2015 to present.

#### **RESPONSE NO. 9:**

Defendant is not in possession of any documents responsive to this request.

#### **REQUEST NO. 10:**

Please produce all communications with Aaron Hawley, including any documentation referring, regarding, or related in any manner to Aaron Hawley and the work performed by Rakeman Plumbing from January 1, 2015 to present.

#### **RESPONSE NO. 10:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210.

#### **REQUEST NO. 11:**

Please produce all communications with William Gerber, including any documentation referring, regarding, or related in any manner to William Gerber and the work performed by Rakeman Plumbing from January 1, 2015.

#### **RESPONSE NO. 11:**

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Defendant is not in possession of any documents responsive to this request.

#### **REQUEST NO. 12:**

Please produce all communications with the Summerlin Association and/or agents and employees of the Summerlin Association, including any documentation referring, regarding, or related in any manner to water leaks or the subject matter or the instant litigation from January 1, 2015 to present.

#### **RESPONSE NO. 12:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates number SWANSON000435.

#### **REQUEST NO. 13:**

Please produce documentation and communication referring, regarding, or related in any manner to health issues, suffered by you or a member of your family, related to exposure to mold from January 1, 2000 to present.

#### **RESPONSE NO. 13:**

Objection. This request seeks protected health information of Defendant as well as non-parties which is not reasonably calculated to lead to the discovery of admissible evidence.

#### **REQUEST NO. 14:**

Please produce all communications with Frontsteps and/or agents and employees of Frontsteps, including any documentation referring, regarding, or related in any manner to the Property or the subject matter of the instant litigation from January 1, 2015 to present.

#### **RESPONSE NO. 14:**

Defendant is not in possession of any documents responsive to this request.

#### **REQUEST NO. 15:**

Please produce all documentation evidencing the repair of any water leak, water damage, or drywall damage at the Property from January 2015 to present.

#### **RESPONSE NO. 15:**

 Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A.

#### **REQUEST NO. 16:**

Please produce all documentation evidencing the ownership of the Property by Todd Swanson, Lyons Development, and/or the Shiraz Trust prior to the sale of the Property to Joseph and Nicole Folino.

#### **RESPONSE NO. 16:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000436-000484.

#### **REQUEST NO. 17:**

Please produce all documentation and information which support your contention that Rakeman Plumbing had completely and properly repaired the water leak at the Property.

#### RESPONSE NO. 17:

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000137-000197, SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210 and SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210.

#### **REQUEST NO. 18:**

Please produce all documents, communications, and information evidencing efforts made by you, your agents, and/or any other individuals or businesses to ensure that the water leak on the Property had been completely and properly repaired by Rakeman Plumbing.

#### **RESPONSE NO. 18:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached

hereto as Exhibit A. See bates numbers SWANSON000137-000197, SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210 and SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210 and SWANSON000173-000178 and 000286...

#### REQUEST NO. 19:

Please produce copies of all documents reflecting monies paid, loaned, or otherwise delivered by you to any persons or entities for any work done at the Property from January 1, 2015 to present.

#### **RESPONSE NO. 19:**

Objection, this request is overly broad, unduly burdensome and unlikely to lead to the discovery of admissible evidence. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A.

#### **REQUEST NO. 20:**

Please produce all documents, communications, and information evidencing efforts to prevent water leaks from occurring at the Property from January 1, 2015 to present.

#### **RESPONSE NO. 20:**

Objection, this request is overly broad. Without waiving said objection please see Defendants' Initial Disclosure of Documents pursuant to NRCP 16.1 and the documents attached hereto as Exhibit A. See bates numbers SWANSON000137-000197, SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210 and SWANSON000148-000151, 000156-000172, 000180-000182, 000185, 000189-000194, and 000198-000210.

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#### DATED this 10th day of January 2020.

#### CHRISTOPHER M. YOUNG, PC

#### /S/ JEFFREY L. GALLIHER

CHRISTOPHER M. YOUNG, ESQ. Nevada Bar No. 7961 2460 Professional Court, #200 Las Vegas, Nevada 89128 Tel: (702) 240-2499 Fax: (702) 240-2489 cyoung@cotomlaw.com

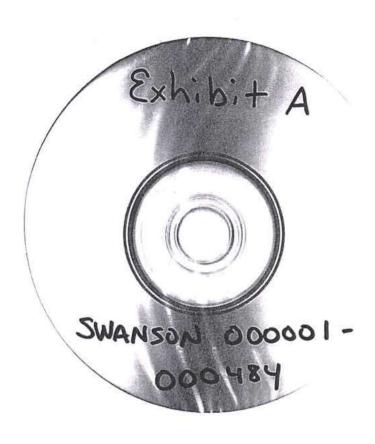
Jeffrey L. Galliher, Esq.
Nevada Bar No. 8078
GALLIHER LEGAL P.C.
1850 East Sahara Avenue, Suite 107
Las Vegas, Nevada 89104
Telephone: (702) 735-0049
Facsimile: (702) 735-0204
igalliher@galliherlawfirm.com

Attorneys for Todd Swanson, et al.

#### 1 CERTIFICATE OF E-SERVICE Pursuant to Nevada Rules of Civil Procedure 5(b), Administrative Order 14-2, and 2 3 N.E.F.C.R. 9, I hereby certify that on the 10th day of January 2020, service of the foregoing DEFENDANT TODD SWANSON'S RESPONSES TO PLAINTIFF'S FIRST SET OF 4 REQUESTS FOR PRODUCTION OF DOCUMENTS was electronically served on counsel 5 through the Court's electronic service system as follows: 6 7 Tisha Black, Esq. Rusty Graf, Esq. Black & Lobello 8 10777 West Twain Avenue, 3rd Floor Las Vegas, Nevada 89135 9 Tel: (702) 869-8801 Fax: (702) 869-2669 10 tblack@blacklobello.law Attorney for Plaintiff 11 12 13 14 /s/ Deena Mooney 15 An Employee of GALLIHER LAW FIRM 16 17 18 19 20 21 22 H\Open Case Files\0816.502\DISCOVERY\RESP to RFPD - Swanson 23 24 25 26 27

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## **EXHIBIT A**



#### SELLER'S REAL PROPERTY DISCLOSURE FORM

In accordance with Nevada Law, a seller of residential real property in Nevada must disclose any and all known conditions and aspects of the property which materially affect the value or use of residential property in an adverse manner (see NRS 113.130 and 113.140).

|   | 10/24/2017  |  |  | Do you currently occupy or have  |  | CES NO   |
|---|---|--|--|--|--|--|
| Property  | address 42 Meadowha   | wk Lane  |  | you ever occupied this property?   |  | k) L   |
|   | e October 1, 2011: A purchas<br>er to waive this form. (NRS)  |  |  | ent to provide this form and a selle   | r may no   | ot require a   |
| Type of   | Seller: Bank (financial ins   | titution);   | Asset Management   | Company; ☑Owner-occupier; ☐  | Other:_  |  |
| Disclosuknown be<br>expertise<br>on the properties<br>such as the transaction                     | re Act, effective January 1, 19 by the Seller which materially in construction, architecture, er property or the land. Also, unles the foundation or roof. This sta on and is not a substitute for an the by the seller are not part of | affects the engineering as otherwise tement is non any inspection. | s statement is a disci-<br>value of the proper<br>or any other specific<br>advised, the Seller I<br>at a warranty of any<br>ans or warranties the  | ion of the property in compliance we osure of the condition and informat ty. Unless otherwise advised, the Sarea related to the construction or co has not conducted any inspection of faind by the Seller or by any Agent resure ray wish to obtain. Systems a the inclusion of any system or applications of the inclusion of any system or applications.  | Seller do<br>ndition of<br>generall<br>opresention<br>and appl | cerning the property not possess of the improve y inaccessible ing the Seller is ances address address |
| PROPEI<br>COMPL<br>APPLIC<br>DISCLO<br>PURCH  | RTY. (3) ATTACH ADDITION.<br>ETE THIS FORM YOURSE<br>CABLE). EFFECTIVE JAN<br>OSURE STATEMENT WII   | ONAL PAG<br>CLF. (5) IF<br>WARY 1,<br>LL ENABI<br>SEEK O           | SES WITH YOUR S<br>SOME ITEMS DO<br>1996, FAILURE<br>LE THE PURCH<br>THER REMEDIES   | O) REPORT KNOWN CONDITIONAL STATEMENT OF PROVIDE A PURCHAS ASER TO TERMINATE AN OF AS PROVIDED BY THE LESS with any of the following:  | PACE I<br>RTY, C<br>ER W<br>OTHER                              | S REQUIRE<br>CHECK N/A (<br>ITH A SIG  |
| Plumbir   | al System   |  | ☐ Sin  | YES  wer(s)  | X  | N/A  |
| Septic to Well & Yard sp Fountain Heating Cooling Solar he Fireplac Wood b Garage o Water tr owne | System & line   |  | Sature Sa | class   clas | K KIKK KRKKKKKK  |  |

EXPLANATIONS: Any "Yes" must be fully explained on page 3 of this form.



Buyer(s) Initials



Nevada Real Estate Division Replaces all previous versions Page 1 of 5

Seller Real Property Disclosure Form 547 Revised 07/25/2017

This form presented by Ivan G Sher | BBHS Nevada Properties | 702-315-0223 | showings8shapiroandsher.com

HOPOGOG TORMS

| A   | roperty conditions, improvements and additional information:  | YES    | NO          | N/A           |
|-----|---|--------|-------------|---------------|
| 1.  | Structure: (a) Previous or current moisture conditions and/or water damage? (b) Any structural defect?  |        | N           |               |
|     | (c) Any construction, modification, alterations, or repairs made without required state, city or county building permits?   |        | 1000        |               |
|     | (d) Whether the property is or has been the subject of a claim governed by  |        | <u>s</u>    |               |
| 2   | NRS 40.600 to 40.695 (construction defect claims)?  (If seller answers yes, FURTHER DISCLOSURE IS REQUIRED)  Land / Foundation:   | П      | S           |               |
| 2,  | (a) Any of the improvements being located on unstable or expansive soil?  |        | X           |               |
|     | that have occurred on the property?   |        | 凶           |               |
|     | (c) Any drainage, flooding, water seepage, or high water table?  (d) The property being located in a designated flood plain?  |        | N<br>N      |               |
|     | (e) Whether the property is located next to or near any known future development?   | П      | X           |               |
|     | (f) Any encroachments, easements, zoning violations or nonconforming uses? (g) Is the property adjacent to "open range" land?   |        | X           |               |
| 2   | (If seller answers yes, FURTHER DISCLOSURE IS REQUIRED under NRS 113.065)   |        |             |               |
| 4.  | Roof: Any problems with the roof?  Pool/spa: Any problems with structure, wall, liner, or equipment.  | R      |             |               |
| 5.  | Infestation: Any history of infestation (termites, carpenter ants, etc.)?  Environmental:   |        |             | _             |
|     | (a) Any substances, materials, or products which may be an environmental hazard such as<br>but not limited to, asbestos, radon gas, urea formaldehyde, fuel or chemical storage tanks,          |        |             |               |
|     | contaminated water or soil on the property?   |        | X           |               |
|     | (b) Has property been the site of a crime involving the previous manufacture of Methamphetamine   | _      |             |               |
|     | where the substances have not been removed from or remediated on the Property by a certified entity or has not been deemed safe for habitation by the Board of Heath?                           | п      | 凶           |               |
| 7.  | Fungi / Mold: Any previous or current fungus or mold?   | ä      | X           |               |
| 8.  | Any features of the property shared in common with adjoining landowners such as walls, fences, road, driveways or other features whose use or responsibility for maintenance may have an effect |        |             |               |
|     | on the property?  | п      | ×           |               |
| 9.  | Common Interest Communities: Any "common areas" (facilities like pools, tennis courts, walkways or  | _      | NC31        |               |
|     | other areas co-owned with others) or a homeowner association which has any  | -      |             |               |
|     | authority over the property?  |        |             |               |
|     | (b) Any periodic or recurring association fees?   | N<br>N |             |               |
|     | (c) Any unpaid assessments, fines or liens, and any warnings or notices that may give rise to an  |        |             |               |
|     | assessment, fine or lien?   |        |             |               |
|     | (e) Any assessments associated with the property (excluding property taxes)?  | X      |             | SID or LID)   |
|     | <ul> <li>Any construction, modification, alterations, or repairs made without</li> </ul>  |        | _ `         | ,             |
| 10  | required approval from the appropriate Common Interest Community board or committee?  |        | X           |               |
| 11. | Any problems with water quality or water supply?  Any other conditions or aspects of the property which materially affect its value or use in an  |        | 図           |               |
| 12. | adverse manner?  Lead-Based Paint: Was the property constructed on or before 12/31/77?  | 님      | [X]         |               |
|     | (If yes, additional Federal EPA notification and disclosure documents are required)   | ш      | La          |               |
|     | .Water source: Municipal  Community Well  Domestic Well  Other  |        |             |               |
|     | If Community Well: State Engineer Well Permit # Revocable  Permanent  Cancelled  Use of community and domestic wells may be subject to change. Contact the Nevada Division of Water Resources   |        |             |               |
|     | for more information regarding the future use of this well.   | K      |             |               |
| 14. | .Conservation Easements such as the SNWA's Water Smart Landscape Program: Is the property a participant?  |        | X           |               |
| 15. | Solar panels: Are any installed on the property?  |        | $\boxtimes$ |               |
| 16  | If yes, are the solar panels: Owned□ Leased□ or Financed□  Wastewater disposal: ☑ Municipal Sewer □ Septic System □ Other □   |        |             |               |
|     |   | ×      |             |               |
|     | EXPLANATIONS: Any "Yes" must be fully explained on page 3 of this form  | 777    | -           | transfer tax) |
|     | 15 11/02/17 12/12/17 12/12/17 13/09/M EST   |        |             |               |
|     | Seller(s) Initials  Buyer(s) Initials  Buyer(s) Initials  |        |             |               |

Nevada Real Estate Division Replaces all previous versions Page 2 of 5

Seller Real Property Disclosure Form 547 Revised 07/25/2017

This form presented by Ivan G Sher | BRHS Nevada Properties | 702-315-0223 | showings@shapiroandsher.com

| nal pages if needed. |   |                  |
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Nevada Real Estate Division Replaces all previous versions Page 3 of 5

Seller Real Property Disclosure Form 547 Revised 07/25/2017 Buyers and sellers of residential property are advised to seek the advice of an attorney concerning their rights and obligations as set forth in Chapter 113 of the Nevada Revised Statutes regarding the seller's obligation to execute the Nevada Real Estate Division's approved "Seller's Real Property Disclosure Form". For your convenience, Chapter 113 of the Nevada Revised Statutes provides as follows:

#### CONDITION OF RESIDENTIAL PROPERTY OFFERED FOR SALE

NRS 113.100 Definitions. As used in NRS 113.100 to 113.150, inclusive, unless the context otherwise requires:

- 1. "Defect" means a condition that materially affects the value or use of residential property in an adverse manner.
- 2. "Disclosure form" means a form that complies with the regulations adopted pursuant to NRS 113.120.
- 3. "Dwelling unit" means any building, structure or portion thereof which is occupied as, or designed or intended for occupancy as, a residence by one person who maintains a household or by two or more persons who maintain a common household.
  - 4. "Residential property" means any land in this state to which is affixed not less than one nor more than four dwelling units.
  - 5. "Seller" means a person who sells or intends to sell any residential property.

(Added to NRS by 1995, 842; A 1999, 1446)

NRS 113.110 Conditions required for "conveyance of property" and to complete service of document. For the purposes of NRS 113.100 to 113.150, inclusive:

- 1. A "conveyance of property" occurs:
- (a) Upon the closure of any escrow opened for the conveyance; or
- (b) If an escrow has not been opened for the conveyance, when the purchaser of the property receives the deed of conveyance.
- 2. Service of a document is complete:
- (a) Upon personal delivery of the document to the person being served; or
- (b) Three days after the document is mailed, postage prepaid, to the person being served at his last known address.

(Added to NRS by 1995, 844)

NRS 113.120 Regulations prescribing format and contents of form for disclosing condition of property. The Real Estate Division of the Department of Business and Industry shall adopt regulations prescribing the format and contents of a form for disclosing the condition of residential property offered for sale. The regulations must ensure that the form:

- 1. Provides for an evaluation of the condition of any electrical, heating, cooling, plumbing and sewer systems on the property, and of the condition of any other aspects of the property which affect its use or value, and allows the seller of the property to indicate whether or not each of those systems and other aspects of the property has a defect of which the seller is aware.
  - 2. Provides notice:
  - (a) Of the provisions of NRS 113.140 and subsection 5 of NRS 113.150.
  - (b) That the disclosures set forth in the form are made by the seller and not by his agent.
- (c) That the seller's agent, and the agent of the purchaser or potential purchaser of the residential property, may reveal the completed form and its contents to any purchaser or potential purchaser of the residential property.

(Added to NRS by 1995, 842)

NRS 113.130 Completion and service of disclosure form before conveyance of property; discovery or worsening of defect after service of form; exceptions; waiver.

- 1. Except as otherwise provided in subsection 2:
- (a) At least 10 days before residential property is conveyed to a purchaser:
  - (1) The seller shall complete a disclosure form regarding the residential property; and
  - (2) The seller or the seller's agent shall serve the purchaser or the purchaser's agent with the completed disclosure form.
- (b) If, after service of the completed disclosure form but before conveyance of the property to the purchaser, a seller or the seller's agent discovers a new defect in the residential property that was not identified on the completed disclosure form or discovers that a defect identified on the completed disclosure form has become worse than was indicated on the form, the seller or the seller's agent shall inform the purchaser or the purchaser's agent of that fact, in writing, as soon as practicable after the discovery of that fact but in no event later than the conveyance of the property to the purchaser. If the seller does not agree to repair or replace the defect, the purchaser may:
  - (1) Rescind the agreement to purchase the property; or
  - (2) Close escrow and accept the property with the defect as revealed by the seller or the seller's agent without further recourse.
  - 2. Subsection I does not apply to a sale or intended sale of residential property:
  - (a) By foreclosure pursuant to chapter 107 of NRS.
  - (b) Between any co-owners of the property, spouses or persons related within the third degree of consanguinity.
  - (c) Which is the first sale of a residence that was constructed by a licensed contractor.
- (d) By a person who takes temporary possession or control of or title to the property solely to facilitate the sale of the property on behalf of a person who relocates to another country, state or country before title to the property is transferred to a purchaser.
- 3. A purchaser of residential property may not waive any of the requirements of subsection 1. A seller of residential property may not require a purchaser to waive any of the requirements of subsection 1 as a condition of sale or for any other purpose.
- 4. If a sale or intended sale of residential property is exempted from the requirements of subsection 1 pursuant to paragraph (a) of subsection 2, the trustee and the beneficiary of the deed of trust shall, not later than at the time of the conveyance of the property to the purchaser of the residential property, or upon the request of the purchaser of the residential property, provide:
  - (a) Written notice to the purchaser of any defects in the property of which the trustee or beneficiary, respectively, is aware; and
- (b) If any defects are repaired or replaced or attempted to be repaired or replaced, the contact information of any asset management company who provided asset management services for the property. The asset management company shall provide a service report to the purchaser upon request.
  - 5. As used in this section:
  - (a) "Seller" includes, without limitation, a client as defined in NRS 645H.060.
  - (b) "Service report" has the meaning ascribed to it in NRS 645H.150.

(Added to NRS by 1995, 842; A 1997, 349; 2003, 1339; 2005, 598; 2011, 2832)

Seller(s) Initials





Buyer(s) Initials

Nevada Real Estate Division Replaces all previous versions Page 4 of 5

Seller Real Property Disclosure Form 547 Revised 07/25/2017 NRS 113.135 Certain sellers to provide copies of certain provisions of NRS and give notice of certain soil reports; initial purchaser entitled to rescind sales agreement in certain circumstances; waiver of right to rescind.

- 1. Upon signing a sales agreement with the initial purchaser of residential property that was not occupied by the purchaser for more than 120 days after substantial completion of the construction of the residential property, the seller shall:
  - (a) Provide to the initial purchaser a copy of NRS 11.202 to 11.206, inclusive, and 40.600 to 40.695, inclusive;
- (b) Notify the initial purchaser of any soil report prepared for the residential property or for the subdivision in which the residential property is located; and
- (c) If requested in writing by the initial purchaser not later than 5 days after signing the sales agreement, provide to the purchaser without cost each report described in paragraph (b) not later than 5 days after the seller receives the written request.
  - 2. Not later than 20 days after receipt of all reports pursuant to paragraph (c) of subsection 1, the initial purchaser may rescind the sales agreement.
- 3. The initial purchaser may waive his right to rescind the sales agreement pursuant to subsection 2. Such a waiver is effective only if it is made in a written document that is signed by the purchaser.

(Added to NRS by 1999, 1446)

NRS 113.140 Disclosure of unknown defect not required; form does not constitute warranty; duty of buyer and prospective buyer to exercise reasonable care.

- 1. NRS 113.130 does not require a seller to disclose a defect in residential property of which he is not aware.
- 2. A completed disclosure form does not constitute an express or implied warranty regarding any condition of residential property.
- 3. Neither this chapter nor chapter 645 of NRS relieves a buyer or prospective buyer of the duty to exercise reasonable care to protect himself. (Added to NRS by 1995, 843; A 2001, 2896)

#### NRS 113.150 Remedies for seller's delayed disclosure or nondisclosure of defects in property; waiver.

- 1. If a seller or the seller's agent fails to serve a completed disclosure form in accordance with the requirements of NRS 113.130, the purchaser may, at any time before the conveyance of the property to the purchaser, rescind the agreement to purchase the property without any penalties.
- 2. If, before the conveyance of the property to the purchaser, a seller or the seller's agent informs the purchaser or the purchaser's agent, through the disclosure form or another written notice, of a defect in the property of which the cost of repair or replacement was not limited by provisions in the agreement to purchase the property, the purchaser may:
  - (a) Rescind the agreement to purchase the property at any time before the conveyance of the property to the purchaser; or
  - (b) Close escrow and accept the property with the defect as revealed by the seller or the seller's agent without further recourse.
- 3. Rescission of an agreement pursuant to subsection 2 is effective only if made in writing, notarized and served not later than 4 working days after the date on which the purchaser is informed of the defect:
  - (a) On the holder of any escrow opened for the conveyance; or
  - (b) If an escrow has not been opened for the conveyance, on the seller or the seller's agent.
- 4. Except as otherwise provided in subsection 5, if a seller conveys residential property to a purchaser without complying with the requirements of NRS 113 130 or otherwise providing the purchaser or the purchaser's agent with written notice of all defects in the property of which the seller is aware, and there is a defect in the property of which the seller was aware before the property was conveyed to the purchaser and of which the cost of repair or replacement was not limited by provisions in the agreement to purchase the property, the purchaser is entitled to recover from the seller treble the amount necessary to repair or replace the defective part of the property, together with court costs and reasonable attorney's fees. An action to enforce the provisions of this subsection must be commenced not later than 1 year after the purchaser discovers or reasonably should have discovered the defect or 2 years after the conveyance of the property to the purchaser, whichever occurs later.
- 5. A purchaser may not recover damages from a seller pursuant to subsection 4 on the basis of an error or omission in the disclosure form that was caused by the seller's reliance upon information provided to the seller by:
  - (a) An officer or employee of this State or any political subdivision of this State in the ordinary course of his or her duties; or
- (b) A contractor, engineer, land surveyor, certified inspector as defined in NRS 6451,040 or pesticide applicator, who was authorized to practice that profession in this State at the time the information was provided.
- 6. A purchaser of residential property may waive any of his or her rights under this section. Any such waiver is effective only if it is made in a written document that is signed by the purchaser and notarized.

