### IN THE SUPREME COURT OF THE STATE OF NEVADA

TON VINH LEE,

Appellant,

v.

INGRID PATIN, an individual, and PATIN LAW GROUP, PLLC, a Nevada Professional LLC,

Respondent.

Supreme Court Case No.: 82516 District Court Case Flectronically Filed Jul 27 2021 04:49 p.m. Elizabeth A. Brown Clerk of Supreme Court

### **APPELLANT'S APPENDIX VOLUME 4**

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### **INDEX TO APPELLANT'S APPENDIX**

	Document Description	Location
Specia	l Verdict Form in Singletary v. Lee, Eighth	Volume 1
Judicial Case No. A656091 (filed 01/22/14)		Bates Nos. 1–5
Motion for Judgement as a Matter of Law Pursuant to		Volume 1
NRCP	50(b) or, in the Alternative, Motion for	Bates Nos. 5-29
Remitt	itur in Singletary v. Lee, Eighth Judicial Case No.	
A6560	91 (filed 05/13/14)	
Exhibi	ts to Motion for Judgment as a matter of Law	
А.	Excerpted Transcript of Trial Testimony of	Volume 1
	Andrew Pallos, M.D. in Singletary v. Lee, Eighth	Bates Nos. 30-181
	Judicial Case No. A656091 (dated 01/16/14)	
Notice	of Entry of Order for Motion for Judgement as a	Volume 1
Matter	of Law Pursuant to NRCP 50(b) or, in the	Bates Nos. 182-194
Alterna	ative, Motion for Remittitur in Singletary v. Lee,	
Eighth	Judicial Case No. A656091 (filed 07/16/14)	
Judgment on Jury Verdict for Defendant Ton Vinh Lee,		Volume 1
DDS in Singletary v. Lee, Eighth Judicial Case No.		Bates Nos. 195-196
A656091 (filed 09/11/14)		
Complaint in Lee v. Patin, Eighth Judicial Case No.		Volume 1
A723134 (filed 08/17/15)		Bates Nos. 197-201
Affidavit of Service for Defendant Patin Law Group,		Volume 1
PLLC	in Lee v. Patin, Eighth Judicial Case No.	Bates Nos. 202
A7231	34 (filed 08/31/15)	
Defend	lants' Motion to Dismiss in Lee v. Patin, Eighth	Volume 1
Judicia	l Case No. A723134 (filed 09/08/15)	Bates Nos. 203-214
Exhibits for Defendants' Motion to Dismiss		
А.	Complaint in Singletary v. Lee, Eighth Judicial	Volume 1
	Case No. A656091 (dated 02/07/12)	Bates Nos. 215-216
B.	Special Verdict Form in Singletary v. Lee,	Volume 1
	Eighth Judicial Case No. A656091 (filed	Bates Nos. 217-222
	01/22/14)	

C.Order on Defendants' Motion to Retax in Singletary v. Lee, Eighth Judicial Case No. A656091 (filed 04/11/14)Volume 1 Bates Nos. 223-227D.Judgment on Jury Verdict in Singletary v. Lee, Eighth Judicial Case No. A656091 (filed 04/29/14)Volume 1 Bates Nos. 228-237E.The Trial Reporter Newsletter (February 2014)Volume 1 Bates Nos. 232-235F.Nevada Legal Update Newsletter (Fall 2014)Volume 1 Bates Nos. 236-235G.Nevada Jury Verdict Google Search ResultsVolume 1 Dates Nos. 240-246	5
A656091 (filed 04/11/14)Volume 1D.Judgment on Jury Verdict in Singletary v. Lee, Eighth Judicial Case No. A656091 (filed 04/29/14)Volume 1 Bates Nos. 228-233E.The Trial Reporter Newsletter (February 2014) Bates Nos. 232-233Volume 1 Bates Nos. 232-233F.Nevada Legal Update Newsletter (Fall 2014) G.Volume 1 Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	5
A656091 (filed 04/11/14)Volume 1D.Judgment on Jury Verdict in Singletary v. Lee, Eighth Judicial Case No. A656091 (filed 04/29/14)Volume 1E.The Trial Reporter Newsletter (February 2014)Volume 1 Bates Nos. 232-233F.Nevada Legal Update Newsletter (Fall 2014)Volume 1 Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	5
Eighth Judicial Case No. A656091 (filed 04/29/14)Bates Nos. 228-23E.The Trial Reporter Newsletter (February 2014)Volume 1 Bates Nos. 232-23F.Nevada Legal Update Newsletter (Fall 2014)Volume 1 Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	5
04/29/14)E.The Trial Reporter Newsletter (February 2014)Volume 1 Bates Nos. 232-233F.Nevada Legal Update Newsletter (Fall 2014)Volume 1 Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	5
04/29/14)E.The Trial Reporter Newsletter (February 2014)Volume 1 Bates Nos. 232-233F.Nevada Legal Update Newsletter (Fall 2014)Volume 1 Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	
F.Nevada Legal Update Newsletter (Fall 2014)Bates Nos. 232-233G.Nevada Jury Verdict Google Search ResultsVolume 1Volume 1Bates Nos. 236-239	
F.Nevada Legal Update Newsletter (Fall 2014)Volume 1 Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	
Bates Nos. 236-239G.Nevada Jury Verdict Google Search ResultsVolume 1	)
G. Nevada Jury Verdict Google Search Results Volume 1	)
5 8	
(04/14/15) Bates Nos. 240-242	2
Affidavit of Service for Defendant Ingrid Patin in <i>Lee v</i> . Volume 1	
Patin, Eighth Judicial Case No. A723134 (filed Bates Nos. 243	
09/23/15)	
Defendants' Special Motion to Dismiss Pursuant to Volume 2	
NRS 41.635-70, or in the Alternative, Motion to Bates Nos. 244-260	)
Dismiss Pursuant to NRCP 12(B)(5) in Lee v. Patin,	
Eighth Judicial Case No. A723134 (filed 10/16/15)	
Exhibits for Defendant's Special Motion to Dismiss	
A. Complaint in <i>Singletary v. Lee</i> , Eighth Judicial Volume 2	
Case No. A656091 (dated 02/07/12) Bates Nos. 261-262	2
B. Special Verdict Form in <i>Singletary v. Lee</i> , Volume 2	
Eighth Judicial Case No. A656091 (filed Bates Nos. 263-268	3
01/22/14)	
C. Order on Defendants' Motion to Retax in Volume 2	
Singletary v. Lee, Eighth Judicial Case No. Bates Nos. 269-273	}
A656091 (filed 04/11/14)	
D. Judgment on Jury Verdict in <i>Singletary v. Lee</i> , Volume 2	
Eighth Judicial Case No. A656091 (filed Bates Nos. 274-27)	7
04/29/14)	
E. The Trial Reporter Newsletter (February 2014) Volume 2	
Bates Nos. 278-28	
F. Settlement/Verdict Website Screenshot and Volume 2	
Defendant's Fee Disclosure Bates Nos. 282-283	;
Defendant's Fee DisclosureBates Nos. 282-283	\$

G.	Nevada Legal Update Newsletter (Fall 2014)	Volume 2	
		Bates Nos. 284-287	
H.	Nevada Jury Verdict Google Search Results	Volume 2	
	(04/14/15)	Bates Nos. 288-290	
I.	Plaintiffs Case Appeal Statement in Singletary	Volume 2	
	v. Lee, Eighth Judicial Case No. A656091	Bates Nos. 291-297	
	(dated 08/08/14)		
J.	Defendants Case Appeal Statement (Cross-	Volume 2	
	Appeal) in Singletary v. Lee, Eighth Judicial	Bates Nos. 298-310	
	Case No. A656091 (dated 09/11/14)		
К.	Minutes of the Senate Committee on Judiciary	Volume 2	
	(dated 03/23/13)	Bates Nos. 311-313	
L.	Certificate of Business: Fictitious Firm Name	Volume 2	
	(dated 10/26/2010)	Bates Nos. 314-318	
М.	Reports Transcripts on Jury Trial in Singletary	Volume 2	
	v. Lee, Eighth Judicial Case No. A656091	Bates Nos. 315-323	
	(dated 01/17/14)		
Notice of Entry of Order Denying Defendants' Motion		Volume 2	
to Disn	niss in Lee v. Patin, Eighth Judicial Case No.	Bates Nos. 324-326	
A7231	34 (filed 10/23/15)		
Defend	lants' Motion to Dismiss Pursuant to NRCP	Volume 2	
12(b)(5	5) in Lee v. Patin, Eighth Judicial Case No.	Bates Nos. 327-335	
A7231	34 (filed 01/27/16)		
Exhibi	ts for Defendant's Motion to Dismiss Pursuant		
to NRC	CP 12(b)(5)		
1.	Affidavit of Ingrid Patin, Esq. in Lee v. Patin,	Volume 2	
	Eighth Judicial Case No. A723134 (filed	Bates Nos. 336-338	
	01/27/16)		
2.	Complaint in Singletary v. Lee, Eighth Judicial	Volume 2	
	Case No. A656091 (dated 02/07/12)	Bates Nos. 339-344	
	Denying Defendants' Special Motion to Dismiss	Volume 2	
	nt to NRS 41.635-70, or in the Alternative,	Bates Nos. 345-348	
	to Dismiss Pursuant to NRCP $12(B)(5)$ in Lee v.		
Patin, Eighth Judicial Case No. A723134 (filed			
02/04/1	16)		

Ameno	Amended Complaint in Lee v. Patin, Eighth JudicialVolume 2		
Case N	Io. A723134 (filed 02/23/16)	Bates Nos. 349-353	
Notice	of Entry of Order Denying Motion to Dismiss	Volume 2	
Pursua	nt to NRCP 12(b)(5) in <i>Lee v. Patin</i> , Eighth	Bates Nos. 354-357	
Judicia	ll Case No. A723134 (filed 04/11/16)		
Secon	Amended Complaint in Lee v. Patin, Eighth	Volume 2	
Judicia	ll Case No. A723134 (filed 04/11/16)	Bates Nos. 358-362	
Defen	lants' Renewed Special Motion to Dismiss	Volume 2	
Pursua	nt to NRS 41.635-40 in Lee v. Patin, Eighth	Bates Nos. 363-380	
Judicia	l Case No. A723134 (filed 05/24/16)		
Exhib	its for Defendant's Renewed Special Motion to		
Dismi	ss Pursuant to NRS 41.635-40		
А.	Notice of Entry of Order Denying Motion to	Volume 2	
	Dismiss Pursuant to NRCP 12(b)(5) in <i>Lee v</i> .	Bates Nos. 381-385	
	Patin, Eighth Judicial Case No. A723134 (filed		
	04/11/16)		
B.	Complaint in Lee v. Patin, Eighth Judicial Case	Volume 2	
	No. A723134 (filed 08/17/15)	Bates Nos. 386-387	
C.	Special Verdict Form in Singletary v. Lee,	Volume 2	
	Eighth Judicial Case No. A656091 (filed	Bates Nos. 388-393	
	01/22/14)		
D.	Order on Defendants' Motion to Retax in	Volume 2	
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 394-398	
	A656091 (filed 04/11/14)		
E.	Judgment on Jury Verdict in Singletary v. Lee,	Volume 2	
	Eighth Judicial Case No. A656091 (filed	Bates Nos. 399-402	
	04/29/14)		
F.	The Trial Reporter Newsletter (February 2014)	Volume 2	
		Bates Nos. 403-406	
G.	Nevada Legal Update Newsletter (Fall 2014)	Volume 2	
		Bates Nos. 407-410	
H.	Nevada Jury Verdict Google Search Results	Volume 2	
	(04/14/15)	Bates Nos. 411-413	
L			

т		V 1 2		
I.	Plaintiffs Case Appeal Statement in <i>Singletary</i>	Volume 2		
	v. Lee, Eighth Judicial Case No. A656091	Bates Nos. 414-420		
	(dated 08/08/14)			
J.	Defendants Case Appeal Statement (Cross-	Volume 2		
	Appeal) in <i>Singletary v. Lee</i> , Eighth Judicial	Bates Nos. 421-433		
	Case No. A656091 (dated 09/11/14)			
К.	Judgment on Jury Verdict for Defendant in	Volume 2		
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 434-436		
	A656091 (dated 09/11/14)			
L.	Senate Bill No. 444- Committee on Judiciary	Volume 2		
		Bates Nos. 437-441		
M.	Certificate of Business: Fictitious Firm Name	Volume 2		
	(dated 10/26/2010)	Bates Nos. 442-446		
N.	Reports Transcripts on Jury Trial in Singletary	Volume 2		
	v. Lee, Eighth Judicial Case No. A656091	Bates Nos. 447-451		
	(dated 01/17/14)			
Order Denying Defendants' Renewed Special Motion to Volume 2				
Dismiss Pursuant to NRS 41.635-70, or in the Bates Nos. 452-453		Bates Nos. 452-455		
Alternative, Motion to Dismiss Pursuant to NRCP				
12(B)(5) in Lee v. Patin, Eighth Judicial Case No.				
A7231	A723134 (filed 09/29/16)			
Defend	Defendant Ingrid Patin's Answer to Plaintiff's Second Volume 2			
Ameno	Amended Complaint and Counterclaim Against Patin Bates Nos. 456-468			
law Group, PLLC in <i>Lee v. Patin</i> , Eighth Judicial Case				
No. A'	No. A723134 (filed 10/07/16)			
Order	Order affirming in Part, Reversing in Part and Volume 2			
Remar	Remanding in <i>Singletary v. Lee</i> , Eighth Judicial Case Bates Nos. 469-473			
	No. A656091 (filed 10/17/16)			
	lant Patin Law's Answer to Plaintiff's Second	Volume 2		
Ameno	Amended Complaint and Defendant's Counterclaim in Bates Nos. 474-491			
	Lee v. Patin, Eighth Judicial Case No. A723134 (filed			
10/18/16)				
	lant Ingrid Patin's Motion for Summary	Volume 3		
	ent in <i>Lee v. Patin</i> , Eighth Judicial Case No.	Bates Nos. 492-506		
-	34 (filed 02/10/17)			

Exhib	its for Defendant's Motion for Summary	
Judgn	ient	
А.	Order affirming in Part, Reversing in Part and	Volume 3
	Remanding in <i>Singletary v. Lee</i> , Eighth Judicial	Bates Nos. 507-512
	Case No. A656091 (filed 10/17/16)	
В.	Complaint in <i>Singletary v. Lee</i> , Eighth Judicial	Volume 3
	Case No. A656091 (dated 02/07/12)	Bates Nos. 513-514
C.	Special Verdict Form in Singletary v. Lee,	Volume 3
	Eighth Judicial Case No. A656091 (filed 01/22/14)	Bates Nos. 515-520
D.	Order on Defendants' Motion to Retax in	Volume 3
	Singletary v. Lee, Eighth Judicial Case No. A656091 (filed 04/11/14)	Bates Nos. 521-525
E.	Judgment on Jury Verdict in Singletary v. Lee,	Volume 3
	Eighth Judicial Case No. A656091 (filed 04/29/14)	Bates Nos. 526-529
F.	The Trial Reporter Newsletter (February 2014)	Volume 3
		Bates Nos. 530-533
G.	Nevada Legal Update Newsletter (Fall 2014)	Volume 3
	and Nevada Jury Verdict Google Search Results (04/14/15)	Bates Nos. 534-539
I.	Plaintiffs Case Appeal Statement in Singletary	Volume 3
	v. Lee, Eighth Judicial Case No. A656091 (dated 08/08/14)	Bates Nos. 540-546
J.	Defendants Case Appeal Statement (Cross-	Volume 3
	Appeal) in Singletary v. Lee, Eighth Judicial	Bates Nos. 547-559
	Case No. A656091 (dated 09/11/14)	
Κ.	Judgment on Jury Verdict for Defendant in	Volume 3
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 560-562
	A656091 (dated 09/11/14)	
L.	Senate Bill No. 444- Committee on Judiciary	Volume 3
		Bates Nos. 563-567
М.	Certificate of Business: Fictitious Firm Name	Volume 3
	(dated 10/26/2010)	Bates Nos. 568-572

N.	Reports Transcripts on Jury Trial in Singletary	Volume 3
	v. Lee, Eighth Judicial Case No. A656091	Bates Nos. 573-577
	(dated 01/17/14)	
К.	Judgment on Jury Verdict for Defendant in	Volume 3
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 578-580
	A656091 (dated 09/11/14)	
L.	Second Amended Complaint in Lee v. Patin,	Volume 3
	Eighth Judicial Case No. A723134 (filed	Bates Nos. 581-586
	04/11/16)	
Record	ler's Transcripts of Proceedings Hearing on May	Volume 3
9,201	7, regarding all Pending Motions in Lee v. Patin,	Bates Nos. 587-614
Eighth	Judicial Case No. A723134 (filed 06/09/17)	
Defen	lant Ingrid Patin's Motion for Summary	Volume 3
Judgm	ent in Lee v. Patin, Eighth Judicial Case No.	Bates Nos. 615-636
A7231	34 (filed 05/30/17)	
Exhib	its for Defendant's Motion for Summary	
Judgn	nent	
А.	Complaint in Singletary v. Lee, Eighth Judicial	Volume 3
	Case No. A656091 (dated 02/07/12)	Bates Nos. 637-659
B.	Order affirming in Part, Reversing in Part and	Volume 3
	Remanding in <i>Singletary v. Lee</i> , Eighth Judicial	Bates Nos. 660-665
	Case No. A656091 (filed 10/17/16)	
C.	Special Verdict Form in Singletary v. Lee,	Volume 3
	Eighth Judicial Case No. A656091 (filed	Bates Nos. 666-671
	01/22/14)	
D.	Order on Defendants' Motion to Retax in	Volume 3
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 672-676
	A656091 (filed 04/11/14)	
Е.	Judgment on Jury Verdict in Singletary v. Lee,	Volume 3
	Eighth Judicial Case No. A656091 (filed	Bates Nos. 677-680
	04/29/14)	
F.	The Trial Reporter Newsletter (February 2014)	Volume 3
		Bates Nos. 680-684
G.	Nevada Legal Update Newsletter (Fall 2014)	Volume 3
		Bates Nos. 685-688
1	I	1

TT	Neve de Lum Vendiet Casala Secuel Desults	Volume 3	
Η.	Nevada Jury Verdict Google Search Results	_	
	(04/14/15)	Bates Nos. 689-691	
I.	Plaintiffs Case Appeal Statement in <i>Singletary</i>	Volume 3	
	v. Lee, Eighth Judicial Case No. A656091	Bates Nos. 692-698	
	(dated 08/08/14)		
J.	Defendants Case Appeal Statement (Cross-	Volume 3	
	Appeal) in Singletary v. Lee, Eighth Judicial	Bates Nos. 699-711	
	Case No. A656091 (dated 09/11/14)		
К.	Judgment on Jury Verdict for Defendant in	Volume 3	
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 712-714	
	A656091 (dated 09/11/14)		
L.	Certificate of Business: Fictitious Firm Name	Volume 3	
	(dated 10/26/2010)	Bates Nos. 715-719	
М.	Reports Full Transcripts on Jury Trial in	Volume 4	
	Singletary v. Lee, Eighth Judicial Case No.	Bates Nos. 720-934	
	A656091 (dated 01/17/14)		
Order Denying Defendant's Motion for Summary		Volume 4	
Judger	nent in Lee v. Patin, Eighth Judicial Case No.	Bates Nos. 935-938	
A723134 (filed 06/05/17)			
		Volume 5	
		Bates Nos. 939-944	
Case No. A723134 (filed 08/17/17)			
	dants' Motion to Dismiss Pursuant to NRCP	Volume 5	
16.1(e	)(1) in Lee v. Patin, Eighth Judicial Case No.	Bates Nos. 945-951	
	A723134 (filed 07/15/19)		
	of Entry of Order Denying Defendants' Motion	Volume 5	
	to Dismiss Pursuant to NRCP 16.1(e)(1) in <i>Lee v. Patin</i> , Bates Nos. 952-955		
	Eighth Judicial Case No. A723134 (filed 09/10/19)		
	Case Conference Report in <i>Lee v. Patin,</i> Eighth	Volume 5	
	Judicial Case No. A723134 (filed 10/11/19) Bates Nos. 956-975		
		Volume 5	
1 1		Bates Nos. 976-1025	
	e x	Dates 1105. 770 1025	
	07/14/20)Defendant Ingrid Patin's Motion for Judgment on theVolume 5		
	5 6		
Flead	Pleadings, in the Alternative, Motion for SummaryBates Nos. 1026-1048		

	ent in <i>Lee v. Patin</i> , Eighth Judicial Case No. 34 (filed 08/07/20)	
	its to Defendant's Motion for Judgment on the	
	ngs, in the Alternative, Motion for Summary	
Judgn	ient	
1.	Plaintiff Ton Vinh Lee Deposition Transcripts	Volume 5
	in Lee v. Patin, Eighth Judicial Case No. A723134 (dated 07/14/20)	Bates Nos. 1049-1099
2.	Judgment on Jury Verdict in Singletary v. Lee,	Volume 5
	Eighth Judicial Case No. A656091 (filed 04/29/14)	Bates Nos. 1100-1103
3.	Defendant Ingrid Patin's Answer to Plaintiff's	Volume 5
	Second Amended Complaint and Counterclaim Against Patin law Group, PLLC in <i>Lee v. Patin</i> , Eighth Judicial Case No. A723134 (filed	Bates Nos. 1104-1117
	10/07/16)	
4.	Plaintiff Ton Vinh Lee's Third Supplemental	Volume 5
	ECC Disclosure in <i>Lee v. Patin</i> , Eighth Judicial Case No. A723134 (filed 06/18/20)	Bates Nos. 1118-1123
5.	Ton Vinh Lee Deposition Transcripts in Lee v.	Volume 5
	<i>Patin,</i> Eighth Judicial Case No. A723134 (dated 07/14/20)	Bates Nos. 1124-1141
6.	134 Nev., Advance Opinion 87 (filed 11/15/18)	Volume 5
		Bates Nos. 1142-1153
7.	Second Amended Complaint in Lee v. Patin,	Volume 5
	Eighth Judicial Case No. A723134 (filed 04/11/16)	Bates Nos. 1154-1159
8.	Complaint in <i>Singletary v. Lee</i> , Eighth Judicial	Volume 5
	Case No. A656091 (dated 02/07/12)	Bates Nos. 1160-1182
9.	Special Verdict Form in Singletary v. Lee,	Volume 5
	Eighth Judicial Case No. A656091 (filed 01/22/14)	Bates Nos. 1183-1188
10.	The Trial Reporter Newsletter (February 2014)	Volume 6
		Bates Nos. 1189-1192
11.	Nevada Legal Update Newsletter (Fall 2014)	Volume 6
		Bates Nos. 1193-1196

12.	Settlement/Verdict Website Screenshot and	Volume 6
	Defendant's Fee Disclosure	Bates Nos. 1197-1199
Defend	lant Patin Law Group, PLLC's Joinder to	Volume 6
Defendant Ingrid Patin's Motion for Judgment on the		Bates Nos. 1200-1201
pleadir	ngs, or in the alternative, Motion for Summary	
Judgm	ent Lee v. Patin, Eighth Judicial Case No.	
A7231	34 (filed 08/10/20)	
Plainti	ff's Opposition to Defendant Ingrid Patin's	Volume 6
Motior	n for Judgment on the pleadings, or in the	Bates Nos. 1202-1216
alterna	tive, Motion for Summary Judgment Lee v. Patin,	
Eighth	Judicial Case No. A723134 (filed 08/26/20)	
	ts to Plaintiff's Opposition to Defendant's	
Motio	n for Judgment on the Pleadings, in the	
Altern	ative, Motion for Summary Judgment	
А.	Order Denying Defendant Ingrid Patin's Motion	Volume 6
	for Summary Judgment in Lee v. Patin, Eighth	Bates Nos. 1217-1220
	Judicial Case No. A723134 (dated 06/02/17)	
B.	Order Denying Defendants' Renewed Special	Volume 6
	Motion to Dismiss Pursuant to NRS 41.635-70	Bates Nos. 1221-1234
	in Lee v. Patin, Eighth Judicial Case No.	
	A723134 (dated 09/29/16)	
Notice	of Entry of Order Granting Defendant's Motion	Volume 6
for Summary Judgement in Lee v. Patin, Eighth Judicial		Bates Nos. 1235-1250
	lo. A723134 (filed 10/30/20)	
	ff Ton Vin Lee's Motion for Reconsideration of	Volume 6
	urt's Order Granting Defendant Ingrid Patin's	Bates Nos. 1251-1266
	n for Summary Judgement in Lee v. Patin, Eighth	
	l Case No. A723134 (filed 11/13/20)	
	ts to Motion for Reconsideration to Court's	
Order Granting Defendant Ingrid Patin's Motion for		
Summ	ary Judgment	
А.	Order Denying Defendants' Renewed Special	Volume 6
	Motion to Dismiss Pursuant to NRS 41.635-70,	Bates Nos.1267-1271
	or in the Alternative, Motion to Dismiss	
	Pursuant to NRCP 12(B)(5) in Lee v. Patin,	