(Added to NRS by 1995, 843; A 1997, 350, 1797)

| The above information provided on pages one (1), two (2) an | d three (3) of this disclosure form is true and correct to the best of |
|---|--|
|   | SELLER HAS DUTY TO DISCLOSE TO BUYER AS NEW                            |
| DEFECTS ARE DISCOVERED AND/OR KNOWN DEFE                    | CTS BECOME WORSE (See NRS 113,130(1)(b)).                              |
| 1.111   | and Taranganananan Selection II  |

| Seller(s):   |  | Date:                            | 10/24/2017   |
|--|--|----------------------------------|--|
| Sciler(s): Co-trustee, the Shiraz<br>Manager, Lyons De | The state of the s | Date:                            |  |
|  | DITION OF THE PROPERTY A   | AND ITS ENVIR<br>Real Property D | NS OF THE PROPERTY TO MORE<br>CONMENTAL STATUS. Buyer(s)<br>isclosure Form and copy of NRS |
| Joseph Folino Buyer(s                                  | datloop verified<br>11/07/17 3:07PM EST<br>EL77-GGIB-JDHY-QKN6   | Date:                            | 10/25/2017   |
| Buyer(s Nicole Folino                                  | dotloop verified<br>11/07/17 2:44PM EST<br>WQEE-AXST-1UTZ-DLBE   | Date:                            | 10/25/2017   |
| I  |  | 2                                |  |

Nevada Real Estate Division Replaces all previous versions Page 5 of 5

Seller Real Property Disclosure Form 547 Revised 07/25/2017



Rev. 05/16



|                                   | RESIDENTIA  | L PURCHASE AGREEMENT   |
|-----------------------------------|---|--|
|                                   | (Jo   | int Escrow Instructions)   |
|                                   |   | Date: 10/19/2017   |
| Joseph Folino                     | and Nicole Folino   | ("Buyer"), hereby offers to purchase   |
| 42 Meadowhav                      | vk Lane, Las Vegas, NV 89135                              | ("Property") within the  |
| city or uninco                    | rporated area of Las Vegas                                | . County of Clark County State of Nevada   |
| Zip 89135                         | , A.P.N. #  | for the purchase price of \$2,700,000  |
| (two million se<br>contained here | ven hundred thousand<br>ein: BUYER ☑does –OR– ☐does not i | dollars) ("Purchase Price") on the terms and conditions<br>ntend to occupy the Property as a residence.  |
| Buyer's (                         | Offer   |  |
| f PIN                             | MCIAL TERMS & CONDUCTIONS                                 |  |
| 1. FINA<br>\$ 150,000             | NCIAL TERMS & CONDITIONS:                                 | C("EMD") is Decembed with this offer OR (7) is the mile  |
| ф 130,000                         | _ A. EARNEST MONET DEPOSI                                 | Γ ("EMD") is □presented with this offer -OR- ☑ wired to title  . Upon Acceptance, Earnest Money to be  |
|                                   | deposited within one (1) business                         | day from acceptance of offer (as defined in Section 23 herein) or 2  |
|                                   | business days if wired to: Escro                          | w Holder, □Buyer's Broker's Trust Account, -OR- □Seller's Broker's   |
|                                   | Trust Account. (NOTE: It is a felon                       | in the State of Nevada—punishable by up to four years in prison and a \$5,000  |
|                                   | fine-to write a check for which there a                   | re insufficient funds. NRS 193.130(2)(d).)   |
| S                                 | R ADDITIONAL DEPOSIT to                                   | be placed in escrow on or before (date) The  |
|                                   | additional denosit Dwill -OR-Dv                           | rill not be considered part of the EMD. (Any conditions on the additiona   |
|                                   | deposit should be set forth in Section                    | n 28 herein.)  |
| \$ 2,160,000                      | C. THIS AGREEMENT IS CON                                  | TINGENT UPON BUYER QUALIFYING FOR A NEW LOAN:  |
|                                   | ☑ Conventional, ☐ FHA, ☐ V                                | A, Other (specify)   |
| \$                                | D. THIS AGREEMENT IS CO                                   | ONTINGENT UPON BUYER QUALIFYING TO ASSUME THE  |
|                                   | FOLLOWING EXISTING LOAD                                   | (S):   |
|                                   | ☐ Conventional, ☐ FHA, ☐ VA                               | Other (specify)  |
|                                   | Interest: Fixed rate,years                                | <ul> <li>OR − ☐ Adjustable Rate, years. Seller further agrees to</li> </ul>  |
|                                   | provide the Promissory Note and the                       | most recent monthly statement of all loans to be assumed by Buyer  |
|                                   | within FIVE (5) calendar days of ac                       | ceptance of offer.   |
| S                                 | E. BUYER TO EXECUTE A PR                                  | OMISSORY NOTE SECURED BY DEED OF TRUST PER TERMS   |
|                                   | IN"FINANCING ADDENDUM"                                    | which is attached hereto.  |
| \$ 390,000                        | F. BALANCE OF PURCHASE                                    | PRICE (Balance of Down Payment) in Good Funds to be paid prior to  |
| 201-51-51                         | Close of Escrow ("COE").                                  | , , , , , , , , , , , , , , , , , , ,  |
| \$ 2,700,000                      | C TOTAL DUDCHASE BRICE                                    | (This price DOES NOT include about the state of the State |
| \$ 2,700,000                      | and costs associated with the purcha                      | (This price DOES NOT include closing costs, prorations, or other fees<br>se of the Property as defined herein.)  |
|                                   | and costs associated with the purcha                      | se of the Property as defined herein.)   |
| 2. ADD                            | ITIONAL FINANCIAL TERMS & C                               | ONTINGENCIES:  |
| A.                                | NEW LOAN APPLICATION: W                                   | fithin 2 business days of Acceptance, Buyer agrees to (1) submit a   |
| completed load                    | application to a lender of Buyer's ch                     | pice and (2) furnish a preapproval letter to Seller based upon a standard ratios. If Buyer fails to complete any of these conditions within the  |
| Each party ackn                   |   | nd agrees to each and every provision of this page unless a particular paragraph is  |
|                                   | seph Folino and Nicole Folino                             | BUYER(S) INITIALS: 1070012 1070012   |
|                                   | 12 Meadowhawk Lane, Las Vegas, NV 89                      |  |
| operty Address:                   | 12 meduownawk Lane, Las vegas, NV 89                      | SELLER(S) INITIALS:  |

EXHIBIT NO. 4 1-34-20 SWanson Heidi Konsten, CCB 845

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Instantion Ms

Page I of 10

| 2        | applicable time frame, Seller reserves the right to terminate this Agreement. In such event, both parties agree to cance escrow and return EMD to Buyer. Buyer shall use Buyer's best efforts to obtain financing under the terms and concerns the content of the con | el the                                  |
|----------|--|---|
| 3        | outlined in this Agreement.  |   |
| 5        | B. APPRAISAL CONTINGENCY: Buyer's obligation to nurchase the property is contingent upon the no  |   |
| 6        | B. APPRAISAL CONTINGENCY: Buyer's obligation to purchase the property is contingent upon the prappraising for not less than the Purchase Price. If after the completion of an appraisal by a licensed appraiser, Buyer receives we appraise to the property of the property is contingent upon the prappraising for not less than the Purchase Price. If after the completion of an appraisal by a licensed appraiser, Buyer receives we appraise to the property is contingent upon the property is contingent upon the property in the property is contingent upon the property is contin    | operty                                  |
| 7        | notice from the lender or the appraiser that the Property has appraised for less than the purchase price (a ")   | Notice                                  |
| 8        | of Appraised Value") Buyer may attempt to renegotiate or cancel the RPA by providing written notice to the Seller (with a co   | opy of                                  |
| 9        | the Appraisal) no later than 21 calendar days after Acceptance of the RPA; whereupon the EMD shall be released   | to the                                  |
| 10       | Buyer without the requirement of written authorization from Seller. IF this Residential Purchase Agreement is not cancell  | ed, in                                  |
| 11       | writing on or before the Appraisal Deadline, Buyer shall be deemed to have waived the appraisal contingency.   |   |
| 12<br>13 | C LOUN CONTINUENCY D 1 11'   |   |
| 14       | C. LOAN CONTINGENCY: Buyer's obligation to purchase the property is contingent upon Buyer obtaining the  | ne .                                    |
| 15       | loan referenced in Section 1(C) or 1(D) of the RPA unless otherwise agreed in writing. Buyer shall remove the loan contingent writing, attempt to renegotiate, or cancel the RPA by providing written notice to the Seller no later than 26 calendar   | cy in                                   |
| 16       | days after Acceptance of the RPA; whereupon the EMD shall be released to the Buyer without the requirement of written  | Į.                                      |
| 17       | authorization from Seller. IF this Residential Purchase Agreement is not cancelled, in writing on or before the Loan   |   |
| 18       | Contingency Deadline, Buyer shall be deemed to have waived the loan contingency.   |   |
| 19       |  |   |
| 20       | D. CASH PURCHASE: Within n/a business days of Acceptance, Buyer agrees to provide written evid   | dence                                   |
| 21       | from a bona fide financial institution of sufficient cash available to complete this purchase. If Buyer does not subm  | it the                                  |
| 22       | written evidence within the above period, Seller reserves the right to terminate this Agreement.   |   |
| 23<br>24 | 2 CALE OF OTHER PROPERTY TO A TO THE STATE OF THE  | 1020                                    |
| 25       | 3. SALE OF OTHER PROPERTY: This Agreement ☑ is not -OR- ☐ is contingent upon the sale (and closing) another property which address is  |   |
| 26       | Said Property Dis Dis not currently listed OR-Dis presently in escrow with   | <u></u>                                 |
| 27       | Escrow Number: Proposed Closing Date:  | *************************************** |
| 28       | Troposed closing Date.   |   |
| 29       | When Buyer has accepted an offer on the sale of this other property, Buyer will promptly deliver a written notice of the sale  | ale to                                  |
| 30       | Seller. If Buyer's escrow on this other property is terminated, abandoned, or does not close on time, this Agreement   | t will                                  |
| 31       | terminate without further notice unless the parties agree otherwise in writing. If Seller accepts a bona fide written offer fi   | rom a                                   |
| 32       | third party prior to Buyer's delivery of notice of acceptance of an offer on the sale of Buyer's property, Seller shall give I   | Buyer                                   |
| 33       | written notice of that fact. Within three (3) calendar days of receipt of the notice, Buyer will waive the contingency of the  | e sale                                  |
| 34       | and closing of Buyer's other property, or this Agreement will terminate without further notice. In order to be effective   | e, the                                  |
| 35<br>36 | waiver of contingency must be accompanied by reasonable evidence that funds needed to close escrow will be available   | e and                                   |
| 37       | Buyer's ability to obtain financing is not contingent upon the sale and/or close of any other property.  |   |
| 38       | 4. FIXTURES AND PERSONAL PROPERTY: The following items will be transferred, free of liens, with the sa   | ale of                                  |
| 39       | the Property with no real value unless stated otherwise herein. Unless an item is covered under Section 7(F) of this Agreement of the Property with no real value unless stated otherwise herein.  | ment                                    |
| 40       | all items are transferred in an "AS IS" condition. All EXISTING fixtures and fittings including, but not limited to: elect   | rical                                   |
| 41       | mechanical, lighting, plumbing and heating fixtures, ceiling fan(s), fireplace insert(s), gas logs and grates, solar p   | ower                                    |
| 42       | system(s), built-in appliance(s) including ranges/ovens, window and door screens, awnings, shutters, window cover  | rings.                                  |
| 43       | attached floor covering(s), television antenna(s), satellite dish(es), private integrated telephone systems,   | air                                     |
| 44       | coolers/conditioner(s), pool/spa equipment, garage door opener(s)/remote control(s), mailbox, in-ground landsca  | ping,                                   |
| 45       | trees/shrub(s), water softener(s), water purifiers, security systems/alarm(s);   |   |
| 46<br>47 | The following additional items of personal property all items and All Colors and  |   |
|          | The following additional items of personal property: all items per MLS, downstairs barstools and couch in media room.  |   |
| 48       |  |   |
| 49       | 5. ESCROW:   |   |
| 50       |  |   |
| 51       | A. OPENING OF ESCROW: The purchase of the Property shall be consummated through Es   | crow                                    |
| 52<br>53 | ("Escrow"). Opening of Escrow shall take place by the end of one (1) business day after Acceptance of this Agree ("Opening of Escrow"), at Chicago Title title or escrow company ("Escrow Company  |   |
| 54       | ("Opening of Escrow"), at Chicago Title title or escrow company ("Escrow Company ("Escrow Officer") (or such other escrow officer")  |   |
| 55       | Escrow Company may assign). Opening of Escrow shall occur upon Escrow Company's receipt of this fully access   | ented                                   |
| 56       | Agreement. ESCROW HOLDER is instructed to notify the Parties (through their respective Agents) of the opening date   | and                                     |
|          | Each party acknowledges that he/she has read, understood, and agrees to each and every provision of this page unless a particular paragra otherwise modified by addendum or counteroffer.  |   |
|          |  | 0/20/17                                 |
|          | Property Address: 42 Meadowhawk Lane, Las Vegas, NV 89135 SELLER(S) INITIALS:  |   |
|          | Rev. 05/16 ©2016 Greater Las Vegas Association of REALTORS® Page   | 2 of 10                                 |

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Instructions MS

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10/20/17

the Escrow Number.

- B. EARNEST MONEY: Upon Acceptance, Buyer's EMD as shown in Section 1(A), and 1(B) if applicable, of this Agreement, shall be deposited pursuant to the language in Section 1(A) and 1(B) if applicable.
- C. CLOSE OF ESCROW: Close of Escrow ("COE") shall be on or before:

  30 days after acceptance (date). If the designated date falls on a weekend or holiday, COE shall be the next business day.
- D. IRS DISCLOSURE: Seller is hereby made aware that there is a regulation that requires all ESCROW HOLDERS to complete a modified 1099 form, based upon specific information known only between parties in this transaction and the ESCROW HOLDER. Seller is also made aware that ESCROW HOLDER is required by federal law to provide this information to the Internal Revenue Service after COE in the manner prescribed by federal law.
- 6. TITLE INSURANCE: This Purchase Agreement is contingent upon the Seller's ability to deliver, good and marketable title as evidenced by a policy of title insurance, naming Buyer as the insured in an amount equal to the purchase price, furnished by the title company identified in Section 5(A). Said policy shall be in the form necessary to effectuate marketable title or its equivalent and shall be paid for as set forth in Section 8(A).
- 7. BUYER'S DUE DILIGENCE: Buyer's obligation is \_\_\_\_ is not \_\_\_ conditioned on the Buyer's Due Diligence as defined in this section 7(A) below. This condition is referred to as the "Due Diligence Condition" if checked in the affirmative, Sections 7 (A) through (C) shall apply; otherwise they do not. Buyer shall have 12 calendar days from Acceptance (as defined in Section 23 herein) to complete Buyer's Due Diligence. Seller agrees to cooperate with Buyer's Due Diligence. Seller shall ensure that all necessary utilities (gas, power and water) and all operable pilot lights are on for Buyer's investigations and through the close of escrow.
- PROPERTY INSPECTION/CONDITION: During the Due Diligence Period, Buyer shall take such action as Buyer deems necessary to determine whether the Property is satisfactory to Buyer including, but not limited to, whether the Property is insurable to Buyer's satisfaction, whether there are unsatisfactory conditions surrounding or otherwise affecting the Property (such as location of flood zones, airport noise, noxious fumes or odors, environmental substances or hazards, whether the Property is properly zoned, locality to freeways, railroads, places of worship, schools, etc.) or any other concerns Buyer may have related to the Property. During such Period, Buyer shall have the right to conduct, non-invasive/ non-destructive inspections of all structural, roofing, mechanical, electrical, plumbing, heating/air conditioning, water/well/septic, pool/spa, survey, square footage, and any other property or systems, through licensed and bonded contractors or other qualified professionals. Seller agrees to provide reasonable access to the Property to Buyer and Buyer's inspectors. Buyer agrees to indemnify and hold Seller harmless with respect to any injuries suffered by Buyer or third parties present at Buyer's request while on Seller's Property conducting such inspections, tests or walk-throughs. Buyer's indemnity shall not apply to any injuries suffered by Buyer or third parties present at Buyer's request that are the result of an intentional tort, gross negligence or any misconduct or omission by Seller, Seller's Agent or other third parties on the Property. Buyer is advised to consult with appropriate professionals regarding neighborhood or Property conditions, including but not limited to: schools; proximity and adequacy of law enforcement; proximity to commercial, industrial, or agricultural activities; crime statistics, fire protection; other governmental services; existing and proposed transportation; construction and development; noise or odor from any source; and other nuisances, hazards or circumstances. If Buyer cancels this Agreement due to a specific inspection report, Buyer shall provide Seller at the time of cancellation with a copy of the report containing the name, address, and telephone number of the inspector.
- B. BUYER'S RIGHT TO CANCEL OR RESOLVE OBJECTIONS: If Buyer determines, in Buyer's sole discretion, that the results of the Due Diligence are unacceptable, Buyer may either: (i) no later than the Due Diligence Deadline referenced in Section 7, cancel the Residential Purchase Agreement by providing written notice to the Seller, whereupon the Earnest Money Deposit referenced in Section 1(A) shall be released to the Buyer without the requirement of further written authorization from Seller; or (ii) no later than the Due Diligence Deadline referenced in Section 7, resolve in writing with Seller any objections Buyer has arising from Buyer's Due Diligence.
- C. FAILURE TO CANCEL OR RESOLVE OBJECTIONS: If Buyer fails to cancel the Residential Purchase Agreement or fails to resolve in writing with Seller any objections Buyer has arising from Buyer's Due Diligence, as provided in Section 7, Buyer shall be deemed to have waived the Due Diligence Condition.

  Buyer's Initials

  Buyer's Initials

10/20/17

| otherwise modified by addend | it he/she has read, understood, and agrees to each and every provision | of this page unless a parti | cular para     | graph is   |   |
|------------------------------|--|-----------------------------|----------------|------------|---|
| Buyer's Name: Joseph Folin   |  | BUYER(S) INITIALS:          | 97<br>10/20/17 | 10/20/17   |   |
| Property Address: 42 Meadov  | vhawk Lane, Las Vegas, NV 89135  | SELLER(S) INITIALS:         |                |            |   |
| Rev. 05/16                   | ©2016 Greater Las Vegas Association of REALTORS®                       |                             |                | ge 3 of 10 | J |

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Property inspected and select the licensed contractors, certified building inspectors and/or other qualified professionals who will inspect the Property. Seller will ensure that necessary utilities (gas, power and water and all operable pilot lights) are turned on and supplied to the Property within two (2) business days after Acceptance of this Agreement, to remain on until COE. It is strongly recommended that Buyer retain licensed Nevada professionals to conduct inspections. If any inspection is not completed and requested repairs are not delivered to Seller within the Due Diligence Period, Buyer is deemed to have waived the right to that inspection and Seller's liability for the cost of all repairs that inspection would have reasonably identified had it been conducted, except as otherwise provided by law. The foregoing expenses for inspections will be paid outside of Escrow unless the Parties present instructions to the contrary prior to COE, along with the applicable invoice.

(Identify which party shall pay for the inspection noted below either: SELLER, BUYER, 50/50, WAIVED or N/A.)

| Type                    | Paid By | Type                             | Paid By | Type                                       | Paid By |
|-------------------------|---------|----------------------------------|---------|--|---------|
| Energy Audit            | n/a     | Fungal Contaminant<br>Inspection | n/a     | Well Inspection (Quantity)                 | n/a     |
| Home Inspection         | buyer   | Mechanical Inspection            | n/a     | Well Inspection (Quality)                  | n/a     |
| Termite/Pest Inspection | buyer   | Pool/Spa Inspection              | buyer   | Wood-Burning Device/<br>Chimney Inspection | n/a     |
| Roof Inspection         | n/a     | Soils Inspection                 | n/a     | Septic Inspection                          | n/a     |
| Septic Lid Removal      | n/a     | Septic Pumping                   | n/a     | Structural Inspection                      | n/a     |
| Survey (type):          |         | Other:                           |         | Other:                                     |         |

E. CERTIFICATIONS: In the event an inspection reveals areas of concern with the roof, septic system, well, wood burning device/chimney or the possible presence of a fungal contaminant, Buyer reserves the right to require a certification. The expenses for certifications will be paid outside of Escrow unless the Parties present instructions to the contrary prior to COE (along with the applicable invoice). A certification is not a warranty.

F. BUYER'S REQUEST FOR REPAIRS: It is Buyer's responsibility to inspect the Property sufficiently as to satisfy Buyer's use. Buyer reserves the right to request repairs, based upon the Seller's Real Property Disclosure or items which materially affect value or use of the Property revealed by an inspection, certification or appraisal. Items of a general maintenance or cosmetic nature which do not materially affect value or use of the Property, which existed at the time of Acceptance and which are not expressly addressed in this Agreement are deemed accepted by the Buyer, except as otherwise provided in this Agreement. The Brokers herein have no responsibility to assist in the payment of any repair, correction or deferred maintenance on the Property which may have been revealed by the above inspections, agreed upon by the Buyer and Seller or requested by one party.

8. FEES, AND PRORATIONS (Identify which party shall pay the costs noted below either: SELLER, BUYER, 50/50, WAIVED or N/A.)

A. TITLE ESCROW & APPRAISAL FEES.

| 74. 111.                      | LE, ESCRO | CALLICAISAL FEES.     |         |                      |         |
|-------------------------------|-----------|-----------------------|---------|----------------------|---------|
| Type                          | Paid By   | Type                  | Paid By | Type                 | Paid By |
| Escrow Fees                   | 50-50     | Lender's Title Policy | buyer   | Owner's Title Policy | seller  |
| Real Property Transfer<br>Tax | seller    | Appraisal             | buyer   | Other: n/a           | 1       |

- B. PRORATIONS: Any and all rents, taxes, interest, homeowner association fees, trash service fees, payments on bonds, SIDs, LIDs, and assessments assumed by the Buyer, and other expenses of the property shall be prorated as of the date of the recordation of the deed. Security deposits, advance rentals or considerations involving future lease credits shall be credited to the Buyer. All prorations will be based on a 30-day month and will be calculated as of COE. Prorations will be based upon figures available at closing. Any supplementals or adjustments that occur after COE will be handled by the parties outside of Escrow.
- C. PRELIMINARY TITLE REPORT: Within ten (10) business days of Opening of Escrow, Title Company shall provide Buyer with a Preliminary Title Report ("PTR") to review, which must be approved or rejected within five (5) business days of receipt thereof. If Buyer does not object to the PTR within the period specified above, the PTR shall be deemed accepted. If Buyer makes an objection to any item(s) contained within the PTR, Seller shall have five (5) business days after receipt of objections to correct or address the objections. If, within the time specified, Seller fails to have each such

| Each party acknowledges that he/she has read, understood, | and agrees to each and every provision of this | page unless a particular paragraph i |
|---|--|--------------------------------------|
| otherwise modified by addendum or counteroffer.           |  |                                      |

| Buyer's Name: Joseph Folin  | and Nicole Folino                                | BUYER(S) INITIALS:  | 10/20/17 | 10/20/17   |
|-----------------------------|--|---------------------|----------|------------|
| Property Address: 42 Meadov | vhawk Lane, Las Vegas, NV 89135                  | SELLER(S) INITIALS: | 75       |            |
| Rev. 05/16                  | ©2016 Greater Las Vegas Association of REALTORS® |                     | Pa       | ge 4 of 10 |

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|  | ptions approved or de  | ficer, entitlin  | objection, Buyer shall have t<br>ng Buyer to a refund of the E<br>ed are hereafter collectively i  | MD or (b) elec   | t to accept title to the   | Property as is. A   |
|--|--|--|--|--|--|---|
| Szero<br>costs whi   | ch Seller must pay pr  | Buyer's Lend<br>ursuant to los   | NG FEES: In addition to S<br>er's Fees and/or Buyer's Ti<br>an program requirements. D<br>nts, which will affect the part  | tle and Escrow ifferent loan ty  | Fees Dincluding pes (e.g., FHA, VA,  | -OR- Dexcluding   |
| Protection<br>TBD  | E. HOME PRO  | overage to E   | PLAN: Buyer and Seller as Buyer after COE. Buyer \[ \bar{\sqrt{S}} \]  Buyer will order the \( \bar{\sqrt{S}} \)   | vaives –OR– [<br>eller –OR– []   | Irequires a Home I<br>Buyer will pay for the   | Protection Plan wi  |
|  |  |  | ige or deductibles of such pla   | ans.   | a ran. Iveliner bene   | I not blokers man   |
| tender to (2) coven utility eas Property  10. Seller sha package" within on                            | Buyer marketable ants, conditions and a sements; and (4) oblimay be reassessed after COMMON-INTERE all provide AT SELI (a). Seller shall request to (1) business day of 3 calendar day following this statute, he/she is authorized agent. If Buyer does not remay be cancelled in the first and provide a second to the RPA.  John such written can documents requested the sements requested the sements of the RPA.   | itile to the restrictions (6 igations assurer COE whice CST COMM. ER's EXPE the resale passeller's received the date of must deliver, ceive the result by Buyen cellation, Buyen | COE, Buyer shall tender to Property free of all encum CC&R's) and related restrict and and encumbrances and hamay result in a real property in the Property in | nbrances other tions, (3) zonin cepted by Buy ty tax increase of the subject to a serequired by ess days of Accepted without per tage. If Buyer of U.S. mail, a way (15) calendar of cancellation serefund of the efund. If writte | than (1) current r g or master plan res er prior to COE. Be or decrease.  Common Interest Content of the conten | eal property taxe trictions and publications and publications and publications are desired to the same to Buyer is at the same to Buyer at of the fifth (5th Agreement pursual ellation to Seller of the this Agreement suant to Section 2 gree to execute an received within the |
| P  |  |  |  |  |  |   |
| F  |  | TED EXPE   | NSES: (Identify which par  | ty shall pay th  | ne costs noted below   | v either: SELLER  |
| F  | A. CIC RELAT   | TED EXPER  | NSES: (Identify which par  | ty shall pay th  | Type   | v either: SELLEF  |
| BUYER,   | A. CIC RELAT<br>50/50, WAIVED or N   | l/A.)  | Production   |  |  | Paid By   |
| BUYER,   | A. CIC RELATED SO/50, WAIVED OF N  Type  Type  | Paid By  | Түре   | Paid By  | Туре   |   |
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| 1           | 12. FEDERAL FAIR HOUSING COMPLIANCE AND DISCLOSURES: All properties are offered without regard to  |
|-------------|--|
| 2<br>3<br>4 | race, color, religion, sex, national origin, age, gender identity or expression, familial status, sexual orientation, ancestry, o handicap and any other current requirements of federal or state fair housing laws.   |
| 5           | 13. WALK-THROUGH INSPECTION OF PROPERTY: Buyer is entitled under this Agreement to a walk-through o  |
| 6           | the Property within 2 calendar days prior to COE to ensure the Property and all major systems, appliances  |
| 7           | heating/cooling, plumbing and electrical systems and mechanical fixtures are as stated in Seller's Real Property Disclosure  |
| 8           | Statement, and that the Property and improvements are in the same general condition as when this Agreement was Accepted by   |
| 9           | Seller and Buyer. To facilitate Buyer's walk-through, Seller is responsible for keeping all necessary utilities on, including all  |
| 10          | operable pilot lights. If any systems cannot be checked by Buyer on walk-through due to non-access or no power/gas/water   |
| 11          | then Buyer reserves the right to hold Seller responsible for defects which could not be detected on walk-through because of  |
| 12<br>13    | lack of such access or power/gas/water. The purpose of the walk-through is to confirm (a) the Property is being maintained (b)   |
| 14          | repairs, if any, have been completed as agreed, and (c) Seller has complied with Seller's other obligations. If Buyer elects not to conduct a walk-through inspection prior to COE, then all systems, items and aspects of the Property are deemed   |
| 15          | satisfactory, and Buyer releases Seller's liability for costs of any repair that would have reasonably been identified by a  |
| 16          | walk-through inspection, except as otherwise provided by law.  |
| 17          |  |
| 18          | <ol> <li>DELIVERY OF POSSESSION: Seller shall deliver the Property along with any keys, alarm codes, garage door</li> </ol>  |
| 19          | opener/controls and, if freely transferable, parking permits and gate transponders outside of Escrow, upon COE. Seller agrees  |
| 20<br>21    | to vacate the Property and leave the Property in a neat and orderly, broom-clean condition and tender possession no later than $\square$ COE $\square$ . In the event Seller does not vacate the Property by this time. Seller shall be considered   |
| 22          | ☑COE —OR—☐ . In the event Seller does not vacate the Property by this time, Seller shall be considered a trespasser in addition to Buyer's other legal and equitable remedies. Any personal property left on the Property after the day.   |
| 23          | indicated in this section shall be considered abandoned by Seller.   |
| 24          | the state of the s |
| 25          | 15. RISK OF LOSS: Risk of loss shall be governed by NRS 113.040. This law provides generally that if all or any  |
| 26          | material part of the Property is destroyed before transfer of legal title or possession, Seller cannot enforce the Agreement and   |
| 27          | Buyer is entitled to recover any portion of the sale price paid. If legal title or possession has transferred, risk of loss shall shift  |
| 28<br>29    | to Buyer.  |
| 30          | 16. ASSIGNMENT OF THIS AGREEMENT: Unless otherwise stated herein, this Agreement is non-assignable   |
| 31          | unless agreed upon in writing by all parties.  |
| 32          | -д   |
| 33          | 17. CANCELLATION OF AGREEMENT: In the event this Agreement is properly cancelled in accordance with the  |
| 34          | terms contained herein, then Buyer will be entitled to a refund of the EMD. Neither Buyer nor Seller will be reimbursed for any  |
| 35          | expenses incurred in conjunction with due diligence, inspections, appraisals or any other matters pertaining to this transaction   |
| 36<br>37    | (unless otherwise provided herein or except as otherwise provided by law).   |
| 38          | 18. DEFAULT:   |
| 39          |  |
| 40          | A. MEDIATION: Before any legal action is taken to enforce any term or condition under this Agreement, the  |
| 41          | parties agree to engage in mediation, a dispute resolution process, through GLVAR. Notwithstanding the foregoing, in the   |
| 42          | event the Buyer finds it necessary to file a claim for specific performance, this section shall not apply. Each party is   |
| 43          | encouraged to have an independent lawyer of their choice review this mediation provision before agreeing thereto. By initialing  |
| 44<br>45    | below, the parties confirm that they have read and understand this section and voluntarily agree to the provisions thereof.  |
| 46          | BUYER(S) INITIALS: SELLER(S) INITIALS: 10/20/17  |
| 17          | B. IF SELLER DEFAULTS: If Seller defaults in performance under this Agreement, Buyer reserves all legal  |
| 18          | and/or equitable rights (such as specific performance) against Seller, and Buyer may seek to recover Buyer's actual damages  |
| 19          | incurred by Buyer due to Seller's default.   |
| 50          |  |
| 51          | C. IF BUYER DEFAULTS: If Buyer defaults in performance under this Agreement, as Seller's sole legal  |
| 52          | recourse, Seller may retain, as liquidated damages, the EMD. In this respect, the Parties agree that Seller's actual damages   |
| 53<br>54    | would be difficult to measure and that the EMD is in fact a reasonable estimate of the damages that Seller would suffer as a   |
| 55          | result of Buyer's default. Seller understands that any additional deposit not considered part of the EMD in Section 1(B) herein will be immediately released by ESCROW HOLDER to Buyer.  |
| 66          | The commission foldased by ESCROW HOLDER to Buyer.   |
|             | Each party acknowledges that he/she has read, understood, and agrees to each and every provision of this page unless a particular paragraph is   |
|             | otherwise modified by addendum or counteroffer.  |
|             | Buyer's Name: Joseph Folino and Nicole Folino BUYER(S) INITIALS: 10/20/17 10/20/17   |
|             | Property Address: 42 Meadowhawk Lane, Las Vegas, NV 89135 SELLER(S) INITIALS:  |
|             | Rev. 05/16 ©2016 Greater Las Vegas Association of REALTORS® Page 6 of 10   |

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#### Instructions to Escrow

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- ESCROW: If this Agreement or any matter relating hereto shall become the subject of any litigation or controversy, 19. Buyer and Seller agree, jointly and severally, to hold ESCROW HOLDER free and harmless from any loss or expense, except losses or expenses as may arise from ESCROW HOLDER'S negligence or willful misconduct. If conflicting demands are made or notices served upon ESCROW HOLDER with respect to this Agreement, the parties expressly agree that Escrow is entitled to file a suit in interpleader and obtain an order from the Court authorizing ESCROW HOLDER to deposit all such documents and monies with the Court, and obtain an order from the Court requiring the parties to interplead and litigate their several claims and rights among themselves. Upon the entry of an order authorizing such Interpleader, ESCROW HOLDER shall be fully released and discharged from any obligations imposed upon it by this Agreement; and ESCROW HOLDER shall not be liable for the sufficiency or correctness as to form, manner, execution or validity of any instrument deposited with it, nor as to the identity, authority or rights of any person executing such instrument, nor for failure of Buyer or Seller to comply with any of the provisions of any agreement, contract or other instrument filed with ESCROW HOLDER or referred to herein. ESCROW HOLDER'S duties hereunder shall be limited to the safekeeping of all monies, instruments or other documents received by it as ESCROW HOLDER, and for their disposition in accordance with the terms of this Agreement. In the event an action is instituted in connection with this escrow, in which ESCROW HOLDER is named as a party or is otherwise compelled to make an appearance, all costs, expenses, attorney fees, and judgments ESCROW HOLDER may expend or incur in said action, shall be the responsibility of the parties hereto.
- 20. UNCLAIMED FUNDS: In the event that funds from this transaction remain in an account, held by ESCROW HOLDER, for such a period of time that they are deemed "abandoned" under the provisions of Chapter 120A of the Nevada Revised Statutes, ESCROW HOLDER is hereby authorized to impose a charge upon the dormant escrow account. Said charge shall be no less than \$5.00 per month and may not exceed the highest rate of charge permitted by statute or regulation. ESCROW HOLDER is further authorized and directed to deduct the charge from the dormant escrow account for as long as the funds are held by ESCROW HOLDER.