	Eighth Judicial Case No. A723134 (filed		
	09/29/16)		
B.	Transcript of Proceedings- Motion for	Volume 7	
	Preliminary Injunction in Brown v. Elk Point	Bates Nos. 1272-1517	
	Country Club Ninth Judicial Court Case No.		
	2020-CV-00124 (dated 10/23/20)		
C.	Judgment on Jury Verdict for Defendant Ton	Volume 8	
	Vinh Lee, DDS in <i>Singletary v. Lee</i> , Eighth	Bates Nos. 1518-1521	
	Judicial Case No. A656091 (filed 09/11/14)		
D.	Order for Motion for Judgement as a Matter of	Volume 8	
	Law Pursuant to NRCP 50(b) or, in the	Bates Nos. 1522-1534	
	Alternative, Motion for Remittitur in <i>Singletary</i>		
	v. Lee, Eighth Judicial Case No. A656091 (filed		
	07/16/14)		
E.	Order affirming in Part, Reversing in Part and	Volume 8	
	Remanding in <i>Singletary v. Lee</i> , Eighth Judicial	Bates Nos. 1535-1540	
	Case No. A656091 (filed 10/17/16)		
F.	Plaintiff Ton Vinh Lee Deposition Transcripts	Volume 8	
	in <i>Lee v. Patin</i> , Eighth Judicial Case No.	Bates Nos. 1541-1591	
	A723134 (dated 07/14/20)		
G.	Order Denying Defendant's Motion for	Volume 8	
	Summary Judgement in <i>Lee v. Patin</i> , Eighth	Bates Nos. 1592-1597	
	Judicial Case No. A723134 (filed 08/17/17)	<b>V</b> 1 0	
	in Lee's Motion to Alter or Amend Judgment	Volume 8	
	nt to NRCP 59(e) in <i>Lee v. Patin</i> , Eighth Judicial	Bates Nos. 1598-1613	
	Io. A723134 (filed 11/24/20)	Valaria 9	
Recorder's Transcripts of Proceedings Hearing on Volume 8			
-	September 15, 2020, regarding all Pending Motions in Bates Nos. 1614-1642		
<i>Lee v. Patin,</i> Eighth Judicial Case No. A723134 (filed 01/14/21)			
	Notice of Entry of Order Denying Plaintiff's Motion to Volume 8		
	Amend Judgment and Order Continuing Motion	Bates Nos. 1643-1653	
	consideration, Defendant Motion for Fees and	<b>Date</b> 5 1105, 1015 1055	
	n Lee v. Patin, Eighth Judicial Case No.		
	A723134 (filed 01/21/21)		
	\ - /		

Notice	of Appeal in Lee v. Patin, Eighth Judicial Case	Volume 8	
No. A7	723134 (filed 02/18/21)	Bates Nos. 1654-1656	
Exhibi	ts to Notice of Appeal		
A.	Notice of Entry of Order Granting Defendant's	Volume 8	
	Motion for Summary Judgement in Lee v. Patin,	Bates Nos. 1657-1673	
	Eighth Judicial Case No. A723134 (filed		
	10/30/20)		
B.	Notice of Entry of Order Denying Plaintiff's	Volume 9	
	Motion to Alter/Amend Judgment and Order	Bates Nos. 1674-1815	
	Continuing Motion for Reconsideration,		
	Defendant Motion for Fees and Costs in Lee v.		
	Patin, Eighth Judicial Case No. A723134 (filed		
	01/21/21) and related miscellaneous documents		
	filed by the supreme court		
Notice	of Entry of Order Denying Plaintiff's Motion for	Volume 9	
Recons	sideration in Lee v. Patin, Eighth Judicial Case	Bates Nos. 1816-1823	
No. A723134 (filed 02/25/21)			
Remov	al from Settlement Program and Reinstating	Volume 9	
Briefin	g in Lee v. Patin, Supreme Court Case No. 82516	Bates Nos. 1824	
(filed A	April 7, 2021)		
(filed A	April 7, 2021)		

Dated this 21st day of July, 2021.

RESNICK & LOUIS, P.C.

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# **EXHIBIT M**

# **EXHIBIT M**

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1 CASE NO. A-12-656091 2 DEPT. NO. 30 3 DOCKET U DISTRICT COURT 4 5 CLARK COUNTY, NEVADA 6 \* \* 7 SVETLANA SINGLETARY, individually, as the 8 representative of the Estate of REGINALD SINGLETARY, and as) parent and legal guardian of 9 GABRIEL L. SINGLETARY, a 10 minor, 11 Plaintiffs, vs. 12 TON VINH LEE, DDS, individually, FLORIDA TRAIVAI,) 13 DMD, individually, JAI PARK, DDS, individually, TON V. LEE,) 14 DDS, PRO. CORP., a Nevada 15 Professional Corporation d/b/a) SUMMERLIN SMILES, DOE SUMMERLIN SMILES EMPLOYEE and 16 DOES I though X and ROE 17 CORPORATIONS I through X, inclusive, 18 Defendant. 19 20 REPORTER'S TRANSCRIPT OF JURY TRIAL 21 BEFORE THE HONORABLE JERRY A. WIESE, II 22 DEPARTMENT XXX 23 DATED FRIDAY, JANUARY 17, 2014 24 REPORTED BY: KRISTY L. CLARK, RPR, NV CCR #708, 25 CA CSR #13529

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1	INDEX	
2	WITNESS:	PAGE
3	TON V. LEE, D.D.S.	
4	Direct Examination by Mr. Friedman	13
5	Cross-Examination by Mr. Vogel	33
6	Cross-Examination by Ms. Patin	35
7	Recross-Examination by Ms. Patin	81
8		
9	CHRISTIAN SANDROCK, D.D.S.	
10	Direct Examination by Mr. Vogel	83
11	Cross-Examination by Ms. Patin	110
12	Redirect Examination by Mr. Vogel	131
13		
14	DAVID LEVITT, D.D.S.	
15	Direct Examination by Mr. Lemons	134
16	Cross-Examination by Ms. Patin	149
17	Redirect Examination by Mr. Lemons	158
18	Cross-Examination by Mr. Vogel	159
19	Recross-Examination by Ms. Patin	160
20		
21		
22		
23		
24		
25		

1	INDEX (Continued)	
-2	WITNESS:	PAGE
3	WILLIAM ARDARY, M.D., D.D.S.	
4	Direct Examination by Mr. Friedman	162
5	Cross-Examination by Mr. Vogel	180
6	Cross-Examination by Ms. Patin	189
7	Recross-Examination by Mr. Vogel	205
8	Recross-Examination by Ms. Patin	206
9		
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

LAS VEGAS, NEVADA, FRIDAY, JANUARY 17, 2014; 1 2 8:48 A.M. 3 PROCEEDINGS 4 \* \* \* \* \* \* 5 6 7 THE COURT: All right. Let's go on the 8 record, Case No. A656091. We're outside the presence 9 of the jury. I know there was a motion for sanctions. 10 MS. PATIN: Yes. 11 MS. GOODEY: We clearly talked about this 12 before, Your Honor. I'll keep it short. It's all in 13 the motion. We filed a motion for sanctions under 14 NRCP 37 and NRS 40 -- 47.240. The basis for that is 15 Defendant Lee and Defendant Summerlin Smiles were 16 requested specifically in interrogatories and requests for production to produce evidence of anybody who could 17 18 have or would have answered the phone. We heard from 19 Cherisse on, I believe Tuesday, that she met with 20 Defendant Lee and provided him a list of employees at 21 Summerlin Smiles -- or at Distinctive Smiles, informed 22 him that the phones were -- from Summerlin Smiles were 23 forwarded to Distinctive Smiles. She also testified 24 that the last time she spoke with defense counsel was 25 May of 2013.

1 So based on that, she -- they had the 2 information. They should have supplemented their 3 responses to discovery, and they were required to produce it under NRCP 16.1. They have an affirmative 4 5 duty without waiting on a request from us. That 6 information is clearly relevant. They failed to 7 provide it, and sanctions are appropriate in this case. 8 THE COURT: Okay. 9 MR. FRIEDMAN: Your Honor, first of all, we 10 discussed during Cherisse's testimony the fact that any 11 conversations I've ever had with her are 12 attorney-client privileged. So even beyond that, I

13 don't even know what she was talking about in that 14 regard.

15 Similarly, this issue with Distinctive Smiles 16 and the call forwarding is going to be moot. There's a 17 clear reason why these employees were working there are 18 not relevant in this case. And it's going to be 19 established today by Dr. Lee's testimony. We -- we 20 don't -- the calls were not forwarded to Distinctive 21 Smiles. We provided the names of the two people who 22 were working at Summerlin Smiles. The phone call --23 the phone number that was called on the alleged date of 24 this phone call, those names were provided to counsel. 25 She deposed one of the people. And when she deposed

1 one of the people, Zadia Lopez, Zadia was asked,
2 essentially, every employee she knows. She gave eight,
3 ten names. None of those people were ever deposed by
4 plaintiffs' counsel. All information has been
5 provided -- all relevant information has been provided
6 to plaintiffs' counsel.

THE COURT: Okay.

7

8 MS. GOODEY: As to the -- the names that were 9 provided, Your Honor, those were provided in their ECC 10 disclosure with any information as to who they might 11 In addition, the one employee that Cherisse be. 12 testified that she did not speak with who was working 13 that day at Distinctive Smiles was Anna Villanova 14 or -- or Villanova -- Villa Urbina or something. She's 15 not even sure of her last name. Anna was not listed in 16 that list of employees that was provided by Zadia. So 17 the fact that Cherisse identified them should have been 18 disclosed to us.

19THE COURT: Probably should have been, but20I'm not going to award sanctions. I'm not going to21strike an answer for it.

MS. GOODEY: Your Honor, we -- I apologize, Your Honor. We also asked in the event you weren't going to strike that answer, we asked for an adverse inference or -- and if Your Honor feels that that's too

severe still, we request the permissive inference 1 2 provided by Bass-Davis. 3 THE COURT: I don't think so. MS. GOODEY: 4 Thank you. 5 THE COURT: I think the sanctions are always 6 discretionary, and I usually use my discretion to deny 7 them. Sorry. I understand, Your Honor. 8 MS. GOODEY: Thank 9 you. 10 THE COURT: Anything else outside the 11 presence before we get going? 12 MR. VOGEL: Yes, Your Honor. Pursuant to 13 Rule 41B, we'd like to make a motion to dismiss any 14 claim for the hospital bills. No witness testified as 15 to reasonableness, necessity, or causal relationship to 16 the events at issue on this case. So there's no basis or foundation for admission of or consideration of by 17 18 the jury, the St. Rose Hospital bill. 19 MR. FRIEDMAN: And join. 20 I would join on behalf of MR. LEMONS: 21 Dr. Park as well. 22 MS. PATIN: The plaintiff specifically 23 testified that she received a bill from St. Rose 24 Hospital with regard to all of the charges related to 25 Reginald Singletary's care. She testified to the

1	amount of those St. Rose Hospital bills and what was
2	paid for his care.
3	THE COURT: Yeah, that doesn't get it in.
4	You got to have somebody say it's reasonable and
5	necessary and causally related, right?
6	MS. PATIN: Which there is a COR attached to
7	it, but I understand.
8	THE COURT: It gets you authenticity.
9	Doesn't give you foundation.
10	You asked for summary judgment on that?
11	MR. VOGEL: Just a 41B, you know, should
12	be that claim should be.
13	THE COURT: It won't be admitted. I mean, I
14	guess the question is: Can they argue it because the
15	testimony came out about it? I don't think so.
16	MR. VOGEL: I don't think they can.
17	THE COURT: No, I don't think you can.
18	MS. BROOKHYSER: Just one other issue, Your
19	Honor, and perhaps we can have the court reporter do a
20	similar word search like we did yesterday, but I don't
21	believe Dr. Buehler testified that his opinions were to
22	any reasonable degree of economic probability. I think
23	that's required.
24	And also, that the tax returns that he
25	testified regarding were never admitted. There's no

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1 foundation to admit them because I don't believe
2 there's any custodian of records to authenticate them.
3 So therefore, I don't believe that the economic damages
4 that he testified to should be submitted to the jury
5 and they should also be dismissed.

6 THE COURT: Think the cases that we talked 7 about yesterday apply equally to economic damages?

8 MS. BROOKHYSER: I would be happy to find a 9 case for Your Honor that -- that states specifically 10 that. But yes, I believe that an expert has to testify 11 to a reasonable degree of economic probability the 12 economic opinions that he's given.

13THE COURT: You know, all the cases that I'm14familiar with are talking about medical treatment.

MS. BROOKHYSER: And like I said, Your Honor, I d be happy to find a case that speaks specifically to --

18 THE COURT: Why don't you look because
19 there's got to be some authority for it before I can do
20 that.

MS. BROOKHYSER: Absolutely.

21

22THE COURT: You may be right. I'm just not23sure.

Do you have a position on that?

25 MS. PATIN: Not at this time.

1 THE COURT: Okay. I don't know if there's a 2 case that requires that or not for economic damages. 3 So I will just -- we'll hold that -- hold the decision on that one until you provide me with something. 4 5 Anything else? MR. FRIEDMAN: Just waiting for my client. 6 Ι 7 just texted him to see if he was on his way, and he's the first witness. 8 9 THE COURT: We'll wait a minute. 10 MR. FRIEDMAN: Thank. THE COURT: Off the record. 11 12 (Whereupon a short recess was taken.) 13 THE MARSHAL: All rise for the presence of 14 the jury. 15 (The following proceedings were held in 16 the presence of the jury.) 17 THE COURT: Go ahead and be seated. Good 18 morning, folks. We're back on the record Case 19 No. A656091. 20 Do the parties stipulate to the presence of 21 the jury? 22 Yes, Your Honor. MS. PATIN: 23 MR. VOGEL: Yes, Your Honor. 24 Yes, Your Honor. MR. FRIEDMAN: 25 MR. LEMONS: Yes, Your Honor.

1 THE COURT: It's 9:00 o'clock, start right at 2 9:00 o'clock. That's kind of unusual, isn't it? 3 Plaintiff rested yesterday, so we are to the defense case. 4 5 Mr. Friedman, call your first witness. 6 MR. FRIEDMAN: Thank you, Your Honor. I'd 7 like to call Dr. Lee. THE COURT: Doctor, if you'd come up on the 8 9 witness stand, remain standing, if you would, raise 10 your right hand. 11 THE CLERK: You do solemnly swear the 12 testimony you're about to give in this action shall be 13 the truth, the whole truth, and nothing but the truth, 14 so help you God. 15 T do THE WITNESS: 16 THE CLERK: Please state your name and spell 17 if for the record, please. 18 THE WITNESS: Ton, T-o-n, Vinh, V-i-n-h, Lee, 19 L-e-e. 20 THE COURT: Thank you, sir. I'm going to ask 21 you to do the same as everybody else and try to speak 22 into that microphone. 23 THE WITNESS: Absolutely. 24 25 /////

> PATIN 083 732

1	DIRECT EXAMINATION
2	BY MR. FRIEDMAN:
3	Q. Good morning, Doctor.
4	A. Good morning, Jason.
5	Q. What is your profession?
6	A. I'm a dentist.
7	Q. And what school did you attend for
8	undergraduate training?
9	A. I went to college in UC Irvine. In short,
10	that was the University of California Irvine.
11	Q. And what was your major or majors at
12	University of California Irvine?
13	A. You know, when I went to college, I thought I
14	was going to be a lifetime student. I was in college
15	for five years. Double major, biological science,
16	social science with a minor in psychology.
17	Q. And what dental school did you attend?
18	A. I went to the Indiana University School of
19	Dentistry or, in short, IUSD.
20	Q. Why did you choose Indiana University School
21	of Dentistry?
22	A. You know, Indiana University School of
23	Dentistry is one of the top dental schools in the
24	nation. It was really hard for me to decide leaving
25	Southern Cal or sunny California for the Midwest in the
	10

1 winter. But once I received the acceptance from that 2 school, I was overwhelmed. So it was a school that I 3 had to -- to accept it. 4 Why did you decide to become a dentist? **Q**. 5 You know, I always wanted to be a dentist. Α. 6 Where my parents were born and raised, dental care, 7 dental health is completely neglected. And when I grew 8 up, I said that I wanted to do something to change 9 that, and I wanted to be a dentist. 10 Doctor, did you do any internships while you Ο. 11 were at Indiana dental school? 12 I did do a lot of externships. And probably Α. 13 the one externship that I could really remember was the 14 VA Hospital in Indiana. I remember I was this 15 third-year, fourth-year dental student and just 16 learning how to be and play at practice and be a real 17 dentist. And the veterans there, the retirees, they 18 were so patient. I mean, they knew we were really 19 practicing on them. They never rushed us. They never 20 I mean, they made it as easy to process yelled at us. 21 as possible as you're learning. 22 Q. Thank you. 23 And during your career, as a student and as a 24 dentist, have you won any awards? 25 Α. Last year, I won the peer review in I have.

1	Vegas. I've been voted top dentist for the last seven
2	years. I've also been awarded the consumer research
3	for top dentist the last six years. I've been voted
4	Las Vegas's top dentist for the last several years.
5	And when I was in IUSD, the dental school,
6	every year they award one graduating class member the
7	James L. Moss Scholarship for Academic and Clinical
8	Excellence, and then I was on the dean's honor list
9	both dental school and college.
10	Q. Thank you.
11	Do you have any professional affiliations?
12	A. I do. Since graduating, I have been
13	associated with the American Dental Association,
14	otherwise known as the ADA; the Nevada Dental
15	Association, NDA; and then the Southern Nevada Dental
16	Society.
17	Q. And what is Southern Nevada Dental Society
18	peer review?
19	A. It's a volunteer program that I've chosen to
20	do the last couple of years. It's a program that helps
21	mediate or mediate patient complaints. So, for
22	example, when patients have certain complaints about
23	their dentist or certain dental complaints or issues,
24	there's multiple ways they can handle it. One of the
25	ways they can handle it is alternatively is to

report or file a complaint to the Nevada boards. A 1 2 second form of complaint issues or filing is like this litigation here. And alternatively, you can also do 3 peer review. And generally the parameters are the 4 We get a file complaint, we listen to both sides 5 same. 6 of the parties, we do clinical and radiographic 7 examinations, and then a judgment is rendered or verdict is delivered. 8

9 Q. What is the Southern Nevada Dental Society10 emergency on-call list?

11 That's an on-call list that I also volunteer Α. 12 for since 2004. For example, sometimes patients, their 13 dentists, they're on vacation, they're out of town, or 14 people that live here don't have a dentist. Or, for 15 example, some of the tourists that come to town, if 16 they have a dental emergency, a dental crisis, I 17 believe I'm one of 10 or 12 dentists that -- that 18 volunteer on that program for them.

19 Q. And what is your relationship with Desert20 Canyon Hospital?

A. Desert Canyon Hospital is a rehabilitation
hospital and sometimes patients are transferred there
to work-related trauma, or, you know, they're rehabbing
whatever particular medical issues they have. And
let's say they have a dental emergency, I'm actually

1 their only dentist on staff there.

2 So you're the only dentist on staff dealing Q. 3 with emergency care at Desert Canyon Hospital? 4 Α. I'm their only dentist on staff there. 5 Doctor, how long have you been practicing as **Q**. 6 a dentist? 7 Α. I graduated in 2000, so almost 14 years now. 8 0. And where have you practiced as a dentist? 9 You know, I have both active licenses in both Α. 10 California and Nevada. In California, I practice in 11 Los Angeles and San Diego. And in 2003 is when I moved 12 up here and I practiced here since then. 13 Q. And you opened your own practice in 14 Las Vegas? I did. Not -- not first when I moved here in 15 Α. 16 2003. When I moved here in 2003, I was working for a dental office, and I ended up becoming friends with a 17 18 dentist that had his own practice, and that practice 19 was around for 30 years. In 2004, the dentist retired. 20 I took over their practice. I was able to grow their 21 In 2005, I was able to add an additional practice. 22 practice on the west side of town which is called Summerlin Smiles. 23 24 And, Doctor, there's been a lot of testimony **Q**.

25 about front office versus back office at your practice.

Can you describe how Summerlin Smiles is
 organized.

3	A. Sure. I know it's hard because a lot of
4	times there's this a lot of dental jargon that's
5	been used during this week, and we hear back office and
6	front office. So if you kind of consider Summerlin
7	Smiles has is a dental office, and there's really
8	two parts to a dental office. We have the front office
9	part and the back office part. The front office part
10	does a lot of clerical work, billings, insurances
11	those are the departments reception, appointments,
12	handling phone calls. The back office is composed of
13	different departments, dental assisting, dental
14	hygiene, and dentists themselves there.
15	Q. So the people working in the back all have
16	dental education and experience?
17	A. Absolutely. If you're a dental assistant,
18	you go to dental assisting school. If you're a dental
19	hygienist, you go to dental hygiene school. And,
20	obviously, you're a dentist or a doctor you go to
21	dental school.
22	Q. And what about the people in the front?
23	A. Not necessarily, 'cause a lot of it is
24	clerical work. When you're insurance billing or you
25	can certainly go to medical code billing school, but

1	not necessarily. Most of it can be in-house training,
2	you know, answering phone calls, verifying insurances,
3	billing, accounts receivables, things like that. Those
4	can all be trained in office.
5	Q. Doctor, it's I heard some testimony that
6	clinical questions called to the front are referred to
7	the back office.
8	What is what is what was meant by
9	"clinical"?
10	A. Well, clinical is when you're actually
11	working on someone. Clinical is when I'm actually in a
12	patient's mouth or a dental hygienist or dental
13	assistant is actually in the patient's mouth. So
14	clinical is hands-on care treatment, when we're
15	actively in we're actively performing procedures or
16	treating patients.
17	Q. And, Doctor, what days of the week are you at
18	Summerlin Smiles?
19	A. I'm at Summerlin Smiles on Thursdays,
20	Fridays, and Saturdays. Actually, I'm a little bit of
21	a workaholic. I do really enjoy what I do.
22	Distinctive, I'm actually there Monday, Tuesday, and
23	Wednesday. So I'm actually six days a week there.
24	Q. So you work six days a week as a dentist
25	between your two offices?

Г

1	A. Ic	lo.
2	Q. Is	that the same schedule as in 2011?
3	A. Yes	5.
4	Q. Dic	l you ever provide any treatment to
5	Reginald Sing	letary?
6	A. No,	I did not.
7	Q. Dic	l you ever meet Reginald Singletary?
8	A. No,	I did not.
9	Q. Dic	l you ever provide any treatment to
10	Plaintiff Sve	etlana Singletary?
11	A. No,	I did not. And I think that's why I was
12	a little conf	used during this week. Mrs. Singletary
13	testified thi	s week that I was her treating dentist and
14	also the dent	ist that provided some care. In fact, I
15	have never me	et Mrs. Singletary until in this room this
16	week.	
17	Q. Hav	e you ever spoken to Plaintiff Svetlana
18	Singletary?	
19	A. No,	I have not.
20	Q. Whe	en did you first become aware of Reginald
21	Singletary?	
22	A. Ir	emember that Saturday. It was March or
23	April the 23r	d, and Dr. Park had received a call, and
24	at that time	he had spoken to Mrs. Singletary and, you
25	know, it was	discovered that Mr. Singletary was
	L	

1	admitted into the hospital. And the three of us
2	decided to go to the hospital to see if we could answer
3	questions or help. Well, I mean, I went with them
4	trying to help them.
5	Q. So the three of you, meaning yourself,
6	Dr. Park, and Dr. Traivai, you all went to the
7	hospital?
8	A. We did.
9	Q. Did you speak with Mrs. Singletary at the
10	hospital?
11	A. No. I have never spoken to Mrs. Singletary.
12	Q. Did Mrs. Singletary ever tell you that she
13	had called Summerlin Smiles and had a conversation
14	about her husband and was told she could not come into
15	the office?
16	A. Not at all. Again, I've never spoken to
17	Mrs. Singletary at all.
18	Q. At some point, you became aware that she
19	alleges she called Summerlin Smiles on April 18th,
20	2011, two days after the extraction, and had a
21	conversation with whoever answered the phone, correct?
22	A. That's correct.
23	Q. Doctor, can you tell me what the routine
24	procedure is for incoming calls for patients or
25	concerning patient complaints following extraction

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1 procedures at your dental office?