#### **Brokers**

- 21. BROKER'S COMPENSATION/FEES: Buyer herein requires, and Seller agrees, as a condition of this Agreement, that Seller will pay Listing Broker and Buyer's Broker, who becomes by this clause a third party beneficiary to this Agreement, that certain sum and/or percentage of the Purchase Price (commission), that Seller, or Seller's Broker, offered for the procurement of ready, willing and able Buyer via the Multiple Listing Service, any other advertisement or written offer. Seller understands and agrees that if Seller defaults hereunder, Buyer's Broker, as a third-party beneficiary of this Agreement, has the right to pursue all legal recourse against Seller for any commission due. In addition to any amount due to Buyer's Broker from Seller or Seller's Broker, Buyer will -OR- will not pay Buyer's Broker additional compensation in an amount determined between the Buyer and Buyer's Broker.
- WAIVER OF CLAIMS: Buyer and Seller agree that they are not relying upon any representations made by Brokers or Broker's agent. Buyer acknowledges that at COE, the Property will be sold AS-IS, WHERE-IS without any representations or warranties, unless expressly stated herein. Buyer agrees to satisfy himself/herself, as to the condition of the Property, prior to COE. Buyer acknowledges that any statements of acreage or square footage by Brokers are simply estimates, and Buyer agrees to make such measurements, as Buyer deems necessary, to ascertain actual acreage or square footage. Buyer waives all claims against Brokers or their agents for (a) defects in the Property; (b) inaccurate estimates of acreage or square footage; (c) environmental waste or hazards on the Property; (d) the fact that the Property may be in a flood zone; (e) the Property's proximity to freeways, airports or other nuisances; (f) the zoning of the Property; (g) tax consequences; or (h) factors related to Buyer's failure to conduct walk-throughs or inspections. Buyer assumes full responsibility for the foregoing and agrees to conduct such tests, walk-throughs, inspections and research, as Buyer deems necessary. In any event, Broker's liability is limited, under any and all circumstances, to the amount of that Broker's commission/fee received in this transaction.

#### **Other Matters**

23. DEFINITIONS: "Acceptance" means the date that both parties have consented to a final, binding contract by affixing their signatures to this Agreement and all counteroffers and said Agreement and all counteroffers have been delivered to both parties pursuant to Section 24 herein. "Agent" means a licensee working under a Broker or licensees working under a

Each party acknowledges that he/she has read, understood, and agrees to each and every provision of this page unless a particular paragraph is otherwise modified by addendum or counteroffer.

| Buyer's Name: Joseph Folino and Nicole Folino BUYER(S) INITIALS:              |  |  | 10/20/17 | 10/20/17   |
|---|--|--|----------|------------|
| Property Address: 42 Meadowhawk Lane, Las Vegas, NV 89135 SELLER(S) INITIALS: |  |  | 75       |            |
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developer. "Agreement" includes this document as well as all accepted counteroffers and addenda. "Appraisal" means a written appraisal or Notice of Value as required by any lending institution prepared by a licensed or certified professional. "Bona Fide" means genuine. "Buyer" means one or more individuals or the entity that intends to purchase the Property. "Broker" means the Nevada licensed real estate broker listed herein representing Seller and/or Buyer (and all real estate agents associated therewith). "Business Day" excludes Saturdays, Sundays, and legal holidays. "Calendar Day" means a calendar day from/to midnight unless otherwise specified. "CFR" means the Code of Federal Regulations. "CIC" means Common Interest Community (formerly known as "HOA" or homeowners associations). "CIC Capital Contribution" means a onetime non-administrative fee, cost or assessment charged by the CIC upon change of ownership. "CIC Transfer Fees" means the administrative service fee charged by a CIC to transfer ownership records. "Close of Escrow (COE)" means the time of recordation of the deed in Buyer's name. "Default" means the failure of a Party to observe or perform any of its material obligations under this Agreement. "Delivered" means personally delivered to Parties or respective Agents, transmitted by facsimile machine, electronic means, overnight delivery, or mailed by regular mail. "Down Payment" is the Purchase Price less loan amount(s). "EMD" means Buyer's earnest money deposit. "Escrow Holder" means the neutral party that will handle the closing. "FHA" is the U.S. Federal Housing Administration. "GLVAR" means the Greater Las Vegas Association of REALTORS®. "Good Funds" means an acceptable form of payment determined by ESCROW HOLDER in accordance with NRS 645A.171. "IRC" means the Internal Revenue Code (tax code). "LID" means Limited Improvement District. "N/A" means not applicable. "NAC" means Nevada Administrative Code. "NRS" means Nevada Revised Statues as Amended. "Party" or "Parties" means Buyer and Seller. "PITI" means principal, interest, taxes, and hazard insurance. "PMI" means private mortgage insurance. "PST" means Pacific Standard Time, and includes daylight savings time if in effect on the date specified. "PTR" means Preliminary Title Report. "Property" means the real property and any personal property included in the sale as provided herein. "Receipt" means delivery to the party or the party's agent. "RPA" means Residential Purchase Agreement. "Seller" means one or more individuals or the entity that is the owner of the Property. "SID" means Special Improvement District. "Title Company" means the company that will provide title insurance. "USC" is the United States Code. "VA" is the Veterans Administration.

#### 24. SIGNATURES, DELIVERY, AND NOTICES:

- A. This Agreement may be signed by the parties on more than one copy, which, when taken together, each signed copy shall be read as one complete form. This Agreement (and documents related to any resulting transaction) may be signed by the parties manually or digitally. Facsimile signatures may be accepted as original.
- B. Except as otherwise provided in Section 10, when a Party wishes to provide notice as required in this Agreement, such notice shall be sent regular mail, personal delivery, by facsimile, overnight delivery and/or by email to the Agent for that Party. The notification shall be effective when postmarked, received, faxed, delivery confirmed, and/or read receipt confirmed in the case of email. Delivery of all instruments or documents associated with this Agreement shall be delivered to the Agent for Seller or Buyer if represented. Any cancellation notice shall be contemporaneously delivered to Escrow in the same manner.
- 25. IRC 1031 EXCHANGE: Seller and/or Buyer may make this transaction part of an IRC 1031 exchange. The party electing to make this transaction part of an IRC 1031 exchange will pay all additional expenses associated therewith, at no cost to the other party. The other party agrees to execute any and all documents necessary to effectuate such an exchange.
- 26. OTHER ESSENTIAL TERMS: Time is of the essence. No change, modification or amendment of this Agreement shall be valid or binding unless such change, modification or amendment shall be in writing and signed by each party. This Agreement will be binding upon the heirs, beneficiaries and devisees of the parties hereto. This Agreement is executed and intended to be performed in the State of Nevada, and the laws of that state shall govern its interpretation and effect. The parties agree that the county and state in which the Property is located is the appropriate forum for any action relating to this Agreement. Should any party hereto retain counsel for the purpose of initiating litigation to enforce or prevent the breach of any provision hereof, or for any other judicial remedy, then the prevailing party shall be entitled to be reimbursed by the losing party for all costs and expenses incurred thereby, including, but not limited to, reasonable attorney's fees and costs incurred by such prevailing party.

THIS IS A LEGALLY BINDING CONTRACT. All parties are advised to seek independent legal and tax advice to review the terms of this Agreement.

Each party acknowledges that he/she has read, understood, and agrees to each and every provision of this page unless a particular paragraph is otherwise modified by addendum or counteroffer.

Buyer's Name: Joseph Folino and Nicole Folino

BUYER(S) INITIALS: 1979012

Buyer's Name: <u>Joseph Folino and Nicole Folino</u>
Property Address: <u>42 Meadowhawk Lane, Las Vegas, NV 89135</u>

SELLER(S) INITIALS:

LS:

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| ADVISE ON REAL ESTATE TRANSA<br>APPROPRIATE PROFESSIONAL.  | IS MADE AS TO THE LEGAL VALIDITY OR ADEQUACY OF A SACTION. A REAL ESTATE BROKER IS THE PERSON QUALIFIED ACTIONS. IF YOU DESIRE LEGAL OR TAX ADVICE, CONSULT  |
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| This form is available for use by the re<br>REALTOR® is a registered collective r<br>ASSOCIATION OF REALTORS® who  | eal estate industry. It is not intended to identify the user as a REALTOI membership mark which may be used only by members of the NATION subscribe to its Code of Ethics.   |
| 27. ADDENDUM(S) ATTACHED:  |  |
| 28. ADDITIONAL TERMS:  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| None of the second seco |  |
| Buye   | er's Acknowledgement of Offer  |
| Buyer's Broker: Ashley Oakes-Lazosky Company Name: Vegas Homes and Fine Estat Broker's License Number: B.1000869 Phone: 702-281-1198 Fax: 702-446-4536   | Office Address: 1180 N. Town Center Dr Ste 100 City, State, Zip: Las Vegas, NV 89144   |
| BUYER LICENSEE DISCLOSURE OF I he/she is a principal in a transaction or has a D DOES NOT have an interest in a principal prin | INTEREST: Pursuant to NRS 645.252(1)(c), a real estate licensee must disclose an interest in a principal to the transaction. Licensee declares that he/she:  |
| DOES have the following interest, dir  | rect or indirect, in this transaction: Principal (Buyer) -OR- family or fi<br>ip interest in Buyer (if Buyer is an entity): (specify relationsh  |
| DOES have the following interest, directionship with Buyer or ownership with B | AMDPM) on (month) October , (day) 21 , (year) 2017 . Unless countered below and delivered to the Buyer's Broker before the above day for no further force and effect. Upon Acceptance, Buyer agrees to be bound igned addenda, disclosures, and attachments.   |
| DOES have the following interest, directionship with Buyer or ownership with B | rect or indirect, in this transaction: Principal (Buyer) -OR- family or find in interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer) is an entity): (specify re |
| DOES have the following interest, directionship with Buyer or ownership with B | AMDPM) on (month) October , (day) 21 , (year) 2017 . Unless countered below and delivered to the Buyer's Broker before the above day find further force and effect. Upon Acceptance, Buyer agrees to be bound ligned addenda, disclosures, and attachments.  Joseph Folino 10/19/2017 AM/PM Buyer's Printed Name Date Time   |
| DOES have the following interest, directionship with Buyer or ownership with B | AMDPM) on (month) October , (day) 21 , (year) 2017 . Unless countered below and delivered to the Buyer's Broker before the above day find further force and effect. Upon Acceptance, Buyer agrees to be bound ligned addenda, disclosures, and attachments.  Joseph Folino 10/19/2017 AM/PM Buyer's Printed Name Date Time   |
| DOES have the following interest, directionship with Buyer or ownership with B | AMDPM) on (month) October , (day) 21 , (year) 2017 . Unless countered below and delivered to the Buyer's Broker before the above day fino further force and effect. Upon Acceptance, Buyer agrees to be bound igned addenda, disclosures, and attachments.  Joseph Folino 10/19/2017 AMJPM Buyer's Printed Name Date Time  |
| DOES have the following interest, directionship with Buyer or ownership relationship with Buyer or ownership with Buyer or ownership with Buyer or ownership with Buyer or ownership seller must respond by: 5   | rect or indirect, in this transaction: Principal (Buyer) -OR- family or find in interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer (if Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer (if Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interest in Buyer is an entity): (specify relationsh principal (Buyer) -OR- family or find interes |
| DOES have the following interest, directionship with Buyer or ownership relationship with Buyer or ownership relationship with Buyer or ownership with Buyer or ownership seller must respond by: 5  | rect or indirect, in this transaction: Principal (Buyer) -OR- family or fip interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or fip interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or fip interest in Buyer (if Buyer is an entity): (specify relationsh and principal (Buyer) -OR- family or fip interest in Buyer (if Buyer is an entity): (specify relationsh principal (Specify relationsh principal (Buyer) -OR- family or fip in the superity of the Buyer's Broker before the above day for further force and effect. Upon Acceptance, Buyer agrees to be bound by gned addenda, disclosures, and attachments.    Joseph Folino   |
| DOES have the following interest, directionship with Buyer or ownership relationship with Buyer or ownership with Buyer or ownership with Buyer or ownership with Buyer or ownership seller must respond by: 5   | rect or indirect, in this transaction: Principal (Buyer) -OR- family or fip interest in Buyer (if Buyer is an entity): (specify relationsh in buyer (if Buyer is an entity): (specify relationsh in buyer) on (month) October (day) 21 , (year) 2017 (Duless countered below and delivered to the Buyer's Broker before the above day fine further force and effect. Upon Acceptance, Buyer agrees to be bound in gned addenda, disclosures, and attachments.    Joseph Folino   |

|  | Seller's Response  |  |   |                            |                                      |
|--|--|--|---|----------------------------|--------------------------------------|
| Confirmation of Representation: The Seller is represented in this transaction by:  |  |  |   |                            |                                      |
| Seller's Broker:   | Forest Barbee  | Agent's Name: Ivan Sh  | er  |                            |                                      |
| Company Name   | : BHHS Nevada  | Agent's License Numb   |   |                            |                                      |
| Broker's License   | e Number:  | Office Address: 1215 S.  |   | Rd. Ste 210                |                                      |
| hone: 702-315-0  | 1223   | City, State, Zip; Las Ve   |   |                            |                                      |
| Fax:   |  | Email: ivan@shapiroan  | dsher.com   |                            |                                      |
| DOES have  | ncipal in a transaction or has<br>I have an interest in a princip<br>the following interest, direc               | INTEREST: Pursuant to NRS 645.252( an interest in a principal to the transactio pal to the transaction.—OR— t or indirect, in this transaction:     Principal   Pr | n. Licensee de                                    | eclares that               | t he/she:                            |
| elationship with   | Seller or ownership interest   | t in Seller (if Seller is an entity): (specify   | relationship)                                     |                            | 13. <b>-</b> 11.1.104/19.004/06      |
|  |  |  |   |                            |                                      |
| IRPIA Design<br>investment in Re   | ee a certificate indicating we cal Property Tax Act (FIRP)   | Seller's Response herein), Seller agrees whether Seller is a foreign person or a n TA). A foreign person is a nonresident a  | onresident ali                                    | ien pursua                 | nt to the Foreign                    |
| eated as a dom   | estic corporation; or a forci  | gn partnership, trust or estate. A resident  | t alien is not                                    | considered                 | a foreign person                     |
| nder FIRPIA.   | Additional information for d   | letermining status may be found at www.  | irs.gov. Buve                                     | r and Selle                | er understand tha                    |
| Seller is a fore   | ign person then the Buyer m  | just withhold a tax in an amount to be det   | ermined by B                                      | uyer's FIR                 | PTA Designee in                      |
| ccordance with   | FIRPIA, unless an exempti  | ion applies. Seller agrees to sign and deli  | ver to the Bu                                     | yer's FIRP                 | TA Designee the                      |
| section 1445).   | ients, to be provided by the   | Buyer's FIRPTA Designee, to determine  | if withholding                                    | ig is requir               | ed. (See 26 USC                      |
| cciton 1445).  |  |  |   |                            |                                      |
|  |  |  |   |                            |                                      |
| ELLER DECL   | ARES that he/she 🕅 is no   | ot_OR_ [] is a foreign person therefor   | en subjecting                                     | this towns                 | otion to EXDEEA                      |
| rithholding. SE  | LLER(S) INITIALS:  | ot -OR is a foreign person therefore   |   |                            |                                      |
| vithholding. SE  | LLER(S) INITIALS:  | es that he/she accepts and agrees to be bo   |   |                            |                                      |
| ACCEPTA  nd all signed ad  | NCE: Seller(s) acknowledgedenda, disclosures, and attac  | es that he/she accepts and agrees to be bo   | und by each p                                     | rovision of                | f this Agreement,                    |
| ACCEPTA and all signed ad COUNTER  | NCE: Seller(s) acknowledge denda, disclosures, and attace.  OFFER: Seller accepts the                            | es that he/she accepts and agrees to be both the she accepts and agrees to be both the she characteristics.  | und by each p                                     | rovision of<br>er Offer #1 | f this Agreement,                    |
| MACCEPTAl ACCEPTAl ACCEPTAL ACCEPTAL ALL SIGNED AD COUNTER   | NCE: Seller(s) acknowledge denda, disclosures, and attace.  OFFER: Seller accepts the                            | es that he/she accepts and agrees to be both thments.  | und by each p                                     | rovision of<br>er Offer #1 | f this Agreement,                    |
| ACCEPTAL nd all signed ad COUNTER  | NCE: Seller(s) acknowledge denda, disclosures, and attace.  OFFER: Seller accepts the                            | es that he/she accepts and agrees to be bore<br>chments.  terms of this Agreement subject to the att   | und by each p<br>tached Counte<br>ne offer presen | er Offer #1                | f this Agreement, . is not accepted. |
| ACCEPTAND All Signed ad COUNTER  REJECTION   | NCE: Seller(s) acknowledge denda, disclosures, and attack.  OFFER: Seller accepts the DN: In accordance with NAC | es that he/she accepts and agrees to be borehments.  terms of this Agreement subject to the att C 645.632, Seller hereby informs Buyer th Todd V. Swanson  | tached Counter to offer present 11/21/20          | er Offer #1<br>ated herein | f this Agreement,                    |
| ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTATE ACCE | NCE: Seller(s) acknowledge denda, disclosures, and attack.  OFFER: Seller accepts the DN: In accordance with NAC | es that he/she accepts and agrees to be borehments.  terms of this Agreement subject to the att  C 645.632, Seller hereby informs Buyer th  Todd V. Swanson  Seller's Printed Name   | und by each p<br>tached Counte<br>ne offer presen | er Offer #1                | f this Agreement, . is not accepted. |
| MACCEPTAl ACCEPTAl ACCEPTAL ACCEPTAL ACCEPTAL AND All signed ad COUNTER  | NCE: Seller(s) acknowledge denda, disclosures, and attack.  OFFER: Seller accepts the DN: In accordance with NAC | es that he/she accepts and agrees to be bore chments.  terms of this Agreement subject to the att  C 645.632, Seller hereby informs Buyer th  Todd V. Swanson  Seller's Printed Name  Co-trustee, the Shiraz Trust,  | tached Counter to offer present to 11/21/20  Date | er Offer #1<br>ated herein | f this Agreement, . is not accepted. |
| ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTAL ACCEPTATE ACCE | NCE: Seller(s) acknowledge denda, disclosures, and attack.  OFFER: Seller accepts the DN: In accordance with NAC | es that he/she accepts and agrees to be borehments.  terms of this Agreement subject to the att  C 645.632, Seller hereby informs Buyer th  Todd V. Swanson  Seller's Printed Name   | tached Counter to offer present to 11/21/20  Date | er Offer #1<br>ated herein | f this Agreement, . is not accepted. |

Each party acknowledges that he/she has read, understood, and agrees to each and every provision of this page unless a particular paragraph is otherwise modified by addendum or counteroffer.

Buyer's Name: Joseph Folino and Nicole Folino

Property Address: 42 Meadowhawk Lane, Las Vegas, NV 89135

BUYER(S) INITIALS:

SELLER(S) INITIALS:

97 10/20/17 10/20/17 Page 10 of 10

Rev. 05/16

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Instantion of the last of the



# NO. \_\_\_\_1

| ATTENTION: Ashalu cala  |  | COMPANY.   |  |  |
|---|--|--|--|--|
| ATTENTION: Ashely Oake (Age   | ent)   | _ COMPANY:_  | Vegas Homes and (Na:   |  |
| The Z Offer Counter Offer ma  | 9-23-79-4  | 🗴 Buyer  |  | ž.                                       |
|   |  |  | (Name  |  |
| to Buy Sell the real property   | commonly know  | n as: 42 Mead  | owhawk Lane  | Las Vegas                                |
| dated: October 19s, 2017 is hereby submitted:  1. Purchase price to be \$3,099 2. Buyer Pre-approval to be reagreement) or buyer to put 30% down as 3. Appraisal to be order within 4. Escrow to be opened with Tac 5. No personal property to be in 6. Seller time to respond to or 21st, 2017.  ADDITIONAL PAGE(S) ATT additional terms on the attached | ,000.00.  vised to reflect indicated in Property in 2 business date of Granlund of included in the riginal offer in TACHED. This | t lower down pare-approval let<br>ys of accepted<br>Equity Tile 702<br>sale.<br>s hereby to be | yment (as indica<br>ter.<br>offer.<br>-432-1111, TaciG<br>extended to midn | ted in purchase<br>Gequitynv.com         |
|   |  |  |  | \$                                       |
| agreed to in Counter Offer(s) No  | er must respond b<br>2017 .<br>Seller's Broker b   | y: 10:00 X A Unless this Coun  | M PM on (monti   | h) <u>October</u> , I by execution below |
| 10/21/2017  | Authentisics   |  |  |  |
| Date:   | Fodd Swanson, Co   |  |  |  |
|   | Buyer word   | ener   |  | Signature                                |
| Time:   | -  |  |  |  |
|   | ☐ Buyer ☐ Se   | eller  |  | Signature                                |
| The undersigned Buyer Sell accepts the Counter Offer; accepts the terms of this Counter Offer.  |  | t to the attached (  | Counter Offer No   | <sup>2</sup> 2 ; or                      |
| Date:   | Joseph Folino  | dotio<br>19/22<br>R4NP   | op verifled<br>V17 6:37PM EDT<br>-LMZL-KSGC-SFL1                           |  |
|   | Buyer Se   | eller  |  | Signature                                |
| Time:   | Nicole Folino  | datloog<br>10/22/1<br>VJVE-71  | verified<br>7 6:SSPM EDT<br>6W-NMRF-FSNG                                   | 555                                      |
|   | Buyer Se   | eller  |  | Signature                                |
| Counter Offer Rev. 5/12   |  | © 2012 (   | Greater Las Vegas Assoc  | ciation of REALTORS®                     |
| This form presented by Ivan G   | Sher   BHHS Nevada Pro   | perties   702-315-0223   | ivan@shapiroandsher.co   | InstanetFORMS                            |





# COUNTER OFFER NO. \_\_\_\_2

| ATTENTION:   | Ivan Sher   | COMPANY:_                                       | BHHS Nevada Home Services  |
|--|---|---|--|
| £  | (Agent)   |   | (Name)   |
| The Offer C  | ounter Offer made by:   | Seller Buyer                                    | Lyons Development LLC  |
|  |   |   | (Name)   |
| is hereby submitted:  Purchase price   | to be \$3,000,000.  | accepted in its presen                          | dow hawk Lane  Las Vegas, NV 89135  It form, but the following Counter Offer  e (as indicated in the |
| additional terms on  | the attached page(  | (s).  | s not complete without the additional  |
| agreed to in Counter   | Offer(s) No   | 1 .   | sidential Purchase Agreement plus terms  AM X PM on (month) October ,                                |
| (day)23  | , (year) 2017 Buyer's Seller's Bro  | . Unless this Cour                              | nter Offer is accepted by execution below date and time, this Counter Offer shall                    |
| Date:10/22/2   |   |   | o verified<br>17 12:05PM EDT<br>DVJN-MPHI-RAMO   |
|  | × Buyer   | Seller  | Signature  |
| <b>***</b>   | 11 1  | dot   | loop verified<br>22.17 12:02PM EDT<br>2-FIJU-GBMS-DNHH   |
| Time:  | Nicole Fo   | lino MIV  | E-FIUV-GBHS-DNHH   |
| Time:  |   | Seller Seller                                   | Signature  |
| The undersigned X accepts the C  | Buyer X Seller hereby: ounter Offer;  | Seller  |  |
| The undersigned X accepts the Concepts the te                                  | Buyer X Seller hereby: ounter Offer;  | Seller  | Signature  |
| The undersigned   X accepts the Co accepts the te                              | Buyer X Seller hereby: ounter Offer; erms of this Counter Offer sounter Offer.                                  | Seller subject to the attached                  | Signature  Counter Offer No; or  |
| The undersigned X accepts the Co accepts the te rejects the Co  Date: 10/22/17 | Buyer X Seller hereby: ounter Offer; erms of this Counter Offer sounter Offer.  Authonisen Jadd Swanson  BYNYER | Seller  Subject to the attached  Seller  Seller | Counter Offer No; or   |

Jost V. Swammy no

#### CURRICULUM VITAE

#### TODD VICTOR SWANSON, M.D.

June 19, 2009

WORK ADDRESS:

Desert Orthopaedic Center 2800 E. Desert Inn, Suite 100 Las Vegas, NV 89121

WORK TELEPHONE:

WEBSITES:

(702) 731-1616 www.doclv.com www.minitotalhip.com www.minitotalknee.com

DATE OF BIRTH:

BIRTHPLACE:

January 16, 1959 Sioux Falls, South Dakota

**EDUCATION:** 

Institution

Graduation/Degree

Tri-Valley High School
Lyons, South Dakota
Augustana College
Sioux Falls, South Dakota
Washington University Medical School
St. Louis, Missouri

1977 (valedictorian) 1981--B.A. Chemistry (summa cum laude) 1985--M.D. (with honors)

#### INTERNSHIP/RESIDENCY:

Orthopaedic Surgery (6/25/85 - 6/30/90)

University of California Davis Medical Center, Sacramento, CA Michael W. Chapman, M.D., Chairman (Resident of the year, Chief Year, 1989-1990)

### FELLOWSHIP SUBSPECIALTY TRAINING & OTHER CERTIFICATIONS:

Total Joint Arthroplasty of the hip, knee, shoulder, and elbow; Arthritis Surgery; and Shoulder Surgery (8/1/90 - 7/31/91)

Metropolitan-Mount Sinai Medical Center/Hennepin County Medical Center,

etropolitan-Mount Sinai Medical Center/Hennepin County Medical Center, Minneapolis, MN

Ramon B. Gustilo, M.D. and Richard F. Kyle, M.D., Directors



BHR Hip Resurfacing Certification, Smith & Nephew Orthopedics, 12/15/06, Merin, Las Vegas, NV

Advanced Hip Arthroscopy, February, 2007, Vail, CO

Anterior (AMIS) Total Hip Replacement Certification, Medacta International, 2/1/08, Paris, France

#### **ACADEMIC AFFILIATION:**

Clinical Faculty Supervisor, Tuoro University Nevada College of Osteopathic Medicine, 2009 – 2011

Director, Desert Orthopaedic Research Foundation, Las Vegas, NV, 1992 - present. Director, Desert Orthopaedic Adult Reconstruction Fellowship Program, 1999 – present. Clinical Years Mentor, University of Nevada Las Vegas Medical School, 2009 - present

#### PRACTICE SUBSPECIALTIES:

Primary and Revision Hip, Knee, & Shoulder Replacements

Minimally Invasive Techniques for Total Joint Replacements, anterior and posterior approaches

Hip Resurfacing

Hip & Knee Arthroscopy

#### LICENSURE:

Present:

Nevada: #6293

Pending:

Texas

Past:

California: #G58017

Minnesota: #34,096

#### **HOSPITAL PRIVILEGES:**

#### Present:

St. Rose Dominican Hospitals, Las Vegas, NV Spring Valley Hospital and Medical Center, Las Vegas, NV Centennial Hills Hospital, Las Vegas, NV

#### Past:

University Medical Center, Las Vegas, NV Desert Springs Hospital, Las Vegas, NV MountainView Hospital and Medical Center, Las Vegas, NV Summerlin Hospital Medical Center, Las Vegas, NV St. Rose de Lima Hospital, Henderson, NV Lake Mead Hospital, Las Vegas, NV
Valley Hospital, Las Vegas, NV
Sunrise Hospital and Medical Center, Las Vegas, NV
Hennepin County Medical Center, Minneapolis, MN
Metropolitan-Mount Sinai Medical Center, Minneapolis, MN
U.C. Davis Medical Center, Sacramento, CA
Kaiser Permanente Medical Center, Sacramento, CA

#### **BOARD CERTIFICATION:**

Board Certified, American Board of Orthopaedic Surgery, July, 1993, Re-certified June, 2002.