2	A. Sure. The assumption is the phone call is
3	made. Front desk would pick up the phone call. If
4	it's clinical questions, it would be referred back to
5	the back office, like in the particular case of a
6	patient complaint. And then the back office would
7	definitely get the doctors.
8	Q. And, Doctor, you heard Zadia Lopez's
9	testimony wherein she said essentially that is what is
10	done, correct?
11	A. That's correct.
12	Q. Do you know what day of the week April 18,
13	2011, was?
14	A. That was a Monday.
15	Q. Were you at Summerlin Smiles on April 18,
16	2011?
17	A. No. I was working at Distinctive Smiles.
18	Q. Doctor, in April of 2011, did Summerlin
19	Smiles have an answering machine?
20	A. It did.
21	Q. Is the message content on the machine the
22	same today as it was in April 2011?
23	A. Both practices have this generally the
24	same answering machine. You'll call the office. We'll
25	identify the office you're calling, whether it's open

22 PATIN 093 742

1	or closed and hours of operation. I'll try to say.
2	This isn't verbatim. So, for example, if you were to
3	call Summerlin Smiles, it would say, Hello. Thank you
4	for calling Summerlin Smiles. Our office is closed.
5	Our office hours are Tuesday through Saturday from 9:00
6	to 4:00 p.m. Distinctive Smiles is open Monday,
7	Tuesday, and Wednesday from 8:00 to 4:00 p.m.
8	And then it goes on to say, If you are a
9	patient of record and this is a dental emergency,
10	please call the dental emergency pager at
11	(702) 264-1447. Please leave your name, your number,
12	and a detailed message. We'll get back to you as soon
13	as possible. And they'll repeat, again, for
14	clarification.
15	And obviously, if it's not a dental
16	emergency, the message continues to say that, If this
17	isn't a dental emergency, please leave your name,
18	contact information, and the nature of your call, and
19	we will get back to you in the next business day. And
20	then, Thank you. Have a wonderful day.
21	Q. Doctor, have you ever timed the length of the
22	message?
23	A. I did.
24	Q. How long is it?
25	A. It's one minute and seven seconds.

1

Q. Why did you time it?

2 You know, I couldn't understand this issue Α. about this two-minute phone call. I know that when you 3 call our office, let alone any medical or dental 4 5 office, the phone call always takes longer than two 6 minutes, because the call is made, the call is picked 7 up and, you know, we're going to introduce our office. 8 The staff is going to introduce themselves. They're going to ask who the caller is or if there's a patient 9 10 in question. We're going to identify the patient 11 because we want to make sure the spelling's correct, 12 the date of birth is correct, and then we'll ask the 13 nature of those questions. That all alone and then 14 pulling records takes longer than two minutes. So I 15 couldn't understand what this two-minute phone call is. 16 So I did time my voice mail, and I said, wait a minute, it's a minute and seven seconds. 17

18 My only assumption is that on cell phones, if 19 anything is over 60 seconds and plus 1. So it's 61 20 seconds, it's going to show up as two minutes on a cell 21 phone bill.

MS. PATIN: Objection, Your Honor.
Speculation.
THE COURT: Sustained.

25 /////

1 BY MR. FRIEDMAN:

Q. Doctor, what is the reason why you have an answering machine answer calls when Summerlin Smiles is closed?

5 Α. Well, simply enough, if patients are calling in, we can't anticipate if it's going to be clinical 6 7 If a doctor's not in that facility and questions. 8 anybody picks up the phone call and it's a clinical 9 question, there's no point. So that's why I have an 10 emergency pager, so that you can contact me at all 11 times.

12 You know, it's -- it's always been like that. 13 So it's hard for me to understand when a doctor's 14 actually not in the facility for a patient to pick up a 15 patient phone call, barring the fact that it would be a 16 clinical question, what would staff say? Well, no, 17 Doctor's not in. Please dial the emergency pager. 18 They allow the voice mail to pick up because there's 19 directions in the voice mail where calls should be 20 forwarded -- or placed not forwarded. 21 Doctor, you heard your former officer 0. 22 manager's testimony that she left your practice because

24 management.

23

25

Is that the way you remember it?

of differences with you in terms of billing and

1	A. That's correct. You know, she's been with me
2	for about eight years. And, you know, the practice was
3	growing and, you know, we begin to have different
4	departments and organization. So when we first
5	started, the practice was really small. You know,
6	everybody knew everybody. And as the practice grew and
7	we've organized the practice into like, again, front,
8	back, and different departments within the front and
9	back. She you know, she and I disagreed and on
10	the direction of the future where the practice should
11	be. And in the end, you know, we just parted ways.
12	Q. And you heard your former office manager's
13	testimony wherein she discussed a call forwarding
14	system wherein calls were forwarded from Summerlin
15	Smiles to Distinctive Smiles.
16	A. That's correct.
17	Q. But back in April of 2011, were the calls
18	being forwarded from Summerlin Smiles to Distinctive
19	Smiles on Mondays?
20	A. That was impossible. The reason why that's
21	not possible is January 18, 2011, we switched phone
22	companies from CenturyLink to Cox Cable. Our phone
23	system is something called a Hunt Group Service System.
24	So a Hunt Group Service System allowed multiple lines.
25	We have four phone lines, a fax line, and and

Internet line. Cox Cable and call forwarding are not 1 2 compatible. So when we signed up with Cox, they did tell us, You're not going to have call forwarding at 3 all, but you're going to have faster Internet service, 4 and that's the reason why we switched from CenturyLink 5 6 to Cox Cable. So we gave up call forwarding to have 7 faster Internet service. So the Cox Cable system, in conjunction with 8 0. 9 this Hunt Group multiline phone system you had, the Cox 10 service could not forward calls with that type of 11 system. 12 Α. It's technologically not capable. No. Now, 13 I'm not an IT expert or a phone expert, but it wasn't 14 capable. 15 And before January 18, 2011, were the calls Q. forwarded from Summerlin Smiles to Distinctive Smiles? 16 17 Α. Absolutely. 18 And then after January 18, 2011, Summerlin Q. 19 Smiles switched to Cox and call forwarding was no 20 longer available to be used at Summerlin Smiles. 21 Α. That's correct. 22 Doctor, what is the emergency pager? Q. 23 The emergency pager is a paging system by Α. 24 which you can reach me 24/7. It's generally -- I work 25 Monday through Saturday. You can reach me six days a

1 week clinically during business hours. But, you know,
2 that service is so that after hours, you can reach me
3 Sundays when I'm not working. You can reach me -- it's
4 really for dental emergencies or even questions after
5 any procedures. It's the direct line or direct way by
6 which you can contact me.

7 Who carries the emergency pager? 0. 8 Α. I do. I've carried the pager 95 percent of 9 the time. The only 5 percent of the time is because 10 I'm on vacation, I hand it over to Dr. Park and If all three doctors are on vacation, we 11 Dr. Traivai. 12 have colleagues in town and the emergency care is referred to him. 13

To be honest, during this whole week, I've carried the emergency pager with me all week long. It's always been in my breast pocket because, again, the office isn't working, the doctors are here, and we want to make sure that, you know, things are still accessible.

20 Q. Doctor, did you get any emergency pages from 21 plaintiff or Mr. Singletary on April 18, 2011?

A. No, I did not.

Q. Did you ever get an emergency page from
plaintiff or Mr. Singletary at any time?

25 A. I wish I did.

22

1 Doctor, is the emergency pager number listed Q. 2 anywhere besides on the answering machine? 3 It is. It's listed on our website. It's Α. listed on our discharge instructions or what we 4 5 consider post-op instructions, and it's listed on prescriptions if patients are given prescriptions. 6 7 Do you know if any prescriptions were written 0. 8 for Mr. Singletary? 9 They were for Vicodin and ibuprofen. Α. I do. 10 And what is the protocol of the office in 0. 11 regards to post-op or discharge instruction forms? 12 Α. Generally, written and verbal instruction 13 forms. And, obviously, if there's any changes to that, 14 then just call the emergency pager. 15 0. And as you said, the emergency pager number 16 is on those written instructions that are provided to 17 the patients. 18 Α. That's correct. 19 So on April 16, 2011, after the extraction, **Q**. 20 Mr. Singletary would have walked out of the office with 21 three documents containing the emergency phone number 22 of Summerlin Smiles. 23 Α. That's correct. 24 And it's your testimony that Summerlin Smiles **Q**. 25 was closed on Monday, April 18, 2011.

A. That's correct.

2 Q. Does any staff ever go into Summerlin Smiles3 on Mondays?

A. They do. Sometimes staff come in to do
clerical work, accounting work, insurance work. So
sometimes they come in to do those -- the -- the -like I said, the clerical, the inventories, things like
that.

9 And did you do any investigation to see if Q. 10 any of the staff was in the office on April 18, 2011? 11 I did. When I first heard of the lawsuit, Α. 12 one of the things I did was I wanted to find out which 13 staff was there and if they picked up any phone calls. 14 The only two staff members that were there was Cherisse 15 Lesperance and Zadia Lopez.

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Q. And what are their job titles?

A. Cherisse Lesperance was my office manager,

18 and Zadia Lopez is my lead assistant or my back office 19 manager.

20 Q. And you asked them both about picking up any 21 phone call on April 18, 2011?

22 A. I did.

23 Q. And they both told you they did not?

24 A. Yes.

25 Q. What is the protocol for when a patient calls

1 the office when someone actually answers?

2 Again, our protocol always simply is -- is, Α. you know -- you know, call comes in, front desk would 3 4 pick up the phone. They would identify the office that 5 you're calling to. The staff is going to, you know, 6 introduce themselves. We are going to ask who the 7 caller is or the patient in question. And then we 8 always go through the standard, you know, patient's 9 name, spelling of name, date of birth, and the nature 10 of the call. If it's billing, it goes to the billing 11 If it's -- or insurance goes to the department. 12 insurance department. But if it's clinical, it 13 actually goes to the back. 14 Why is that protocol followed? Ο. 15 Α. It's standard office protocol. It's -- you 16 know, we verify to protect patients identity, security, 17 HIPAA laws. And obviously we don't pick up phone calls 18 if we don't know who we're talking to and obviously to 19 address correctly the nature of the call. Those are 20 just standard office protocol. 21 And what is the protocol for when a patient **Q**.

22 calls in with a dental complaint, as plaintiff said she 23 did?

A. Again, if doctors were there, front deskwould pick up the phone. That would go to the back,

and the back would get the doctors, and we would 1 2 address the issues. 3 What would have occurred on Tuesday, 0. April 19, 2011 -- hold on a second, Doctor. 4 5 What would have occurred on Tuesday, April 19, 2011, if the plaintiff communicated that the 6 7 swelling had worsened since the day before and was 8 migrating to the other side of the neck? 9 Oh, I would have referred him immediately to Α. 10 the emergency room. 11 Are you aware of any phone call from 0. 12 plaintiff on Tuesday, April 19, 2011? 13 Α. No, I am not. 14 Are you aware of any phone call from 0. 15 plaintiff on Wednesday, April 20, 2011? 16 Α. No, I'm not. 17 Are you aware of any phone call from Q. 18 Mr. Singletary on either of those two days? 19 No, I'm not. Α. 20 And until Dr. Park spoke with you about this 0. 21 situation on April 23rd, 2011, you had never met Mr. or 22 Mrs. Singletary or had any awareness of either of them 23 as patients or otherwise. 24 Α. That's correct. 25 Thank you, Doctor. I have MR. FRIEDMAN:

1 nothing further. 2 THE COURT: Mr. Vogel. 3 MR. VOGEL: Just briefly. 4 5 CROSS-EXAMINATION 6 BY MR. VOGEL: 7 Q. Good morning, Dr. Lee. 8 Α. Good morning. 9 There's been some discussion in this case Q. 10 about the relationship of Dr. Traivai and Dr. Park to your practice. 11 12 Α. Yes. And it's my understanding they're independent 13 Q. contractors. 14 Is that your understanding as well? 15 16 That's correct. Α. 17 So is it your understanding they don't hire Q. any of your staff? 18 19 Α. That's correct. 20 0. Or train them? 21 **A**. That's correct. 22 They don't supervise them? Q. 23 **A**. That's correct. 24 MR. VOGEL: That's all I've got for you. 25 Thank you.

1 THE WITNESS: Thank you. 2 Actually, take that back. MR. VOGEL: 3 BY MR. VOGEL: One of the other things that was discussed 4 0. 5 was -- by Ms. Lesperance was that Dr. Traivai doesn't do tooth extractions; is that accurate? 6 7 Α. No, that's not. 8 0. Does she do all types of teeth extraction? 9 Α. Absolutely. 10 Q. Has that always been the case? 11 Α. It's always up to her discretion. 12 Q. Do you know where Ms. Lesperance got that 13 from? 14 Α. No, I don't. How about root canals? Does she do root 15 Ο. canals? 16 17 Α. At her discretion. 18 Do you know where Ms. Lesperance was coming Q. 19 up with that? 20 Α. No, I do not. 21 So since she's been at your practice, has she **Q**. 22 always done the procedures she feels comfortable doing, 23 including extractions, root canals, fillings? 24 Α. Dr. Traivai is a very competent Yeah. 25 dentist. She diagnoses, treats absolutely on her own.

1 It's done at her discretion. 2 MR. VOGEL: Thank you, Doctor. 3 THE WITNESS: Welcome. Mr. Lemons? THE COURT: 4 5 MR. LEMONS: I have nothing additional to 6 that, Your Honor. Thank you. 7 THE COURT: Ms. Patin. 8 9 CROSS-EXAMINATION 10 BY MS. PATIN: 11 Q. Good morning. 12 Α. Good morning. 13 Q. Dr. Lee, you're the president and owner of 14 Summerlin Smiles, correct? 15 That's correct. Α. 16 0. And you're also the president and owner of 17 Distinctive Smiles as well, correct? 18 Α. That's correct. 19 And the tooth extraction that was performed **Q**. 20 on Reginald Singletary by Dr. Park and Dr. Traivai was 21 done at your clinic, Summerlin Smiles, correct? 22 Α. That's correct. 23 And that was on April 16th of 2011? Q. 24 Α. That's correct. 25 Now, Dr. Park and Dr. Traivai, they don't pay Q.

any overhead expenses at your offices, correct? 1 2 Α. They have before. 3 0. They've paid overhead expenses? 4 Α. When you -- when you talk about overhead 5 expenses, you mean specifically do they bring in their 6 own equipment? 7 Do they pay any bills that come into 0. Summerlin Smiles or Distinctive Smiles? 8 9 Α. No, they don't. 10 Dr. Park and Dr. Traivai don't pay rent or Ο. 11 lease office space from you, correct? 12 Α. No, they don't. 13 Q. Dr. Park and Dr. Traivai, they engage in the 14 same field of practice as you, correct? 15 That's correct. Α. They're both dentists in your office? 16 0. 17 Α. Yes. 18 Q. And you're also a dentist in your office? 19 Α. Yes. 20 And all of you provide dental care to the Q. 21 patients that come into the office? 22 That's correct. Α. 23 And you provide their work space for them to Q. 24 do their dental job? 25 That's correct. Α.

1 Q. And for them to provide dental care to the 2 patients that come into your office? 3 Α. That's correct. And you provide their equipment for them to 4 0. 5 perform their job? Not all their equipment. 6 Α. 7 0. They provide their own equipment? There's certain equipment they choose to use. 8 Α. 9 Absolutely. 10 Ο. What equipment do they provide? 11 Α. You're going to have to ask them. 12 Q. What equipment do you provide? 13 Α. The typical. The equipments is the chairs, 14 sometimes the lights, obviously the lights, the X ray 15 units. 16 Ο. You don't provide any dental instruments to either Dr. Park or Dr. Traivai? 17 18 Α. Of course. 19 You also provide them with staff in order for **Q**. 20 them to perform their jobs, correct? 21 Α. That's correct. 22 And you pay them per day for the work that Q. 23 they do, correct? 24 Α. That's correct. 25 And for the days that they work at Summerlin Q.

1 Smiles or -- and/or Distinctive Smiles? 2 That's correct. Α. 3 And my understanding is that Dr. Traivai 0. works at Summerlin Smiles Thursday through Saturday. 4 5 Α. That's correct. And you pay her for the days that she works. 6 0. 7 That's correct. Α. 8 Q. And she exclusively works for your clinic Summerlin Smiles, correct? 9 10 There's no exclusion in her contract. Α. She's 11 obligated to work at any office. 12 Are you aware of any other offices that she's Q. 13 ever worked at during the time she's been working at 14 Summerlin Smiles? 15 I've never asked her. Α. 16 0. All the forms that are provided to patients, 17 they're generated by your office Summerlin Smiles, 18 correct? 19 Α. That's correct. 20 And any forms that are provided by -- that 0. 21 are provided to patients at Distinctive Smiles, are 22 generated by -- or have the name Distinctive Smiles on 23 them, correct? 24 That's correct. Α. 25 That includes post-op instructions, informed Q.

1	consent, and any other forms that are given to the
2	patients?
3	A. That's correct.
4	Q. Dr. Traivai and Dr. Park don't develop their
5	own forms or provide patients with their own forms,
6	correct?
7	A. You mean their own forms, do they modify the
8	post-op instructions or do we tailor to what they're
9	saying?
10	Q. Dr. Traivai and Dr. Park don't haven't
11	produced their own forms that are then given to
12	patients, correct?
13	A. That's correct.
14	Q. Are you aware that you're familiar with
15	your website, correct?
16	A. Yes, I am.
17	Q. And you're aware that both Dr. Park and
18	Dr. Traivai are advertised as dentists at Summerlin
19	Smiles on your website?
20	A. That's correct.
21	Q. And on the home page, all three of your names
22	come up at the top?
23	A. Sure. That's correct.
24	Q. In fact there's a section under "Meet Us"
25	where there's a description about you, your educational

1	background, your experience, correct?
2	A. That's correct.
3	Q. And there's also a description and a picture
4	of Dr. Park about his education, his experience.
5	A. That's correct.
6	Q. There's no description of Dr. Traivai.
7	Why is that?
8	A. I've asked her for a picture for the longest
9	time. She doesn't like taking pictures. We've
10	struggled with this for the last three years. I mean,
11	us guys, we don't really care what we look like. And
12	so she's so particular about her pictures. So I'm
13	still struggling with her.
14	Q. There's also another section on your website
15	that's identified as "Urgent Care."
16	Are you familiar with that section?
17	A. To the best of my knowledge.
18	Q. And under the Urgent Care section, what it
19	says is that "If you are having a dental emergency,
20	please contact one of our two offices," correct?
21	A. That's correct.
22	Q. And it lists Summerlin Smiles and Distinctive
23	Smiles and the telephone numbers, correct?
24	A. That's correct.
25	Q. And it lists Summerlin Smiles telephone

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number as (702) 579-7645, correct? 1 2 Α. That's correct. 3 And that's the same phone number that 0. Summerlin Smiles had back -- back in March and April of 4 2011? 5 That's correct. 6 Α. 7 And there's also a section under Urgent Care 0. 8 on your website, that --9 MR. VOGEL: Your Honor, may we approach? 10 THE COURT: Sure. Come on up. 11 (A discussion was held at the bench, 12 not reported.) 13 THE COURT: Overruled. 14 BY MS. PATIN: 15 Q. We were just talking about the Urgent Care 16 section on your website, correct? 17 That's correct. Α. 18 And under the Urgent Care section, it also Q. 19 lists how to handle dental emergencies, correct? 20 Α. That's correct. 21 And under dental emergencies, you have listed **Q**. 22 "Infection or Swollen Face," correct? 23 Α. That's correct. 24 And under that section, you have "call your **Q**. 25 dentist as soon as possible"; is that correct?

Α. That's correct. 1 2 And nowhere in the Urgent Care section does Q. 3 it says -- does it say to call an emergency pager; is that correct? 4 5 Α. That's correct. 6 0. Doesn't say to call the ER, correct? 7 Α. No, it does not. 8 0. And it doesn't say to call an urgent care, correct? 9 10 Α. No, because when they call us, we'll assess 11 the situation clinically and we'll refer to our office 12 immediately or to the ER room. 13 Q. And it doesn't say to call the urgent care, 14 correct? 15 Α. It depends on the nature of the emergency. 16 If somebody's temporary fell off -- if a temporary 17 crown fell off, you're not going to call urgent care. 18 It wouldn't make sense. It's such a broad general 19 thing. 20 I know you're trying to generalize it, but 21 I'm trying to answer the question so that it would be 22 very specific. If it's an emergency situation, 23 everybody's situation in terms of what's emergency care 24 is how they deem it to be. If a temporary pops off, 25 that's an emergency to some patients. They're

> 42 PATIN 113 762

supersensitive. They'll call us and we're not going to 1 2 say go to urgent care. That's misleading. 3 0. I completely understand that, but what I'm asking you is whether or not your website specifically 4 5 states to call the urgent care? 6 Α. No, it does not. 7 0. Thank you, Dr. Lee. 8 Α. You're welcome. I apologize. I don't mean to be terse in terms of --9 10 There's no question pending. 0. 11 Α. I know. I just apologize. I don't mean to 12 be terse. 13 THE COURT: Okay, guys. We can't both talk 14 at the same time because the court reporter can't 15 record you both. 16 THE WITNESS: I'm sorry. 17 THE COURT: Wait for a question. 18 BY MS. PATIN: 19 At Summerlin Smiles and Distinctive Smiles, **Q**. 20 the dentists are allowed to instruct staff with regard 21 to handling of patients, correct? 22 Could you speak that again. Α. 23 Are your dentists allowed to instruct the Q. 24 staff as to the handling of patients? 25 What do you mean by "instruct the staff" to Α.

handle patients? 1 2 Well, you said that you have front office Q. 3 staff at Summerlin Smiles, correct? That's correct. 4 Α. And they're in charge for clerical work, 5 0. 6 billing and insurance, phone calls, scheduling? 7 That's correct. Α. 8 0. So your dentists aren't allowed to instruct 9 the staff with regard to phone calls, scheduling, 10 billing insurance, or clerical work? 11 I'm not in the room with them. Α. 12 You're not in the room with who? 0. 13 Α. With the doctors when they're in the rooms 14 with the patients clinically. 15 Ο. I'm not talking about with the patients. I'm 16 talking about your staff, your front office staff. 17 Are the dentists not allowed to speak with 18 your front office staff and instruct them with regard 19 to handling of patients? 20 No, they're allowed to speak to staff. Α. 21 And the Summerlin Smiles staff, they assist 0. 22 the dentists with the patients, correct? 23 Α. That's correct. 24 And the dentists at Summerlin Smiles have to **Q**. 25 rely on the staff to answer the phones, correct?

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1	Α.	That is correct.
2	Q.	And schedule patients?
3	Α.	That's correct.
4	Q.	And properly transfer calls?
5	Α.	That's correct.
6	Q.	And handle or properly handle patient
7	complaint	s?
8	Α.	Absolutely.
9	Q.	And it's your office procedure or protocol
10	that when	that each and every employee on staff is
11	trained t	o advise patients, depending on the severity
12	of the co	mplaint, to speak with a dentist, come into
13	the denta	l office, or proceed directly to an urgent
14	care or e	mergency room, correct?
15	A.	When you say they're trained, are they
16	trained c	r do they understand that there's a protocol
17	and a pro	cess, by what that happens by?
18	Q.	I'm asking if that's your protocol in the
19	office.	
20	A.	Yes.
21	Q.	So then
22	A.	I'm sorry. Could you
23	Q.	Your office protocol
24	A.	Uh-huh.
25	Q.	is that each and every employee on staff

1	is trained to advise patients, depending on the
2	severity of the complaint, to speak with a dentist,
3	come into the dental office, or proceed directly to an
4	urgent care or emergency room, correct?
5	A. That's correct. But the front desk picks up
6	the phone calls. If you're asking do they understand
7	the process, that's what I'm answering.
8	Q. I'm asking if that's your policy and protocol
9	at Summerlin Smiles.
10	A. Yes.
11	Q. Thank you.
12	A. Uh-huh.
13	Q. That includes Zadia Lopez; is that correct?
14	A. That is correct.
15	Q. And you were here during Ms. Lopez's
16	testimony, correct?
17	A. That's correct.
18	Q. And she testified at the time that she wasn't
19	trained with regard to incoming calls at Summerlin
20	Smiles, correct?
21	A. You know, when when you're sitting up
22	here, you're nervous. You're trying to listen to the
23	questions, you're trying to
24	Q. Dr. Lee, I'm just asking if you were
25	present

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Α. No. I am --1 2 -- during Zadia's Lopez's testimony. Q. 3 Absolutely. I'm answering your question. Α. I'm not asking you to speculate with regard 4 Q. 5 to what she was thinking or how she was feeling --Α. 6 Sure. 7 0. -- when she was on the stand. 8 Α. Sure. 9 What I'm asking is if you recall her Q. 10 testimony where she stated that she's an employee at 11 Summerlin Smiles, correct? 12 That's correct. Α. 13 Q. And she was never trained on how to answer incoming calls, correct? 14 15 Α. That's correct. 16 0. How many Spanish-speakers do you have in your office? 17 18 Α. Are you asking now or asking then? 19 MR. FRIEDMAN: Objection, Your Honor. 20 Relevance. 21 THE COURT: What's the relevance? 22 MS. PATIN: Credibility of the witness and 23 the testimony. 24 THE COURT: Come on up for a minute. 25 /////

1 (A discussion was held at the bench, 2 not reported.) 3 THE COURT: Go ahead. Overruled. 4 BY MS. PATIN: How many Spanish-speakers do you have in your 5 0. office at Summerlin Smiles? 6 7 Α. When? 0. If a call was made --8 9 **A**. I'm sorry, Counsel. I -- I asked, when are 10 you asking? 11 Ο. Back in April of 2011. 12 I don't recall. Α. 13 When a call -- if a call comes into Summerlin Q. 14 Smiles and an employee answers the phone, it's the employee's responsibility to advise the patient to 15 16 speak with a dentist, correct? 17 Α. The front desk picks up the phone, transfers 18 to the back office, and it goes to the doctor. 19 And so it's the front office staff's 0. 20 responsibility to advise the patient to speak with a 21 dentist, correct? 22 Objection. MR. FRIEDMAN: Misstates his 23 testimony. 24 THE COURT: Sustained. 25 /////

1 BY MS. PATIN:

2	Q. Dr. Lee, we've already established that it's
3	your protocol at the office to that each and every
4	employee on staff is trained to advise patients
5	depending on the severity of the complaint to speak
6	with a dentist, correct?
7	A. That's correct.
8	Q. To come into the dental office, correct?
9	A. That's correct.
10	Q. Or proceed directly to an urgent care or
11	emergency room?
12	A. That's correct.
13	Q. Okay. And so if a call comes into Summerlin
14	Smiles and an employee, such as the front office staff,
15	answers the phone, it's the employee's responsibility
16	to advise the patient to speak with a dentist, correct?
17	MR. FRIEDMAN: Objection. Misstates his
18	testimony.
19	THE COURT: I'm going to let her follow-up.
20	Overruled.
21	THE WITNESS: Could you I'm trying to
22	follow what you're asking. Could you repeat that
23	question one more time, please. I'm sorry.
24	BY MS. PATIN:
25	Q. Sure. If a call comes into the office at

Summerlin Smiles, it's the employee, the front office 1 2 staff's responsibility who answers the phone to advise 3 the patient to speak with a dentist, correct? 4 Α. They don't advise the patient. They transfer the call to the back and that goes to the doctors. 5 6 It's their responsibility to, depending on Ο. 7 the severity of the complaint, have the caller speak to 8 a dentist, correct? 9 Α. They don't assess the -- the -- the severity 10 of the complaint because they don't do clinical issues. 11 Q. In your answers to interrogatories in Okay. 12 this case, you identified Cherisse as the person most 13 knowledgeable concerning handling of patient 14 complaints, correct? 15 Α. Yes. 16 0. And you identified Dr. Traivai and Dr. Lee as 17 the persons most knowledgeable with regard to patient 18 exams, X rays, extractions, cleanings, and 19 administration of prescriptions, correct? 20 Α. I'm sorry. You --21 MR. FRIEDMAN: Your Honor --22 THE WITNESS: -- you said Dr. Lee. 23 MR. FRIEDMAN: Hold on a second. Objection. 24 Your Honor, may we approach? 25 THE COURT: Sure.

1	(A discussion was held at the bench,
2	not reported.)
3	THE COURT: Rephrase it.
4	BY MS. PATIN:
5	Q. Dr. Lee, with regard to this case, the person
6	most knowledgeable concerning new patient exams,
7	X rays, extraction of wisdom teeth, cleaning, and
8	administration of prescriptions for medication, those
9	would be the dentists, correct? Dr. Park and
10	Dr. Traivai?
11	A. That's correct.
12	Q. And it's also office policy to document the
13	dental records concerning any incoming patient calls,
14	correct?
15	A. That's correct.
16	Q. And that includes patient calls regarding
17	complaints following a procedure, correct?
18	A. That's correct.
19	Q. Do you have any written policies and
20	procedures in your office?
21	A. That's just standard office protocol.
22	Q. Do you have any written policies and
23	procedures in your office?
24	A. No.
25	Q. So you don't have any written policies and

1	procedure	s concerning incoming calls?
2	Α.	No.
3	Q.	And you don't have any written policies and
4	procedure	s concerning patient complaints, correct?
5	A.	No.
6	Q.	And the dental records from Summerlin Smiles,
7	you're familiar with those, correct?	
8	A.	Yes.
9	Q.	And there's no call documented from Reginald
10	Singletar	y or Svetlana Singletary on April 18th of
11	2011, cor	rect?
12	Α.	None that I'm aware of.
13	Q.	And on April 18th of 2011, you were actually
14	working at Distinctive Smiles.	
15	Α.	That's correct.
16	Q.	And it's your testimony that the telephone
17	calls wer	en't forwarded at that time, correct?
18	Α.	It's impossible.
19	Q.	And you were here during the testimony of
20	Cherisse	Lesperance, correct?
21	Α.	Absolutely.
22	Q.	And she's your office manager for eight years
23	before sh	e left the office, correct?
24	Α.	She's my front office yes, that's correct.
25	Q.	She was your office manager, correct?

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1	A. Yes, that's correct.
2	Q. And she testified that the telephone calls
3	were forwarded on that Monday, April 18th of 2011,
4	correct?
5	A. I don't recall her testimony.
6	Q. You don't recall her testifying that the
7	calls were forwarded?
8	A. I have been here all week. I heard a lot of
9	testimony. If you ask me specifically if you could
10	read that to me, I'd answer for you.
11	Q. If she testified that the telephone calls
12	were forwarded on April 18th of 2011, it's your
13	testimony today that she was untruthful on the stand,
14	correct?
15	A. Say it I'm sorry. Could you repeat
16	MR. FRIEDMAN: Objection. Argumentative.
17	THE COURT: Overruled.
18	BY MS. PATIN:
19	Q. If Ms. Cherisse Lesperance testified that the
20	telephone calls at Summerlin Smiles were forwarded on
21	Monday, April 18th of 2011, it's your testimony here
22	today that Cherisse Lesperance was untruthful on the
23	stand, correct?
24	A. I'm going to answer that, and if you wouldn't
25	mind, let me explain.

1 I'm asking you whether or not she would have Q. 2 been untruthful if she testified to the fact that the 3 calls were forwarded on Monday, April 18th of 2011 from Summerlin Smiles to Distinctive Smiles? 4 5 MR. FRIEDMAN: Objection, Your Honor. 6 THE WITNESS: The answer --7 MR. FRIEDMAN: The witness is trying to 8 explain. 9 THE WITNESS: The answer to that question --10 MR. FRIEDMAN: It's not -- Doctor, Doctor, 11 Doctor. 12 THE COURT: You can't interrupt. 13 THE WITNESS: Sorry. I apologize. Sorry. 14 MR. FRIEDMAN: Your Honor, the witness would 15 like to explain, obviously that is not the only choice available to him. 16 17 MS. PATIN: My question is a yes or no. 18 THE COURT: If he can't answer with a yes or 19 no, he just has to say that. THE WITNESS: I can't answer a yes or no to 20 21 that question. 22 BY MS. PATIN: 23 You were never notified that Svetlana 0. 24 Singletary called the office concerning complaints of 25 Reginald Singletary, correct?

1 Α. That's correct. 2 Is it your understanding that Dr. Park nor Q. 3 Dr. Traivai were ever notified of any incoming call from Svetlana Singletary concerning complaints of 4 5 Reginald Singletary? That's correct. 6 Α. 7 You never received a call from an employee at 0. 8 Summerlin Smiles concerning Reginald Singletary's complaints on April 18th of 2011, correct? 9 10 That's correct. Α. 11 Ο. And while you were working at Distinctive 12 Smiles on April 18th of 2011, no call was transferred 13 to you from Svetlana Singletary, correct? You can't transfer calls from Summerlin 14 Α. 15 Smiles to Distinctive Smiles. 16 0. If the call came into Distinctive Smiles --17 Α. It --18 Q. -- no call --19 It can't -- that's what I'm trying to Α. 20 It can't -- you can't call Summerlin Smiles explain. 21 and have it somehow transferred to Distinctive Smiles. 22 And -- and like I said, I apologize, I'm not being 23 facetious or rude. I'm answering your question. It's 24 not possible. 25 No call was forwarded to you Q. I understand.

at Distinctive Smiles while you were working on 1 2 April 18th of 2011, correct? 3 That's correct. Α. And you never saw Reginald Singletary 4 0. 5 following the extraction, correct? That's correct. 6 Α. 7 No appointment was ever scheduled for Q. 8 Reginald Singletary between April 18th of 2011 and April 21st of 2011, correct? 9 10 MR. FRIEDMAN: Objection --THE WITNESS: That's correct. 11 12 MR. FRIEDMAN: -- calls for speculation. THE COURT: Overruled. He can say what he 13 14 knows. 15 THE WITNESS: Could you repeat that again, 16 please. BY MS. PATIN: 17 18 No appointment was ever scheduled for Q. 19 Reginald Singletary between April 18th of 2011 and 20 April 21st of 2011. 21 Α. None that I was aware of. 22 And you never prescribed any antibiotics to Q. 23 Reginald Singletary, correct? 24 Α. Mr. Singletary was not a patient of mine. 25 So you never prescribed him any antibiotics? Q.

1 Α. That's correct. 2 You never prescribed him any pain medication Q. 3 after his extraction, correct? I can't prescribe antibiotics or pain 4 Α. 5 medications to a patient that I haven't seen or I don't 6 know a record of. 7 Correct. So you didn't prescribe any 0. 8 medication to Reginald Singletary. 9 No, that's correct. Α. 10 You never provided any treatment to Reginald 0. 11 Singletary. 12 That's correct. Α. 13 Q. You never performed the extraction on 14 Reginald Singletary, correct? 15 Α. That's correct. 16 0. It's your testimony that on Monday, April 18th of 2011, there was a recording on the 17 18 telephone at Summerlin Smiles, correct? 19 Α. That's correct. 20 In order for that recording to pick up, does 0. 21 the phone have to ring? 22 Α. Yes. 23 How many times does it ring before the Q. 24 recording picks up? 25 I don't remember. I don't sit there and **A**.

listen to the amount of rings. 1 2 And how long did you say the recording Q. 3 lasted? I think it was a minute and seven seconds. Α. 4 5 And you actually timed the recording that was Q. 6 on the telephone on April 18th of 2011? 7 Α. I did when I heard about this phone call. When did that recording change? 8 0. I don't recall. 9 Α. 10 So you don't recall if it changed before or Q. 11 after April 18th of 2011? 12 To the best of my knowledge, I don't recall. Α. 13 Q. My office sent you interrogatories which are 14 questions that you had to answer, correct? 15 That's correct. Α. 16 0. And you answered those questions under oath, 17 under penalty of perjury, correct? 18 Α. You mean in front of -- under oath as in --19 in a deposition or in this courtroom? 20 You signed a verification page, correct? 0. 21 Α. That's correct. 22 Saying that your responses to any questions Q. 23 that I asked you in the interrogatories, that you 24 declared under penalty of perjury, under the laws of 25 the State of Nevada, that the foregoing are true and

1 correct. 2 No, that's correct. I -- you mean like this Α. 3 process where I raise my right hand? It is very similar. 4 0. 5 Α. That's correct. Yes. Sorry. 6 So your understanding is that you were Q. 7 supposed to be truthful in your answers to 8 interrogatories? 9 That's correct. Α. 10 And at the time, I asked you who could have Q. 11 or would have answered the phone on April 18th of 2011, 12 correct? 13 I don't recall. Α. 14 Q. Allow me to refresh your recollection. 15 Α. Sure. In Interrogatory No. 20, I asked you, "Please 16 0. 17 identify any and all employees and/or agents of 18 defendant, Ton V. Lee, DDS, Professional Corp., dba 19 Summerlin Smiles, that could have or would have 20 answered incoming calls at the office on April 18th of 21 2011". 22 That's correct. Α. 23 Do you recall that question? Q. 24 Α. That's correct. 25 And in response to that question, you Q.

1	identified Cherisse Lesperance, the office manager,
2	correct?
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4	Q. And Zadia Lopez, front office staff, correct?
5	A. That's correct.
6	Q. Zadia Lopez is actually back office staff,
7	correct?
8	A. That's correct.
9	Q. You didn't identify the fact that Summerlin
10	Smiles had an answering machine, correct?
11	MR. FRIEDMAN: Objection. Relevance. That
12	wasn't a question that was asked.
13	THE COURT: Sustained.
14	BY MS. PATIN:
15	Q. You never provided any information with
16	regard to whether or not Summerlin Smiles had an
17	answering service on April 18th of 2011, correct?
18	A. Was that a question being asked?
19	MR. FRIEDMAN: Objection, Your Honor. That
20	question wasn't asked.
21	THE WITNESS: I'm confused.
22	THE COURT: Sustained.
23	THE WITNESS: You didn't ask that question.
24	BY MS. PATIN:
25	Q. I'm asking the question now.

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1 MR. FRIEDMAN: And I object. 2 THE WITNESS: But you're asking about 3 interrogatories then. 4 THE COURT: Okay, guys. 5 MS. PATIN: May we approach? THE COURT: 6 Sure. 7 (A discussion was held at the bench, 8 not reported.) 9 BY MS. PATIN: 10 I'm not asking you with regard to your 0. 11 answers to interrogatories. 12 I'm asking you as you sit here today, you never provided any information with regard to an 13 14 answering machine at Summerlin Smiles, correct? 15 MR. FRIEDMAN: Objection --16 THE WITNESS: You never asked. 17 MR. FRIEDMAN: -- Your Honor, the question 18 was never asked of him. 19 THE WITNESS: You never asked me. 20 THE COURT: Sounds like he gave the same 21 answer that you did. 22 BY MS. PATIN: 23 And you never provided any information with Q. 24 regard to a recording that was on any telephone at 25 Summerlin Smiles, correct?

1 MR. FRIEDMAN: Objection. The question was 2 never asked of him. 3 You never asked. You've never THE WITNESS: asked me. 4 5 THE COURT: Sustained. 6 BY MS. PATIN: 7 Dr. Lee, you never provided the names of any 0. employees at Summerlin Smiles or Distinctive Smiles 8 9 having any knowledge about the facts and circumstances 10 of this case or the telephone call on April 18th of 11 2011, correct? 12 Α. Are you asking me this --13 MR. FRIEDMAN: Objection. Objection. 14 THE WITNESS: Sorry. 15 That's partially irrelevant as MR. FRIEDMAN: 16 to Distinctive Smiles, and he already testified he did 17 provide information, the two people who were working on 18 the date of the alleged phone call. 19 THE COURT: Three words. 20 MR. FRIEDMAN: Sorry. 21 May we approach? MS. PATIN: 22 (A discussion was held at the bench, 23 not reported.) 24 THE COURT: I guess there's an objection 25 pending. It's sustained.

1 BY MS. PATIN:

2 Dr. Lee, you were present during Cherisse Q. 3 Lesperance's testimony, correct? That is correct. 4 Α. 5 And Ms. Lesperance testified that the -- that 0. 6 time punch -- well, that the telephone calls were 7 forwarded to Distinctive Smiles on April 18th of 2011, correct? 8 9 If that's what you're saying in her Α. 10 testimony, to the best of my knowledge, that's correct. 11 And because the telephone calls -- she 0. 12 testified that because the telephone calls were 13 forwarded to Distinctive Smiles from Summerlin Smiles 14 on April 18th of 2011, that time punch cards were 15 printed for those employees, correct? 16 Α. I'm sorry. You said time punch cards? 17 Q. Yes. 18 Α. We -- we don't time punch cards. We just 19 clock in and clock out on the computer. It's not a 20 time punch card. 21 When you clock in and clock out on the 0. 22 computer at Summerlin Smiles, it's identified as a time 23 punch card, correct? 24 Α. Yeah, that's correct. Sure. 25 And she provided you with those time punch Q.

cards for the employees at Distinctive Smiles, correct? 1 2 MR. FRIEDMAN: Objection. Attorney-client 3 privilege, attorney work product. 4 THE COURT: Come up for a minute. 5 (A discussion was held at the bench, 6 not reported.) 7 THE COURT: Overruled as to this question, but I would reask it. 8 BY MS. PATIN: 9 10 Dr. Lee, did Ms. Cherisse Lesperance provide 0. 11 you with any time punch cards for the employees at 12 **Distinctive Smiles?** 13 For Distinctive Smiles? Α. 14 0. From April 18th of 2011. 15 Α. She does payroll. I don't do payroll. 16 She -- sometimes she'll put that on my desk if that's 17 what you're asking me. But did I look at it? She does 18 payroll. You're asking me about payroll, right? 19 I'm not asking you about payroll. I'm asking **Q**. 20 you whether or not Mrs. Lesperance provided you with 21 time punch cards for the employees at Distinctive 22 Smiles on April 18 of 2011. 23 Α. She may have. 24 And it's your testimony that Cherisse **Q**. 25 Lesperance and Zadia Lopez were the only employees at

Summerlin Smiles on April 18th of 2011 at about 1 2 10:30 a.m., correct? 3 To the best of my knowledge, they were there Α. 4 on that day. 5 Were you provided with time punch cards for Q. 6 both Cherisse Lesperance and Zadia Lopez? 7 She handles payroll. The assumption is if Α. 8 you said they placed that on my desk or in my office, 9 sure. 10 You produced time punch cards for Zadia Lopez Ο. 11 and Cherisse Lesperance in this case, correct? 12 If you said I produced it, I printed that out Α. 13 on the computer, it came out, and I gave it to you. So 14 I guess I produced it. 15 Ο. So when you printed it out on the computer, 16 you looked at it, correct? 17 Α. I didn't print it out myself. 18 MR. FRIEDMAN: Objection. Assumes facts not 19 in evidence. 20 I don't know if it does based on THE COURT: 21 his last answer. Overruled. 22 BY MS. PATIN: 23 When you printed out the time punch cards, **Q**. 24 you looked at the time punch cards to make sure that 25 they were for Zadia Lopez and Cherisse Lesperance,

1 correct? 2 I didn't look at the time punch cards. Α. 3 0. You just printed them and handed them --I didn't print them. 4 Α. 5 Where did they come from? 0. Cherisse. 6 Α. 7 Cherisse printed the time punch cards for her Q. 8 and Zadia Lopez? 9 Α. Yes. 10 And those are the two time punch cards that Ο. 11 were provided in this case? 12 Α. Yes. 13 Q. And those were provided by you, correct? 14 Α. By my company, sure. By me. 15 MS. PATIN: Your Honor, I think my client is 16 asking for a break, and I think this would be a good 17 breaking point, if we could take a quick five-minute 18 break. 19 THE COURT: Sure. 20 Ladies and gentlemen, during our break, 21 you're instructed not to talk with each other or with 22 anyone else, about any subject or issue connected with 23 this trial. You are not to read, watch, or listen to 24 any report of or commentary on the trial by any person 25 connected with this case or by any medium of

information, including, without limitation, newspapers, 1 2 television, the Internet, or radio. You are not to conduct any research on your own, which means you 3 cannot talk with others, Tweet others, text others, 4 Google issues, or conduct any other kind of book or 5 6 computer research with regard to any issue, party, 7 witness, or attorney, involved in this case. You're 8 not to form or express any opinion on any subject 9 connected with this trial until the case is finally 10 submitted to you. 11 Take five or ten minutes. 12 (The following proceedings were held 13 outside the presence of the jury.) 14 THE COURT: We are outside the presence of 15 the jury. Anything we need to put on the record, Counsel? 16 17 MS. PATIN: No, Your Honor. 18 MR. VOGEL: No. 19 MR. FRIEDMAN: No, Your Honor. 20 THE COURT: Okay. Off the record. 21 (Whereupon a short recess was taken.) 22 THE MARSHAL: All rise for the presence of 23 the jury. 24 (The following proceedings were held in 25 the presence of the jury.)

1 THE COURT: Go ahead and be seated. Welcome 2 We're back on the record, Case back, folks. 3 No. A656091. 4 Do the parties stipulate to the presence of 5 the jury? 6 MS. PATIN: Yes, Your Honor. 7 MR. VOGEL: Yes, Your Honor. Yes, Your Honor. 8 MR. FRIEDMAN: 9 MR. LEMONS: Yes, Your Honor. 10 Thank you. Doctor, just be THE COURT: 11 reminded you're still under oath. 12 THE WITNESS: Yes, Your Honor. 13 THE COURT: You guys do your best to try not 14 to talk over each other. 15 MS. PATIN: And I'll try to slow down. THE COURT: You may proceed. 16 17 BY MS. PATIN: 18 Dr. Lee, you testified that there was a Q. 19 recording on the Summerlin Smiles phone on April 18th 20 of 2011, correct? 21 Α. That's correct. 22 And that recording -- part of that recording Q. 23 asked the patient to leave a message, correct? 24 Α. That's correct. 25 And if a message was left, it would be Q.

documented in the dental records, correct? 1 2 If a phone call is made -- you're --Α. 3 answering machines aren't -- when we get an answering machine or get a voice mail, we don't document it on 4 the phone record. Phone records -- or clinical records 5 are only documented when you actually speak to a 6 7 patient. 8 0. So if a message is left on the telephone when the office is closed, and the office staff checks the 9 10 answering machine, they don't document the incoming 11 calls in the dental records? 12 MR. FRIEDMAN: Objection. Relevance. 13 THE COURT: Overruled. 14 THE WITNESS: We call the patient. 15 BY MS. PATIN: 16 0. So it's not documented in the phone records 17 if there's a message left on the answering machine? 18 When we actually get in contact with the Α. 19 patient, we document that we called or left a voice 20 mail, yes. 21 0. So yes --22 Α. The answer's yes. 23 It's only documented once a telephone call is Q. 24 made back to the patient, correct? 25 That is correct. Α.