#### HONORS AND AWARDS:

Tri-Valley High School:

Valedictorian

National Honor Society

#### Augustana College:

Graduated Summa Cum Laude Sophomore Honors Award Merck Chemistry Achievement Award CRC Freshman Chemistry Achievement Award

#### Washington University School of Medicine:

#### Awards:

Alpha Omega Alpha Medical Honor Society
Washington University Merit Scholarship (full academic scholarship)
Robert Carter Medical School Prize
George F. Gill Prize in Anatomy
Kehar S. Chouke Prize in Anatomy
Antoinette Frances Dames Prize in Physiology and Biophysics

#### Clinical Honors:

General Surgery Orthopaedic Surgery Transplant Surgery Internal Medicine

#### U.C. Davis Medical Center (internship / residency):

Outstanding Resident of the Year, chief resident year, U.C. Davis Medical Center, Sacramento, CA, June, 1990.

American Fracture Association's 22nd Annual Henry W. Meyerding Memorial Essay Contest, 2nd place, The Pointe at Squaw Peak, Phoenix, Arizona, April, 1990.

Northern California Chapter Western Orthopaedic Association's Orthopaedic Resident Honorarium, Yosemite, California, March, 1990.

American Orthopaedic Foot and Ankle Society/Dow Corning Wright Resident Manuscript Award, Las Vegas, Nevada, February, 1989.

AOA/Zimmer Annual Manuscript Travel Award for Orthopaedic Residents, The Homestead, Hot Springs, Virginia, June, 1988.

#### COMMITTEE APPOINTMENTS/OFFICES HELD:

Board of Trustees, Desert Canyon Rehabilitation Hospital, 8/2007 - present Medical Director, Spring Valley Hospital Center for Joint Replacement and Spinal Surgery, 2006-2007

President, Desert Orthopaedic Center, 1992-2001

Board Member, Western Orthopaedic Association, 1999-2000

Chairman, Division of Orthopaedic Surgery, Sunrise Mountainview Medical Center, 1995-1997

Member, Medical Advisory Committee, Sunrise Hospital, 1995-1996

Member, Resource Management Committee, Sunrise Hospital, 1995-1996

Chairman, Affiliated Health Care Providers credentialing committee, Sunrise Hospital, 1994-1996

Physician credentialing committee, Sunrise Hospital, 1994-1996

Member, Orthopaedic Implant Panel, Columbia/HCA Healthcare Corporation, 1995-1996

Board member, Arthritis Foundation, Las Vegas chapter, 1991-1997

Ad hoc Committee for evaluation of indications for implantable pain relief devices, Sunrise Hospital, 1993-1994

Surgery Review Committee, Lake Mead Hospital Medical Center, 1993-1994

Clark County Medical Society Professional Standards Committee, 1991-1993

Clark County Medical Society Peer Review Committee, 1991-1993

Orthopaedic Surgery representative to Resident Medical Staff Committee, U.C. Davis Medical Center, Sacramento, California, 1989-1990

#### PROFESSIONAL MEDICAL ORGANIZATIONS:

Fellow, American Academy of Orthopaedic Surgeons American Medical Association Western Orthopaedic Association Nevada Medical Association Nevada Orthopaedic Society Clark County Medical Society Alpha Omega Alpha Honor Society Washington University Alumni Association

#### **BOOK CHAPTERS:**

- Todd V. Swanson, MD, "Taper Fluted Femoral Fixation," in <u>Arthritis and Arthroplasty</u>, TE Brown, senior ed., Q Cui, W Mihalko, and K Saleh, series eds., Elsevier, Philadelphia, PA.
- Todd V. Swanson, MD, "Benefits of Posterior Single-Incision Less-Invasive THA
  using the SL-Plus Cementless Stem," in <u>25 Years of Biologic Fixation--K</u>
  <u>Zweymüller</u>, Elsevier, Urban & Fischer Verlag, Heidelberg, Germany.
- Todd V. Swanson, MD, "The Uni Knee: Minimally Invasive Approach," in <u>Total Knee Arthroplasty—A Guide to get Better Performance</u>, J Bellemans, M Ries, and J Victor eds., Springer-Verlag, Heidelberg, Germany.
- Todd V. Swanson, "Fractures and Dislocations of the Talus," in <u>Operative</u> <u>Orthopaedics</u>, 2nd edition, MW Chapman and M Madison, eds., J.B. Lippincott, Philadelphia.
- Todd V. Swanson, and Ramon B. Gustilo, "Fractures of the Shaft of the Humerus," in <u>Fractures and Dislocations</u>, RB Gustilo, ed., Mosby-Year Book, Inc., Chicago.

#### **TECHNIQUE MANUALS:**

- Anterior Referencing Instrumentation Consultant, Surgical Technique Manual, Smith & Nephew Orthopaedics Genesis II Total Knee System, February, 1993.
- MIS Total Hip Replacement Surgical Technique, Smith & Nephew Orthopaedics, 2003.
- 3) MIS Total Hip Replacement Surgical Technique, Plus Orthopedics, 2005.

#### **TECHNIQUE VIDEOS:**

- Anterior Referencing Instrumentation Technique Video, live surgical demonstration, Smith & Nephew Orthopaedics Genesis Total Knee System, August, 1993.
- Mini-Incision Total Hip Replacement using the Plus Orthopaedics Zweymuller femoral component and the Plusfit acetabular component, May, 1998, June, 1999, and November, 2002.
- Smith & Nephew Orthopaedics Accuris Mini-Incision Uni-Compartmental Technique Video, June, 2002.

#### **PUBLISHED MANUSCRIPTS:**

- Todd V. Swanson, MD. Posterior Single-Incision Approach to Minimally Invasive Total Hip Arthroplasty. Int. Orthop 31(suppl 1), S1-5, 2007.
- Todd V. Swanson, MD. Early Results of 1000 Consecutive, Posterior, Single-Incision Minimally Invasive Total Hip Arthroplasties. J. Arthroplasty 7(suppl), 26-32, 2005.
- 3) Todd V. Swanson, MD. The Tapered Press Fit. A European Alternative. J. Arthroplasty 20(suppl), 63-67, 2005.
- 4) Richard A. Marder, M.D., Todd V. Swanson, M.D., Neil A Sharkey, B.S., and Paul J. Duwelius, M.D. Effects of Partial Patellectomy and Reattachment of the Patellar Tendon on Patellofemoral Contact Areas and Pressures. Journal of Bone and Joint Surgery, 75-A:35, 1993.
- Todd V. Swanson, M.D., Timothy J. Bray, M.D., and George B. Holmes, Jr., M.D. Talar Neck Fractures: A Mechanical Study of Fixation. Journal of Bone and Joint Surgery, 74-A:544, 1992.
- Todd V. Swanson, M.D., Robert M. Szabo, M.D., and Daniel D. Anderson, M.D. Open Hand Fractures: Prognosis and Classification. Journal of Hand Surgery, 16A:101, 1991.

#### **MANUSCRIPTS IN PREPARATION:**

- Influence of Component Design on Squeaking after Ceramic-on-Ceramic THA; Todd V. Swanson, MD, David J. Peterson, DO, Raghavendran Seethala, MS, Ryan Bliss, BBA, Calvin Spellmon, BS.
- Accuracy of Digital vs. Manual Templating for Total Hip Arthroplasty; Alan S. Nasar, M.D. and Todd V. Swanson, M.D.
- 3) The Capsular Noose: A New Technique to Reduce Dislocation After THA; James C. Ballard, M.D. and Todd V. Swanson, M.D.
- 4) Advantages of Cementless THA Using Minimally Invasive Surgical Technique; Todd V. Swanson, M.D. and Ramy S. Hanna, M.D.
- 5) A Total Femur Using Custom Components Linking an Ipsilateral Total Hip and Total Knee; Brent P. Hansen, D.O. and Todd V. Swanson, M.D.

#### PUBLISHED ABSTRACTS:

"The Lottes Nail vs AO External Fixation in Open Tibia Fractures"

James D. Spiegel, M.D., Timothy J. Bray, M.D., Michael W. Chapman, M.D., and Todd V. Swanson, M.D.

Orthopaedic Transactions, 12:656, 1988.

#### MULTI-CENTER RESEARCH STUDY PARTICIPATION

- The Enoxaparin Clinical Trial Group, results published in: Comp, et al. Prolonged Enoxaparin Therapy to Prevent Venous Thromboembolism After Primary Hip or Knee Replacement. J Bone Joint Surg. 83-A:336, 2001.
- A Multicenter, Multinational, Randomized, Double-Blind Comparison of Subcutaneous SR 90107A/ORG 31540 with Enoxaparin in the Prevention of Deep Vein Thrombosis and Symptomatic Pulmonary Embolism after Elective Hip Replacement or a Revision. Sanofi Research, Protocol #EFC 2442, 1999. (9 patients enrolled, 9 patients completed)
- 3. Randomized, Double-blind, Comparative Study of H 376/95 and Enoxaparin for the Prevention of Venous Thromboembolism Following Total Hip Arthroplasty. AstraZeneca, Protocol #237, 2000. (9 patients enrolled, 8 patients completed); published in Colwell, et al. Comparison of ximelagatran, an oral direct thrombin inhibitor, with enoxaparin for the prevention of venous thromboembolism following total hip replacement. A randomized, double-blind Study. J Thromb Haemostasis 1:2119, 2003.
- Optimization of Dose (Study A) and Confirmation of Safety and Efficacy (Study B) of H 376/95 (Oral Direct Thrombin Inhibitor) Compared to Warfarin (Coumadin) for the Prevention of Venous Thromboembolism Following Total Knee Arthroplasty (TKA). AstraZeneca/Ominicare Clinical Research, Inc., Protocol #290, 2001.
- 5. SL-Plus & MPF Ceramic/Ceramic Hip Clinical Study. IDE #G010120. Sponsor: Plus Orthopedics, 2003. (55 patients enrolled)
- Asterand Inflammatory Joint Disease Collection Study. Asterand, Protocol #AST-IJD-#001, 2006.

#### RESEARCH IN PROGRESS:

- Accuracy of Digital vs. Manual Templating for Total Hip Arthroplasty; Alan S. Nasar, M.D. and Todd V. Swanson, M.D.
- 2. A Total Femur Using Custom Components Linking an Ipsilateral Total Hip and Total Knee; Brent P. Hansen, D.O. and Todd V. Swanson, M.D.
- Risk Factors for peri-prosthetic distal femur fractures after TKA; Todd V. Swanson, MD. and Herminio Valenzuela, MD
- 4. Squeaking in ceramic-ceramic total hip replacements

#### **CONSULTANT POSITIONS:**

- 1. Ongoing:
  - a. Encore Medical, Austin, Texas
    - Design team, primary total knee replacement
    - ii. Design team, revision total knee replacement
    - iii. Design team, Modular CLP primary total hip stem
    - iv. Consultant, hip and knee replacements
  - b. Medacta International, Lugano, Switzerland/Camarillo, CA
    - i. Design team, primary hemispherical acetabular component
    - ii. Consultant, AMIS anterior total hip replacement technique
- Past
  - Smith & Nephew Orthopaedics, Memphis, Tennessee
    - i. Design team, MIS primary short hip stem
    - ii. Design team, MDF distally tapered, fluted revision hip stem
    - iii. Design team, Emperion modular revision total hip project
    - Design team, Genesis II modular primary and revision total knee system
    - v. Designer, Reflection revision acetabular liners
    - vi. Design team, minimally invasive instruments, and consultant for Accuris minimally invasive uni-compartmental knee replacement
    - vii. Consultant, minimally invasive total knee replacement
  - b. Plus Orthopedics, San Diego, CA
    - Designer, minimally invasive instruments, SL-Plus primary total hip system
    - Designer, minimally invasive instruments, TC-Plus primary total knee system Plus Orthopaedics, San Diego, CA
    - iii. Design team, primary acetabular component
    - iv. Consultant, Promos modular total shoulder replacement
    - v. Consultant, MIS total hip and knee replacement

#### PRESENTATIONS AT NATIONAL/INTERNATIONAL MEETINGS:

- The Lottes Nail vs AO External Fixation in Open Tibia Fractures: A Prospective, Comparative Study," Todd V. Swanson, M.D., James D. Spiegel, M.D., Timothy J. Bray, M.D., and Michael W. Chapman, M.D., poster presented at: AAOS Annual Meeting, Atlanta, Georgia, February, 1988.
- 2)"Talar Neck Fractures: A Mechanical and Histomorphometric Study of Fixation," Todd V. Swanson, M.D. and Timothy J. Bray, M.D., presented at:
  AOA Residents' Conference, Boston, Massachusetts, March, 1988.
  Western Orthopaedic Association Annual Meeting, Honolulu, Hawaii, October, 1988.
  Orthopaedic Trauma Association Annual Meeting, Dallas, Texas, October, 1988.
  American Orthopaedic Foot and Ankle Society Winter Meeting, Las Vegas, Nevada, February, 1989 (presented with clinical case reports).

- 3)"Open Hand Fractures: Prognosis and Classification," Todd V. Swanson, M.D., Robert M. Szabo, M.D., and Daniel D. Anderson, M.D., presented at: AAOS Annual Meeting, Las Vegas, Nevada, February, 1989.
- 4)"Patellofemoral Contact Pressures: Effects of Partial Patellectomy and Patellar Tendon Reattachment," Todd V. Swanson, M.D., Richard A. Marder, M.D., Neil A. Sharkey, and Paul J. Duwelius, M.D., presented at:

AOA Residents' Conference, Rochester, New York, March, 1989. International Society of the Knee Biennial Meeting, Rome, Italy, May, 1989.

- 5)"A Prospective, Comparative Study of the Lottes Nail vs External Fixation in 100 Open Tibia Fractures," Todd V. Swanson, M.D., Timothy B. Sutherland, M.D., James D. Spiegel, M.D., Timothy J. Bray, M.D., and Michael W. Chapman, M.D., presented at:
- AAOS Annual Meeting, New Orleans, Louisiana, February, 1990.

Northern California Chapter Western Orthopaedic Association Spring Meeting, Yosemite, California, March, 1990.

American Fracture Association Annual Meeting, Phoenix, Arizona, April, 1990. Summarized in Orthopaedics Today, A Medical Newspaper for Orthopaedics, October, 1990.

- 6)"Advances in Total Hip Arthroplasty," presented at:
- Tenth Annual Orthopaedic Nursing in the 90's: New Concepts and Challenges conference, Hennepin County Medical Center & Metropolitan-Mount Sinai Medical Center, Minneapolis, MN, September, 1990.
- 7)Orthopaedic case presentations presented at:
- Silver Anniversary Orthopaedic and Trauma Seminar, Hennepin County Medical Center Department of Orthopaedic Surgery, October, 1990.
- 8)"Advancements in Joint Replacement Surgery," presented at:
- Rheumatology Update for the Primary Care Physician, sponsored by The Arthritis Care Program of Metropolitan-Mount Sinai Medical Center, Minneapolis, MN, November, 1990.
- "Knee Alignment after Genesis Total Knee Arthroplasty," presented at: Genesis Total Knee Workshop, Metropolitan-Mount Sinai Medical Center, Minneapolis, MN, March, 1991.
- 10)"Knee Alignment after Total Knee Arthroplasty," presented at: Hennepin County Medical Center/Metropolitan-Mount Sinai Medical Center Orthopaedic Fellows Reunion, Minneapolis, MN, July, 1991.
- 11)"Five-Year Clinical and Radiographic Correlations Using Long-Stem (BIAS) Porous Ingrowth Femoral Stems," presented at:

- Hennepin County Medical Center/Metropolitan-Mount Sinai Medical Center Orthopaedic Fellows Reunion, Minneapolis, MN, July, 1991.
- 12) Orthopaedic case presentations presented at:
- The 26th Annual Orthopaedic and Trauma Seminar, Hennepin County Medical Center Department of Orthopaedic Surgery, Minneapolis, MN, September, 1991.
- 13) Richards Genesis Revision Total Knee Workshop and Demonstration at: The 10th Annual Arthritic Hip, Knee, and Shoulder Symposium, Snowbird, Utah, January, 1993.
- 14)"Pre-Operative Planning in TKA," presented at:
- Innovations in Knee Arthroplasty, sponsored by Richards Medical, Pasadena, CA, March, 1993.
- Current Trends in Hip and Knee Arthroplasty, sponsored by Richards Medical, Napa, CA, September, 1993, (Co-chairman of course).
- 15)"Restoration of Knee Kinematics and Function after Revision Total Knee Arthroplasty," presented at:
- Innovations in Knee Arthroplasty, sponsored by Richards Medical, Minneapolis, MN, July, 1993.
- 16) Richards Genesis anterior referencing instrumentation workshop and demonstration at:
- The 1994 AAOS Annual Meeting, New Orleans, LA, March, 1994.
- 17)"Distal Femoral Anatomical Correlations Using 2D MRI's," presented at: The Genesis II Clinicians Meeting, Scottsdale, AZ, October, 1994.
- 18)"Hip Pain: Is It Always the Hip?" presented at:
- Nevada Academy of Physician Assistants Spring CME meeting, Las Vegas, NV, February, 1995.
- 19) "Difficult Problems in Arthroplasty (Revisions, Infections, Wound Problems, Bone Loss) Symposium," panel participant at:
- Arthritis of the Hip and Knee: Practical Surgical Management for the 1990's, sponsored by Johnson & Johnson Professional, Vail, CO, March, 1995.
- 20)"Alignment in TKA," presented at:
- Current Trends in Hip and Knee Arthroplasty, sponsored by Smith & Nephew Richards, Cabo San Lucas, Mexico, June, 1995.
- 21)"Revision of Total Joints," presented at:
- Update for Orthopaedic Physician Assistants, sponsored by the AAOS, Las Vegas, NV, August, 1995.

- 22)"Management of Bone Deficiencies in THA," presented at: Clinical Results & Economic Outcomes in Total Joint Arthroplasty, sponsored by Education Design, Las Vegas, NV, November, 1995.
- 23)"Revision Instrumentation for TKA," presented at: Innovations in Total Knee Arthroplasty, sponsored by Smith & Nephew Richards, Inc., Scottsdale, Arizona, October, 1996.
- 24)"Surgical Technique for the Genesis II Total Knee System," presented at: SOFCOT Meeting, Paris, France, November, 1996.
- 25) a. "Long-term Results of Genesis I Total Knee Arthroplasty,"
  - b. "Treatment of the Infected Total Knee Arthroplasty,"
  - c. "Limitation of Motion after Total Knee Arthroplasty (Causes and Treatment),"
  - d. "Patellar Problems after Total Knee Arthroplasty (Causes and Treatment)," presented at:
- Simposio Internacional de Patologia Joelho, Hospital da Prelada, Porto, Portugal, May, 1997.
- 26) "Surgical Technique for the Genesis II Total Knee," and Genesis II sawbones workshop, presented at:
- 5th Tri-City Orthopedics Alumni and Scientific Meeting, Niigata City, Japan, June, 1997.
- 27) a. "Alternatives to Total Hip Arthroplasty,"
  - b. "Implant Selection for Revision Total Knee Arthroplasty," presented at:
- Concepts in Total Joint Arthroplasty, sponsored by Smith & Nephew Orthopaedics, Tucson, Arizona, October, 1997.
- 28) "Management of Extreme Varus and Valgus in Total Knee Arthroplasty," and Genesis I sawbones workshop, presented at:
- 2nd Biennial Indian Arthroplasty Association Meeting, Bombay, India, November, 1997.
- 29) "Early Advantages of Cementless Fixation in THA," presented at:
- Plus Orthopedics 4th International Zweymuller Symposium, Breckenridge Hilton Resort, Breckenridge, Colorado, January, 1998.
- 30) Genesis II sawbones workshop, Smith & Nephew Orthopaedics at:
- AAOS Annual Meeting, New Orleans, Louisiana, March, 1998.
- 31) The 5th International Zweymuller Symposium, The Mirage Hotel, Las Vegas, Nevada, May, 1998:
  - a. "Early Advantages of Cementless Fixation in THA"
  - Performed 2 live total hip replacement surgeries at Mountainview Hospital using the Zweymuller stem and Plusfit cup, microwave broadcast to Mirage Hotel conference room.

- 32) Performed Genesis I TKA at People's Hospital of Beijing Medical University, and presented 4 talks to Beijing surgeons:
  - a. "Total Knee Arthroplasty: What's In and What's Out"
  - b. "Long term outcome of TKA"
  - c. "Complications of TKA"
  - d. "Revision TKA"

People's Hospital of B.M.U., Beijing, China, June, 1998.

- 33) Plus national sales meeting, San Diego, California, July, 1998.
  - a. "Anatomy of the Hip"
  - b. "Indications for Total Hip Arthroplasty"
  - c. "Total Hip Arthroplasty: The Procedure"
- 34) Southwestern Total Joint Symposium, sponsored by Smith & Nephew Orthopaedics, Scottsdale Princess, Scottsdale, Arizona, October, 1998:
  - a. Moderator: Knee Presentations-Primary
  - b. "Restoration of Knee Kinematics and Function after Revision TKR"
  - c. "Treatment of the Infected TKR"
  - d. "Revision Genesis II workshop
  - e. "Point-Counterpoint: Fixed bearing TKR (vs Mobile bearing TKR by Seth Greenwald)
- The 6th International Zweymuller Symposium, The Claremont Resort, Berkeley, California, October, 1998
  - a. "Early Advantages of Cementless Fixation in THA"
  - "Commentator, live surgery tape, Zweymuller total hip and Plusfit acetabular component"
- 36) The 7th International Zweymuller Symposium, The Great Divide Lodge, Breckenridge, Colorado, January, 1999: Commentator, live surgery tape, Zweymuller total hip and Plusfit acetabular component
- 37) The II Simposio Internacional, Total Joint Arthroplasty, Belo Horizonte, Brazil, April, 1999:
  - a. "Pre-operative Planning and Prosthesis Selection in Total Knee Arthroplasty"
  - b. "Treatment of Limitation of Motion after Total Knee Arthroplasty"
  - c. "Complications of Total Knee Arthroplasty"
  - d. "Management of Extreme Varus and Valgus in Total Knee Arthroplasty"
  - e. "Genesis I total knee arthroplasty workshop"
- 38) The 8<sup>th</sup> International Zweymuller Symposium, Hotel del Coronado, Coronado, California, June, 1999:
  - a. "Management of Bone Deficiencies in Total Hip Arthroplasty"
  - b. "Early Advantages of Cementless Fixation in THA"
  - c. "Infection after Total Hip Arthroplasty"

- d. Commentator, live surgery tape, Zweymuller total hip and Plusfit acetabular component
- Sales Training Seminar to Smith & Nephew Genesis II Sales Representatives, Memphis, Tennessee, September, 1999.
  - a. "Genesis II Overview: Selling Points"
  - b. Cadaver surgery demonstrating the Genesis II primary and revision instrumentation and techniques
- Presentation to Schulthess Orthopaedic Clinic, Zurich, Switzerland, September,
   1999
  - "Use of the Mini-Incision Technique with the Cementless Zweymuller Total Hip prosthesis. A Prospective Comparative Study of 229 Cases."
- 41) Presentations to Genesis II Users Group, Sanctuary Cove, Australia, October, 1999
  - a. "How I do My Primary Total Knee"
  - b. "Hybrid Total Knee Arthroplasty"
  - c. "Converting to Posterior Stabilized Total Knee Arthroplasty"
  - e. "Tibial Inserts—Cruciate Retaining vs Dished vs Posterior Stabilized"
  - f. "How I do my Revision Total Knee"
  - g. "Tips and Tricks for Total Knee Arthroplasty"
- 42) The 9<sup>th</sup> International Zweymuller Symposium, Rancho Bernardo, California, November, 1999.
  - a. "Management of Femoral Bone Deficiencies in Total Hip Arthroplasty"
  - b. "Early Advantages of Cementless Fixation in THA"
  - c. "Infection after Total Hip Arthroplasty"
  - d. Commentator, live surgery tape, Zweymuller total hip and Plusfit acetabular component
- 43) Smith & Nephew Sales Meeting, Desert Inn Hotel, Las Vegas, Nevada, February, 2000.
  - a. "Genesis II Selling Points"
  - b. "Cofield-2, Neer-3 Total Shoulder Arthroplasty"
- 44) 6<sup>th</sup> National Orthopedic Congress, Beijing International Convention Center, Beijing, China, April, 2000
  - a. "Cementless vs. Cemented THA; Early Advantages of Cementless Fixation"
- 45) 10<sup>th</sup> International Zweymuller Symposium, Four Seasons Hotel, Las Vegas, Nevada, May, 2000
  - Co-moderator of course
  - "Cementless vs. Cemented THA; Early Advantages of Cementless Fixation"
  - c. "A New Operating Technique using the Mini-Incision Approach with the Zweymuller Total Hip System"
  - d. "Infection after THA"

- Hips and Knees in Cabos, Westin Regina Resort, Cabo San Lucas, Mexico, June, 2000.
  - a. "Management of Posterior Instability in TKA"
  - b. "When and How to use CCK in TKA"
  - c. "Fixation with Cement in TKA"
  - d. Moderator: Revision TKA
- 47) Plus national sales meeting, San Diego, California, September, 2000
  - a. SL, SLR, and Plus Modular case presentations
  - b. X-ray reading
- 48) 11<sup>th</sup> International Zweymuller Symposium, Boston Harbor Hotel, Boston, Massachusetts, October, 2000
  - Co-moderator of course
  - "Cementless vs. Cemented THA; Early Advantages of Cementless Fixation"
  - c. "A New Operating Technique using the Mini-Incision Approach with the Zweymuller Total Hip System"
  - d. "Infection after THA"
- 49) Combined Meeting, 20<sup>th</sup> Annual ASEAN Orthopaedic Association, 22<sup>nd</sup> Annual Meeting of the Royal College of Orthopaedic Surgeons of Thailand in association with SICOT, Royal Cliff Beach Resort, Pattaya, Thailand, October, 2000
  - a. "Infection after Total Hip Arthroplasty"
  - b. "Total Knee Arthroplasty: What's In and What's Out"
  - c. "Revision Total Hip Replacement: Management of Femoral Bone Deficiency"
  - d. "Management of Extreme Varus and Valgus in Total Knee Arthroplasty"
  - e. Mini-Debate: "Fixed Bearing for Total Knee Replacement," in the affirmative
- 50) Smith & Nephew Sales Meeting, Memphis, TN, November, 2000.
  - a. "Cofield-2, Neer-3 Total Shoulder Arthroplasty"
  - b. Genesis II Cadaver Lab
  - c. "Revision TKA Using the Genesis II Total Knee System"
  - d. X-ray Case Review: Difficult Total Knee Cases
- 51) 12<sup>th</sup> International Zweymuller Symposium, Ritz-Carlton Hotel, Laguna Niguel, California, April, 2001
  - a. Co-moderator of course
  - b. "A New Operating Technique using the Mini-Incision Approach with the Zweymuller Total Hip System"
  - c. "Infection after THA"
  - d. X-ray Case Review: Difficult Total Hip Cases
- 52) Western Orthopaedic Association 65<sup>th</sup> Annual Meeting, San Francisco, California, September, 2001.
  - a. "Advantages of Cementless THA using Mini-Incision Surgical Technique"
- 53) Shoulder, Hips & Knees in the Keys, Key Largo, Florida, November, 2001
  - a. "Minimally Invasive UKA: A Step Forward or Backward"
  - b. "Patient Selection and Risk Reduction in TKA"

- c. Minimally Invasive UKA workshop
- 54) North American Hip and Knee Symposium, Beaver Creek, Colorado, January, 2002.
  - a. Mini-incision Hip Replacement panel discussion
  - b. Genesis II revision TKA workshop
- 55) Hips and Knees in Cabo, Westin Hotel, Cabo San Lucas, Mexico, June, 2002.
  - a. MIS Uni's—Myth or Magic
  - b. Stems, Augments, & Bone Grafts—When & How
  - c. Moderator, Total Knee Arthroplasty session
  - d. Workshop, S&N Accuris unicompartmental knee replacement
- 56) 1<sup>st</sup> MIS THA International Meeting, Sheraton Frankfurt Hotel, Frankfurt, Germany, June,2002
  - Clinical Results, Risks and Benefits of MIS THA
- 57) Tapered Primary Total Hip presentation, Smith & Nephew Orthopaedics, Memphis, TN, July, 2002.
- 58) Minimally Invasive Total Knee Arthroplasty concepts and design meeting, Smith & Nephew Orthopaedics, Memphis, TN, August, 2002.
- 59) MIS Unicompartmental Knee Arthroplasty, presented to residents and attending physicians, UCSF, San Francisco, CA, August, 2002.
- 60) New Developments in Arthroplasty, Naples, FL, November, 2002.
  - a. Mini-incision Total Hip Arthroplasty results
  - b. Accuris Minimally Invasive UKA workshop
- 61) Plus Orthopaedics 13<sup>th</sup> Annual Symposium, Four Seasons Hotel, Santa Barbara, CA, November, 2002.
  - a. Minimally Invasive Surgery in THA
  - b. Infection after THA
  - c. Practical Experience with the SL-Plus Lateralized Offset Hip Prosthesis
- 62) 2<sup>nd</sup> MIS THA International Meeting, Marriott Courtyard, Lake Buena Vista, FL, December, 2002.
  - a. Minimally Invasive THA Technique and Advantages
- 63) North American Hip and Knee Symposium, Beaver Creek, CO, January, 2003.
  - a. Video presentation of MIS THA technique
  - b. Panel discussion: MIS THA
- 64) 70<sup>th</sup> Annual Meeting, American Academy of Orthopaedic Surgeons, New Orleans, LA, February, 2003.
  - Poster Presentation: Advantages of Cementless THA using Mini-Incision Surgical Technique
  - b. Workshop: Advantages of Cementless THA using Mini-Incision Surgical Technique, Smith & Nephew Orthopaedics
  - Design meeting: MIS TKA instrumentation, Smith & Nephew Orthopaedics
- 65) Plus Orthopaedics National Sales Meeting, San Diego, CA, February, 2003.
  - a. Alternative Bearing Surfaces—Ceramic for THA
- 66) 3<sup>rd</sup> International Meeting for Minimally Invasive Surgery (MIS) in THR, Zurich, Switzerland, March, 2003.
  - a. Postero-Lateral Approach for MIS THA

- 67) Winter Orthopaedic Conference, Klosters, Switzerland, March, 2003.
  - Minimally Invasive Total Hip Replacement
- 68) Minimally Invasive THA workshop and Cadaver Demonstration, Smith & Nephew Orthopaedics, Memphis, TN, April, 2003.
- Minimally Invasive TKA design meeting, Smith & Nephew Orthopaedics, Memphis, TN, April, 2003.
- Accuris Minimally Invasive UKA presentation, workshop, and cadaver instruction, Denver, CO, May, 2003.
- 71) Minimally Invasive THA workshop and Cadaver Demonstration, Smith & Nephew Orthopaedics, Memphis, TN, May, 2003.
- Multiple presentations and surgical demonstrations, Plus Orthopaedics, Japan, July, 2003.
  - a. MIS THA surgical demonstration with Professor Higuchi, Fukuoka, Japan, 7/4/03
  - b. SL Plus THA symposium, Miyazaki, Japan, 7/5/03
  - c. Rounds and presentation, MIS THA, Nagoya-City University Medical School, Nagoya, Japan, July 7, 2003.
- 73) Hip and Knee Symposium, Smith & Nephew Orthopaedics, Iliamna, Alaska, July, 2003.
  - a. THA using MIS Posterior Approach
  - MIS Accuris Unicompartmental Knee Arthroplasty
- 74) Multiple presentations and workshops, Smith & Nephew Orthopaedics, Australia, August, 2003.
  - a. MIS THA presentation and cadaver workshop, Sydney, Australia, August 2, 2003.
  - Observe MIS THA surgical case, Adelaide, Australia, August 4, 2003.
  - c. Dinner presentations, Adelaide, Australia, August 4, 2003.
    - THA using MIS Posterior Approach vs. Standard Posterior Approach
    - Rationale for New Bearing Couple in THA: Oxinium on Crosslinked Poly
- 75) Emerging Technologies in Joint Reconstruction, Smith & Nephew Orthopaedics, Portage Pointe Inn, Onekama, Michigan, September, 2003.
  - a. MIS THA—Posterior Approach
  - b. MIS TKA—Early Results
  - Use of Constrained Liners in THA
- 76) Advancements in Hip and Knee Arthroplasty, Oktoberfest in Cincinnati, Smith & Nephew Orthopaedics, Cincinnati, OH, September, 2003.
  - a. MIS THA—Hip or Hype? (Posterior Approach)
  - b. Unicompartmental Knee Arthroplasty—Back to the Future
- 77) Plus Orthopaedics 14<sup>th</sup> Annual Symposium, Torrey Pines Lodge, San Diego, CA, October, 2003.
  - a. THA using MIS Posterior Approach vs. Standard Posterior Approach
- 78) Western Orthopaedic Association 67<sup>th</sup> Annual Meeting, San Antonio, TX, October, 2003
  - a. Total Joint Replacement roundtable symposium including

- i. Indications for total joint replacement
- ii. Surgical options
- iii. Pre and Post-operative management
- iv. New advances
- MIS THA presentation and cadaver workshop, Smith & Nephew Orthopaedics, the MERI, Memphis, TN, October, 2003.
- 80) AAOS 2<sup>nd</sup> Annual Impact of Emerging Technology on Hip and Knee Arthritis and Reconstruction, Pointe Hilton Squaw Peak Resort, Phoenix AZ, November, 2003.
  - a. Mini-Incision Total Hip Replacement
- Plus Orthopedics Onsite Scientific Program, 17<sup>th</sup> Annual AAOS, San Francisco, CA, March, 2004.
  - MIS with the SL-Plus Lateral System
- 82) The 19<sup>th</sup> Annual Combined Orthopaedic Spring Symposium, presented by the Hawaii Orthopaedic Association, Honolulu, HI, April, 2004.
  - Surgical Treatment Options for the Arthritic Knee—roundtable discussion at Queen's Medical Center
  - b. Minimally Invasive UKA—Back to the Future
  - c. Minimally Invasive Surgery—Total Hip Arthroplasty—Hip or Hype?
- 83) AAOS Minimally Invasive Hip Replacement course, Hyatt Rosemont, Rosemont, IL, May, 2004. Assisted with cadaver labs and workshops.
- Current Concepts in Total Joint Replacement, Rio Hotel, Las Vegas, NV, May, 2004.
  - a. The Tapered Press Fit: A European Alternative
  - b. The Two Incision Mini-Hip: More Hype than Help—In the affirmative (debate)
  - c. Avoiding Dislocations: The Capsular Noose
- 85) 6<sup>th</sup> Domestic Meeting of the European Hip Society including the 2004 EFFORT Update Meeting, Innsbruck, Austria, June, 2004.
  - a. Reduced Dislocation Risk Using the Lateralized Offset SL-Plus Total Hip Femoral Component—poster presentation.
- 86) MIS TKA and MIS UKA presentations and cadaver workshops, Smith & Nephew Orthopaedics at Advanced Research International, Phoenix, AZ, August, 2004.
  - a. MIS TKA presentation
  - b. MIS UKA cadaver demonstration
- 87) AAOS Minimally Invasive Hip Replacement course, Hyatt Rosemont Hotel, Rosemont, IL, September, 2004.
  - a. Debate—Minimally Invasive Hip Replacement is a Misnomer? I Agree
  - b. Assisted with cadaver labs and workshops
- 88) 25 Years of Biologic Fixation—The Gold Standard, Wiener Konzerthaus, Vienna, Austria, October, 2004.
  - a. Minimally Invasive Surgery M.I.S.—podium presentation
- 89) Less and Minimally Invasive Surgery for Joint Arthroplasty: Fact & Fiction, MIS meets CAOS Symposium and Surgical Academy, Naples Beach Hotel & Golf Center, Naples, Florida, October, 2004.
  - a. Is L/MIS THR Here to Stay? In the Affirmative—podium presentation

- b. The Capsular Noose: A New Surgical Technique to Reduce Dislocations—podium presentation
- 90) 14<sup>th</sup> Annual Meeting of the AAHKS, Gaylord Texan Resort, Dallas, TX, November, 2004.
  - Early Results of 1,000 Consecutive, Posterior, Single-incision MIS THA—podium presentation
  - The Capsular Noose: A New Technique to Reduce Dislocation after Posterior, Single-Incision MIS THA—poster presentation
- 91) 72<sup>nd</sup> Annual Meeting of the American Academy of Orthopaedic Surgeons, Washington, DC, February, 2005.
  - a. Benefits of Lateralized Offset in THA (poster presentation)
  - b. Experience with 1,000 MIS THA's (booth presentation, Plus Orthopedics)
- 92) Surgeon presentations at Woodlands Park Hotel, London, England; St. David's Hotel, Cardiff, Wales, for Plus Orthopaedics UK, October, 2005:
  - a. Early Results of 1,000 Consecutive, Posterior, Single-incision MIS THR's
  - b. Minimally Invasive UKA
  - Minimally Invasive TKA
- 93) Symposium MIS/NAV in THR, The Plus Institute, KKL (Kultur und Kongress Zentrum Luzern), Lucerne, Switzerland, September, 2005
  - a. Early Results of 1,000 Consecutive, Posterior, Single-incision MIS THR's
  - b. Co-chaired meeting, day 1, in Phil Noble's absence
- 94) Surgeon Presentations at Brisbane Private Hospital, Brisbane, Australia; Fenton's Restaurant, Brisbane, Australia, for Smith & Nephew Orthopaedics, Australia, October, 2005.
  - a. Minimally Invasive UKA: Why it works, When it doesn't
  - b. Minimally Invasive TKA: A step in the right direction?
- 95) Australian knee Society Annual Combined Meeting, Bunker Bay Quay West Resort, near Perth, Australia, October, 2005.
  - a. Minimally Invasive UKA: why it works, when it doesn't
  - b. Oxinium, the science behind the ceramic
  - c. Minimally invasive Total Knee Replacement—a step in the right direction?
  - d. Keys to a successful revision Total Knee Replacement
- 96) Promos Total Shoulder Symposium, MERIN, Las Vegas, NV, October, 2005.
  - a. Assist Dr. Francis Mendoza with cadaver workshop for symposium
- 97) North American Hip & Knee Symposium, Smith & Nephew Orthopaedics, Copper Mountain, CO, February, 2006
  - a. Square Peg in a Round Hole: The Platform System
  - b. Platform total hip arthroplasty technique workshop
- 98) Promos Total Shoulder Symposium, ScienceCare Bioskills, Phoenix, AZ, February, 2006.
  - a. Assist Dr. Francis Mendoza with cadaver workshop for symposium
- 99) 73<sup>nd</sup> Annual Meeting of the American Academy of Orthopaedic Surgeons, Plus Orthopedics Booth Presentations, Chicago, IL, March, 2006.
  - a. Why Tapered and Why Lateralized Femoral Stems
  - b. Clinical Pitfalls: What did I Learn with MIS?

- 100) Reconstruction of the Diseased Hip, Plus Orthopedics, Rathaus/Town Hall, Bern, Switzerland, May, 2006
  - a. Posterior Approach MIS THA
- 101) Plus Orthopedics Advanced Sales Training, San Diego, CA, June, 2006.
  - a. Competitive Hip Stems
  - b. SLR-Plus Revision Stem
  - c. MIS SL-Plus Surgical Technique
  - d. SL-Plus Tapered Stem Philosophy
  - e. Basics of Templating for THA
- 102) MIS Meets CAOS, Hyatt Regency Scottsdale at Gainey Ranch, Scottsdale, AZ, October, 2006.
  - Lessons Learned after 2000 MIS THA's
- Encore Product Training Workshop and Cadaver Lab, MERIN, Las Vegas, NV
   a. CLP Tapered Stem Philosophy Presentation
  - b. Cadaver surgery demonstration, CLP tapered stem
- 104) Orthopedic Education Summit, Park City, Utah, January, 2008
  - a. Zweymüller Stems: Design, Philosophy and Results
  - b. MIS THA: Its Role in 2008
- 105) V Convegno Internazionale, Le Revisioni, Rome, Italy, May, 2008
  - a. Revision of unstable hip: how to restore reliable bio-mechanics?
  - b. Panel participant, Socratic Debate, Revision Total Hip Arthroplasty
- 106) Orthopedic Educational Summit, DJO Surgical, Snowbird, UT, January, 2009.
  - a. Use of the Woodpecker Pneumatic Broach with the CLP Hip Stem
  - b. Ceramic-on-Ceramic THA: The Good with the Bad
  - c. Moderator, Hip Session II and panel discussion
- 107) 76<sup>th</sup> Annual Meeting of the American Academy of Orthopaedic Surgeons, Las Vegas, NV, February, 2009
  - Poster Presentation: The Effect of Prosthetic Design on Squeaking after Total Hip Arthroplasty

#### PRESENTATIONS AT LOCAL MEETINGS:

- "Extra-Abdominal Fibromatosis: Current Knowledge," presented at Grand Rounds to U.C. Davis Medical Center Faculty, Staff, and Residents, Sacramento, CA, October, 1986.
- Osteosarcoma: State of the Art," presented at Grand Rounds to U.C. Davis Medical Center orthopaedic Faculty, Staff, and Residents, Sacramento, CA, August, 1987.
- 3)"Orthopaedic Materials," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, August, 1990.

- 4)"Thromboembolic Disease," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, September, 1990.
- 5)"Current Concepts in Total Joint Arthroplasty," booth staffed and questions answered for Arthritis Fair sponsored by The Arthritis Care Program of Metropolitan-Mount Sinai Medical Center, Minneapolis, MN, September, 1990.
- 6)"Rheumatoid Arthritis," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, September, 1990.
- 7)"Advancements in Total Joint Surgery," presented to general public, Wayzata, MN, sponsored by The Arthritis Care Program of Metropolitan-Mount Sinai Medical Center, Minneapolis, MN, October, 1990.
- 8)"Osteoarthritis," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, October, 1990.
- 9)"Juvenile Rheumatoid Arthritis," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, November, 1990.
- 10)"The Seronegative Spondyloarthropathies," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, January, 1991.
- 11)"Infection after Total Hip Arthroplasty," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, February, 1991.
- 12)"Knee Alignment after Total Knee Arthroplasty," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, March, 1991.
- 13)"Knee Alignment after Total Knee Arthroplasty," presented at Pre-Genesis Total Knee System Review to French orthopaedists, Metropolitan-Mount Sinai Medical Center, Minneapolis, MN, March 1991.
- 14)"Management of Bone Deficiency in Total Hip Arthroplasty," presented at Total Joint Conference to Hennepin County Medical Center and Metropolitan-Mount Sinai Medical Center Orthopaedic Faculty, Staff, and Residents, Minneapolis, MN, July, 1991.

- 15)"Joint Replacement Surgery: a Solution to Disabling Arthritis," presented to residents of Sierra Vista Retirement Center, Las Vegas, NV, August, 1991.
- 16)"Joint Replacement Surgery: a Solution to Disabling Arthritis," presented to Kiwanas Club Senior Citizens, Alula Center, Las Vegas, NV, October, 1991.
- 17)"Joint Replacement Surgery: a Solution to Disabling Arthritis," presented to PSI, Oasis Chapter, Cattleman's Restaurant, Las Vegas, NV, March, 1992.
- 18)"Recent Advances in Joint Replacement Surgery," presented to senior citizens at Humana Sunrise, Las Vegas, NV, March, 1992.
- 19)"Joint Replacement Surgery: a Solution to Disabling Arthritis," presented to Silver Crest Nursing Care Center, Las Vegas, NV, March, 1992.
- 20)"Recent Advances in Joint Replacement Surgery," presented to Arthritis Support Group, sponsored by the Arthritis Foundation, Las Vegas, NV, March, 1992.
- 21)"Revision Total Joint Arthroplasty," presented to the Nevada Physical Therapy Association, Las Vegas, NV, September, 1992.
- 22)"Rehabilitation after Spinal Surgery," presented to physical therapists, Humana Sunrise Hospital, Las Vegas, NV, December, 1992.
- 23)"Recent Advances in Arthritis Surgery," presented at Humana Sunrise Hospital, Las Vegas, NV, February, 1994.
- 24)"Thromboembolic Disease," presented to C-300 nurses, Humana Sunrise Hospital, Las Vegas, NV, May, 1994.
- 25)"Care of the Post-Op Hip Surgery Patient," presented to C-300 nurses, Humana Sunrise Hospital, Las Vegas, NV, July, 1994.
- 26)"Restoration of Knee Function after Total Knee Arthroplasty," presented to the Nevada Orthopaedic Society in conjunction with the Western Orthopaedic Association, Ruth's Chris Steak House, Las Vegas, NV, August, 1994.
- 27)"Care of the Post-Op Knee Surgery Patient," presented to C-300 nurses, Humana Sunrise Hospital, Las Vegas, NV, October, 1994.
- 28)"Recent Advances in Arthritis Surgery," presented to the Sun City Seniors Group, Las Vegas, NV, January, 1995.
- 29)"Recent Advances in Arthritis Surgery," presented to the Montara Meadows Seniors Group, Las Vegas, NV, August, 1995.

- 30)"Hip Pain: Is it Always the Hip?" presented to the Sunrise Mountainview Senior Friends, Las Vegas, NV, September, 1995.
- 31)"Feel Like the Golden Years are Passing you By? (What you can Expect after your Hip or Knee Replacement Surgery)," presented for Spotlight on Physicians conference, Sunrise Senior Friends, Las Vegas, NV, October, 1995.
- 32)"Knee Pain--Causes and Treatment," presented to the Sunrise Mountainview Senior Friends, Las Vegas, NV, October, 1995.
- 33)"From Model-T to Mercedes-Benz--Advances in Arthritis Surgery," presented to the Sunrise Mountainview Senior Friends, Las Vegas, NV, November, 1995.
- 34)"Treatment of Hip and Knee Arthritis—Taking the Conservative Road," presented to physicians, nurses, and other health professionals, Sunrise Hospital and Medical Center, Las Vegas, NV, February, 1996.
- 35)"Care of the Post-op Total Hip and Total Knee Surgery Patient," presented to nurses and physical therapists, Mountainview Hospital, Las Vegas, NV, March, 1998.
- 36) Demonstrated Genesis II surgical technique to 15 German Surgeons, Desert Springs Hospital, October, 1998.
- 37) Presentations to athletic trainers and physical therapists at UNLV, Las Vegas, November, 1998:
  - a. "Anatomy of the Hip"
  - b. "Indications for THA"
  - c. "THA: The Procedure"
- 38) Presentations to the Nevada Physical Therapy Association, UNLV, Las Vegas, January, 1999:
  - a. "Anatomy of the Hip"
  - b. "Indications for THA"
  - c. "THA: The Procedure"
- 39) "Post-op Care of the THA/TKA Patient," presented to nurses and physical therapists, Mountainview Hospital, Las Vegas, NV, June, 1999.
- 40) Degenerative Joint Disease Symposium, Sunrise Hospital Auditorium, Las Vegas, Nevada, August, 2000.
  - a. "THA: Options and Post-op Concerns"
  - b. "TKA: Options and Post-op Concerns"
- 41) Hip and Knee Golf Tournament, Desert Willow Golf Course, Sun City MacDonald Ranch, Henderson, Nevada, March 2001
  - a. "What's New in Hip and Knee Replacement"
  - b. Radio Talk Show, KNEWS 970 AM, John David Wells, March 20, 2001

- Radio Talk Show, KDWN 720 AM, Les Kincaid at the Mandalay Bay, March 10, 2001
- 42) In-service presented to nurses and staff at Spring Valley Hospital, Las Vegas, NV, December 5, 2003
  - a. Care of the Post-Op TKA and THA Patient

#### **TELEVISION TALK SHOWS:**

"Advances in Total Joints," on Health Images, KTV Las Vegas (Cable 42), Drs. Luke Cesaretti and William Boren, hosts, January 22, 1998.

#### RADIO TALK SHOWS:

- "Bone Cancer," on Life Line, KDWN, Las Vegas, Gail Allen, Host, November 21, 1993.
- 2. "Mini Incision for Total Hip Arthroplasty," on Building Nevada, KVVC, Stephen Brock, host, November 16, 1997.

#### ELECTRONICALLY SERVED 12/23/2019 11:02 AM

| 1   | RSPN   |
|-----|--|
| 2   | CHRISTOPHER M. YOUNG, ESQ.   |
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| 13  | Attorneys for Todd Swanson, et al.   |
| 14  | Tittorneys for road Swanson, et al.  |
| 15  | DISTRICT COURT   |
|     | CLARK COUNTY, NEVADA   |
| 16  |  |
| 17  | JOSEPH FOLINO, an individual and NICOLE CASE NO.: A-18-782494-C DEPT. NO.:XXIV   |
| 18  | Plaintiff(s),  |
| 19  | v.   |
| 20  | TODD SWANSON, an individual; TODD  |
| 21  | SWANSON, Trustee of the SHIRAZ TRUST;  |
| 22  | SHIRAZ TRUST, a Trust of unknown origin;<br>LYON DEVELOPMENT, LLC, a Nevada  |
|     | limited liability company; DOES I through X; and ROES I through X,   |
| 23  | Supplication of the Control of the C |
| 24  | Defendant(s).  |
| 25  |  |
| 26  | DEFENDANT TODD SWANSON'S RESPONSES TO PLAINTIFF'S FIRST REQUEST  |
| 27  | FOR INTERROGATORIES  |
| 28  | TO: JOSEPH FOLINO, and NICOLE FOLINO, Plaintiff, and   |
|     | 1 of 13  |
| - 1 | 1 of 13  |

Case Number: A-18-782494-C

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RUSTY GRAF, ESQ., their Attorney. Defendant TODD SWANSON by and through its attorney CHRISTOPHER M. YOUNG, ESQ., of the law firm of CHRISTOPHER M. YOUNG, PC, hereby responds to Plaintiff's First Set of Interrogatories pursuant to N.R.C.P. 33, as follows: Please state your name, date of birth, social security number, and any aliases or other names you may have been known by. Todd V. Swanson. DOB January 16, 1959. SSN: XXX-XX-7133. No aliases. Please state the name, address, and contact information of each person known to you, your attorneys, agents or any investigators employed by you or your attorneys or by anyone acting on your behalf, having knowledge of facts relevant to the subject matter or this action. (a) The subject matter allegedly known by each such person regarding this matter; (b) Whether any such person had provided to anyone a written, recorded transcribed or other graphic statement or representation concerning the subject matter of this action; and (c) Whether you intend to call the individual as a witness at the time of the trial. 1. Nicole "Nicky" Whitfield. 2435 Marlene Way, Henderson, NV 89014. 702-816-1405. Nicky was employed by myself and worked out of my house beginning 8 days after the 2/16/2017 water leak and at the time of the sale to the Folinos and the 11/7/2017 water leak. She was also present for the repair and remediation of both leaks. 24 Nicky prepared a timeline of events for the 11/7/2017 water leak, a summary of her 25 communications with Buyers and their agent at the time of the sale of the subject property, and 26 an affidavit for the mediation.

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#### **RESPONSE NO. 3:**

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2 Lyons Development, LLC (100%): 9/12/2008 – 7/29/2013; 2/3/2014 – 11/17/2017.

Todd Swanson (100%,): 7/29/2013 - 2/3/2014.

#### **INTERROGATORY NO. 4:**

Please identify the first time you became aware of any form of water leak, water loss or water damage at the Property, how you became aware of the water leak, water loss, or water damage, and what actions were taken as a result.

#### **RESPONSE NO. 4:**

8/2/2015

The carpet in the master closet was damp and later a rush of water came from under the master bathroom sink.

I turned off the water main and called Rakeman Plumbing who sent a plumber out that day to repair the leaks. I also immediately vacuumed and dried all visibly wet flooring areas.

Rakeman Plumbing also sent out a water remediation specialist to thoroughly dry out all the wet areas, and later other trades to repair or replace carpet, baseboards, cabinets, and drywall.

I also had Rakeman Plumbing check all visible plumbing fittings throughout the house to be sure they were securely attached.

#### **INTERROGATORY NO. 5:**

Please identify each and every incident of water leakage, water loss or water damage, which occurred at the Property from January 1, 2015 to the date the Property was transferred to Plaintiffs, how you became aware of each water leak, water loss, or drywall damage from January 1, 2015 to the date the Property was transferred to Plaintiff, and what actions were taken as a result of each incident.