> 69 PATIN 140 789

1 Ο. It's not documented that a message was 2 actually left on the -- on the answering machine. 3 Α. Could you repeat that, because I know what you're trying to ask, but I'm trying to answer you as 4 5 easy as possible or simply as possible. It's not documented in the dental records 6 Ο. 7 that a message was left on the answering machine, 8 correct? 9 Α. That's not correct. Because when you call 10 our office, any answering machine, we will call back. 11 It's always documented. 12 And I'm asking if the message is documented 0. 13 in the dental records. 14 Α. Oh, yes. I'm sorry. Yes. 15 Q. Was there any message documented in this case 16 on April 18th of 2011? 17 Not that I'm aware of. Α. 18 Did you ever return a message from Svetlana Q. 19 Singletary from a call on April 18th of 2011? 20 Α. No. 21 Are you aware if anyone returned a call to **Q**. 22 Svetlana Singletary on April 18th of 2011? 23 Α. No. 24 Dr. Traivai and Dr. Park performed a routine **Q**. 25 extraction on Reginald Singletary on April 16th of

1 2011, correct? 2 That's correct. Α. 3 And you would agree that the procedure should 0. 4 be coded properly, correct? 5 MR. FRIEDMAN: Objection. Relevance. I'm going to allow it, see where 6 THE COURT: 7 it goes. 8 THE WITNESS: That's correct. 9 BY MS. PATIN: 10 And if it was a routine extraction that was 0. 11 performed on Reginald Singletary on April 18th, 2011, 12 it would be improper to code it as a surgical 13 extraction, correct? 14 MR. FRIEDMAN: Objection. Relevance. 15 THE COURT: What's the relevance of this? 16 Come on up, quys. 17 (A discussion was held at the bench, 18 not reported.) 19 THE COURT: Objection's sustained. 20 BY MS. PATIN: 21 Dr. Lee, you testified that after Svetlana **Q**. 22 Singletary called Summerlin Smiles on that Saturday, 23 April 23rd of 2011, you went to the hospital along with 24 Dr. Park and Dr. Traivai, correct? 25 That's correct. Α.

1	Q.	And at the time, you saw Svetlana Singletary
2	at the hospital, correct?	
3	Α.	I did not.
4	Q.	You never saw her?
5	Α.	No.
6	Q.	You never spoke to her?
7	Α.	No.
8	Q.	You never spoke to Reginald Singletary?
9	Α.	No.
10	Q.	You never spoke to the doctors?
11	Α.	No.
12	Q.	But you went there to help.
13	Α.	I went there I was I went there right
14	after Dr.	Park and Dr. Traivai. We went together, but
15	I went the	ere after them, yes.
16	Q.	And you testified that you went there to
17	help, corr	rect?
18	Α.	Absolutely.
19	Q.	But you never spoke to anyone at the
20	hospital?	
21	A.	No, I did not.
22	Q.	You also testified that Dr. Traivai performs
23	dental pro	ocedures at her discretion, correct?
24	Α.	That's correct.
25	Q.	But you don't monitor all procedures that are
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performed by Dr. Traivai, do you? 1 2 Α. That's correct. 3 And you don't monitor her schedule, correct? 0. Α. She monitors her own schedule. 4 5 You don't monitor her schedule. 0. No, I don't. 6 Α. 7 So you don't know if she's performing or Q. scheduled for a tooth extraction versus a root canal 8 9 versus a cleaning versus a new patient exam, correct? 10 Α. It's on the computer. 11 But you don't monitor that, do you? 0. 12 Α. No, I don't. 13 Q. You don't look at that on a daily basis, do 14 you? 15 No, I don't. Α. 16 0. You also testified that all back office goes 17 to dental assistant school, correct? 18 Α. They generally should, yes. 19 Your specific testimony was that all back **Q**. 20 office -- all of your back office staff goes to dental 21 school, correct? 22 Misstates his MR. FRIEDMAN: Objection. 23 testimony. 24 THE WITNESS: They don't go to dental school. 25 Remember, they go to dental assistant school.

1 BY MS. PATIN: 2 I'm sorry. Dental assistant school. My Q. 3 mistake. Α. I'm sorry -- say that -- you said all back 4 5 staff, though. 6 THE COURT: Hold on, guys. 7 Doctor, if your attorney makes an objection, 8 you have to let him make the objection for the record 9 and let me rule on it before you interrupt and try to 10 answer. Okay? 11 THE WITNESS: Sure. 12 THE COURT: I know that you have a desire to 13 answer the question, but if he's trying to -- trying to 14 protect your interests by making objections, you have 15 to let him object; you have to let me rule. 16 THE WITNESS: I know. I do that all the 17 time. I'm sorry. 18 THE COURT: Please don't. 19 THE WITNESS: Absolutely. 20 THE COURT: The objection's sustained. But I 21 think you already rephrased the question, so try again. 22 BY MS. PATIN: 23 Okay. Dr. Lee, you testified that all back Q. 24 office goes to dental assistant school, correct? 25 That's not correct. You said all back Α.

1	office. Remember, back office is dental hygienist,
2	dentists, and and doctors or dental assistants.
3	They all go to different schools.
4	Q. With regard to your dental assistants in the
5	office, all of your dental assistants go to dental
6	assistant school, correct?
7	A. Are you asking me now or you asking me then?
8	Q. I'm asking you now.
9	A. All with the exception of one.
10	Q. Who's that?
11	A. Jonathan Bradley.
12	Q. And that's now?
13	A. B-r-a-d-l-e-y.
14	Q. And that's currently?
15	A. That's currently.
16	Q. Back in March and April
17	A. I apologize. That's Cheyenne Wells. Jon
18	goes to dental assistant school. Cheyenne Wells.
19	Sorry.
20	Q. Back in March and April of 2011, was there
21	anyone in your back office who was a dental assistant
22	who had not gone to dental assistant school?
23	A. I don't recall.
24	Q. Back in 2008, were there any dental
25	assistants in your back office that did not go to

1 dental assistant school? 2 MR. FRIEDMAN: Objection. Relevance. 3 MS. PATIN: May we approach? THE COURT: Sure. 4 5 (A discussion was held at the bench, 6 not reported.) 7 THE COURT: Objection's overruled. 8 BY MS. PATIN: 9 Dr. Lee, back in 2008, were there any dental Q. 10 assistants in your back office that had not gone to dental assistant school? 11 12 I don't recall. Α. 13 Q. Do you recall whether or not Zadia Lopez went 14 to dental assistant school? 15 I don't recall. Α. 16 Q. Did you hire Zadia Lopez? I did. 17 Α. 18 Q. And you hired her to be a dental assistant in 19 your office? 20 Α. That's correct. 21 And she performed dental assistant procedures **Q**. 22 from -- for about a year and a half after she was hired at Summerlin Smiles? 23 24 She's had previous dental assisting Α. 25 experience.

1 But she never went to dental assistant Q. 2 school? 3 MR. FRIEDMAN: Objection. Misstates his 4 testimony. THE WITNESS: I don't recall. 5 6 THE COURT: Overruled. 7 THE WITNESS: I don't recall. 8 BY MS. PATIN: 9 You don't recall if she went to dental Ο. 10 assistant school? I don't recall. 11 Α. 12 But it's your understanding that Zadia Lopez Q. did perform dental assistant tasks in your office for 13 14 that first year and a half she was working there, 15 correct? 16 That's correct. Α. 17 MS. PATIN: No further questions at this 18 time. 19 Mr. Friedman, redirect. THE COURT: 20 MR. FRIEDMAN: Thank you. 21 22 REDIRECT EXAMINATION 23 BY MR. FRIEDMAN: 24 0. Just a few things. 25 Doctor, plaintiff counsel asked you about

1	Cherisse Lesperance's testimony regarding the
2	forwarding of phone calls from Summerlin Smiles to
3	Distinctive Smiles.
4	Why do you believe Cherisse testified the way
5	she did?
6	MS. PATIN: Objection as to speculation.
7	MR. FRIEDMAN: I asked his belief.
8	THE COURT: Yeah, but it I think it's
9	still speculation. Sustained.
10	BY MR. FRIEDMAN:
11	Q. Are you aware of whether or not a
12	postoperative appointment was scheduled for
13	Mr. Singletary at the time of the extraction?
14	MS. PATIN: Objection
15	THE WITNESS: I am aware
16	MS. PATIN: outside the scope of cross.
17	THE COURT: Overruled.
18	THE WITNESS: I am aware that he had a
19	postoperative extraction. I believe it was April 23rd,
20	2011, for a post-op post-op visit.
21	BY MR. FRIEDMAN:
22	Q. So he had an appointment scheduled one week
23	post extraction.
24	A. Yes, he did.
25	Q. And is it the protocol of your office to

return all messages that are left on the Summerlin 1 2 Smiles's answering machine? 3 Α. Yes, it is. And once those calls are returned, then they 4 0. 5 are documented in the chart? That's correct. 6 Α. 7 MR. FRIEDMAN: Thank you. I have nothing 8 further. 9 MR. VOGEL: No questions. 10 MR. LEMONS: No questions, Your Honor. 11 THE COURT: Anything else? 12 MS. PATIN: No questions. 13 THE COURT: Ladies and gentlemen, any 14 questions for Dr. Lee? 15 (A discussion was held at the bench, 16 not reported.) 17 THE COURT: All right, folks, there's one 18 question that I'm not going to ask. We'll mark that 19 the Court's next in order. 20 One question I will ask: Doctor, what is the 21 follow-up care provided to a patient by Summerlin 22 Smiles after tooth extraction -- after a tooth extraction? 23 24 THE WITNESS: It depends on the type of 25 extraction that's been performed. If it's a routine

1	extraction, the protocol always is to have the one-week
2	post-op. And it's really at the discretion of the
3	doctor. If it's a surgical extraction, then, again,
4	would be the one-week post-op and a phone call,
5	depending on, like I said the question is so open,
6	because surgical I'm not an oral surgeon. You know,
7	I don't typically do surgical extractions at all. So
8	when you ask me that question, generally, the the
9	protocol would be a one-week post-op, and depending on
10	the doctor who's performing the procedure, would
11	generally call the patient. Again, depending on the
12	nature of the procedure, if it's a routine extraction,
13	it's a one-week post-op.
14	THE COURT: Okay. Thank you, Doctor.
15	We'll mark that the Court's next in order.
16	Mr. Friedman, any follow-ups based on that
17	question?
18	MR. FRIEDMAN: No, Your Honor.
19	MR. VOGEL: No, Your Honor.
20	THE COURT: Mr. Lemons?
21	MR. LEMONS: No, Your Honor.
22	THE COURT: Ms. Patin?
23	MS. PATIN: Yes, Your Honor.
24	THE COURT: Go ahead.
25	/////

1	RECROSS-EXAMINATION
2	BY MS. PATIN:
3	Q. I wasn't able to hear you very clearly, but
4	you said if it was a surgical extraction, the typical
5	follow-up would be a one-week post-op appointment as
6	well as a phone call, correct?
7	A. Depending on the I guess the the
8	procedure.
9	Q. And you also stated you have never performed
10	a surgical extraction, correct?
11	A. I don't typically do surgical extractions. I
12	didn't mean I never perform. I don't typically do
13	surgical extractions.
14	Q. Do you do surgical extractions in your
15	office?
16	A. I have before. Absolutely.
17	Q. And when you performed a surgical extraction
18	in your office, did you follow your protocol of a
19	one-week post-op and a telephone call after the
20	surgical extraction?
21	MR. FRIEDMAN: Objection. Relevance.
22	THE COURT: Sustained.
23	BY MS. PATIN:
24	Q. Routine and surgical extractions are
25	performed in your office, correct?

That's correct. 1 Α. 2 And they're performed by the dentists in your Q. 3 office, both you, Dr. Traivai, and Dr. Park, correct? 4 Α. Depending on the doctor, sure. 5 MS. PATIN: No further questions. 6 THE COURT: Anything else? 7 No, Your Honor. MR. FRIEDMAN: 8 MR. VOGEL: No, Your Honor. 9 THE COURT: Thank you, Doctor. 10 Mr. Friedman, your next witness. 11 MR. VOGEL: Yes, just one second. 12 Your Honor, we're going to call Dr. Christian Sandrock. 13 14 THE COURT: Are you calling him or is he 15 calling him? 16 MR. VOGEL: He's a joint witness. 17 THE COURT: Okay. Doctor, we're going to ask 18 you to step up here on the witness stand. If you 19 would, remain standing, raise your right hand, please. 20 THE CLERK: You do solemnly swear the 21 testimony you're about to give in this action shall be 22 the truth, the whole truth, and nothing but the truth, 23 so help you God. 24 I do. THE WITNESS: 25 Please state your name and spell THE CLERK:

1 it for the record, please. 2 THE WITNESS: May I sit? 3 THE COURT: You may. 4 THE WITNESS: Okay. Christian Sandrock. 5 Last name is -- first name is Christian, 6 C-h-r-i-s-t-i-a-n. Last name Sandrock, 7 S-a-n-d-r-o-c-k. 8 THE COURT: Thank you. 9 10 DIRECT EXAMINATION 11 BY MR. VOGEL: 12 Q. Good morning, Doctor. 13 Α. Morning. 14 Q. How are you? 15 Α. I'm okay. I'm a little tired, but okay. 16 Q. Work late last night? Till about 1:00 a.m. Not so bad. 17 Α. 18 Q. All right. Well, if you could, for the jury, 19 just so we can introduce you here, could you give a 20 little bit of an explanation of your education, 21 training, and experience. Just a thumbnail sketch, 22 please. 23 Α. No problem. So I'm a physician, so an Sure. 24 M.D., and I trained in pulmonary critical care and 25 infectious disease medicine. So I did my undergraduate

1	degree back in New Jersey where I was raised and went
2	to Rutgers University. Then went to Georgetown Medical
3	School for med school, and then moved out here in 1996.
4	Have to think about that for a minute. So 1996 to
5	Davis, California, where I did my residency for three
6	years and then my subspecialty training in infectious
7	disease, pulmonary and critical care medicine for an
8	additional five years. And that, I would finish, if I
9	add that out, that was 2004 when I finished that. I
10	then did a year in Lund, Sweden, where I did a master's
11	in public health after that and then joined the faculty
12	at the University of California Davis thereafter.
13	Q. All right. And what is your current
14	occupation?
15	A. So my current occupation is I'm a the full
16	title is associate professor of medicine, but
17	essentially I'm a professor at UC Davis School of
18	Medicine.
19	Q. What's involved in being an associate
20	professor of medicine?
21	A. It's a mixture of different things, but
22	essentially I break my time is broken up between
23	patient care, teaching, some administrative roles, and
24	then and then research. And I can break down the
25	percentages if you like.

1	Q. Sure.
2	A. It's about roughly about 40 to 50 percent
3	patient care, and most of that is performed in
4	hospital. I do have a clinic where I see patients once
5	a week. So some of it is on an outpatient setting, but
6	mostly that's inpatient. The teaching occurs both in
7	classroom settings as well as bedside when I'm doing my
8	patient care. And then I spend the rest of my time, I
9	would say about 25 percent time is is research, and
10	another 25 percent time is administrative. I'm
11	director of the ICU at UC Davis, so that's the
12	administrative component.
13	Q. Are you board certified?
14	A. Iam.
15	Q. What areas of medicine are you board
16	certified?
17	A. Currently I'm board certified in infectious
18	disease, pulmonary medicine, and critical care
19	medicine.
20	Q. And what does it mean to be board certified?
21	A. After you finish your subspecialty training,
22	so for me those five years, you sit for an examination.
23	And if you pass that examination, you are board
24	certified. And then every ten years, you have to
25	retake that examination. And in the intervening ten

1	years, they have it's called maintenance of
2	certification. You have a number of duties that you
3	will do to show that you're keeping up on your work.
4	So there will be like small projects, and,
5	you know, patient satisfaction surveys. They have
6	practice tests you take. So every ten years, you take
7	the test, but in between you keep up work for that.
8	Q. All right. Have you been published at all in
9	the areas of infectious diseases?
10	A. Yes, I have.
11	Q. I've gone through your CV. You have numerous
12	presentations as well?
13	A. Yes.
14	Q. Have you authored any chapters in any
15	textbooks of medicine?
16	A. Yes, I have.
17	MR. VOGEL: Your Honor, at this time, I'd
18	like to ask that Dr. Sandrock be recognized as an
19	expert in infectious diseases, internal medicine, and
20	pulmonary diseases.
21	THE COURT: Any objection?
22	MS. PATIN: No, Your Honor.
23	THE COURT: He'll be so recognized.
24	MR. VOGEL: Thank you.
25	/////

BY MR. VOGEL: 1 2 Doctor, you were asked to review some records Q. 3 in this case; is that a fair statement? 4 Α. That's correct, yes. 5 And do you recall what you were asked to do **Q**. 6 with respect to this case? 7 My recollection is that I was given a number Α. 8 of records and I was asked to render my opinion as to 9 the series of events and the intertwining infection 10 that occurred with Mr. Singletary. 11 And do you recall what records you reviewed? 0. 12 I don't remember -- remember them all Α. 13 offhand. I did have them on my statement. But I did 14 review records from his dental office, from both his 15 visit and -- and extraction, as well as the emergency 16 room and hospital visits after that, and some 17 depositions. 18 Q. Very good. 19 And you authored a report as well? 20 I did, yes. Α. 21 Is that right there? We've got a binder **Q**. 22 behind you there. Want you to have your report for 23 you. It's the smaller binder. 24 Α. Okay. Okay. 25 And if you look in there, there's an **Q**.

1 Exhibit B. 2 Α. Yes. 3 0. Is that your report? 4 Α. Yes, it is. 5 Okay. And that sets out the records that you 0. 6 reviewed? 7 Α. So the records listed there, yes. Yes. So the dental charts, the St. Rose Hospital 8 0. 9 records, radiology films, certificate of death, autopsy 10 report, things along those lines? 11 Α. That's correct. 12 Q. All right. Did you also review some 13 literature to make sure that what you were -- the 14 opinions you're offering were current and up to date? I referenced -- excuse me. 15 That's correct. Α. 16 I reviewed and referenced two pieces of literature, one 17 was a clinical practice guideline for surgical 18 prophylaxis and the other was prevention of infective 19 endocarditis. 20 I do apologize. If I speak fast, let me 21 It's my New Jersey upbringing, so ... know. 22 Why did you review these two pieces of Q. 23 medical literature? 24 Α. The guidelines are often change -- are 25 frequently changing. So as medical societies meet on a

regular basis, they may or may not come out with new 1 2 recommendations, or new evidence may come out in 3 between their meetings that may support or refute 4 recommendations that they had in the past. So these were just more recent documents, reviewing those --5 6 those medical groups' recommendations. 7 So they essentially get together and review 8 all the literature and issue a recommendation, which is 9 a guideline, not -- you know, certainly not law, but 10 makes some recommendations. 11 And now, the literature that you reviewed, 0. 12 did that confirm the opinions that you're going to be 13 offering here today? 14 Α. I would say confirmed and supported, yes. 15 Q. Are all the opinions you're going to be 16 offering here today to a reasonable degree of medical 17 probability? 18 Yes, sir, they are. Α. 19 All right. What I'd like to do here is start **Q**. 20 going through your expert report. It looks like it's 21 broken down into five basic opinions, correct? 22 Α. Correct. 23 All right. If you could, for the jury, could Q. 24 you explain your first opinion with respect to 25 Mr. Singletary's condition, what happened to him.

A. Sure. My first opinion was that
Mr. Singletary -- Mr. Singletary prepared -- presented,
excuse me, to the hospital with and subsequently died
from severe and overwhelming sepsis from Ludwig's
angina associated with a tooth extraction on April 16th
of 2011.

7 I quess the simple explanation of that is, is 8 that he had a severe infection that essentially 9 extended down through his neck and into his 10 mediastinum, which is the middle portion of our body 11 here in between both of the lungs. That's sort of 12 where our heart and the great vessels like the aorta 13 sit, and that infection sort of traveled down into this 14 And with many overwhelming infections -- I know area. 15 in the news today we're hearing a lot about influenza 16 and people dying from the flu, and that's a classic 17 viral infection. As with many infections, the body 18 ramps up a pretty large immune response. And with that 19 immune response, you can get lots of your organs 20 damaged and injured. So, for example, the lungs can be 21 damaged and harmed, the kidneys, the liver and so 22 forth.

And in my review of the medical records, he had findings of sepsis and severe septic shock which means not only was the infection localized here, it had

1	spread throughout his body. His blood pressure was
2	very low and couldn't be sustained without medications.
3	His heart, you know, stopped working and was working
4	irregularly. Lungs the lungs are infected. The
5	kidneys shut down, the liver shut down, and so forth.
6	And that that, you know, infection was a process
7	that where the bacteria traveled down the fascial
8	planes or the tissue planes into the chest to cause
9	this overwhelming infection.
10	Q. Was this a rapid process for Mr. Singletary?
11	A. Yes, very rapid.
12	Q. And how rapid?
13	A. You know, I think in my statement here, I
14	said the exact time of onset is unknown. It's hard to
15	say. But in reviewing the records, he if I recall
16	correctly, he, you know, certainly had the extraction
17	on Saturday. I think it was April 16th if my dates are
18	correct.
19	Q. You are.
20	A. He had swelling and pain which was present on
21	Monday. And then certainly on Tuesday, he still had,
22	you know, some pain and swelling that he reported. But
23	Tuesday evening into Wednesday is when his clinical
24	symptoms started to started to advance. And it was
25	probably at that point, I think on Tuesday, the 7

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91 PATIN 162 811

1	Tuesday, the 19th, where things began to to probably
2	advance quickly.
3	Ludwig's angina or, you know, any form of
4	rapid necrotizing mediastinitis is it's very rapid.
5	And usually that's something we look at in terms of
6	hours and minutes and not in terms of days.
7	Q. We'll get to more detail in a second.
8	So let's move on to your second opinion. I
9	think have you finished with your first one?
10	A. Yes, sir.
11	Q. Okay. So what was your second opinion?
12	A. My second opinion was that Mr. Singletary did
13	not require antibiotics in the pre- and immediate
14	postoperative period on April 16th, 2011.
15	Q. Okay. Why did Mr. Singletary not require
16	antibiotics before the extraction on April 16th?
17	A. So there's a number of different reasons why
18	we may use or you know, an oral surgeon may use
19	antibiotics in a dental extraction. And on the
20	reference of my literature, the most common is that you
21	have either a prosthetic device in place or a heart
22	valve issue or abnormality. So, you know, many people
23	have a valve replacement, so one of their mitral aortic
24	valves may be replaced. That, you know, valve is a
25	mechanical or prosthetic material or a bioprosthetic

material. And that means it's at risk for having
 bacteria settle in on it.

3 Many of us know -- if you know people have artificial knees or hips, they may have a pacemaker in 4 5 place, any device like that is at risk for having 6 bacteria settle on it. So if you're to undergo, you 7 know, a dental extraction, you may -- the fancy term we 8 use is translocate. But as the tooth comes out, 9 bacteria may slip into our bloodstream and happens when 10 we brush our teeth and when we have colonoscopies, 11 these are common things. But at-risk people, you know, 12 with valvular problems in their heart and so forth are 13 at increased risk for the bacteria settling on the 14 valve or in other parts of their body. So the evidence 15 based on those guidelines I mentioned suggests that you 16 would give antibiotics prior to the procedure to reduce 17 that risk. And in the review of Mr. Singletary's 18 records, he did not report any such medical history 19 that would put him at risk for this.

20 Secondly, at least, you know -- and, again, 21 I'm not a dentist, but, you know, we work with oral 22 surgeons regularly in my profession.

- 23 Q. Let me stop you there.
- A. Sure.

25 Q. Is it common for dentists and oral surgeons

1 to contact you with infectious disease issues?

2 You know, depends what you mean by common. Α. 3 But we get called regularly. So I would say we have 4 a -- you know, an ear, nose, and throat team, and we do have an oral facial surgeon as part of that. 5 So if 6 they have a more advanced infection, we get consulted 7 regularly. We may get calls exactly about what we're 8 talking about now since I do infectious disease and do 9 a lot of, you know, heart surgery and postoperative 10 heart management as part of my critical care time. We 11 will regularly get called that, you know, I have this 12 patient and, you know, they might have an allergy to 13 penicillin, what's the antibiotic you would recommend 14 at this point? Or would you give antibiotics in these 15 certain situations? So we do get called -- called regularly for that. 16

And secondly is that, at least based on the notes, I could see from the dentist's office as well as the -- you know, just the immediate notes I was able to record, is he didn't have an active acute infection in his oropharynx.

22 So he was having a tooth extraction, but, you 23 know, usually if someone has a big abscess or swelling 24 or pus draining out, those are instances where we would 25 actually go ahead and, you know, potentially give 1 antibiotics prior to the extraction as opposed to a
2 tooth that, you know, doesn't necessarily have that --3 that level of swelling.

Some people may have varying degrees of
gingival or gum irritation or swelling. That, you
know, is not something we normally will give
antibiotics for. Some dentists do as their practice,
but it's not normally part of our recommendation.

9 Q. All right. And has there been a concern in 10 the medical community with organisms or bugs becoming 11 resistant to antibiotics?

A. Absolutely, yeah.

12

13 Q. And so are antibiotics prescribed as much now 14 as they used to be?

15 And Α. Actually, they're not. It's much less. 16 one of my jobs which, you know, there's many at the 17 university, but one is we actually have implemented an 18 antimicrobial stewardship service. It's a fancy term, 19 but, you know, you're a steward of good practice. And 20 we actually review all the antibiotic starts, the 21 reasons why they're started on our patients in the 22 hospital and clinic. And if it doesn't seem that it's 23 indicated, we actually pull back because some of the 24 side effects, whether it's anything from a rash and an 25 allergic reaction all the way through to a

drug-resistant organism are pretty profound. So we've 1 actually cut back our use dramatically in the last 2 3 decade. So with respect to Mr. Singletary, was there 4 0. 5 any reason to give him antibiotics immediately after the extraction? 6 7 Α. No. From the records that I could tell, no. 8 **Q**. For the reasons you just stated? 9 Α. That's correct, yeah. No. 10 And what was your third -- third Q. Okay. 11 opinion that you offered in this case? 12 So my third opinion was that the Α. 13 administration of antibiotics to Mr. Singletary by 14 Dr. Traivai on April 18th would not have prevented --15 (Clarification by the Reporter.) 16 THE WITNESS: I know medical words, too, 17 which is hard. 18 -- prevented the progression towards severe 19 necrotizing mediastinitis and death. 20 BY MR. VOGEL: 21 Okay. So just so I'm clear, on the 18th, **Q**. 22 antibiotics wouldn't have changed his outcome? 23 Α. This is -- so, you know, in medicine, Yeah. 24 we obviously can't say everything with 100 percent 25 certainty. You know, medicine is hard to put anything 1 at 100 percent. But it's certainly more likely than 2 not that antibiotics would not have made a difference. 3 And --

4

Q. Why is that?

5 And I'll try, and hopefully I'll be slow in Α. 6 the anatomy for you. The type of infection that he 7 had, this necrotizing mediastinitis is -- it's very 8 rapid. And it's also very hard to detect. So when we 9 do see these cases, they often can progress really, 10 honestly, in hours, you know, from 8 or 12 hours prior 11 to their presentation and near death is when this can 12 often start.

13 And many of us have become familiar with a 14 flesh-eating bacteria. You read about these on the 15 news. This is actually the same process. It's just, 16 you know, in the neck and the mediastinum or the middle 17 portion of our chest. Traditionally, you hear about it 18 on the leg or the arm. And if you listen in the news, 19 people will report that they watched the infection 20 march up their leg, literally hour by hour. And that's 21 often what occurs.

And in reviewing Mr. Singletary's records, he had, you know, at least when he received the CAT scan when he arrived in the hospital on that Wednesday, there was signs of infection and different stages, you 1 know, in his posterior pharynx and retropharyngeal 2 space -- and I'll explain that in a minute -- and a few 3 other areas in his neck. And these are fascial planes 4 that allow the bacteria to actually travel very 5 quickly. So even though this is a very rare and 6 fulminate disease, the bacteria can move quickly in the 7 body.

8 **Q**. I don't know what fascial planes means. 9 Α. Yeah. So we'll -- we'll explain that. I'm 10 I'm trying to think of a good laymen's term. sorry. 11 But you can imagine if you get a injury in your finger 12 and you want -- the bacteria need to travel. They have 13 to go through skin, and then, you know, other soft 14 tissue, fat and muscle to work their way down. And the 15 body has these different layers of tissue, and it's 16 actually a natural defense for us, you know. So it's 17 very hard to move from fat into muscle and vice versa, 18 for bacteria to do that. They have to climb their way 19 through different cells, and our body fights it well.

If you get the infection in a certain level of tissue, so, for example, in between the fat and the muscle, and the infection decides not to move up and down between the different layers but to travel along the layer, so, for example along a muscle layer or along a fat layer, it doesn't have that same natural defense. So it can work its way upwards. And certain bacteria, streptococcus -- let me know if I need to spell that -- streptococcus species which was found in his blood is notorious for releasing toxins that allows it to advance in that layer. So it actually doesn't move up and down.

7 So an example would be if you had the 8 infection in your throat, we would see it actually come 9 out as a sore throat with redness or you might get 10 swelling in your neck. Instead, it actually 11 intentionally travels down in these planes very quickly 12 rather than sort of bringing itself to the surface like 13 a boil or an abscess that we're used to. It actually moves a different direction. And those types of 14 15 infections, while rare, are -- are very fatal. And, 16 you know, they're often very surgical. Antibiotics, 17 you know, really don't make a very big difference. You 18 have to immediately go in with -- No. 1, recognize it 19 early. But No. 2, immediately go in and actually 20 debride and stop that advancing bacteria.

21 So that's why you see in the news when 22 someones has flesh-eating bacteria in their leg, they 23 amputate the leg very high. They don't mess around, 24 and they do it quickly. So, you know, unfortunately, 25 in Ludwig angina, I mean, in a matter of hours, the bacteria can make its way from the back of your throat
 all the way down in the mediastinum around the heart in
 a very short amount of time.

Q. Was there anything in the testimony that you
reviewed from Mrs. Singletary that leads you to believe
that this was not going on on that Monday, April 18th?

A. You know, again, more likely than not, I -- I
didn't have evidence to think that that was going on on
that Monday. From what I can read from the testimony,
Mr. Singletary had pain and swelling. There was not a
fever or other, you know, drainage that was obvious.

12 The other thing which, sometimes if you're 13 lucky, lucky as a patient and a doctor, you get a clue 14 where you might get, you know, some -- some swelling 15 that's detectable in certain areas or ways. And when I 16 reviewed the records of Mr. Singletary's arrival on 17 Wednesday, you know, and they did -- you know, you 18 probably saw in the records they put a breathing tube 19 in his throat initially.

20

Q. Thursday you mean?

A. Excuse me, Thursday, yeah. When they put a
breathing tube in his throat initially, you know, I was
able to review the records at least of the emergency
room physician in that initial examination of his
oropharynx and gum. And there was, you know, nothing

that was coming to the surface suggestive of infection. 1 2 The CAT scan did see things, obviously, but 3 on clinical exam, they didn't. And if it wasn't present on Wednesday or Thursday, the likelihood of it 4 being present that Monday was -- was even less. 5 6 All right. And, Doctor, I'll represent to Ο. 7 you that Mrs. Singletary testified from that witness 8 stand that on the morning of April 18th, Mr. Singletary 9 had swelling and pain in his cheek. 10 Objection, Your Honor. MS. PATIN: May we 11 approach? 12 THE COURT: Sure. 13 (A discussion was held at the bench, 14 not reported.) 15 THE COURT: Objection's overruled. 16 MR. VOGEL: Thank you, Your Honor. 17 BY MR. VOGEL: 18 Doctor, I'll represent to you that Q. 19 Mrs. Singletary testified from that witness stand 20 earlier in this trial, that on Monday, April 18th, in 21 the morning, a call was placed to Summerlin Smiles, and 22 the symptoms that were relayed to -- that were 23 supposedly relayed, that he had some swelling in his 24 cheek and also that he had pain. And that she left for 25 work and returned later on that evening, sometime

1	around 9:00 or 10:00 in the evening, and the symptoms	
2	were the same.	
3	Is that consistent with your understanding	
4	of are those symptoms, that progression, is that	
5	consistent with what you're saying here today?	
6	MS. PATIN: Objection, Your Honor. Misstates	
7	the testimony.	
8	THE COURT: I don't know if it does. The	
9	jury will have to decide. Overruled.	
10	THE WITNESS: It does not.	
11	BY MR. VOGEL:	
12	Q. Does it support your opinion?	
13	A. It does, yes.	
14	Q. How so?	
15	A. If he had an active infection with, you know,	
16	early tracking of this bacteria down in his	
17	retropharynx excuse me, in these different, you	
18	know, tissue levels in his chest, he would have	
19	progressed with his symptoms over the course of Monday.	
20	So it's it's very unusual for our patients to have	
21	that active disease and really have no change over the	
22	course of a six- or eight-hour day.	
23	Q. All right. And so	
24	THE COURT: Mr. Vogel, we need you to speak	
25	up a little bit. Jurors are having a hard time hearing	

1 you. 2 MR. VOGEL: My apologies. 3 BY MR. VOGEL: All right. So your review of the records 4 0. 5 after Monday, the 18th, can you state to any reasonable degree of medical probability approximately when you 6 believe this infection started progressing in 7 8 Mr. Singletary? 9 If I had to say with some probability -- and Α. 10 it's, again, unknown exactly -- I would say it most 11 likely began at some point on Tuesday, you know, 12 probably afternoon to evening into Wednesday. 13 Q. And you base that on? 14 Α. Progression of his symptoms at that time. 15 Q. All right. Now, we were discussing your 16 third opinion. Have we discussed everything in that one, or we ready to move on to No. 4? 17 18 Α. I think we reached everything. 19 Okay. What was your fourth opinion? **Q**. 20 My fourth opinion was that the evaluation of Α. 21 Mr. Singletary by Dr. Traivai on April 18th, 2011, 22 would not have prevented the progression towards -- and 23 I'll go slow again -- severe necrotizing mediastinitis 24 and death. 25 And why is that? Q.

> 103 PATIN 174 823

1 Α. Similar to the main comments that I'm making 2 here, I think that he had none of the findings on Monday that would suggest mediastinitis; that I think 3 detection would have been very, very difficult by even 4 5 the most astute or the most quality clinician. Mediastinitis is -- you know, often we're unable to --6 7 to detect it in most cases. It's very, very hard 8 because of the rapid progression. And by the time we 9 do find it, it's -- you know, patients are extremely 10 sick. We often are unable to -- to detect it early, 11 No. 1.

12 And No. 2, that, you know -- and, again, I'm 13 just going off of the records, you know, pain and 14 swelling, while present in mediastinitis, is not really 15 the only symptom. And if he had that -- you know, I'm 16 not a dentist, so what's considered normal swelling or 17 not is beyond my purview. But in general, the 18 additional findings of fever and, you know, different 19 forms of instability would have been present on Monday 20 had he had this disease. Even with early disease, most 21 patients start having some chest pain and coughing and 22 other symptoms of irritation as the bacteria makes its 23 way down into the chest. And in absence of that, I 24 think the findings, you know, would have been minimal 25 on that Monday.

1	Q. Okay. And you got this one final opinion as
2	well, No. 5?
3	A. Yes. The fifth opinion was that
4	Mr. Singletary needed emergent surgery on April 21st,
5	2011, for treatment of his necrotizing mediastinitis.
6	And the main reason for this point is that many
7	infectious diseases are considered surgical in nature,
8	and we're often taught that antibiotics will take care
9	of the issue. But in this case, antibiotics are part
10	of the treatment, but really the mainstay of treatment
11	in any form of mediastinitis or even in an abscess is
12	actually surgical intervention. So in this case, you
13	know, he would have needed extensive surgery,
14	including, you know, debridement or removing of all
15	that dead necrotizing tissue, washing out of all the
16	bacteria and opening of his chest in order to you
17	know, to have any correction. And in Mr. Singletary's
18	case, you know, by that Wednesday, and even that
19	Thursday and Friday, he was you know, and the
20	doctors' notes outline this nicely at the hospital, he
21	was too clinically unstable. So they were just he
22	was too sick, essentially, to even go into the
23	operating room.
24	And in many cases of mediastinitis and acute
25	necrotizing mediastinitis like this, we they present

just so sick that even the mainstay of treatment, which 1 is taking him to the operating room, is just impossible 2 So just the initiation of general 3 for us to do. anesthesia would cause the patient to -- you know, to 4 often die. So we try to stabilize them for a period of 5 6 time in hopes that we get to a point where we can take 7 them to the operating room. But in many cases, it's . 8 it's just not successful. 9 It's my understanding that shortly after he Q. 10 arrived at the hospital, he had a cardiac arrest; is 11 that accurate? 12 Α. I think that was probably in That's correct. 13 between that Thursday and Friday, if I remember 14 correctly. Is it -- that part of your understanding as 15 Q. 16 to why they couldn't do surgery? Or explain that. 17 Α. So, you know, there's -- that is one Yeah. 18 of the many reasons. So when you come into the 19 hospital this sick, and in Mr. Singletary's case, you 20 know, by that Thursday or Friday when surgery would be 21 considered, his kidneys were shutting down, his liver 22 was shutting down, you know, he did have a cardiac 23 It was becoming increasingly difficult for him arrest. 24 to extract oxygen through his lungs into his 25 bloodstream. And these are all, unfortunately, in the

> 106 PATIN 177 826

1 process of a severe infection, and we see that 2 regularly.

But, you know, as we know, if you're going to 3 go to the operating room, you need to have an adequate 4 5 level of oxygen in the tissues. You need to be able to 6 at least, if you can, have your kidneys working to be 7 able to manage a form of dialysis, because many of the 8 meds we will give during the surgery need to be cleared or they become toxic. Our liver is the organ that 9 10 makes our clotting products. So they're the ones that 11 actually stop our bleeding, and if the liver is 12 suffering and dysfunctional, which it was in his case, 13 you know, the bleeding will be so severe you couldn't 14 even do surgery due to the excessive bleeding.

15 So he had multiple findings on those days16 that precluded him from surgery.

Q. Okay. I'm sorry. I neglected to ask you a
question earlier about your Opinion No. 2 about the
giving or not giving of antibiotics.

20 And that has to do with this issue that
21 Mr. Singletary apparently had chronic periodontitis?
22 Is that your understanding?

A. That's what I remember from the record, yes.
Q. Is that an indication to give antibiotics?
A. You know, again, and this is taking my

infectious disease perspective not my dental 1 2 perspective that I don't have. But is -- as an 3 infectious disease doctor for chronic periodontitis or, you know, gingivitis, or any periodontal disease, in 4 5 the absence of an acute abscess with pus in place, we 6 routinely do not give antibiotics in those cases, you 7 know, whether there's going to be an a extraction or 8 not.

9 Much of what happens in periodontal disease 10 revolves around -- and I'll try not to get too 11 technical -- but it's actually, you know, bacterial 12 count or bacterial control. We all know we have 13 bacteria in our mouth. It's not a sterile space. It's 14 never going to be. But, you know, when we have severe 15 periodontal disease and tooth decay, that's sort of an 16 excess -- you know, excess bacteria are part of that 17 And so very often, we will go through process. 18 measures to, you know, remove excess bacteria.

We've learned over time that, you know, just administering antibiotics, they'll kill the bacteria, but they don't really treat the disease. It's things like regular teeth cleaning, flossing, you know, and management of your teeth that really reduce that which is why, you know, this -- this disease, Ludwig's angina, or the progression into, you know, acute

necrotizing mediastinitis, was very common, you know --1 not very common, but was much more common a few hundred 2 This is something that is very rare today, 3 years ago. and it's not because we give everybody antibiotics all 4 5 It's because people went to the dentist and the time. started in teeth care. 6 7 Is it your understanding in this case 0. 8 approximately three weeks before the extraction, he had a teeth cleaning? 9 10 From my review of the records, yes. Α. 11 Is that something that you would expect to 0. reduce the amount of bacteria in the mouth? 12 13 Α. It should help start the healing process for 14 periodontal disease, yes. 15 0. Doctor, again, I'm going to ask you again, 16 have all the opinions you have rendered here this 17 afternoon -- or this morning been to a reasonable 18 degree of medical probability? 19 Α. Yes sir, they have. 20 Thank you, Doctor. MR. VOGEL: 21 I pass the witness. 22 I have nothing, Your Honor. MR. FRIEDMAN: 23 MR. LEMONS: No questions, Your Honor. 24 Ms. Patin. THE COURT: 25 /////

1	CROSS-EXAMINATION
⊥ 2	BY MS. PATIN:
2 3	
_	Q. Good morning, Dr. Sandrock.
4	A. Good morning.
5	Q. When you first began testifying, you went
6	over some of the records that you reviewed in this
7	case, correct?
8	A. That's correct.
9	Q. And listed in your report are those records
10	that you reviewed; is that correct?
11	A. Yes, that is correct.
12	Q. And not listed here is the deposition of
13	Svetlana Singletary, correct?
14	A. That is correct, yes.
15	Q. And this is the only report that you have in
16	this case. It was never supplemented; is that correct?
17	A. That is correct.
18	Q. In paragraph 1 of your expert report, there's
19	some details with regard to Reginald Singletary's
20	complaints from April 16th of 2011 until April 21st of
21	2011.
22	Where did you obtain that information?
23	A. So this is the first paragraph on page 2?
24	Q. Correct. Under your Brief Summary of the
25	Case.

I	
1	A. So that was in the Brief Summary of the Case.
2	So that was probably at least listed here from I
3	would say, if I had to make the best estimate, might
4	have been the complaint from the Affidavit of Andrew
5	Pallos and from a number of the at least the other
6	records that I was able to have here. So but that
7	would probably be the most most likely
8	recommendation.
9	Q. Okay. But you never reviewed Svetlana
10	Singletary's deposition, correct?
11	A. Prior to this statement here, I did not.
12	Subsequent to the statement, I did, yes.
13	Q. But prior to writing your report, you never
14	reviewed her deposition testimony.
15	A. That's correct.
16	Q. In your Opinion No. 2, you stated that there
17	was no evidence of any infection seen on April 16th of
18	2011, and that was the date of the extraction, correct?
19	A. That's correct.
20	Q. And I'll represent to you that Dr. Traivai
21	testified at the time of her deposition that Reginald
22	Singletary had periodontal infection present at Tooth
23	No. 32.
24	Is that not an infection or evidence of an
25	infection?

Α. So I would say that has to depend. So, you 1 2 know, the definition of infection is pretty broad. The meaning I would have here would have been one of 3 abscess or abscess drainage that would involve swelling 4 and redness and not periodontal disease. 5 So, you know, 6 in -- so obviously the spectrum of an infection can be 7 So in that case, I would have not considered broad. 8 periodontal disease an infection for this documentation. 9 10 As I mentioned before, I consider that 11 usually bacterial overgrowth as opposed to an infection 12 that we would intervene and treat. 13 Q. And you would agree that periodontal 14 infection is an infection, correct? 15 I don't know if I would agree with that. Α. Ι 16 think it's dependent on the level. Usually I use a 17 more general term "periodontal disease," and then it 18 can progress from an infection, which, you know, 19 usually has disease that's made its way underneath the 20 gums and has more signs versus just bacterial 21 overgrowth and gingival swelling. 22 You would agree that a periodontal infection, Q. 23 that it is bacteria overgrowth, correct? 24 Bacteria are involved if we use that Α. Yes. 25 definition, yes.

1 Q. And this bacteria can travel through the 2 bloodstream, correct? 3 Α. That's correct. And this bacteria can travel through the 4 **Q**. 5 bloodstream following a surgical procedure such as an 6 extraction. 7 That's correct. Α. 8 0. Do you agree with Dr. Traivai that Reginald 9 Singletary had a chronic infection present? 10 I would say that that is -- not having Α. 11 examined him and only relying on her notes, that's the 12 best -- I can only rely on her notes based on that definition. So I can't -- I -- not examining him, it's 13 14 hard for me to tell or make an agreement. 15 Q. And you did have an opportunity to review the Summerlin Smiles records in this case? 16 17 Α. That's correct. 18 Ο. And your -- it's your understanding based 19 upon those records that Reginald Singletary was 20 diagnosed with severe generalized chronic 21 periodontitis? 22 Α. That's correct. Based on her notes, yes. 23 And you're not a dentist, correct? 0. 24 Α. That is correct. 25 You're not trained as an oral surgeon? Q.

1 Α. That's correct. 2 With regard to your Opinion No. 3, you stated Q. 3 that Mr. Singletary's infection, and you say specifically, Mr. Singletary grew S. anginosus which 4 was fully susceptible to the common oral antibiotics 5 6 that Dr. Traivai would have used, for example, 7 clindamycin, penicillin. That's correct? 8 Α. That's correct. 9 What does susceptible mean? 0. 10 Α. Susceptible means that the -- and I'll give a 11 longer answer so it's contained, but -- and then a 12 shorter answer. The shorter answer is that the -- the 13 antibiotics would work against that specific bacteria, 14 meaning it would kill them. And there actually is a 15 standard way this is done in all microbiology 16 laboratories, and they will actually test the 17 antibiotic against that specific bug in a standardized 18 fashion. And there's certain levels of antibiotic that 19 we know will kill, and if they don't obviously kill the 20 bacteria at that level, we can consider them resistant. 21 So susceptible means, you know, also sensitive or that 22 the antibiotic would have killed the bacteria. 23 So your testimony is that the antibiotics 0. that Dr. Traivai would have used such as clindamycin or 24 25 penicillin, would have worked against the specific

1	bacteria that Mr. Singletary was diagnosed with,
2	correct?
3	A. That's correct.
4	Q. And you were testifying with regard to the
5	rapid progression of his infection, correct?
6	A. Yes.
7	Q. How do you know that the sublingual abscess
8	and necrotizing mediastinitis were not present on
9	April 18th of 2011?
10	A. So certainly I don't know with 100 percent
11	certainty, but it's definitely more likely than not
12	that they were absent on that Monday, the 18th. And
13	that's based on the clinical findings I can see from
14	Dr. Traivai's notes, and and the description
15	thereof. Usually those patients, because of rapid
16	disease, will have other findings. If you have
17	bacteria in your bloodstream, it's extremely rare to
18	you know, especially this bacteria which is pretty
19	virulent or aggressive, for you not to have fever and
20	other systemic findings. So pain and swelling alone
21	and with the presence of, you know, mediastinitis
22	like this, would be extremely unusual.
23	Q. So when would antibiotics need to be
24	prescribed in order to prevent the progression of
25	Reginald Singletary's infection?

1 Α. So there -- again, that's a great question. 2 And part of my statement here is that antibiotics alone 3 may not have actually prevented the progression. If they would have, it would have been at that moment 4 where the bacteria started to progress down those 5 6 tissue levels into the chest, which, you know, if I'm 7 going to make my best, you know, educated guess, it 8 would have been sometime Tuesday night, you know, into 9 Wednesday. 10 So if antibiotics were prescribed on Tuesday 0. 11 night and into Wednesday, it would have prevented the 12 progression of Reginald Singletary's infection. 13 That's your testimony here? 14 Α. No. I would say that it -- it may or may not 15 have, and I would say more likely than not, it would 16 have not have. Because once this process starts, 17 antibiotics can be administered, but it's also, as I 18 mentioned, a surgical disease. So, you know, if you . 19 you'd have to get it right before that point where you 20 have enough bacteria growing that it's a problem, but 21 it's not actually transgressed through into those 22 tissue planes down which, quite honestly, is extremely 23 difficult which is why this is a very hard disease to 24 But you'd have to pick that sweet spot where treat. 25 you -- you'd get there. Once it gets in the tissue

1	planes, I	think oral antibiotics would have not made
2	you know,	more likely than not would have not made a
3	difference	e.
4	Q.	When would oral antibiotics have made a
5	difference	e?
6	Α.	I don't think they would have made a
7	difference	e here.
8	Q.	Ever?
9	Α.	I think more likely than not, it would not
10	have made	a difference, that's correct.
11	Q.	So it wouldn't have made a difference if oral
12	antibioti	cs were prescribed on April 16, 2011, at the
13	time of t	he extraction?
14	A.	It's my opinion that more likely than not, it
15	would have	e not made a difference, yes.
16	Q.	And if oral antibiotics were prescribed on
17	that Sund	ay, April 17th of 2011, it wouldn't have made
18	a differe	nce.
19	A.	My opinion, more likely than not, would not
20	have made	a difference, that's correct.
21	Q.	And on that Monday, it wouldn't have made a
22	difference	e.
23	A.	I think as well, yes.
24	Q.	And on Tuesday, it wouldn't have made a
25	difference	e.