#### **RESPONSE NO. 5:**

A. Hot water recirculating pump leak near master closet and connector fitting slippage under master bathroom sink

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| 1  | 8/2/2015   |
|----|--|
| 2  | The carpet in the master closet was damp and later a rush of water came from under the         |
| 3  | master bathroom sink.  |
| 4  | I turned off the water main and called Rakeman Plumbing who sent a plumber out that day        |
| 5  | to repair the leaks. I also immediately vacuumed and dried all visibly wet flooring areas.     |
| 6  |  |
| 7  | B. Hot water recirculating pump leak in single garage  |
| 8  | 8/3/2015   |
| 9  | I found water in the single garage.  |
| 10 | I called Rakeman Plumbing who sent a plumber out that day to repair the leaks. Then water      |
| 11 | remediation specialist to dry out the garage, and later drywall and media/low voltage trades   |
| 12 | to finalize repairs.   |
| 13 |  |
| 14 | C. Leaking water pipe near master closet   |
| 15 | 2/16/2017.   |
| 16 | The carpet in the master closet was damp.  |
| 17 | I called Rakeman Plumbing who came out that day to repair the leak. They then sent out a       |
| 18 | water remediation specialist to dry out all areas. Then carpet, baseboard, drywall, and        |
| 19 | cabinet trades to make repairs.  |
| 20 |  |
| 21 | D. Leaking water pipe near master closet   |
| 22 | 11/7/2017  |
| 23 | My assistant, Nicole Whitfield, found moisture in the master closet when she came to work      |
| 24 | at my house.   |
| 25 | Nicky called Rakeman Plumbing who came out that day to repair the leak. Then they sent         |
| 26 | out a water remediation specialist to dry out all areas. A mold testing company was called     |
| 27 | after the closet was dry, then carpet, baseboard, cabinet, and drywall trades to make repairs. |
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I immediately called Ivan Sher who notified the Buyers' agent of the water leak, and I made a written disclosure of the leak to the Buyer.

#### **INTERROGATORY NO. 6:**

Please identify the individuals or entities who were responsible for the initial construction of the Property, the scope of their responsibilities, and the identity of the original plumbing subcontractor.

## RESPONSE NO. 6:

Blue Heron designed and built the house. Rakeman Plumbing did all the plumbing.

#### **INTERROGATORY NO. 7:**

Please identify all persons and/or businesses who have performed any type of repairs, remodeling, repiping, or construction involving the plumbing system at the Property or who have inspected the Property for any reason from January 1, 2015 to the date the Property was transferred to Plaintiffs.

#### **RESPONSE NO. 7:**

- A. Rakeman Plumbing: 4075 Lossee Rd., N. Las Vegas, NV 89030. 702-642-8553
- B. Water remediation company—I don't know the name of the company; they were hired by Rakeman Plumbing.
- C. Infinity Environmental Services, LLC, 9594 Newton Grove Ct., Las Vegas, NV 89148.
- 9 702-736-7437: Mold testing.
  - D. Absolute Cabinetry: repaired closet cabinets after water intrusion.
  - E. EH Design: Low voltage/media subcontractor replaced damaged low voltage electrical in the single garage.
    - F. I don't recall the names of the companies who repaired drywall, baseboards, or carpet. These trades were hired by Rakeman Plumbing.

### **INTERROGATORY NO. 8:**

Please state with specificity the dates, manner (text, phone, email, or other form of correspondence), and content of your communications with the company Uponor regarding

| 1  | the use of their products on the Property, the recall of their products, any notice of defect    |
|----|--|
| 2  | they provided, and the warranty you held for their products.                                     |
| 3  | RESPONSE NO. 8:  |
| 4  | I have had no communication with Uponor at any time.   |
| 5  | INTERROGATORY NO. 9:   |
| 6  | Please state when you became aware of the class action lawsuit pending against the               |
| 7  | company Kitec for defective products.  |
| 8  | RESPONSE NO. 9:  |
| 9  | I did not know of a class action lawsuit against Kitec.  |
| 10 | INTERROGATORY NO. 10:  |
| 11 | Please state with specificity the reason or reasons you marked no on the seller's real           |
| 12 | property disclosure form for the question asking are you aware of "previous or current moisture  |
| 13 | conditions and/or water damage."   |
| 14 | RESPONSE NO. 10:   |
| 15 | It was my understanding that if there were no ongoing problems with the property (i.e. any prior |
| 16 | problem had been fully repaired), that the proper way to answer the questions on the SRPD was    |
| 17 | "no."  |
| 18 | INTERROGATORY NO. 11:  |
| 19 | Please state your rationale for why a repaired leak does not qualify as a "previous"             |
| 20 | moisture condition.  |
| 21 | RESPONSE NO. 11:   |
| 22 | Objection, calls for a legal conclusion and invades the attorney/client privilege.               |
| 23 | INTERROGATORY NO. 12:  |
| 24 | Please identify and state insurance and/or warranty claims made due to water leakage,            |
| 25 | water loss, water damage, defective pipes, or plumbing fixtures at the Property and identify     |
| 26 | which individual or entity filed said claims.  |
| 27 | 711  |

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### **RESPONSE NO. 12:**

All plumbing issues were related to the water leaks described herein above and were covered under warranty by Rakeman Plumbing and/or, as I have now become aware, Uponor.

### **INTERROGATORY NO. 13:**

Please state with specificity each time you contacted Rakeman Plumbing regarding work at the property, each time employees or Rakeman Plumbing visited the Property, and identify exactly what actions were taken by Rakeman Plumbing each time they visited the Property.

# **RESPONSE NO. 13:**

Rakeman Plumbing installed the original plumbing when the house was constructed. They were called and came to the house with each water leak as described above and as needed thereafter to assess and ensure that all issues related to the leaks were repaired. I don't recall specific dates that they visited the property or communications with them other than the initial contact when each water leak occurred as described above and an email and phone call from Aaron Hawley on 12/12/2017 when he learned that Uponor recommended re-piping the house. My assistant, Nicky, may have had additional contact with Rakeman after the 11/7/2017 leak to coordinate remediation, repairs, and mold testing.

# **INTERROGATORY NO. 14:**

Please identify each and every lawsuit to which you have been a party.

# **RESPONSE NO. 14:**

Objection. This interrogatory is unduly burdensome and not limited in time or scope and otherwise not reasonably calculated to lead to the discovery of admissible evidence. Information of this nature is publicly available and equally as accessible to the Plaintiffs.

### **INTERROGATORY NO. 15:**

Please state with specificity if you have any occurrences of mold or other moisture related damages in homes you have owned or occupied from January 1, 2000 to present.

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# **RESPONSE NO. 15:**

Objection. This interrogatory is not reasonably calculated to lead to the discovery of admissible evidence. Without waiving said objection, my ex-wife and I purchased a house at 8123 Planting Fields Place, Las Vegas, NV 89117 around 2000 that we eventually found to have construction defects resulting in slow water leaks and airborne mold.

# **INTERROGATORY NO. 16:**

Please state any health problems you have personally suffered or claims you have filed for exposure to mold or other moisture related damages in homes you have owned or occupied and identify any healthcare professionals you have seen regarding these health problems.

# **RESPONSE NO. 16:**

Objection. This interrogatory is not reasonably calculated to lead to the discovery of admissible evidence. Without waiving said objection, I required sinus surgery by Dr. Walter Schroeder in 2001 that was thought to be related to chronic exposure to mold spores from 8123 Planting Fields Place. I made a claim against the builder, Trophy Homes.

# **INTERROGATORY NO. 17:**

Please state any claims that you children or wife have filed for exposure to mold or other moisture related damages in homes you have owned or occupied and identify any healthcare professionals you have seen regarding these health problems.

# **RESPONSE NO. 17:**

Objection. This interrogatory is not reasonably calculated to lead to the discovery of admissible evidence. Without waiving said objection, two of my children also required sinus surgery by Dr. Walter Schroeder thought to be related to chronic exposure to mold spores from 8123 Planting Fields Place. My ex-wife made a construction defect claim against Trophy Homes for expenses incurred to remediate the residence, for loss of use of the residence, and the children's medical problems.

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# 1 **INTERROGATORY NO. 18:** 2 Please state the date you first communicated with Repipe Specialist of Nevada and with 3 specificity identify all work they conducted at the Property. 4 **RESPONSE NO. 18:** 5 I have had no communications with Repipe Specialists of Nevada. 6 **INTERROGATORY NO. 19:** 7 Please state the exact dates during which you occupied the Property. 8 **RESPONSE NO. 19:** 9 I occupied the property from April 2015 through 11/27/2017. 10 **INTERROGATORY NO. 20:** 11 Please state with specificity the actions you took following any repairs conducted by 12 Rakeman Plumbing from January 1, 2015 to present to ensure that the work they performed had 13 been fully and properly completed. 14 **RESPONSE NO. 20:** 15 I observed some of the work done to repair the plumbing and areas affected by the water. 16 However, I am not a plumbing or contracting expert, so I relied on Rakeman Plumbing and the 17 various trades to properly repair all items. All repairs appeared to me as a layperson to be 18 satisfactory, and the areas with water leaks appeared as new after the repairs. 19 **INTERROGATORY NO. 21:** 20 Please state whether you have had any communications with the Summerlin Association 21 regarding any water leakage, water loss, water damage, construction, repair, or remodeling at the 22 Property and state the content of those communications. 23 **RESPONSE NO. 21:** 24 I do not recall having any communications with the Summerlin Association regarding any water 25 leakage, water loss, water damage, construction, repair, or remodeling at the Property. 26 111

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# INTERROGATORY NO. 22: Please state whether you estate agent regarding any war remodeling at the Property and serious RESPONSE NO. 22: While I cannot recall any specifications of the Property and serious s

Please state whether you have had any communications with Ivan Sher or any other real estate agent regarding any water leakage, water loss, water damage, construction, repair, or remodeling at the Property and state the content of those communications.

While I cannot recall any specific conversation, I'm sure I discussed the 2015 water leaks with Kelly Contenta, but not in the context of a realtor but because she was living with me at the time. I discussed the 11/7/2017 water leak with Ivan Sher since the house was under contract. His company notified the Buyers' agent of the leak, and we made a written disclosure to the Buyer. I emailed Ivan Sher on 12/15/2017 to inform him of Uponor's recommendation to repipe the house after Aaron Hawley made me aware of it and to discuss Mrs. Folino's reaction to the news and comments made to Aaron Hawley regarding a potential lawsuit.

of value after repiping a house.

DATED this 23rd day of December, 2019.

### CHRISTOPHER M. YOUNG, PC

# /s/ Christopher M. Young

CHRISTOPHER M. YOUNG, ESQ.
Nevada Bar No. 7961
2460 Professional Court, #200
Las Vegas, Nevada 89128
Tel: (702) 240-2499
Fax: (702) 240-2489
cyoung@cotomlaw.com
Attorney for Defendant Todd Swanson, et al.

| 1  | Defendants have not made final decisions on which witnesses may be ultimately called to testify    |
|----|--|
| 2  | at trial.  |
| 3  |  |
| 4  | 2. Ivan Sher, BHHS Nevada Properties/The Ivan Sher Group, 10777 W Twain Ave #333, Las              |
| 5  | Vegas, NV 89135. 702-315-0223  |
| 6  | Mr. Sher was my broker when the property was sold to the Folinos and is familiar with the sale     |
| 7  | of the property and has knowledge of the effect of repair of a problem on the value of the real    |
| 8  | property.  |
| 9  | Mr. Sher drafted a letter addressing the effect of the replacing the water pipes on the value of a |
| 10 | home.  |
| 11 | Defendants have not made final decisions on which witnesses may be ultimately called to testify    |
| 12 | at trial.  |
| 13 |  |
| 14 | 3. Kelly Contenta, real estate agent for BHHS Nevada Properties (now The Ivan Sher Group) at       |
| 15 | the time of the sale of the subject property. She was also my girlfriend who cohabitated the       |
| 16 | subject property in 2015 when the first leaks occurred. 1604 Pacific Tide Pl., Las Vegas, NV       |
| 17 | 89144  |
| 18 | Kelly observed the 2015 water leaks as well as the repairs and remediation of the water leaks.     |
| 19 | To my knowledge Kelly has not provided any statements regarding the facts or circumstances at      |
| 20 | issue in this litigation.  |
| 21 | Defendants have not made final decisions on which witnesses may be ultimately called to testify    |
| 22 | at trial.  |
| 23 | INTERROGATORY NO. 3:   |
| 24 | Please identify which individuals or entities owned any portion of the Property and                |
| 25 | what percentage of ownership each individual or entity possessed prior to the Property's           |
| 26 | sale to Plaintiffs.  |
| 27 | F11  |
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| 1  | CERTIFICATE OF E-SERVICE  |
|----|---|
| 2  | Pursuant to Nevada Rules of Civil Procedure 5(b), Administrative Order 14-2, and                |
| 3  | N.E.F.C.R. 9, I hereby certify that on the 23rd day of December, 2019, service of the foregoing |
| 4  | DEFENDANT TODD SWANSON'S RESPONSES TO PLAINTIFF'S FIRST REQUEST                                 |
| 5  | FOR INTERROGATORIES was electronically filed and served on counsel through the                  |
| 6  | Court's electronic service system as follows:   |
| 7  | Rusty Graf, Esq. Black & Lobello  |
| 8  | 10777 West Twain Avenue, 3 <sup>rd</sup> Floor Las Vegas, Nevada 89135                          |
| 9  | Tel: (702) 869-8801<br>Fax: (702) 869-2669  |
| 10 | tblack@blacklobello.law Attorney for Plaintiff  |
| 11 | Attorney for Framitiff  |
| 12 |   |
| 13 | /s/ Myra Hyde   |
| 14 | An Employee of CHRISTOPHER M. YOUNG, PC   |
| 15 | CHRISTOPHER M. TOUNG, PC  |
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| 1                                 | VERIFICATION   |
|-----------------------------------|--|
| 2                                 |  |
| 3                                 | STATE OF NEVADA)   |
| 4                                 | COUNTY OF CLARK)   |
| 5<br>6<br>7<br>8<br>9<br>10<br>11 | TODD V. SWANSON being duly sworn according to law, deposes and says:  That he is authorized to answer these Interrogatories on behalf of said Defendant, that he/ has read the foregoing <u>DEFENDANT TODD SWANSON'S RESPONSES TO</u> <u>PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES</u> , knows the contents thereof and that the same are true to the best of his knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true. |
| 12<br>13<br>14<br>15              | TODD V. SWANSON  |
| 17<br>18                          | SUBSCRIBED AND SWORN TO before me this day of, 2019.   |
| 19<br>20<br>21                    | NOTARY PUBLIC In and For Said<br>County and State  |
| 22<br>23<br>24<br>25              | H\Open Case Files\0816.502\DISCOVERY\RESP to ROGGS – Swanson Trustee   |
| 26<br>27<br>28                    |  |

# ELECTRONICALLY SERVED 12/23/2019 11:02 AM

|          | A .   |
|----------|---|
| 1        | RSPN<br>CHRISTOPHER M. YOUNG, ESQ.  |
| 2        | Nevada Bar No. 7961<br>JAY T. HOPKINS, ESQ.                                 |
| 3        | Nevada Bar No. 3223<br>CHRISTOPHER M. YOUNG, PC                             |
| 4        | 2460 Professional Court, #200<br>Las Vegas, Nevada 89128                    |
| 5        | Tel: (702) 240-2499<br>Fax: (702) 240-2489                                  |
| 6        | cyoung@cotomlaw.com<br>jaythopkins@gmail.com                                |
| 7        |   |
| 8        | JEFFREY L. GALLIHER, ESQ.<br>Nevada Bar No. 8078                            |
| 9        | GALLIHER LEGAL P.C.<br>1850 East Sahara Avenue, Suite 107                   |
| 10       | Las Vegas, Nevada 89104<br>Telephone: (702) 735-0049                        |
| 11       | Facsimile: (702) 735-0204<br>jgalliher@galliherlawfirm.com                  |
| 12       | Attorneys for Todd Swanson, et al.  |
| 13       | DISTRICT COURT  |
| 14       | CLARK COUNTY, NEVADA  |
| 15       | JOSEPH FOLINO, an individual and NICOLE CASE NO.: A-18-782494-C             |
| 16       | FOLINO, an individual,  DEPT. NO.:XXIV                                      |
| 17       | Plaintiff(s),   |
| 18       | V.  |
| 19<br>20 | TODD SWANSON, an individual; TODD SWANSON, Trustee of the SHIRAZ TRUST;     |
| 20       | SHIRAZ TRUST, a Trust of unknown origin;<br>LYON DEVELOPMENT, LLC, a Nevada |
| 22       | limited liability company; DOES I through X; and ROES I through X,          |
| 23       | Defendant(s).   |
| 24       |   |
| 25       | DEFENDANT TODD SWANSON'S, AS TRUSTEE OF THE SHIRAZ TRUST,                   |
| 26       | RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES                  |
| 27       | TO: JOSEPH FOLINO, and NICOLE FOLINO, Plaintiff, and                        |
| 28       | TO: RUSTY GRAF, ESQ., their Attorney.                                       |
|          | 1 of 13   |

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sure they were securely attached.

# **INTERROGATORY NO. 5:**

Please identify each and every incident of water leakage, water loss or water damage, which occurred at the Property from January 1, 2015 to the date the Property was transferred to Plaintiffs, how you became aware of each water leak, water loss, or drywall damage from January 1, 2015 to the date the Property was transferred to Plaintiff, and what actions were taken as a result of each incident.

# **RESPONSE NO. 5:**

- A. Hot water recirculating pump leak near master closet and connector fitting slippage under master bathroom sink
- 10 8/2/2015

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- The carpet in the master closet was damp and later a rush of water came from under the master bathroom sink.
- I turned off the water main and called Rakeman Plumbing who sent a plumber out that day to repair the leaks. I also immediately vacuumed and dried all visibly wet flooring areas.
- 16 B. Hot water recirculating pump leak in single garage
- 17 8/3/2015
- 18 I found water in the single garage.
- I called Rakeman Plumbing who sent a plumber out that day to repair the leaks. Then water remediation specialist to dry out the garage, and later drywall and media/low voltage trades to finalize repairs.
- 23 C. Leaking water pipe near master closet
- 24 2/16/2017.
- 25 The carpet in the master closet was damp.

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I called Rakeman Plumbing who came out that day to repair the leak. They then sent out a water remediation specialist to dry out all areas. Then carpet, baseboard, drywall, and cabinet trades to make repairs.

D. Leaking water pipe near master closet

5 11/7/2017

My assistant, Nicole Whitfield, found moisture in the master closet when she came to work at my house.

Nicky called Rakeman Plumbing who came out that day to repair the leak. Then they sent out a water remediation specialist to dry out all areas. A mold testing company was called after the closet was dry, then carpet, baseboard, cabinet, and drywall trades to make repairs. I immediately called Ivan Sher who notified the Buyers' agent of the water leak, and I made a written disclosure of the leak to the Buyer.

# **INTERROGATORY NO. 6:**

Please identify the individuals or entities who were responsible for the initial construction of the Property, the scope of their responsibilities, and the identity of the original plumbing subcontractor.

# **RESPONSE NO. 6:**

Blue Heron designed and built the house. Rakeman Plumbing did all the plumbing.

### **INTERROGATORY NO. 7:**

Please identify all persons and/or businesses who have performed any type of repairs, remodeling, repiping, or construction involving the plumbing system at the Property or who have inspected the Property for any reason from January 1, 2015 to the date the Property was transferred to Plaintiffs.

# **RESPONSE NO. 7:**

A. Rakeman Plumbing: 4075 Lossee Rd., N. Las Vegas, NV 89030. 702-642-8553

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# RESPONSE NO. 10:

It was Todd Swanson's understanding that if there were no ongoing problems with the property (i.e. any prior problem had been fully repaired), that the proper way to answer the questions on the SRPD was "no."

# **INTERROGATORY NO. 11:**

Please state your rationale for why a repaired leak does not qualify as a "previous" moisture condition.

# **RESPONSE NO. 11:**

Objection, calls for a legal conclusion and invades the attorney/client privilege.

# **INTERROGATORY NO. 12:**

Please identify and state insurance and/or warranty claims made due to water leakage, water loss, water damage, defective pipes, or plumbing fixtures at the Property and identify which individual or entity filed said claims.

### **RESPONSE NO. 12:**

All plumbing issues were related to the water leaks described herein above and were covered by Rakeman Plumbing and/or, as I have now become aware, Uponor.

# **INTERROGATORY NO. 13:**

Please state with specificity each time you contacted Rakeman Plumbing regarding work at the property, each time employees or Rakeman Plumbing visited the Property, and identify exactly what actions were taken by Rakeman Plumbing each time they visited the Property.

### **RESPONSE NO. 13:**

Rakeman Plumbing installed the original plumbing when the house was constructed. They were called and came to the house with each water leak as described above and as needed thereafter to assess and ensure that all issues related to the leaks were repaired. I don't recall specific dates that they visited the property or communications with them other than the initial contact when each water leak occurred as described above and an email and phone call from Aaron Hawley on 12/12/2017 when he learned that Uponor recommended re-piping the house. My assistant,

| 1  | Nicky, may have had additional contact with Rakeman after the 11/7/2017 leak to coordinate        |
|----|---|
| 2  | remediation, repairs, and mold testing.   |
| 3  | INTERROGATORY NO. 14:   |
| 4  | Please identify each and every lawsuit to which you have been a party.                            |
| 5  | RESPONSE NO. 14:  |
| 6  | Objection. This interrogatory is unduly burdensome and not limited in time or scope and           |
| 7  | otherwise not reasonably calculated to lead to the discovery of admissible evidence. Information  |
| 8  | of this nature is publicly available and equally as accessible to the Plaintiffs.                 |
| 9  | INTERROGATORY NO. 15:   |
| 10 | Please state with specificity if you have any occurrences of mold or other moisture               |
| 11 | related damages in homes you have owned or occupied from January 1, 2000 to present.              |
| 12 | RESPONSE NO. 15:  |
| 13 | Objection. This interrogatory is not reasonably calculated to lead to the discovery of admissible |
| 14 | evidence. Without waiving said objection, my ex-wife and I purchased a house at 8123 Planting     |
| 15 | Fields Place, Las Vegas, NV 89117 around 2000 that we eventually found to have construction       |
| 16 | defects resulting in slow water leaks and airborne mold.  |
| 17 | INTERROGATORY NO. 16:   |
| 18 | Please state the date you first communicated with Repipe Specialists of Nevada and with           |
| 19 | specificity identify all work they conducted at the Property.                                     |
| 20 | RESPONSE NO. 16:  |
| 21 | I have had no communications with Repipe Specialists of Nevada.                                   |
| 22 | INTERROGATORY NO. 17:   |
| 23 | Please state with specificity the actions you took following any repairs conducted by             |
| 24 | Rakeman Plumbing from January 1, 2015 to present to ensure that the work they performed had       |
| 25 | been fully and properly completed.  |
| 26 | 111   |
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### RESPONSE NO. 17:

I observed some of the work done to repair the plumbing and areas affected by the water. However, I am not a plumbing or contracting expert, so I relied on Rakeman Plumbing and the various trades to properly repair all items. All repairs appeared to me as a layperson to be satisfactory, and the areas with water leaks appeared as new after the repairs.

### **INTERROGATORY NO. 18:**

Please state whether you have had any communication with the Summerlin Association regarding any other leakage, water loss, water damage, construction, repair, or remodeling at the Property and state the content of those communications.

# **RESPONSE NO. 18:**

I do not recall having any communications with the Summerlin Association\_regarding any water leakage, water loss, water damage, construction, repair, or remodeling at the Property.

# **INTERROGATORY NO. 19:**

Please state whether you have had any communication with Ivan Sher or any other real estate agent regarding any water leakage, water loss, water damage, construction, repair or remodeling at the Property, and state the content of those communications.

### **RESPONSE NO. 19:**

While I cannot recall any specific conversation, I'm sure I discussed the 2015 water leaks with Kelly Contenta, but not in the context of a realtor but because she was living with me at the time. I discussed the 11/7/2017 water leak with Ivan Sher since the house was under contract. His company notified the Buyers' agent of the leak, and we made a written disclosure to the Buyer.

I emailed Ivan Sher on 12/15/2017 to inform him of Uponor's recommendation to repipe the house after Aaron Hawley made me aware of it and to discuss Mrs. Folino's reaction to the news and comments made to Aaron Hawley regarding a potential lawsuit.

Ivan Sher discussed with me and later emailed me on 7/5/2018 his opinion regarding diminution of value after repiping a house.

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| Ŧ  | DATED this 23rd day of December, 2019.  |
|----|---|
| 2  | CHRISTOPHER M. YOUNG, PC  |
| 3  | /s/ Christopher M. Young<br>CHRISTOPHER M. YOUNG, ESQ.<br>Nevada Bar No. 7961 |
| 4  | 2460 Professional Court #200  |
| 5  | Las Vegas, Nevada 89128<br>Tel: (702) 240-2499                                |
| 6  | cyoung@cotomlaw.com   |
| 7  | * Attorney for Defendant Todd Swanson, et al.                                 |
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# 1 CERTIFICATE OF E-SERVICE 2 Pursuant to Nevada Rules of Civil Procedure 5(b), Administrative Order 14-2, and 3 N.E.F.C.R. 9, I hereby certify that on the 23rd day of December, 2019, service of the foregoing 4 DEFENDANT TODD SWANSON'S AS TRUSTEE OF THE SHIRAZ TRUST 5 RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES was 6 electronically filed and served on counsel through the Court's electronic service system as 7 follows: 8 Tisha Black, Esq. Black & Lobello 9 10777 West Twain Avenue, 3rd Floor Las Vegas, Nevada 89135 10 Tel: (702) 869-8801 Fax: (702) 869-2669 11 tblack@blacklobello.law Attorney for Plaintiff 12 13 14 /s/ Myra Hyde An Employee of 15 CHRISTOPHER M. YOUNG, PC 16 17 18 19 20 21 22 23 24 25 26 27

| 1   | VERIFICATION   |
|---|--|
| 2   |  |
| 3   | STATE OF NEVADA) ) ss:   |
| 4   | COUNTY OF CLARK)   |
| 5<br>6<br>7<br>8<br>9<br>10<br>11<br>12<br>13 | TODD SWANSON being duly sworn according to law, deposes and says:  That he is authorized to answer these Interrogatories on behalf of said Defendant, that he/ has read the foregoing DEFENDANT TODD SWANSON'S AS TRUSTEE OF THE  SHIRAZ TRUST RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR  INTERROGATORIES, knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true.  |
| 14  |  |
| 15  |  |
| 16  | TODD SWANSON   |
| 17<br>18                                      | SUBSCRIBED AND SWORN TO before me this day of , 2019.  |
| 19  |  |
| 20<br>21                                      | NOTARY PUBLIC In and For Said<br>County and State  |
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# ELECTRONICALLY SERVED 12/23/2019 11:**92** AM

| 1     | RSPN  |
|-------|---|
| 2     | CHRISTOPHER M. YOUNG, ESQ.<br>Nevada Bar No. 7961                                 |
| 3     | JAY T. HOPKINS, ESQ.  |
|       | Nevada Bar No. 3223<br>CHRISTOPHER M. YOUNG, PC                                   |
| 4     | 2460 Professional Court, #200<br>Las Vegas, Nevada 89128                          |
| 5     | Tel: (702) 240-2499<br>Fax: (702) 240-2489  |
| 6     | cyoung@cotomlaw.com   |
| 7     | jaythopkins@gmail.com   |
| 8     | JEFFREY L. GALLIHER, ESQ.<br>Nevada Bar No. 8078                                  |
| 9     | GALLIHER LEGAL P.C.   |
| 0.082 | 1850 East Sahara Avenue, Suite 107<br>Las Vegas, Nevada 89104                     |
| 10    | Telephone: (702) 735-0049<br>Facsimile: (702) 735-0204                            |
| 11    | jgalliher@galliherlawfirm.com   |
| 12    | Attorneys for Todd Swanson, et al.  |
| 13    |   |
| 14    | DISTRICT COURT  |
| 15    | CLARK COUNTY, NEVADA  |
| 16    | JOSEPH FOLINO, an individual and NICOLE CASE NO.: A-18-782494-C DEPT. NO.:XXIV    |
| 17    | Plaintiff(s),   |
| 18    | v.  |
| 19    | TODD SWANSON, an individual; TODD   |
| 20    | SWANSON, Trustee of the SHIRAZ TRUST;<br>SHIRAZ TRUST, a Trust of unknown origin; |
| 21    | LYON DEVELOPMENT, LLC, a Nevada   |
|       | limited liability company; DOES I through X; and ROES I through X,                |
| 22    | Defendant(s).   |
| 23    |   |
| 24    | DEFENDANT LYON DEVELOPMENT, LLC'S RESPONSES                                       |
| 25    | TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES                                  |
| 26    | TO: JOSEPH FOLINO, and NICOLE FOLINO, Plaintiff, and                              |
| 27    | TO: RUSTY GRAF, ESQ., their Attorney.   |
| 28    | . c. 10011 old it, boy, then morney.  |
|       | Lof 13  |

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(c) Whether you intend to call the individual as a witness at the time of the trial.

| 1  | RESPONSE NO. 2:   |
|----|---|
| 2  | 1. Nicole "Nicky" Whitfield. 2435 Marlene Way, Henderson, NV 89014. 702-816-1405.                   |
| 3  | Nicky was employed by myself and worked out of my house beginning 8 days after the                  |
| 4  | 2/16/2017 water leak and at the time of the sale to the Folinos and the 11/7/2017 water leak. She   |
| 5  | was also present for the repair and remediation of both leaks.                                      |
| 6  | Nicky prepared a timeline of events for the 11/7/2017 water leak, a summary of her                  |
| 7  | communications with Buyers and their agent at the time of the sale of the subject property, and     |
| 8  | an affidavit for the mediation.   |
| 9  | Defendants have not made final decisions on which witnesses may be ultimately called to testify     |
| 10 | at trial.   |
| 11 |   |
| 12 | 2. Ivan Sher, BHHS Nevada Properties/The Ivan Sher Group, 10777 W Twain Ave #333, Las               |
| 13 | Vegas, NV 89135. 702-315-0223   |
| 14 | Mr. Sher was the Seller's broker when the Property was sold to the Folinos and is familiar with     |
| 15 | the sale of the property and has knowledge of the effect of repair of a problem on the value of the |
| 16 | real property.  |
| 17 | Mr. Sher drafted a letter addressing the effect of the replacing the water pipes on the value of a  |
| 18 | home.   |
| 19 | Defendants have not made final decisions on which witnesses may be ultimately called to testify     |
| 20 | at trial.   |
| 21 |   |
| 22 | 3. Kelly Contenta, real estate agent for BHHS Nevada Properties (now The Ivan Sher Group) at        |
| 23 | the time of the sale of the subject property. She was also Todd Swanson's girlfriend at the time    |
| 24 | who cohabitated the subject property in 2015 when the first leaks occurred. 1604 Pacific Tide       |
| 25 | Pl., Las Vegas, NV 89144  |
| 26 | Kelly observed the 2015 water leaks as well as the repairs and remediation of the water leaks.      |
| 27 |   |
| 28 |   |

# **INTERROGATORY NO. 5:**

Please identify each and every incident of water leakage, water loss or water damage, which occurred at the Property from January 1, 2015 to the date the Property was transferred to Plaintiffs, how you became aware of each water leak, water loss, or drywall damage from January 1, 2015 to the date the Property was transferred to Plaintiff, and what actions were taken as a result of each incident.