1 Α. It -- it might have, but I think still 2 greater than 50 percent chance this would have progressed even with oral antibiotics on that Tuesday, 3 4 yes. 5 So explain to me what you're saying about **O**. 6 Tuesday. If oral antibiotics were prescribed on 7 Tuesday, it may have made a difference? 8 Α. So, again, nothing in medicine is 100 percent 9 certain. So I can't say it with 100 percent certainty 10 that it absolutely would not have made a difference 11 because, again, there is that sweet spot right where 12 the bacteria make their way into those fascial planes. 13 And if he had a dose of antibiotics right at that time, 14 could it have made a difference? It's possible. But 15 more likely than not, it would not have made a 16 difference with -- with the presentation that he was. 17 Q. So if oral antibiotics may have made a 18 difference on Tuesday, why wouldn't it have made a 19 difference on Monday? 20 Because at that point, I don't think the Α. 21 bacteria had grown enough and -- you know, again, the 22 fancy term is translocated, but would not have grown 23 enough where it made its way into that tissue plane and 24 gone down. And essentially what I'm saying is that the 25 necrotizing mediastinitis and infection was not really

present on Monday. So it would not have made a 1 2 difference because it was not present then. 3 0. So you're saying having oral antibiotics in 4 your system on that Monday wouldn't have made a 5 difference because the infection hadn't gone down into the mediastinitis? 6 7 Α. That's correct. And then on --Where does the infection start? 8 0. So interestingly enough, I mean, it's always 9 Α. 10 a good question, but it actually very often doesn't 11 even need to be an infection starting. So it can very 12 often start in that tissue plane. So you could have 13 bacteria in your mouth that is not actively an 14 infection and it makes its way into the tissue plane, 15 or that area, you know, in the back of your throat 16 where it's -- where it can actively grow and then it 17 starts right there. 18 So you can actually have this disease -- and, 19 honestly, the last case I saw of it, the person had 20 perfectly normal and great healthy teeth. So it --21 usually the bacteria are coming from the oral cavity, 22 and they can make their way into the tissues, and then 23 the infection starts there. 24 And in this case, did the -- did Reginald **Q**. 25 Singletary have the -- this perfect oral mouth or

1 teeth?

2

A. From Dr. Traivai's records, no.

Q. You mentioned in your opinion, under No. 4,
that necrotizing mediastinitis can be difficult to
diagnose and may only present with pain or swelling,
and these findings can be subtle and often lead to
alternative diagnosis.

8 What type of alternative diagnosis? 9 Α. People may actually be diagnosed -- so I can 10 say, for example, in the last case that I saw of this 11 was a young gentleman who was a college student and he 12 was diagnosed with a neck strain, for example. Or 13 someone may be diagnosed with a sore throat or they --14 you know, often it's labeled as musculoskeletal or a 15 neck pain when they come in or they may have swelling 16 that, you know, people think is unrelated to that, or 17 some -- I've seen a few cases where they've said it's 18 an early presentation of a cold. So, you know, an 19 upper respiratory tract infection.

Q. So is it your opinion that had Reginald
Singletary gone into the dentist's office on April 18th
of 2011 with complaints of pain and swelling in his
cheek and in his neck two days following a tooth
extraction in that area of the mouth, that there was
some alternative diagnosis for the pain and the

1 swelling?

2	A. I think that is certainly possible that there
3	could have been alternative diagnosis, which would have
4	been that this is a normal process of the tooth
5	extraction. And, again, I can't comment not as a
6	dentist, but you know, whether what's considered
7	normal or not in this case as part of that process, but
8	I you know, that could certainly be plausible, that
9	this is a normal trajectory of a tooth extraction.
10	And very often, you know, that may be the
11	case, that they think it's you know, that we we
12	see certainly alternative diagnoses when these cases
13	present.
14	Q. Let me ask you as an infectious disease
15	doctor: If you saw a patient in your office two days
16	post tooth extraction or if you were consulted on a
17	case two days post tooth extraction and that patient
18	presented with pain, increased pain, swelling in the
19	cheek and in the neck
20	A. Uh-huh.
21	Q your opinion would be that there may be
22	some alternative diagnosis and that it wasn't related
23	to the tooth extraction?
24	MR. FRIEDMAN: I'm going to object that it
25	assumes facts not in evidence.

She kind of changed it there. 1 MR. VOGEL: 2 She asked a hypothetical and then switched it. It's an 3 improper question. Can we approach, Your Honor? MR. FRIEDMAN: 4 5 THE COURT: Come on up. 6 (A discussion was held at the bench, 7 not reported.) 8 THE COURT: Go ahead and rephrase it. BY MS. PATIN: 9 10 As an infectious disease doctor and in your Ο. 11 infectious disease opinion, is it your opinion that if 12 a patient presented to your office or if you were asked 13 to consult on a patient that had had a tooth extraction 14 two days prior with complaints of pain and swelling in 15 the cheek and in the neck, that you would believe that 16 there was some alternative reason for this particular 17 pain and swelling? 18 I would say no. If I saw, you know, Α. 19 Mr. Singletary as best described, you know, from the --20 the records we have on that Monday, the 18th, you know, 21 he did have, you know, swelling and pain, had a tooth 22 extraction two days prior, you know, more likely than 23 not I would have assumed that's from the -- you know, 24 the -- certainly the tooth extraction. Absolutely. 25 You know, the -- so yes.

> 122 PATIN 193 842

1 Q. Would you agree that pain and swelling in the 2 cheek and in the neck, as an infectious disease expert, is a sign of infection? 3 In and of itself or in conjunction with other 4 Α. 5 findings? 6 I'm talking about with regard to the patient Ο. 7 in this case with complaints of pain and swelling in the cheek and the neck, in your expert opinion, would 8 9 that be a sign of infection? 10 It may or may not be. So it's -- it's not Α. 11 necessarily in of itself, no. 12 0. How is it not in and of itself a sign of 13 infection? Because pain and swelling, you know, is a 14 Α. 15 common occurrence after any form of trauma. So in this 16 case, you know, Mr. Singletary's case, his trauma was a 17 tooth extraction. So -- and that could happen 18 independent of any form of infection. 19 So usually we look, you know, pain and 20 swelling in of itself -- and, again, I'm speaking in a 21 vacuum, you know, usually we're doing a physical exam 22 and we use our eyes in addition to hearing the 23 stories -- the story of the patient, but just pain and 24 swelling doesn't always lead us to jump to the 25 diagnosis of infection. Usually we look for something

1	else that would be there, such as fever, or we do an
2	exam you know, we would do an oral exam and look and
3	find something that would really suggest infection.
4	Or, you know, maybe if we examined him, in the swelling
5	we found something that suggested an abscess rather
6	than generalized, you know, tissue swelling that is
7	common with trauma. That may lead us in one direction
8	over the other. So, you know, in and of itself, pain
9	and swelling, it's hard to say.
10	Q. Now I'm just talking about swelling
11	specifically in the actual neck.
12	A. Uh-huh.
13	Q. Swelling of the neck, you're saying, is not a
14	sign of infection.
15	A. Again, it's like before, that it may or may
16	not be a sign of infection.
17	Q. Based on the records that you reviewed in
18	this case, do you have any reason to believe that
19	Reginald Singletary had suffered any neck strain or was
20	suffering from sore throat or an upper respiratory
21	infection?
22	A. No, ma'am.
23	Q. You mentioned in your opinion that if
24	Mr. Singletary was seen by Dr. Traivai on April 18th of
25	2011, he more likely than not would have had no

I

additional interventions or antibiotics prescribed as 1 his clinical exam would have been underwhelming, thus 2 not altering his outcome; is that correct? 3 4 Α. That's my statement, yes. So is it your understanding -- and I'm basing 5 0. 6 this on the statement here in your report -- that only 7 a clinical exam would have been performed by 8 Dr. Traivai on April 18th of 2011? 9 Α. I'm -- you know, I am making -- you know, 10 obviously I have Dr. Traivai's records. That's the 11 best I had. She -- you know, he was not seen on the 12 18th. And then I used the records based on the 13 hospital admission and his examination then, where they 14 reported -- you know, two of the physicians did not 15 comment and actually said a normal neck. One of them 16 said minimal gingival swelling and a very open airway 17 when they put the breathing tube. So relying on that 18 and working backwards in time by a few days, especially 19 since clinically he got worse during that time, I can't 20 imagine he would have findings that would be present on 21 Monday that would be suggestive of that. 22 Do you think it's important when you see a Ο. 23 patient in the office who comes in with complaints that 24 not only you do a clinical exam, but you also talk to

25 the patient to get the patient's history and

1 complaints?

- 2
- A. Of course.

3 So if Dr. Traivai were provided on April 18th 0. of 2011 with Reginald Singletary's patient complaints 4 and patient history over the last two days, that it's 5 6 still more likely than not that no additional 7 interventions or antibiotics prescribed based upon a 8 clinical exam and the patient complaints would have 9 been underwhelming and thus not altering his outcome? 10 I think based on the records that I have, I Α. 11 don't -- I would still stand by that, that if he was 12 seen on that day, I think he would have had, you know, 13 I'm sure, you know, facial swelling, and as he 14 reported, some neck swelling, but not all the findings 15 that would be consistent with, you know, mediastinitis 16 or an advancing abscess. And I -- I would agree that I 17 think no further -- more likely than not, no further 18 interventions would have occurred.

Q. So you don't think that if he presented on
April 18th and Dr. Traivai saw him in the office and
did a clinical exam and heard his patient complaints
that antibiotics would have been prescribed.

A. Based on what I can read from the records
here, I would say probably not. And as an ID expert,
probably not.

1 I'll represent to you that Dr. Traivai Q. 2 testified that antibiotics would be indicated if a patient experienced swelling of the extraction site 3 4 following a tooth extraction. 5 Does that change your opinion in any way? 6 Α. It does not because I would probably need a 7 date of the swelling in relation to the tooth extraction. 8 9 April 18th of 2011, two days after the 0. 10 extraction. 11 Α. That does not change my opinion, no. 12 0. And it's my understanding that you disagree 13 with Dr. Marzouk, who's our expert in the case, that 14 had antibiotics been prescribed on April 18th of 2011, 15 Reginald Singletary would have lived? 16 That's correct. Α. 17 Why is that? Q. 18 Α. I think based on my earlier testimony, I 19 think that this was a rapidly progressing disease, that 20 antibiotics are not the single treatment, that this 21 involves surgical intervention. And even if he got the 22 antibiotics on that Monday, I think more likely than 23 not, you know, these -- so I'm -- more likely than not, 24 the bacteria would have translocated into that tissue 25 plane and made its way down into the mediastinum.

1 Q. So antibiotics would not have prevented the 2 bacteria from transitioning into that plane. 3 More like than not, correct. Α. What's the purpose of antibiotics? 4 0. Isn't it 5 to fight bacteria? 6 Α. It is, yes. 7 I'll represent to you that Dr. Traivai Q. 8 testified that swelling is a sign of infection. 9 Do you disagree with Dr. Traivai? 10 Α. I do not, no. 11 And what are your rates for file review? Q. 12 Α. I have to look it up, but I think it's either 13 400 or 425 an hour. 14 Ο. And what about trial testimony? 15 Α. The same. 16 0. And you would agree that bacteria is 17 susceptible to antibiotics, correct? 18 Α. This particular bacteria? 19 0. Yes. 20 Α. Yes, correct. 21 The bacteria that Reginald Singletary had? 0. 22 Α. Yes. 23 Is it possible to have an infection in the Q. 24 beginning stages and not have a fever? 25 MR. VOGEL: Object to form. Your Honor,

this -- it's not relevant. Possibilities aren't the 1 2 standard. 3 Yeah, but it's cross-examination. THE COURT: 4 I'm going to allow it under the Williams case. 5 I think it depends on the cause THE WITNESS: of the infection. 6 7 BY MS. PATIN: Tell me about what the difference is and the 8 0. cause of the infection. 9 10 So, for example, with the stomach flu, which Α. 11 we're all familiar with, so that's, you know, cruise 12 ship virus that we're all familiar with, it's actually 13 more common that you have nausea and vomiting in the 14 absence of fever in many cases, and you may never have 15 a fever that entire time. And this is flu season, so 16 influenza, for example, you could have about a 6- to 17 12-hour period where the infection begins to take hold, 18 and you actually do not have a fever present at all. 19 So there is a window or a period of time 20 where it's possible in some cases with bacteria, 21 usually in necrotizing disease, the fever and the 22 early -- you know, first stages of what would be 23 considered an infection, usually go hand in hand because these bacteria release a lot of toxins. 24 Just 25 like toxic shock which we're -- is one that we're

> 129 PATIN 200 849

1 familiar with, they produce all these toxins which 2 destroy the tissues and allow them to travel, you know, 3 across and through the body. Those toxins are very ---4 you know, the fancy term we call it is pyrogenic, but 5 they actually induce a fever very quickly.

6 So many of these patients, right when the 7 infection starts, they have a high fever. And when 8 they present -- you know, and the same with 9 streptococcus which is in the same group. Pneumonia, 10 the first thing a patient will say is that they have 11 these shaking chills. They felt great, and right at 12 4:00 o'clock today, I had shaking chills. That's the 13 first thing they notice is the fever before anything 14 else. So I think it really depends on the type of 15 infection.

16 It's a very long answer. I'm sorry. 17 Q. In this case, the bacteria that was in 18 Reginald Singletary's mouth that then traveled into the 19 mediastinum, would he have had fever when the bacteria 20 was present before it traveled into the mediastinum? 21 Α. So not necessarily, no. 22 MS. PATIN: No further questions at this 23 time. 24 THE COURT: Any more? 25 MR. VOGEL: Just briefly.

> 130 PATIN 201 850

1		
2	REDIRECT EXAMINATION	
3	BY MR. VOGEL:	
4	Q. Doctor, your payment for your testimony, does	
5	that go to you?	
6	A. It's it depends. But in general, it	
7	depends. Today it will not, no.	
8	Q. Who does it go to?	
9	A. It goes to the University of California.	
10	MR. VOGEL: Thank you. That's it.	
11	THE COURT: Anything else from here?	
12	MR. FRIEDMAN: No, Your Honor.	
13	MS. PATIN: No further questions.	
14	THE COURT: Ladies and gentlemen, any	
15	questions for the doctor? No hands.	
16	Thank you, Doctor. Appreciate your time.	
17	THE WITNESS: Thank you.	
18	THE COURT: Folks, we're going to take a	
19	little bit of an early lunch. We're going to have you	
20	go till about 11:45 to 12:45 today. And we'll come	
21	back and finish up as much as we can this afternoon.	
22	During our break, you're instructed not to	
23	talk with each other or with anyone else, about any	
24	subject or issue connected with this trial. You are	
25	not to read, watch, or listen to any report of or	

commentary on the trial by any person connected with 1 this case or by any medium of information, including, 2 without limitation, newspapers, television, the 3 Internet, or radio. You are not to conduct any 4 research on your own, which means you cannot talk with 5 6 others, Tweet others, text others, Google issues, or 7 conduct any other kind of book or computer research 8 with regard to any issue, party, witness, or attorney, 9 involved in this case. You're not to form or express 10 any opinion on any subject connected with this trial 11 until the case is finally submitted to you. 12 See you back about 12:45. 13 (The following proceedings were held 14 outside the presence of the jury.) 15 THE COURT: We are outside the presence of 16 the jury. Anything we need to take care of, Counsel? 17 MS. PATIN: No, Your Honor. 18 MR. FRIEDMAN: No, thank you. 19 MR. LEMONS: No, thank you, Your Honor. 20 THE COURT: Okay. Off the record. Thanks, 21 guys. 22 (Whereupon a lunch recess was taken.) 23 THE MARSHAL: All rise for the presence of 24 the jury. 25 /////

1 (The following proceedings were held in 2 the presence of the jury.) 3 THE COURT: Go ahead and be seated. Welcome We're back on the record, Case 4 back, folks. No. A656091. 5 6 Do the parties stipulate to the presence of 7 the jury? 8 MR. FRIEDMAN: Yes, Your Honor. 9 MR. LEMONS: Yes, Your Honor. 10 MS. PATIN: Yes, Your Honor. 11 THE COURT: Thank you. All right. The 12 defense may call their next witness. I think we're 13 just kind of calling whoever's available. 14 MR. LEMONS: Yes, I think so, Your Honor. 15 Thank you. Then we would call Dr. David Levitt at this time. 16 17 THE MARSHAL: Levitt? 18 THE COURT: Good afternoon, Doctor. We're 19 going to ask you to, if you would, please step up on 20 the witness stand, remain standing, please, and raise 21 your right hand. 22 THE CLERK: You do solemnly swear the 23 testimony you're about to give in this action shall be 24 the truth, the whole truth, and nothing but the truth, 25 so help you God.

1 THE WITNESS: I do. 2 THE CLERK: Please state your name and spell 3 it for the record, please. THE WITNESS: David Levitt. 4 Last name L-e-v-i-t-t. 5 6 THE CLERK: Thank you. 7 THE COURT: Thank you, Doctor. Go ahead and I'm going to ask you to try to speak into 8 be seated. the microphone so everyone can hear you well. 9 10 You speak up too, Mr. Lemons. 11 I'll do that, Your Honor. MR. LEMONS: Thank 12 you. 13 14 DIRECT EXAMINATION 15 BY MR. LEMONS: 16 0. Afternoon, Dr. Levitt. 17 Α. Afternoon. 18 Q. Are you a dentist? 19 Α. I am. 20 And do you have a specialty? **Q**. 21 I'm a general dentist with a subspecialty Α. 22 with oral implantology. 23 And when you say you're a general dentist, Q. 24 does that mean you have practiced taking all kind of 25 patients in a way similar to what you understand

1 Dr. Park's practice to be?

A. My practice -- my private practice is limited
to implants and procedures that involve implants.
However, I do a lot of charity work, and in the charity
work I do general dentistry very much like Dr. Park and
Dr. Traivai.

Q. Can you give the jury an idea of your
8 educational background, an outline of it, please.

9 Α. I went to dental school at the University of 10 Southern California. I graduated in 1977. So 11 that's -- I'm just shy of 34 years practicing 12 dentistry. I did an advanced training at numerous 13 institutions in the field of implantology and oral 14 surgery. And I've been practicing continually with a 15 five-year gap when I went to work for an implant 16 company full time and stopped practicing. That was in 1996 to 2001. 17

18 Q. Dr. Levitt, to practice in the field that you 19 practice in, did you have postgraduate training?

20 A.

21

Q. Would you describe that, please.

I did.

A. The Medical College of Georgia, a nine-month
part-time program in oral implantology. The Michigan
Institute, it's a private educational institute, a
two-year program in oral implantology. Loma Linda

1	University, I did that for five years, again, part time	
2	in oral surgery and oral implantology. That covers the	
3	majority of it.	
4	Q. Doctor, you mentioned that you do you	
5	volunteer to provide care outside of the context of	
6	your practice?	
7	A. Ido.	
8	Q. Could you describe that for us, please.	
9	A. I'm on the board of directors of something	
10	called Dental Care for Children. We treat adults as	
11	well as children. However, we go down to Mexico four	
12	times a year and treat indigents. We go to Haiti three	
13	times a year and treat indigents. And also in Southern	
14	California in Hispanic neighbors, we treat indigents.	
15	We do everything from cleanings to implants and	
16	everything in between.	
17	Q. And the in between, does that include	
18	extractions as well?	
19	A. Hundreds upon hundreds of extractions.	
20	Q. And when you're seeing those patients, are	
21	you seeing them in a general dentistry context, as a	
22	general dentist?	
23	A. We are. We have no specialists that come	
24	down with us except for one endodontist. That's a root	
25	canal specialist. Otherwise, it's all general dentists	

or dental students. Last trip, we had 25 dental
 students come with us as well.

3 Are you involved in teaching? 0. The students that come to the clinics 4 Α. I am. 5 put on by Dental Care for Children, most of them, 6 interestingly enough, come down to learn how to do 7 extractions. Extractions are not taught very well in the dental schools. For instance, where I graduated, 8 9 the University of Southern California, you only have to 10 take out six teeth to graduate. You're certainly not 11 going to learn how to take out teeth in doing six 12 teeth. So a lot of these students come down to learn 13 how to take out teeth, to learn how to do extractions. 14 And my job on these trips, primarily, rather than 15 delivering care, is to teach the students. That's one 16 way I teach. 17 Another way I teach is -- privately, I do 18 weekend courses for graduate dentists on oral 19 implantology and oral surgery. I do those all over the 20 United States and Canada. We put on 14 of them last 21 year. 22 And are you also an invited guest lecturer at Q. 23 various educational places? It's been a little while since I've been 24 Α.

25 invited to a university. However, over the years, I've

1 been an invited quest lecturer at numerous universities, study clubs, and private institutions, 2 3 including --4 0. Were you involved in teaching in the 5 residency program at Travis Air Force Base? 6 Α. That's one of the places. I was -- for many 7 years, six or seven years, I was invited once a year to 8 do an implantology day at Travis Air Force Base. 9 Wonderful place to teach because the dental clinic 10 overlooks the runway, and you see these big C-5s coming 11 down as you're trying to do dentistry. A little 12 disconcerting at first. I got used to it, though. 13 Q. Thank you, Doctor. 14 Has your lecturing also included invitations 15 to the Scripps Institute, USC School of Dentistry, and institutions like that? 16 I don't think I've been invited to USC. 17 Α. Ι 18 have been invited to UCLA. Scripps as well. Numerous, 19 numerous institutions have invited me as a quest 20 lecturer on various occasions. 21 0. And have you published in your field? 22 Α. I have two or three journal I have. 23 articles, and I'm also one of the contributors to a 24 textbook on oral implantology. 25 Can you describe for the jury, since you're Q.

1	talking about implantology I mean, you understand,	
2	of course, that you've reviewed the case and are here	
3	to testify that this case involves an extraction of a	
4	wisdom tooth.	
5	A. Yes.	
6	Q. And you've agreed to testify as an expert in	
7	this case, true?	
8	A. I have.	
9	Q. What is your experience with extractions of	
10	the type that occurred in this case that qualifies you	
11	as an expert in in testifying here today?	
12	A. I do wisdom teeth extractions on a regular	
13	basis. Other than the teeth tend to be angled, they're	
14	not any different than any other extraction. So I have	
15	done thousands of extractions.	
16	Q. And you're familiar with the standards of	
17	care that apply in those situations.	
18	A. Yes, I am.	
19	MR. LEMONS: Your Honor, I'd ask that	
20	Dr. Levitt be recognized as an expert in the field he's	
21	testifying in.	
22	THE COURT: Any objections?	
23	MS. PATIN: No objection.	
24	MR. FRIEDMAN: No, Your Honor.	
25	MR. VOGEL: No, Your Honor.	

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139 PATIN 210 859

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1 THE COURT: He'll be so recognized. 2 MR. LEMONS: Thank you, Your Honor. 3 BY MR. LEMONS: Dr. Levitt, did I ask you to review this case 4 0. 5 some months ago? You did. 6 Α. 7 And when I asked you to review the case, 0. 8 what -- what information did you have available to you? 9 Α. I brought my cheat sheet because there was a 10 lot of information. 11 Before I sent those things you're going to 0. 12 talk about, though, did you know anything about this 13 case at all? 14 Α. No. 15 Q. And when I called to ask you to review it, 16 did I say that we would send you information to review? 17 You did. Α. And did -- did I do that? 18 Ο. 19 You sent me quite a stack of information. Α. 20 Would you describe, please, what it is that 0. 21 you reviewed that forms the foundation for your 22 opinions. 23 Α. The records and X rays of Summerlin Smiles, 24 the records of St. Rose Dominican Hospital, the records 25 of the Clark County coroner, depositions of Dr. Traivai

and Mrs. Singletary. And just this week, the		
deposition of Dr. Pallos, and deposition of		
Dr. Marzouk.		
Q. And as result of your review of these		
materials, did you form certain opinions regarding this		
case?		
A. I did.		
Q. Are the opinions that you're going to state		
here today to a reasonable degree of medical		
probability?		
A. They are.		
Q. Did you form an opinion as to whether		
Dr. Park complied with the standard of care?		
A. I did.		
Q. Was Dr. Park negligent in this case?		
A. Dr. Park was within the standard of care, did		
nothing negligent at all.		
Q. Did Dr. Park cause harm to this patient?		
A. He did not.		
Q. Based on your review of the materials that		
were available to you, did you have an understanding of		
how Dr. Park became involved in the care of		
Mr. Singletary?		
A. I do have an understanding.		
Q. And could you please describe your		

1 understanding.