# **RESPONSE NO. 5:**

A. Hot water recirculating pump leak near master closet and connector fitting slippage under master bathroom sink

8/2/2015

The carpet in the master closet was damp and later a rush of water came from under the master bathroom sink.

Todd Swanson turned off the water main and called Rakeman Plumbing who sent a plumber out that day to repair the leaks. Todd Swanson also immediately vacuumed and dried all visibly wet flooring areas.

B. Hot water recirculating pump leak in single garage

18 8/3/2015

Todd Swanson found water in the single garage.

Todd Swanson called Rakeman Plumbing who sent a plumber out that day to repair the leaks. Then water remediation specialist to dry out the garage, and later drywall and media/low voltage trades to finalize repairs.

C. Leaking water pipe near master closet

25 2/16/2017.

The carpet in the master closet was damp.

Todd Swanson's assistant, Nicole Whitfield, found moisture in the master closet when she Nicky called Rakeman Plumbing who came out that day to repair the leak. Then they sent out a water remediation specialist to dry out all areas. A mold testing company was called after the closet was dry, then carpet, baseboard, cabinet, and drywall trades to make repairs. Todd Swanson immediately called Ivan Sher who notified the Buyers' agent of the water leak, and a written disclosure of the leak was made to the Buyer. Please identify the individuals or entities who were responsible for the initial construction of the Property, the scope of their responsibilities, and the identify of the original plumbing Blue Heron designed and built the house. Rakeman Plumbing did all the plumbing. Please identify all persons and/or businesses who have performed any type of repairs, remodeling, repiping, or construction involving the plumbing system at the Property or who have inspected the Property for any reason from January 1, 2015 to the date the Property was transferred to Plaintiffs. 25 RESPONSE NO. 7: 26 A. Rakeman Plumbing: 4075 Lossee Rd., N. Las Vegas, NV 89030. 702-642-8553 27 28

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# **RESPONSE NO. 10:**

It was Todd Swanson's understanding that if there were no ongoing problems with the property (i.e. any prior problem had been fully repaired), that the proper way to answer the questions on the SRPD was "no."

# **INTERROGATORY NO. 11:**

Please state your rationale for why a repaired leak does not qualify as a "previous" moisture condition.

# **RESPONSE NO. 11:**

Objection, calls for a legal conclusion and invades the attorney/client privilege.

# **INTERROGATORY NO. 12:**

Please identify and state insurance and/or warranty claims made due to water leakage, water loss, water damage, defective pipes, or plumbing fixtures at the Property and identify which individual or entity filed said claims.

# **RESPONSE NO. 12:**

All plumbing issues were related to the water leaks described herein above and were covered under warranty by Rakeman Plumbing and/or, as has now become known, Uponor.

### **INTERROGATORY NO. 13:**

Please state with specificity each time you contacted Rakeman Plumbing regarding work at the property, each time employees or Rakeman Plumbing visited the Property, and identify exactly what actions were taken by Rakeman Plumbing each time they visited the Property.

### **RESPONSE NO. 13:**

Rakeman Plumbing installed the original plumbing when the house was constructed. They were called and came to the house with each water leak as described above and as needed thereafter to assess and ensure that all issues related to the leaks were repaired. Todd Swanson doesn't recall specific dates that they visited the property or communications with them other than the initial contact when each water leak occurred as described above and an email and phone call from Aaron Hawley on 12/12/2017 when he learned that Uponor recommended re-piping the house.

Todd Swanson's assistant, Nicky, may have had additional contact with Rakeman after the 11/7/2017 leak to coordinate remediation, repairs, and mold testing.

# **INTERROGATORY NO. 14:**

Please identify each and every lawsuit to which you have been a party.

# **RESPONSE NO. 14:**

Objection. This interrogatory is unduly burdensome and not limited in time or scope and otherwise not reasonably calculated to lead to the discovery of admissible evidence. Information of this nature is publicly available and equally as accessible to the Plaintiffs.

# **INTERROGATORY NO. 15:**

Please state with specificity if you have had any occurrences of mold or other moisture related damages in homes you have owned or occupied from January 1, 2000 to present.

# RESPONSE

Lyons Development has not occupied any homes.

# **INTERROGATORY NO. 16:**

Please state any health problems suffered, or claims filed, by any individuals due to mold, mold exposure, or other moisture related damages in homes you have owned from January 1, 2000 to present.

### **RESPONSE NO. 16:**

Objection. This interrogatory is not reasonably calculated to lead to the discovery of admissible evidence. Without waiving said objection, Todd Swanson required sinus surgery by Dr. Walter Schroeder in 2001 that was thought to be related to chronic exposure to mold spores from 8123 Planting Fields Place. He made a claim against the builder, Trophy Homes.

In addition, two of his children also required sinus surgery by Dr. Walter Schroeder thought to be related to chronic exposure to mold spores from 8123 Planting Fields Place. His ex-wife made a construction defect claim against Trophy Homes for expenses incurred to remediate the residence, for loss of use of the residence, and the children's medical problems.

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### **INTERROGATORY NO. 17:**

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Please state the date you first communicated with Repipe Specialist of Nevada, or if you did not communicate with Repipe Specialists of Nevada the date you first became aware that Repipe Specialist of Nevada had been contacted regarding water leakage or water loss at the Property, and with specificity identify all work they conducted at the Property.

### **RESPONSE NO. 17:**

Lyons Development has had no communications with Repipe Specialists of Nevada and has no knowledge of any work done by Repipe Specialists of Nevada on the Property.

### **INTERROGATORY NO. 18:**

Please state the exact dates during Todd Swanson occupied the Property.

# **RESPONSE NO. 18:**

Todd Swanson occupied the property from April 2015 through 11/27/2017.

# **INTERROGATORY NO. 19:**

Please state with specificity the actions you took following nay repairs conducted by Rakeman Plumbing from January 1, 2015 to present to ensure that the work they performed had been fully and properly completed.

# **RESPONSE NO. 19:**

Todd Swanson observed some of the work done to repair the plumbing and areas affected by the water. However, he is not a plumbing or contracting expert, so he relied on Rakeman Plumbing and the various trades to properly repair all items. All repairs appeared to him as a layperson to be satisfactory, and the areas with water leaks appeared as new after the repairs.

### **INTERROGATORY NO. 20:**

Please state whether you have had any communications with the Summerlin Association regarding any water leakage, water loss, water damage, construction, repair or remolding at the Property and state the content of those communications.

26 | ///

27 1/1/

| 1   | RESPONSE NO. 20:  |
|-----|---|
| 2   | Lyons Development has no recollection of communications with the Summerlin Association            |
| 3   | regarding any water leakage, water loss, water damage, construction, repair, or remodeling at the |
| 4   | Property.   |
| 5   | INTERROGATORY NO. 21:   |
| 6   | Please state whether you have had any communications with Ivan Sher or any other real             |
| 7   | estate agent regarding any water leakage, water loss, water damage, construction, repair, or      |
| 8   | remodeling at the Property and state the content of those communications.                         |
| 9   | RESPONSE NO. 21:  |
| 10  | While he cannot recall any specific conversation, Todd Swanson believes he discussed the 2015     |
| 11  | water leaks with Kelly Contenta, but not in the context of a realtor.                             |
| 12  | He also discussed the 11/7/2017 water leak with Ivan Sher since the house was under contract.     |
| 13  | Mr. Sher's company notified the Buyers' agent of the leak, and a written disclosure was made to   |
| 14  | the Buyer.  |
| 15  | Todd Swanson emailed Ivan Sher on 12/15/2017 to inform him of Uponor's recommendation to          |
| 16  | repipe the house after Aaron Hawley had made him aware of it and to discuss Mrs. Folino's         |
| 17  | reaction to the news and comments made to Aaron Hawley regarding a potential lawsuit.             |
| 18  | Ivan Sher discussed with Todd Swanson, and later emailed Todd Swanson, on 7/5/2018, his           |
| 19  | opinion regarding diminution of value after repiping a house.                                     |
| 20  |   |
| 21  | DATED this 23rd day of December 2019.   |
| 22  | CHRISTOPHER M. YOUNG, PC  |
| 23  | /s/ Christopher M. Young  |
| 24  | CHRISTOPHER M. YOUNG, ESQ.<br>Nevada Bar No. 7961   |
| 25  | 2460 Professional Court, #200<br>Las Vegas, Nevada 89128  |
| 26  | Tel: (702) 240-2499<br>Fax: (702) 240-2489  |
| 27  | cyoung@cotomlaw.com Attorney for Defendant Todd Swanson, et al.                                   |
| 242 | (Z)   |

| 1                                | VERIFICATION   |
|----------------------------------|--|
| 2                                | STATE OF NEVADA)   |
| 3                                | COUNTY OF CLARK)   |
| 4<br>5<br>6<br>7<br>8<br>9<br>10 | TODD V. SWANSON being duly sworn according to law, deposes and says:  That he is authorized to answer these Interrogatories on behalf of said Defendant, that he/ has read the foregoing <b>DEFENDANT LYON DEVELOPMENT</b> , <b>LLC'S RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES</b> , knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true. |
| 12<br>13<br>14<br>15             | TODD V. SWANSON  |
| 16<br>17                         | SUBSCRIBED AND SWORN TO before me this day of, 2019.   |
| 18                               | NOTARY PUBLIC In and For Said<br>County and State  |
| 20 21 22                         |  |
| 22<br>23<br>24                   | H\Open Case Files\0816.502\DISCOVERY\RESP to ROGGS - Lyon  |
| 25<br>26<br>27                   |  |
| 28                               |  |

| 1  | VERIFICATION  |
|----|---|
| 2  | ,   |
| 3  | STATE OF NEVADA )   |
| 4  | COUNTY OF CLARK ) ss:   |
| 5  | TODD GWANGOVIA A A A A A A A A A A A A A A A A A A  |
| 6  | TODD SWANSON being duly sworn according to law, deposes and says:                                 |
| 7  | That he is authorized to answer these Interrogatories on behalf of said Defendant, that he/       |
| 8  | has read the foregoing <b>DEFENDANT TODD SWANSON'S RESPONSES TO</b>                               |
| 9  | PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereof                         |
| 10 | and that the same are true to the best of his/her knowledge, except as to the matters therein set |
| 11 | forth upon information and belief, and as to those matters, he/she believes them to be true.      |
| 12 |   |
| 13 |   |
| 14 |   |
| 15 | John John John  |
| 16 | TODD SWANSON  |
|    | GUIDGGDUDED AND SWEEDLAND   |
| 17 | SUBSCRIBED AND SWORN TO before me this of day of January. 2020.                                   |
| 18 |   |
| 19 | NOTARY PUBLIC In and For Said   |
| 20 | County and State  |
| 21 |   |
| 22 |   |
| 23 | STACEY RAY Notary Public-State of Nevada Appointment No. 18-1147-1                                |
| 24 | My Appointment Expires Dec. 1, 2021   |
| 25 |   |



# STATE OF NEVADA COUNTY OF CLARK has read the foregoing DEFENDANT TODD SWANSON'S AS TRUSTEE OF THE this of threne, 2020. County and State

# VERIFICATION

TODD SWANSON being duly sworn according to law, deposes and says:

That he is authorized to answer these Interrogatories on behalf of said Defendant, that he/

SHIRAZ TRUST RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR

**INTERROGATORIES**, knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true.

SUBSCRIBED AND SWORN TO before me

NOTARY PUBLIC In and For Said





| forth upon information and belief, and as to those matters, he/she believes them to be true.  forth upon information and belief, and as to those matters, he/she believes them to be true.  TODD SWANSON  SUBSCRIBED AND SWORN TO before me this of day of process of the process of | 1      | VERIFICATION  |
|--|--------|---|
| TODD SWANSON being duly sworn according to law, deposes and says:  That he is authorized to answer these Interrogatories on behalf of said Defendant, that he has read the foregoing DEFENDANT LYON DEVELOPMENT'S RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein see forth upon information and belief, and as to those matters, he/she believes them to be true.  SUBSCRIBED AND SWORN TO before me this Charles and For Said County and State  STACEY RAY  Notary Public State of Nevada   | 2      |   |
| TODD SWANSON being duly sworn according to law, deposes and says:  That he is authorized to answer these Interrogatories on behalf of said Defendant, that he has read the foregoing DEFENDANT LYON DEVELOPMENT'S RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein see forth upon information and belief, and as to those matters, he/she believes them to be true.  SUBSCRIBED AND SWORN TO before me this of day of property of the prop | 3      | STATE OF NEVADA )   |
| TODD SWANSON being duly sworn according to law, deposes and says:  That he is authorized to answer these Interrogatories on behalf of said Defendant, that he has read the foregoing DEFENDANT LYON DEVELOPMENT'S RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES. knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true.  SUBSCRIBED AND SWORN TO before me this Language and the public state of Nevada Notary Public State of Nevada Notary Public State of Nevada  | 4      |   |
| That he is authorized to answer these Interrogatories on behalf of said Defendant, that he has read the foregoing DEFENDANT LYON DEVELOPMENT'S RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true.  SUBSCRIBED AND SWORN TO before me this of Notary PUBLIC In and For Said County and State  NOTARY PUBLIC In and For Said County and State   | 5      | TORR SHILLING SHILL I   |
| has read the foregoing DEFENDANT LYON DEVELOPMENT'S RESPONSES TO PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereof and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true.  SUBSCRIBED AND SWORN TO before me this of horizontal day of horizontal properties of horizontal properties.  NOTARY PUBLIC In and For Said County and State   | 6      |   |
| PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereoform and that the same are true to the best of his/her knowledge, except as to the matters therein set forth upon information and belief, and as to those matters, he/she believes them to be true.  SUBSCRIBED AND SWORN TO before me this of day of process of the day of country and State  NOTARY PUBLIC In and For Said County and State  STACEY RAY Notary Public-State of Nevada  | 7      |   |
| and that the same are true to the best of his/her knowledge, except as to the matters therein see forth upon information and belief, and as to those matters, he/she believes them to be true.    10   | 8      |   |
| forth upon information and belief, and as to those matters, he/she believes them to be true.  forth upon information and belief, and as to those matters, he/she believes them to be true.  TODD SWANSON  SUBSCRIBED AND SWORN TO before me this of day of process of the process of | 9      | PLAINTIFF'S FIRST REQUEST FOR INTERROGATORIES, knows the contents thereof                         |
| 11 12 13 14 15 TODD SWANSON  16 SUBSCRIBED AND SWORN TO before me this of day of Juney, 2020.  18 NOTARY PUBLIC In and For Said County and State  20 STACEY RAY  Notary Public State of Nevada 8   | 10     | and that the same are true to the best of his/her knowledge, except as to the matters therein set |
| 13 14 15 16 17 SUBSCRIBED AND SWORN TO before me this August 2020.  NOTARY PUBLIC In and For Said County and State  21 22 STACEY RAY Notary Public State of Nevada   | 11     | forth upon information and belief, and as to those matters, he/she believes them to be true.      |
| TODD SWANSON  SUBSCRIBED AND SWORN TO before me this of day of pure 2020.  NOTARY PUBLIC In and For Said County and State  STACEY RAY  Notary Public-State of Nevada   | 12     |   |
| TODD SWANSON  SUBSCRIBED AND SWORN TO before me this of day of pure 2020.  NOTARY PUBLIC In and For Said County and State  STACEY RAY  Notary Public-State of Nevada   | 13     |   |
| TODD SWANSON  SUBSCRIBED AND SWORN TO before me this of day of price of the day of price of the day of County and State  NOTARY PUBLIC In and For Said County and State  STACEY RAY Notary Public-State of Nevada  | 14     |   |
| SUBSCRIBED AND SWORN TO before me this day of house 2020.  NOTARY PUBLIC In and For Said County and State  STACEY RAY Notary Public-State of Nevada  | 15     | TODD SWANSON  |
| SUBSCRIBED AND SWORN TO before me this day of 2020.  NOTARY PUBLIC In and For Said County and State  STACEY RAY  Notary Public-State of Nevada   | 10475  | TODD SWANSON  |
| this day of 2020.  NOTARY PUBLIC In and For Said County and State  STACEY RAY  Notary Public-State of Nevada   | 55.550 | SUBSCRIBED AND SWORN TO before me   |
| NOTARY PUBLIC In and For Said County and State  STACEY RAY Notary Public-State of Nevada   | 8800   | this of day of June 2, 2020.  |
| NOTARY PUBLIC In and For Said County and State  21  22  STACEY RAY Notary Public-State of Nevada   | 310086 |   |
| 21 22 STACEY RAY 23 Notary Public-State of Nevada  | come:  |   |
| 22 STACEY RAY Notary Public-State of Nevada  |        | County and State  |
| STACEY RAY Notary Public-State of Nevada   |        | /   |
| Appointment No. 18-1147-1  |        | STACEY RAY  |
| N Aby Appointment Expires Dec. 1, 2021 (0)   |        | Appointment No. 18-1147-1   |
| 24   |        |   |
| 25<br>26   | 10.50  | NAU.  |



## HOME INSPECTION

## REPORT

42 Meadowhawk Lane Las Vegas, NV 89135

Prepared for:

Mr. Todd Swanson

Prepared by:

Criterium - McWilliam Engineers, LLC P.O. Box 60131 Boulder City, NV 89006 (702) 294-3160

May 11, 2015

Inspection: 15-0194

Date of Inspection: May 8, 2015

Engineer: David Taylor, E.I., Master Inspector





SWANSON000051

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APPENDIX A - MAINTENANCE PLAN

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### EXECUTIVE SUMMARY OF FINDINGS

To help provide a perspective for the work that we have recommended be complete before releasing the contractor, we offer the following list of suggested repairs. This list should not be considered all-inclusive since there will surely be other things you will want to make part of this list. Please use this list in conjunction with this Report and the Maintenance Plan provided at the end of this report in Appendix A.

### Items to be addressed before releasing the contractor:

- Maintain heating/air conditioning equipment
  - The door at the right side air handler is damaged and does not close properly.
- Repair plumbing fixtures
  - There are leaks at both recirculation pumps.
  - There is a pluming leak above the ceiling of the basement bathroom.
  - The drain cleanouts should have permanent screw-type caps.
  - There is no air gap on the ice maker drain line. In addition, we recommend that the drain line hose be cleaned.
  - The locking lug is missing from one water heater enclosure.
  - The tubs in the second floor bathroom have controls for some unknown feature.
     This should be investigated further.
  - The automatic solenoid valves on the pool fill circuit are noisy and create a water hammer effect throughout the house. This should be investigated further, and repaired as needed.

# Repair electrical system

- There is an open outlet at the lower patio.
- All outlets within six feet of a sink should be protected by GFCIs. The outlets by the master bathroom sinks were not.
- There is no power at the outlet in the master closet. The cover is also missing from this outlet.
- The outlet covers are loose at the media room wet bar cabinet.
- The door at the control box for the automated panel door can not close. The power cord is routed through the door.
- The whirlpool tub is not GFCI protected.
- The screws are missing from the deadman covers at the main electrical panels.
- Review entire electrical system.



# Make interior repairs

- The drywall is damaged at the right side mechanical closet.
- The whirlpool tub is not supported from the floor.
- The cover is missing from the vent fan in the media room.
- There is a loose light fixture in the master shower.
- The access cover at the basement hall does not close properly.
- There are no secondary latches on the patio sliding glass doors.
- One burner valve at the patio grill is not functional.
- There is no hardware in the basement bedroom closet.

# Repair exterior

- The handrail has been removed from a second floor window. The handrail should be properly replaced or the wall penetrations sealed.
- The grout is missing from the tile joints on the patio stairs.
- There is no landing at the exterior door in the kitchen.
- There is unfinished stucco surface at the roof feature.
- The screens for the patio slider doors do not latch.
- The patio slider in the basement media room does not latch.
- The automated panel doors do not close properly.

# Make roof repairs

- The primary debris guards are not sealed to the roof.
- The debris guards should be removed from the secondary drains.
- The cap should be removed from the plumbing vent at the left side roof.
- The elimination of low spots that accumulate standing water.
- The gutter downspouts should be made to discharge away from the house.
   Therefore, a splashblock should be placed under each downspout to direct the water away from the foundation. This on the left side of the house.
- Further investigation of fire sprinkler controls
- Repair garage firewall
- Maintain/repair the whirlpool bath
  - The jet nozzles are missing. They should be installed.
  - There is no support under the tub, appropriate support should be installed.
- Maintain/repair the swimming pool and equipment
  - The water distribution for the water wall should be adjusted to reduce splashing.





P.O. Box 60131 Boulder City, NV 89006

May 11, 2015

Mr. Todd Swanson 10120 W. Flamingo Road #4333 Las Vegas, NV 89147

Re:

Home Inspection – 15-0194

42 Meadowhawk Lane, Las Vegas, NV 89135

Dear Mr. Swanson:

At your request, a structural, electrical, and mechanical inspection of the above property was performed on May 8, 2015. The report that follows has been prepared based on that inspection.

This inspection was performed by and report written by David Taylor, E.I., Master Inspector, of Criterium - McWilliam Engineers, LLC. Further, this inspection was supervised by, and report reviewed by, Kevin A. Greene, P.E., of Criterium - McWilliam Engineers, LLC. For your interest, a copy of Mr. Taylor's resume is attached.

The report that follows has been prepared from the perspective of what an owner of this property would benefit from knowing. Thus, it discusses many things beyond those which are of immediate concern. Therefore, the report needs to be read in its entirety to understand fully all the information that has been obtained.

For your convenience, we have prepared the following summary of the condition of the major systems of the house. Please refer to the appropriate section of this report for a more detailed discussion of these systems.



#### SUMMARY

The structural system is in good condition.

The heating/air conditioning system is operational.

The plumbing system is in good condition, but in need of some repair.

The electrical system is in good condition, but in need of some repair.

The exterior is in good condition, but in need of some repair.

The roofing is in good condition, in need of some repair.

The swimming pool and equipment are in good condition.

This is a new home that has been constructed using good quality materials and workmanship. Overall, while there is some work to do, we consider it to be in somewhat above average condition. It should serve you well for some time to come.

### INTRODUCTION

Our primary purpose is to provide an understanding of the house. We do, of course, look for problems, particularly those we would consider major deficiencies. Please keep in mind that we generally define a major deficiency as one that would cost approximately \$1,000.00 or more to correct. Any house will have less important items that still deserve attention. Often these are matters of personal preference. It is not the intent of our inspection to detail every lesser defect we might find.

Our inspection and report **do not include** code compliance, mold investigations, indoor air quality analysis, municipal regulatory compliance, subsurface investigation, verification of prior uses, or records research related to this building.

This inspection report is limited to observations made from visual evidence. No destructive or invasive testing was performed. The report is not to be considered a guarantee of condition and no warranty is implied.

Criterium - McWilliam Engineers, LLC, offers two types of residential inspections; the standard inspection and the comprehensive inspection. Essentially, the standard inspection relies on visual evidence available at the time of the inspection, while the comprehensive inspection relies on visual evidence plus analysis, invasive testing, and extended, on-site evaluation to reach



its conclusions. Further detail regarding these two types of inspections can be found in the Agreement for Services in Appendix D of this report.

Based on discussions prior to our inspection, you have chosen the standard visual inspection. Our inspection and the report that follows were conducted in accordance with the standards for this type of inspection.

For your reference while reading the report that follows, the following definitions may be helpful:

- Excellent Component or system is in "as new" condition requiring no rehabilitation and should perform in full accordance with expected performance.
- Good Component or system is sound and performing its function. Although it may show signs of normal wear and tear, some slight rehabilitation work may be required.
- Fair Component or system falls into one or more of the following categories:

  a) Evidence of previous repairs not in compliance with commonly accepted practice, b) Workmanship not in compliance with commonly accepted standards, c) Component or system is obsolete, d) Component or system approaching end of expected performance. Repair or replacement is required to prevent further deterioration or to prolong expected life.
- Poor Component or system has either failed or cannot be relied upon to continue performing its original function as a result of having exceeded its expected performance, excessive deferred maintenance, or state of disrepair. Present condition could contribute to or cause the deterioration of other adjoining elements or systems. Repair or replacement is required.

All ratings are determined by comparison to other buildings of similar age and construction type. Further, some details of workmanship and materials will be examined more closely in higher quality homes where such details of workmanship and materials typically become more relevant.

Attached are the inspection field notes and captioned photographs, which contain additional information. These should be considered part of the overall evaluation and report.

In addition to our discussions about the condition of the various systems and components, this report covers repairs and maintenance. To help provide a perspective for the work we have suggested for this home, a **Maintenance Plan** has been included in the Appendix A to this report.



This report is based on an examination of the major systems in this building; specifically the heating, plumbing, electrical, and structural systems. This report is an opinion about the condition of this building. It is based on visual evidence available during a diligent inspection of all reasonably accessible areas. No surface materials were removed, no destructive testing undertaken, nor furnishings moved. This report is **not** an exhaustive technical evaluation. Such an evaluation would cost many times more.

This evaluation is not a geological inspection of the site. No subsurface investigation was made and this inspection is not what might be referred to as a "soils report." We can make no determination of the prior grading activity that may or may not have occurred without more extensive research of public records or subsurface investigation. If you desire a geotechnical evaluation we can provide these services at an added fee.

Owning any building involves some risk. Even the most comprehensive inspection cannot be expected to reveal every condition you may consider relevant to your ownership. Further, without disassembling the building, not everything can be known.

You, as a responsible owner, should examine the portions of this building for which you are most able to judge acceptability. This includes such things as floor coverings, interior wall finishes, appliances, etc.

It is our responsibility to evaluate available evidence relevant to the major systems in this building. We are not, however, responsible for conditions that could not be seen or were not within the scope of our service at the time of the inspection.

**No home is perfect**. We will be discussing many different subjects in this report as well as offering suggestions for changes and improvements to this home. As you read the report, pay particular attention to our notes regarding the fact that many of our observations and suggestions are typical of many homes we look at. Thus, while it may seem that there is some work to do during the next five to ten years, keep in mind that no home is perfect and all deserve some care, attention and upgrading.

#### DESCRIPTION

This home is a two-story single family residence, with stucco on the exterior walls and urethane foam roof surfacing. The house is built on a nearly level building pad on a lot that slopes to the street at the front and the golf course. The home was apparently built in 2015 and is assumed to face south. There is a basement under most of this building. In addition, there was an attached three-car garage which was included in this evaluation.

This is a luxury home and our report reflects this intended level of quality.



For purposes of this report, all directions (left, right, rear, etc.) are taken from the viewpoint of an observer standing in front of the building and facing it.

#### STRUCTURE

The space above the second floor ceiling was not accessible, and this limited the extent of our structural inspection.

The basic construction of this building is of the concrete slab foundation type. The upper-level floor joist members and roof framing are supported by interior and exterior bearing walls and beams. This is a standard method of construction. We inspected the walls, door casings, and the fit of the doors and windows for any indication that there was movement ongoing with respect to the foundation. No significant movement was noted.

Where visible, the footers are generally in good condition. There are a few cracks, which is typical for this type of foundation wall. When floor coverings are changed in this home, expect to find slight cracking of the concrete floor of the house. This is common and not considered structurally significant.

Drainage of the property and surrounding area was relatively good. We mention this because poor drainage is a frequent contributor to differential movement in the Las Vegas area.

The roof framing was inspected by entering the attic via the access panel in the garage. There was limited room for movement in the attic and only the area in the immediate vicinity of the access panel could be seen. Where visible, there is no indication of damage or deterioration with respect to the roof framing.

The roof framing in this home consists of prefabricated wood trusses. Where visible, the roof framing members are in good condition. Prefabricated trusses are common building components that are also designed for their specific use. Therefore, if you are considering renovations to this home, keep in mind that these trusses should not be modified or altered without proper design and review.

Our evaluation of much of the structure is derived from many indirect inspection observations. Since we rarely see the wall framing, we look for cracks and bulges in the finish of the walls to determine condition. It is possible that there are shortcomings with the structure of this home that will not be indicated from a visual inspection.

While the house is quite new and has not had time to develop problems with rot, there are several areas where rot could develop. Proper maintenance includes painting or staining any exposed wood to prevent moisture penetration, caulking at joints between different materials, and providing or maintaining good ventilation. Even with these precautions, rot may still develop in



these or other areas. The important thing to remember is that any damaged wood needs to be replaced as soon as possible to prevent any damage from spreading to other wood framing. At the time of any repair work, areas normally hidden from view can be inspected for signs of deterioration.

For all practical purposes, there has been little or no settlement or movement of the house, and it can be described as structurally sound. The overall structural stability of these premises can be described as good.

Based on visible evidence, no major structural problems are expected in this building well into the foreseeable future. This, of course, assumes proper maintenance and regular inspections.

#### WOOD-DESTROYING INSECTS

We are not providing a pest inspection.

#### WATER

We found no evidence of moisture or seepage on the concrete slab. You should not assume, however, that water seepage problems cannot and will never occur. Water problems result from a number of sources under a variety of conditions.

With the basement finished off as it is we could not investigate a major portion of it for signs of moisture seepage and/or leakage. While there were no signs of such problems, an awareness of the possibility of such moisture entry and its effects for the basement area must be maintained. We recommend that you keep the basement under observation for any signs of potential moisture entry. This would include cracks in the foundation wall, stains on the walls, unexplained dampness in the carpet, moisture entry in the non-finished areas of the basement, and following heavy rains or if water accumulates near the house.

#### VENTILATION

Ventilation is very important for all buildings. Attic ventilation will reduce the amount of heat and moisture that can develop in insulated attics and can increase roof life by reducing heat and condensation. Good ventilation yields a healthier living environment as well, as it reduces the accumulation of offensive and/or toxic fumes and improves energy efficiency.

There will be no attic ventilation in this house. The insulation is installed directly under the roof sheathing. This exemption from the building code is allowed by the local municipalities.

All interior exhaust fans were checked and found to be operational. All exhaust fans and exhaust ductwork should be cleaned and serviced regularly.



Basement ventilation is provided by doors. The amount of ventilation appears to be adequate.

If natural ventilation proves to be inadequate or is undesirable, the installation of one or more dehumidifier units in the basement area may be needed for humidity control.

Indoor air quality is a growing concern. Mold and mildew, fostered by moisture accumulation, can lead to respiratory discomfort and aggravate allergies and other respiratory conditions for some people. While we may comment on readily visible evidence of mold infestations (refer to the "Environmental Scan" section) this inspection and report should not be considered a mold investigation of any kind. If that type of investigation is desired, individuals specifically trained and qualified for such work should undertake it.

### HEATING AND AIR CONDITIONING

The heating and cooling zones are not clearly defined in the house. There are eight thermostats located in the basement media room, basement bedroom, living room, first floor front bedroom, master bedroom, second floor hall, second floor front bedroom, and second floor master suite.

Heating and cooling for these premises is provided by five split system air conditioners and gas furnaces. A split system air conditioning/heating system consists of two basic elements: the compressor/condensing units which are located in the right and left side yards, and the air handler/evaporator coil/gas furnace units which are located in the interior and exterior closets and garage attic space.

During the hot summer months, the compressor/condensing units, in conjunction with the evaporator coils, remove heat from the house and reject it to the outside. During the cooler winter months, the furnaces heat the inside air. For both the heating and cooling processes the air handlers circulate air through the house.

Automated motorized damper systems installed in the ductwork allow each furnace/air handler to serve multiple zones.

In the cooling mode, these systems, when operating properly, can produce approximately a total of 19-1/2 tons of cooling. This should be adequate for this size house.

Our visual inspection of the air conditioning system does not check for proper refrigerant charge or test for leaks in the system. Evaporator coils need cleaning and maintenance periodically. The coils should be cleaned, serviced and inspected if the owner's records do not indicate that this service has been performed within the last year.



Keep in mind that the average life of an air conditioner compressor is approximately 12 to 15 years. The air conditioner compressor/condenser units were manufactured in 2014.

According to the heating units' nameplates, they are rated at a total of 400,000 B.T.U.H. This should also be adequate for this home.

Please be aware that the heat exchangers (which are the central and most critical part of a hot air furnace) could only be viewed to a limited extent. Those areas that were visible appeared to be serviceable. You should understand that this is a very limited examination and not a conclusive evaluation of the heat exchanger. A conclusive evaluation can only be done by a partial dismantling of the furnaces, or by a smoke test or other tests that would identify combustion products in the heated air.

This heating and cooling equipment should be cleaned, serviced and adjusted each year prior to the start of the heating and cooling seasons. This servicing should include the compressor, burners, motor-blower units, filters, and all electrical controls and devices for starting and operating, etc.

The cleaning and/or changing of filters at least every 3 to 4 weeks in the heating and cooling seasons is strongly recommended. This will go a long way towards keeping the units running efficiently. Filters are located at the return air grills.

The door at the right side air handler is damaged and does not close properly.

### **ENERGY EFFICIENCY**

In any home in this climate, the three most important areas for enabling optimum energy efficiency are conduction, solar heat gain, and infiltration gains and losses. Conduction (or direct heat gain or loss through the walls and ceiling) is primarily controlled by insulation. Infiltration loss or gain (drafts or air leakage) is controlled by caulking and weather-stripping. Solar heat gain is controlled by the external shading of windows exposed to the sun or reflected sun.

In this house, the infiltration, solar heat gain, and conduction losses and gains are reasonably well controlled and no major additional work is recommended at this time.

Eight to ten inches of fiberglass batt insulation has been installed directly against the roof sheathing. This allows the entire attic space to be included in the insulated envelope. There are apparently 4-1/2 inches of wall insulation.

Generally, the windows in this home are good quality "thermal pane" (double glazed) windows. If kept well maintained and tightly closed in the winter and summer, these windows



should serve you well. The seal was checked in these windows and no problems were noted. Such defects are not always visible, however, because of varying temperature and humidity conditions.

The exterior doors were equipped with weather-stripping which was generally in serviceable condition. As it ages, however, it will wear and deteriorate. As this occurs, or if the weather-stripping becomes damaged, you should replace it.

To be sure you are not wasting energy on the production of hot water, you should check the temperature of the hot water produced. If it is above 120°F (140°F for some dishwashers), we recommend that you reduce it to that level to minimize your hot water energy requirements. To be most accurate, use a thermometer at the hot water faucet. Currently the water heaters are set at 125°F and 130°F.

### **PLUMBING**

A plumbing system consists of three major components, the supply piping, the waste or drain piping, and the fixtures. The distribution piping brings the water to the fixture from a public water main, and the waste piping carries the water from the fixture to a public sewer line.

The distribution piping is smaller diameter piping that operates under pressure. These pipes must be water-tight. The drain or waste piping does not operate under pressure, instead typically uses gravity to drain the water from the fixture to the sewer. Thus, these pipes must slope in order to work properly.

As we understand it, this house is served by both municipal water and municipal sewer and, therefore, little problem need be anticipated in either of those areas. You should confirm these connections with the local water and sewer authorities.

You also should be aware that you are typically responsible for the cost of any repairs related to the portions of that system contained within your property lines. Clarification of this responsibility can be obtained through your local code enforcement official.

We do not operate water shut-off valves as part of our inspection because valves that have been in one position for an extended time may leak when operated. We recommend that you check the condition of the main water shut-off valve after you take possession. You should check any other valves that you may want to use.

Where visible, the plumbing distribution system in this home consists of cross-linked polyethylene (PEX). PEX was introduced in to the United States in the 1980s and is suitable for hot and cold water applications, including potable water. PEX is an approved material in all the current editions of national model-plumbing codes. We recommend that you obtain the



manufacturer's warranty information, and retain it with your records. This system was in operating condition at the time of the inspection.

Water pressure in the various plumbing fixtures was normal. Most fixtures were tested and found to be in working order.

The water supply system included water softening equipment. This equipment appeared to be operational at the time of our inspection. This equipment normally serves to reduce the mineral content in the water making it "softer"; more compatible with normal, residential needs. However, we do not water test this equipment to evaluate its capability to soften the water. We suggest you discuss the proper operation and maintenance of this equipment with the current owner and obtain any maintenance records and manufacturer's information that might be available.

The drain lines in this home consisted of ABS piping. Where visible, this system was in good condition at the time of the inspection. Plastic waste lines are normally noisier than iron and this may be noticeable in rooms where the lines are located in the wall.

The basement bathroom uses a sewage ejector pump to lift the wastewater to the elevation of the main sewer pipe. Since these pumps can break down, creating a waste backup condition, it is important to have this system serviced at least once each year. At the time of the inspection, the pump was in working order.

Domestic hot water for the house is provided by four gas-fired tankless water heaters. They were in operation at the time of our inspection. They appear to be properly sized for the normal needs of this house. This type of water heater is somewhat new to the Las Vegas area. Thus, we cannot comment on the life expectancy of this unit. We recommend that you follow the manufacturer's maintenance directions for hard water conditions.

These water heating systems are equipped with hot water re-circulating pumps. The purpose of these pumps is to provide hot water almost instantly to the various fixtures throughout the house. They were operational at the time of our inspection.

The underground lawn sprinkler/irrigation system was operating at the time of inspection. It should be realized that no excavations or diggings were made as part of this inspection; therefore, no comment can be made on the condition of buried pipes.

The following specific deficiencies were noted in the fixtures and related piping:

- There are leaks at both hot water recirculation pumps.
- There is a pluming leak above the ceiling of the basement bathroom.
- The drain cleanouts should have permanent screw-type caps.



- There is no air gap on the ice maker drain line. In addition, we recommend that the drain line hose be cleaned.
- The locking lug is missing from one water heater enclosure.
- The tubs in the second floor bathroom have controls for some unknown feature. This should be investigated further.
- The automatic solenoid valves on the pool fill circuit are noisy and create a water hammer effect throughout the house. This should be investigated further, and repaired as needed.

#### ELECTRICAL

A typical electrical system consists of two distinct components: (1) the electric service entrance, and (2) the electric circuits. The service entrance determines the capacity of the electric power available to the home. The electric circuits distribute the power through the home.

Electrical devices in a home typically use either 120 or 240 voltage electricity. The major appliances such as clothes dryers, kitchen ranges, water heaters, air conditioners, and electric heating units require 240 volts. General-purpose circuits (lighting, outlets, etc.) require 120 volts.

The electrical system for this house consists of a three-wire service, 120/240 voltage and has an apparent 800 amperes available. It is adequate to serve the needs of this house as it now stands.

Our investigation of the electrical system is limited to the visible components, the entrance cable, meter box, service panel, outlets and switches, and the visible portions of the wiring. A larger portion of the electrical system is hidden behind walls and ceilings, and, obviously, all the conditions relating to these unseen areas cannot be known. The covers of the service panels were removed to investigate the conditions in them.

While some deficiencies in the system may be readily discernible, not all conditions that can lead to the interruption of electrical service, or that are hazardous, can be identified.

The main electric service cable comes to the house underground. No excavation on or near the property should be done unless the electric utility has been consulted.

The main electrical panel is located in the left exterior garage wall. There are also three sub-panels located in the garage, media equipment closet, and pool equipment. These sub-panels are controlled by breakers in the main panel.



Where visible, the general condition of the wiring and fixtures is good. We tested a sampling of the accessible outlets for polarity and grounding. We did not disconnect appliances or move furniture to reach outlets. We found those we tested to be wired properly.

Stranded aluminum wiring has been used for major circuits (240 volts) in this house. The use of aluminum wiring in such circuits is accepted by the electrical code. We do, however, recommend that these wires and their connections be checked periodically by a licensed electrician.

This house is equipped with ground fault circuit interrupters (GFCIs) in the kitchen, bathrooms, laundry rooms, wet bar, exterior, garage, and at the pool and spa light circuit. The purpose of a GFCI is to provide positive protection against a shock hazard since it will "trip" almost instantaneously, thus protecting you. Should a GFCI trip, simply reset it to continue operation. Periodically, you should test the GFCI for proper operation. There are test buttons at the various GFCI outlets. When you push the test button, the GFCI should trip to the *off* position.

Effective January 1, 2002, NFPA 70, the National Electrical Code (NEC), Section 210-12, requires that all branch circuits supplying 125V, single phase, 15- and 20- ampere outlets installed in dwelling unit bedrooms be protected by an arc fault circuit interrupter (AFCI). The 2008 edition of the NEC expanded the AFCI requirements to include essentially all branch circuits in a home. This requirement applies to new homes and major renovations. The purpose of an AFCI circuit is to detect arc faults (essentially loose wires) in electrical circuits that could cause a fire.

This house is equipped with AFCI protection on all branch circuits.

The following repairs to the electrical system are needed:

- There is an open outlet at the lower patio.
- All outlets within six feet of a sink should be protected by GFCIs. The outlets by the master bathroom sinks were not.
- There is no power at the outlet in the master closet. The cover is also missing from this outlet.
- The outlet covers are loose at the media room wet bar cabinet.
- The door at the control box for the automated panel door can not close. The power cord is routed through the door.
- The whirlpool tub motor is not GFCI protected.
- The screws are missing from the deadman covers at the main electrical panels.
- Review entire electrical system.

For a new house, there are many incomplete details in the electrical system. We recommend that the entire system be reviewed by a licensed electrician before taking ownership.



#### INTERIOR

As a responsible owner, you are best able to judge the condition of the interior finish of the rooms. In this section of the report, we are concerned with those things that are technically and financially significant. For example, stains which might indicate roof or plumbing leaks, older wall or ceiling material which may require repair/replacement; the use of substandard materials on interior walls or ceilings; or the quality and condition of such items as the doors, windows, and cabinetry are those things which can affect the overall quality and condition of a home.

Generally, the interior walls and ceilings of this home are finished with drywall. Most of the cracks noted in the interior drywall appear to be the result of continued drying and shrinkage of the wood framing. This is common and to be expected in the dry desert environment of southern Nevada. Interior cracking can typically be taken care of when redecorating.

Overall, the materials used throughout the interior of this home are above average. Although normal repairs and maintenance should always be expected, the quality of the doors, windows, cabinetry, hardware, molding, etc. used indicates that very few serious problems need to be anticipated for at least the next five to ten years.

Bathroom caulking should be inspected regularly and kept in good condition since water leaks can lead to other structural deterioration. Particularly important and often overlooked, is the joint between the tub/shower pan and the ceramic tile.

The following were in operating condition at the time of the inspection: kitchen steamer, stovetop, kitchen exhaust hood, sink disposal, two drawer style dishwashers, dishwasher, trash compactor, wall ovens, two microwave ovens, built-in coffee machine, wine cooler, three bar refrigerators, ice maker, central vacuum, clothes washer, clothes dryer, patio grill, patio stovetop, and automated blinds and curtains.

Unless otherwise noted, none of the other appliances and/or equipment in and about these premises was tested. All that remain should be in operating condition when this property is taken over. Since the condition of this equipment can change unexpectedly, we suggest that you visit this home at least one more time before taking ownership to confirm that everything is operating properly. We have included a *Pre-Title Checklist* for your use during this final visit.

Our "test" is not an evaluation of performance but is only to verify that they "work." It is possible that timers may be defective, garbage disposals may be ineffective, thermostats may be out of calibration, and the appliance can still "pass" our abbreviated test. Appliances can fail at any time without warning. There are insurance policies available to you that may provide some protection. Your agent can supply information on this subject.



We noted the following specific deficiencies in the interior finish:

- The drywall is damaged at the right side mechanical closet.
- The whirlpool tub is not supported from the floor.
- The cover is missing from the vent fan in the media room.
- There is a loose light fixture in the master shower.
- The access cover at the basement hall does not close properly.
- There are no secondary latches on the patio sliding glass doors.
- One burner valve at the patio grill is not functional.
- There is no hardware in the basement bedroom closet.

#### EXTERIOR

The exterior walls are surfaced with stucco and are in good condition. Most of the cracks noted in the exterior stucco appear to be the result of continued drying and shrinkage of the wood framing, and seasonal temperature changes. This is common and to be expected in dry desert environments like Southern Nevada. All cracks need to be patched and sealed to prevent moisture entry when the house is next repainted.

The exterior of this house appears to have been recently painted. This could cover up evidence of latent defects such as structural problems, roof leaks, water damage, etc.

The paint on the exterior of this house is in good condition. Repainting is typically needed every five to ten years. This can vary depending on the type of walls or siding, the quality of the paint used, how well the walls were prepared for repainting, the exposure to direct sunlight, the closeness of trees and bushes to the side walls, etc.

The windows in this house are metal framed, casement and fixed windows, with dual glass pane. They are of good quality, and are generally in good operating order. While some maintenance and repairs will always be needed, these should be serviceable for many years to come.

Seals in thermal pane windows can break down within ten to fifteen years of their installation. Condensation developing between the panes of such a glass unit is indicative of a broken seal. These conditions are not always visible, however, depending on temperature and humidity conditions. In general, repair of broken seals requires the replacement of the damaged glass unit.

We noted the following specific deficiencies at the exterior:

 The handrail has been removed from a second floor window. The handrail should be properly replaced or the wall penetrations sealed.



- The grout is missing from the tile joints on the patio stairs.
- There is no landing at the exterior door in the kitchen.
- There is unfinished stucco surface at the roof feature.
- The screens for the patio slider doors do not latch.
- The patio slider in the basement media room does not latch.
- The automated panel doors do not close properly.

### ROOF

The roof is a system that must work well together to provide weather protection for the house. The major elements in this system include the roofing or roof covering (shingles, tile, membrane), the underlayment (impregnated felt or paper, ice and water shield), metal flashing (lead, copper, aluminum, galvanized steel), sheathing (plywood, waferboard, dimensional lumber boards), and the roof rafters themselves.

We examined the roof directly by going onto the roof.

The roof is surfaced with sprayed-in-place urethane foam roofing and is in good condition. It appears to be one year old and another 15 to 20 years is likely before any major resurfacing needs to be considered.

We recommend that every 5 years the roofs be inspected and maintained by a licensed roofing contractor. The inspection should include all flashing, including any skylights, chimneys, roof vents, or other penetrations, as well as the ridges, valleys, and any wall-to-roof joints. The inspection should also look for any damaged roof surfaces. Any needed maintenance should be performed in conjunction with the routine roofing inspection.

Interior roof drains drain water from the flat roof of this building. These roof drains should be kept very well maintained since a leak around one of the roof drains could lead to serious water damage within the building.

Various roof repairs are needed at this time. These include:

- The primary debris guards are not sealed to the roof.
- The debris guards should be removed from the secondary drains.
- The cap should be removed from the plumbing vent at the left side roof.
- The elimination of low spots that accumulate standing water.
- The gutter downspouts should be made to discharge away from the house.
   Therefore, a splashblock should be placed under each downspout to direct the water away from the foundation. This is needed at the left side down spouts.



With any roof, regardless of age, you should expect slight leakage from time to time. This can occur along the edges of the roof, at joints between different roof surfaces, and around penetrations through the roof. Normally, repairs to correct this leakage are easily accomplished.

#### ENVIRONMENTAL SCAN

While some references to hazardous materials may be made, our report is not a complete investigation for toxic wastes in the building or adjacent soils, hazardous materials, or public records affecting this property. Such an investigation would be much more costly and is beyond the scope of this inspection.

Essentially, there is no evidence of any hazardous construction materials in this building. This, of course, cannot be guaranteed based on visible evidence alone.

Mold is a growing concern. For some individuals, the presence of mold may aggravate certain respiratory conditions, and, for still a smaller group, may actually be toxic. Organizations like the Environmental Protection Agency (EPA) and the Centers for Disease Control (CDC) have not established any levels considered to be safe or unsafe for mold. This is not for lack of trying; it is a matter of complexity. If you find mold, it often can be removed effectively using a chlorine solution (e.g. diluted Clorox) and then monitoring the area to determine if it returns. Mold is usually the result of moisture. Controlling moisture penetration will typically eliminate the opportunity for mold to survive. For more information about mold, you might want to consider visiting one or more of the following websites:

- www.iaqa.com
- www.epa.gov/iaw/mold/index.html
- www.cdc.gov (search on mold)

We found no readily visible evidence of mold during our inspection. Further, we noted no visible evidence of significant moisture accumulation or penetration. However, this inspection should not be considered a specific mold investigation.

Our inspection does not make any attempt to know or verify the prior uses of this property and cannot determine whether or not illegal activities have been engaged in, on, or near the property, including but not limited to, the use or manufacture of illegal substances, criminal events, or the presence of substances banned or controlled by federal, state, or local law. If this is of concern to you, we recommend that you make appropriate inquiries into past uses to resolve your concerns.



### LIFE AND FIRE SAFETY

While some references to code compliance may be made, our report is not a code compliance investigation. Such an investigation is beyond the scope of this inspection.

This home is equipped with a gas log fireplace located in the living room. This fireplace is designed only to burn natural gas, and is ventilated by ductwork through the roof. It has a mechanical gas valve operated by a key, and an automatic ignition operated by an electric switch. A limited investigation of the fireplace was undertaken. To the extent visible, the fireplace appeared to be in satisfactory condition.

This home is equipped with smoke detectors that are "hard wired" (connected directly to the electrical system). A spot check of the detectors revealed no problems. We recommend that you test them monthly for proper operation.

There is also a security/fire alarm system in this home. Exactly how well this system is functioning, who has ownership, and what areas it serves are not known at this time. We suggest that you spend some time with the current owner to further understand the operation and ownership of this system and, if possible, to obtain all manufacturer's literature. Also, keep in mind that most of these systems do require regular maintenance to assure proper and dependable operation.

Carbon monoxide can be a by-product of burning oils, natural gasses and other fuels. It is also odorless and colorless, which means that it can go undetected. The symptoms of carbon monoxide poisoning can be easily mistaken for influenza or other common illnesses.

Carbon monoxide emissions can occur during improper operation or inadequate ventilation of the follows:

- Automobiles or lawn equipment running in a garage
- Gas, oil or kerosene space heaters
- Gas furnaces, water heaters, ovens, clothes dryers and other gas appliances
- Wood-burning stoves or fireplaces
- Outdoor gas grills leaking fumes into an open window or door
- Charcoal broilers

Proper maintenance of all equipment, including related flues or vents, that use burning oils, natural gasses or other fuels is still the best way to avoid carbon monoxide poisoning.

There were carbon monoxide detection devices installed in this house, located in the halls and bedrooms. They appear to be connected to building power. They did have batteries that should be tested or replaced at regular intervals.



The fire sprinkler system was not tested as part of this inspection. This system should be tested, and its certification updated on an annual basis. We noted that the control arrangement for the sprinkler system is unique compared to others we have reviewed. This should be investigated further, and repaired as needed. We also recommend that the access door to the controls is labeled.

We noted golf ball from the adjacent golf course in the back yard and on the roof. You should always be alert for the possibility of being struck by a golf ball when outside.

The garage wall and ceiling separating the garage from the adjacent living space is equipped with fire-rated sheetrock to properly isolate these two spaces. The attic access and speakers defeat the firewall.

#### GENERAL

The following are a few additional comments that may be of interest to you regarding this home:

The porches and patio were generally in good condition with no serious structural problems indicated.

The garage portions of the structure are generally sound. They appear to be built to the same standards as the house and are in good condition. If properly maintained, these portions of the building should remain serviceable for many years to come.

The overhead garage doors are equipped with electric door operators which operated satisfactorily at the inspection. The operators are equipped with a mechanism to reverse the travel of the doors if an object is struck by the door. Despite the presence of this feature, we recommend that you only operate the doors when you have them in full view and all children and pets are a safe distance away.

The land around this home is desert landscaped. We did not evaluate the health and condition of any plantings. You may want to obtain the services of a professional landscaper or nurseryman to determine the condition and maintenance required to protect these plantings.

#### WHIRLPOOL BATHS

This home is equipped with a whirlpool bath in the master bathroom. This is the type of unit that is filled at each use. This unit was filled and tested at the time of our inspection. It appears to be operational. You should familiarize yourself with the proper use of the controls. We noted that the jet nozzles are missing. They should be installed. Also, there is no support under the tub (see Interior section), appropriate support should be installed.