2	A. Dr. Traivai was attempting to remove the			
3	tooth, attempting to extract the tooth, and was having			
4	a hard time. So she asked Dr. Park to come in and give			
5	a hand. He showed her a different instrument. He			
6	popped the tooth out for her. Literally, in his words,			
7	it took one minute. So literally popped the tooth out			
8	for her. And after that, he left the room and had no			
9	further contact with the patient and no further input.			
10	Q. Did you know from the records whether there			
11	was any complication as a result of this extraction?			
12	A. There was no complication that day.			
13	Q. Would it be accurate to describe this			
14	extraction as a routine extraction?			
15	A. It would be.			
16	Q. Now, when Dr. Park performed that that			
17	consultation or that that assist for Dr. Traivai on			
18	this patient, did he become the treating dentist?			
19	A. He did not.			
20	Q. Did he provide treatment to Mr. Singletary in			
21	extracting the tooth, however?			
22	A. He did provide some treatment. He picked up			
23	an instrument called an elevator, put the elevator in			
24	the mouth, and used it to take the tooth out.			
25	There's two ways to take out a tooth. You			

can grab a forcep which, for all practical purposes, is 1 a pair pliers, just a bent pair of pliers, and you can 2 try wiggling the tooth out. You can also take an 3 elevator which, for all practical purposes, is like a 4 screwdriver and you pry the tooth out. 5 6 So Dr. Traivai was trying get it out with a 7 That wasn't working. He took the elevator and forcep. 8 popped it out. 9 And either method of attempting to do the Q. 10 extraction is appropriate? 11 Α. Yes. 12 Have you in fact been involved in similar 0. 13 requests of other dentists to assist in the way that 14 Dr. Park did? 15 Α. In Mexico and in Haiti, that happens all the 16 time, especially in Haiti because the Haitian 17 population has very, very dense bone. Teeth are hard 18 to get out. And so a dental student or a dentist 19 without a whole lot of experience will be in the middle 20 of extraction and say, Help. I do that all the time. 21 0. Is there a situation where the person such as 22 Dr. Park or yourself would become the treating dentist 23 for that patient? Is there a situation where it could 24 occur that that might happen? 25 Well, in this situation, if Dr. Traivai said, Α.

Could you please simply take over and go ahead and 1 write prescriptions or follow-up or whatever it is 2 that's going to be done, I'm stepping out, I have 3 another patient in another room, I haven't got time, 4 then they become the treating dentist. In this case, 5 6 that didn't happen. 7 And Dr. Traivai continued to take care of 0. 8 Mr. Singletary, true? 9 Yes, she did. Α. 10 Now, is there anything about Dr. Park's 0. assistance in this case and his treatment of 11 12 Mr. Singletary that you found to be below the standard 13 of care? Dr. Traivai was in the standard of care 14 Α. No. as well. 15 16 Ο. And is Dr. Park's assistance of Dr. Traivai, 17 did you form an opinion as to whether that complied 18 with the expected standard of care? 19 Α. That was definitely within the standard of 20 care. 21 Is there anything about the extraction which **Q**. 22 was negligent in any way? 23 Α. No. 24 Was there anything about Dr. Park leaving the Q. 25 room and going on with other patients that was

1	negligent in any way?	
2	A. No. The tooth came out. All was well.	
3	Q. Did you also look at this case for the	
4	purpose of assessing whether antibiotics should have	
5	been prescribed for Mr. Singletary on the day of the	
6	extraction?	
7	A. I did.	
8	Q. And did you form an opinion on that question?	
9	A. It's not the standard of care to routinely	
10	give antibiotics for an extraction. The only time we	
11	would give antibiotics for an extraction is if there	
12	was active infection going on. And there was no	
13	indication in the records that this case had any active	
14	infection. There was no swelling, no pus or purulent	
15	exudate, no evidence of infection.	
16	Q. Dr. Pallos wants you to assume testify	
17	and you reviewed Dr. Pallos's deposition, correct?	
18	A. I did.	
19	Q. Dr. Pallos came in yesterday and testified	
20	that this patient had an acute infection on April 16th.	
21	Do you agree	
22	MS. PATIN: Objection, Your Honor.	
23	THE COURT: What's the objection?	
24	MS. PATIN: Can we approach?	
25	THE COURT: Sure. Come on up.	

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145 PATIN 216 865

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1 (A discussion was held at the bench, 2 not reported.) 3 THE COURT: Overruled. Thank you, Your Honor. 4 MR. LEMONS: 5 BY MR. LEMONS: Doctor, I want you to assume, Dr. Levitt, 6 Ο. 7 that Dr. Pallos testified that there was an acute 8 infection in Mr. Singletary's tooth on April 16th. 9 Do you agree with that opinion? 10 Α. No, I don't. 11 And could you describe for the jury why that Q. 12 opinion is not correct in your view. 13 Α. Obviously Dr. Pallos and I didn't have the The only thing we 14 privilege of seeing the patient. 15 have to go on is the records. And when there's an 16 acute infection, it should say in the records somewhere 17 that there was pus, swelling, pain. None of those 18 things were present. 19 Is -- is the -- of those, is the finding of Ο. 20 pus something that you would expect to be documented if 21 it was present? 22 Exudate would be the word they use, and it Α. 23 definitely would be documented. 24 0. It was suggested by Dr. Pallos -- I want you 25 to assume it was suggested in -- in -- in this -- now,

1 this is not a quote, but I'm going to outline what I
2 understood him to be saying. And that is that you need
3 to allow the patient to follow up by, perhaps, giving a
4 prescription to the patient that could -- for
5 antibiotics that could be filled later, between the
6 time of the April 16 extraction and the return visit a
7 week later.

8 Do you have an opinion as to whether that 9 would comply with the standard of care if it were done? 10 A. I think I heard you just say that you would 11 give a prescription to the patient and allow the 12 patient to make the decision as to whether or not they 13 should take it.

14 Q. And that's -- I've outlined what I understood 15 Dr. Pallos to be suggesting.

A. That's simply not done. If you're going to
give a prescription, it's the doctor's instructions as
to what to do with it not the patient making a decision
as to what to do with it.

The only time I would make an exception to that is pain medication. You certainly can give a prescription for pain medication and say, Take this if you need it. Then it is, of course, up to the patient. But not an antibiotic or a heart medication or anything else.

1	Q. So to do that would not would not be in	
2	compliance with the standard of care.	
3	Is that your testimony?	
4	A. No, it would not.	
5	Q. In your opinion, Doctor, would there be any	
6	reason in this case for Dr. Park to intervene in	
7	Mr. Singletary's care beyond the request of	
8	Dr. Traivai?	
9	A. No.	
10	Q. Yesterday, Dr. Pallos, I want you to assume,	
11	read for the jury a quote from your report that	
12	indicated that that if there was a complicating	
13	factor involved in an extraction, that antibiotics	
14	could be called for. He was interpreting your	
15	statement that no antibiotics were required here	
16	because there were no complicating factors.	
17	Are you with me?	
18	A. Yes.	
19	Q. All right. So my question to you is: Based	
20	on your review of this case, were there any such	
21	complicating factors in this case that would have	
22	required the prescription of antibiotics on the 16th of	
23	April?	
24	A. No. This is a simple extraction. There were	
25	no complicating factors.	

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148 PATIN 219 868

1 And when you said that in your report, you Q. 2 knew what the facts of this case were at that time, 3 correct? Α. I had read that stack of stuff you gave me. 4 5 All right. All right. So Dr. Levitt, have Q. all the opinions that you've stated here today with 6 7 regard to Dr. Park's involvement been to a reasonable 8 degree of medical probability and dental probability? 9 Α. They have. 10 That's all I have, Your Honor. MR. LEMONS: 11 Thank you. 12 MR. FRIEDMAN: I don't have any questions, 13 Your Honor. 14 MR. VOGEL: No questions. 15 THE COURT: Ms. Patin, cross? 16 MS. PATIN: Yes. 17 18 CROSS-EXAMINATION 19 BY MS. PATIN: 20 Hi, Dr. Levitt. 0. 21 Α. Hi. May I say congratulations? 22 Q. Thank you. 23 Α. Okay. 24 Dr. Levitt, you were asked to render an Q. 25 opinion regarding the care and treatment that was

provided by Dr. Park in this case, correct? 1 2 Α. Yes, I was. 3 And according to the documents that you 0. listed earlier, you didn't review Dr. Park's 4 5 deposition, correct? I did not. 6 Α. 7 And you said that you did have an opportunity 0. to review some other deposition testimony that was 8 provided to you, Dr. Pallos and Dr. Marzouk, correct? 9 10 Yes, I did. And Dr. Traivai and Α. 11 Mrs. Singletary. 12 And this is the only report that you've Q. 13 provided in this case, correct? 14 Α. It is. 15 Q. There's no supplements to your report; is that correct? 16 17 Α. No supplements. 18 Would you agree that a treating dentist Q. 19 reviews the medical chart and history of a patient 20 before providing care to that patient? 21 Α. Define treating dentist. 22 A dentist who treats a patient in the office. Q. 23 Α. A dentist who is in charge of the care 24 reviews the medical history, et cetera. Someone who 25 comes in to assist does not.

1 Ο. What about someone who comes in to assist, 2 would that treating dentist or would that dentist 3 review X rays of the patient? It would depend on the case. 4 Α. 5 So you would agree that a treating dentist 0. 6 reviews X rays of a patient? 7 Α. Once again, it depends on what's being asked of the dentist who's assisting. 8 9 If the dentist is being asked to extract a Ο. 10 tooth, would you agree that they review X rays of the patient? 11 12 Α. They would. 13 Q. Would you agree that a treating dentist 14 performs a physical examination of the patient before 15 he treats the patient? 16 Α. Again, I'm having trouble with treating 17 dentist and dentist who's offering to assist. It sounds like you're putting the two in the same 18 19 sentence, and --20 Why don't you tell me the definition of a 0. 21 treating dentist. 22 Someone who's in charge of the care of the Α. 23 patient. So you would agree that someone who's in 24 0. 25 charge of the care of the patient will perform a

physical examination of the patient prior to performing 1 2 any dental work on that patient? 3 I would say head and neck examination. Α. Is that what you mean by physical examination, head and 4 5 neck? 6 0. We're talking about the mouth, so yes. 7 Α. Thank you. Okay. 8 Q. Okay. And you gave me the definition of a 9 treating physician. What about assisting physician or 10 dentist? 11 Α. Someone who aids in completion of a 12 procedure. 13 Q. And would you agree that someone who aids in 14 the completion of a procedure performs a physical 15 examination of the patient prior to performing any type 16 of procedure on that patient? 17 Α. No. 18 Would you agree that an extraction is the Q. 19 actual removal of the tooth from the mouth? 20 Α. Yes. 21 And would you agree that Dr. Park provided **Q**. 22 treatment in this case? 23 Α. He did. In your opinion -- you've had an opportunity 24 Q. 25 to look at the records, correct?

> 152 PATIN 223 872

1 Α. Yes. 2 In your opinion, during the extraction of Q. 3 Reginald Singletary on April 18th of 2011, what did Dr. Park do differently than Dr. Traivai? 4 5 Α. Picked up a elevator and elevated the tooth 6 out of the socket. She was attempting to take the 7 tooth out with a forcep. 8 0. Is there anything else that Dr. Park did differently than Dr. Traivai? 9 10 Not that I'm aware of. Α. 11 Based upon your review of the records and Q. 12 X rays, did Reginald Singletary have dense bone? 13 Α. The only way you can determine dense bone is 14 to do a scan, a cone beam scan, which is like a CT scan 15 except for dentistry. And you can get a measurement of 16 density off a cone beam scan. Otherwise, X rays really 17 don't tell you a bunch about bone, about dense bone. 18 0. Was that done in this case, that scan? 19 A. No. 20 What's your understanding of the telephone 0. 21 call on April 18th of 2011 by my client, Svetlana 22 Singletary, after the extraction and follow-up? 23 Α. I understand there was a phone call made to 24 the office. It was answered by an office employee. 25 And based upon your review of Q.

1	Ms. Singletary's deposition, are you aware of the		
2	complaints that were conveyed during the telephone		
3	call?		
4	A. Iam.		
5	Q. What were those complaints?		
6	A. That he had pain and swelling.		
7	Q. Do you know where the swelling was?		
8	A. I don't recall. Can we review the document?		
9	Q. I'll represent to you that it was pain and		
10	swelling of the cheek and the neck.		
11	A. Okay.		
12	Q. Based upon those complaints two days after a		
13	tooth extraction, is it your opinion that antibiotics		
14	would be indicated at that point?		
15	A. Wisdom teeth extractions very often have pain		
16	and swelling in the cheek and neck at two days. So I		
17	wouldn't say antibiotics are indicated at that point,		
18	no.		
19	Q. So it's not your opinion that swelling of the		
20	neck requires antibiotics or antibiotics are not		
21	indicated?		
22	A. That is correct.		
23	Q. And in your practice, if a patient came in		
24	two days post extraction of a wisdom tooth and they had		
25	pain, swelling in the cheek and in the neck, you		

wouldn't prescribe antibiotics at that point. 1 2 Your Honor, this is -- this MR. LEMONS: is -- the question is what the standard of care 3 requires, not as -- as --4 5 THE COURT: Sustained. -- as the Court has ruled. 6 MR. LEMONS: 7 THE WITNESS: Am I supposed to answer? MS. PATIN: 8 No. 9 BY MS. PATIN: 10 In your opinion, based upon the standard of Ο. 11 care, would antibiotics be indicated if a patient came 12 into the office two days post extraction of a tooth 13 with pain and swelling in the neck and in the cheek? 14 MR. FRIEDMAN: Objection. Incomplete 15 hypothetical. 16 THE COURT: Overruled. He can answer based 17 on what he was given. 18 THE WITNESS: I didn't hear you. Am I 19 supposed to answer? 20 THE COURT: You can answer. 21 BY MS. PATIN: 22 Q. You can answer. 23 Α. Yes. Based upon the standard of care, what are the 24 **Q**. 25 proper instructions for a follow-up of a routine

1 extraction?

2	A. Patient will be given some gauze. They'll be			
3	told to bite on the gauze for 20 minutes to stop			
4	bleeding. They'll be told to replace the gauze if the			
5	bleeding continues. Usually told to be to put ice			
6	on their face to help with swelling. Chew on the other			
7	side. Don't use a straw. Don't smoke anything,			
8	cigarettes, pipes, cigars, et cetera. Call the office			
9	if anything unusual occurs.			
10	Q. And based upon the standard of care, what are			
11	the proper instructions for follow-up for a surgical			
12	extraction?			
13	MR. LEMONS: Your Honor relevance, your			
14	Honor. No this case doesn't involve a surgical			
15	extraction.			
16	THE COURT: Sustained.			
17	MS. PATIN: May I approach?			
18	THE COURT: Sure.			
19	(A discussion was held at the bench,			
20	not reported.)			
21	BY MS. PATIN:			
22	Q. You mentioned that one of the proper			
23	instructions for follow-up of a routine extraction is			
24	that the patient call the office if there's any I'm			
25	not sure if you said complications or issues. If you			

can remind me what you said, I'd appreciate it. 1 2 Α. If swelling increases past the third day, if pain increases past the second day, if bleeding doesn't 3 4 stop, call the office. 5 And if Reginald Singletary, or his wife on 0. 6 behalf of him, called the office on April 18th of 2011, 7 would that be following the proper instructions? 8 Α. Yes. 9 You were talking about complicating factors. Q. 10 One of the statements in your report is, "With proper 11 instructions for follow-up, it is not usual to 12 prescribe antibiotics post extraction without some 13 other complicating factor being involved." 14 And you mentioned that there were no 15 complicating factors on April 16th of 2011, correct? Correct. 16 Α. 17 Were there any complicating factors on Q. 18 April 18th of 2011? 19 MR. LEMONS: Objection. Calls for 20 speculation, Your Honor. 21 THE COURT: He can testify as to what he 22 knows. Overruled. 23 Based on Mrs. Singletary's THE WITNESS: 24 deposition, she stated that there was swelling, 25 swelling going into the neck. That would be a

complicating factor. 1 2 BY MS. PATIN: 3 Would you agree that a treating dentist is 0. responsible for the follow-up care of his or her 4 5 patient based upon the standard of care? Α. Yes. 6 7 MS. PATIN: No further questions at this 8 time. 9 THE COURT: Mr. Lemons. 10 Thank you, Your Honor. MR. LEMONS: Just a 11 couple. 12 13 REDIRECT EXAMINATION 14 BY MR. LEMONS: 15 Dr. Levitt, do you have your report there in Q. front of you? 16 17 I do. Α. What is the date of your report? What's the 18 Q. 19 date on your signature on the last page? 20 Oh, thank you. 7/12/13. Α. 21 And at the time that you did that report, you **Q**. 22 didn't have Dr. Park's deposition, correct? 23 Α. That's correct. 24 Do you know when Dr. Park's deposition was **Q**. 25 taken?

1 Α. No, I don't. Just to clarify and follow up on plaintiffs' 2 Q. 3 counsel last questions regarding obligations of a treating dentist, it's your opinion in this case that 4 5 Dr. Park provided treatment but was not the treating dentist; is that true? 6 7 Α. That is true. 8 That's all I have, Your Honor. MR. LEMONS: 9 Thank you. 10 THE COURT: Anything else for this gentleman? 11 12 CROSS-EXAMINATION 13 BY MR. VOGEL: 14 Doctor, based on the questioning by 0. 15 Ms. Patin, did that change any of your opinions that you hold in this case? 16 17 Α. No. 18 Q. So it's still your opinion that Dr. Traivai 19 met the standard of care in this case? 20 Α. It is. 21 Is that your opinion to a reasonable degree Q. 22 of medical probability? 23 Α. It is. 24 Thank you. MR. VOGEL: 25 MR. FRIEDMAN: Nothing, Your Honor.

1 THE COURT: Anything else? 2 MS. PATIN: Just one follow-up. 3 **RECROSS-EXAMINATION** 4 BY MS. PATIN: 5 Dr. Levitt, after Dr. Park's deposition was 6 Ο. 7 taken, were you ever provided with a copy of it? 8 I'm not sure. Α. 9 Do you recall reviewing Dr. Park's Q. 10 deposition? 11 I recall the description of picking up an Α. 12 elevator and popping the tooth out. That couldn't have 13 come from anyone's deposition but Dr. Park's. It could 14 have come from Dr. Traivai, so I really don't recall 15 reviewing it. 16 MS. PATIN: No further questions. 17 THE COURT: Anything else? 18 MR. VOGEL: No, Your Honor. 19 MR. LEMONS: No, Your Honor. Thank you. 20 THE COURT: Ladies and gentlemen, any 21 questions? We got one. 22 (A discussion was held at the bench, 23 not reported.) 24 All right, Doctor, I've got two THE COURT: 25 The first one I'm not going to ask, but the questions.

1 second one I will. 2 Does chronic periodontal disease imply 3 infection? THE WITNESS: No. Acute periodontal disease 4 5 implies infection. Chronic means something very low 6 grade. Without any exudate -- that's pus -- without 7 any swelling, there's no acute infection going on. 8 THE COURT: Okay. We'll mark that Court's next in order. 9 10 Mr. Lemons, any follow-ups based on that one? 11 MR. LEMONS: No, Your Honor. Thank you. 12 MR. FRIEDMAN: No, Your Honor. 13 MR. VOGEL: No, Your Honor. 14 MS. PATIN: No, Your Honor. 15 THE COURT: Okay. Thank you, Doctor. 16 Appreciate your time. 17 Next witness for the defense. 18 MR. FRIEDMAN: We'd like to call Dr. William 19 Ardary M.D., D.D.S. I believe he's outside. 20 THE COURT: Good afternoon, Doctor. We're 21 going to ask you to step up on the witness, if you 22 would, remain standing, and raise your right hand, 23 please. ///// 24 25 /////

1 THE CLERK: You do solemnly swear the 2 testimony you're about to give in this action shall be 3 the truth, the whole truth, and nothing but the truth, so help you God. 4 5 THE WITNESS: Yes. THE CLERK: Please state your name and spell 6 7 it for the record. THE WITNESS: Should I sit down? 8 9 THE COURT: You can. 10 Yes. It's William Clark THE WITNESS: 11 Ardary, A-r-d-a-r-y. 12 THE COURT: Great. Thank you, Doctor. I'm 13 going to ask you to try to continue to speak into that 14 microphone so everyone can hear you better. 15 MR. FRIEDMAN: Thank you. 16 17 DIRECT EXAMINATION 18 BY MR. FRIEDMAN: 19 Good afternoon, Doctor. 0. 20 Α. Hello. 21 You've been retained in this case by my 0. 22 client, Dr. Lee and Summerlin Smiles, as well as on 23 behalf of Dr. Florida Traivai, correct? 24 Α. That's correct. 25 Okay. What is your profession, Doctor? Q.

1 Α. I'm an oral and maxillofacial surgeon. 2 And what school did you attend for your Q. undergraduate training? 3 4 Α. Undergraduate, I went to Idaho State 5 University in Pocatello, Idaho. 6 And then you went on to dental school? 0. 7 I did. Α. What dental school did you attend? 8 Q. 9 University of Southern California. Α. 10 Q. And did you receive any awards or 11 distinctions at USC dental school? 12 Α. A few, yes. 13 Graduated with honors? 0. 14 Yes. Α. 15 Q. Second in your class? 16 Α. Yes. 17 Doctor, what is the James Irvine Foundation Q. 18 Fellowship? 19 Α. It was an award for academic excellence. 20 0. And you received that? 21 Α. Yes. 22 What is the Founders Award? Q. 23 Α. I believe it was an award in the area of pathology with academic excellence. 24 25 And you received that award? Q.

1 Α. I did. 2 Doctor, what is the American Association of Q. Oral and Maxillofacial Surgeons Award? 3 That's the award given by the American 4 Α. 5 Association of Oral and Maxillofacial Surgeons to an 6 undergraduate student that shows excellence in that 7 field of study. 8 And you received that award? **Q**. 9 I did. Α. 10 Doctor, what is Omicron Kappa Upsilon? Q. 11 It's an honor fraternity. Α. 12 Were you a member of that honor fraternity? Q. 13 Α. Yes, I was. 14 Q. And what is Alpha Tau Upsilon? 15 Another honor fraternity. Α. 16 0. Were you a member of that honor fraternity? 17 Α. Yes. 18 Q. And, Doctor, what is Phi Kappa Phi? 19 Another fraternity -- honor fraternity. Α. 20 You were a member of that honor fraternity? 0. 21 Α. I believe I was, yes. 22 Doctor, what did you do after graduating from Q. 23 USC dental school? 24 Α. I went into a residency in oral and 25 maxillofacial surgery.

> PATIN 235 884

1	Q.	And what was the length of that residency?	
2	Α.	That was three years.	
3	Q.	Three-year residency?	
4	A.	Yes.	
5	Q.	And so when you completed that residency, you	
6	were an o	oral surgeon?	
7	A.	That's correct.	
8	Q.	Do you have any further training or education	
9	beyond dental school and your three-year residency to		
10	become ar	n oral and maxillofacial surgeon?	
11	A.	Yes, I do.	
12	Q.	And what further training or education have	
13	you completed?		
14	A.	Following my residency program, I attended	
15	the University of Southern California School of		
16	Medicine	and graduated in 1985 with a medical degree.	
17	Q.	So you are both a physician and a dentist.	
18	A.	That's correct.	
19	Q.	And did you complete any internships in	
20	internal	medicine?	
21	A.	I did. I completed my internship in internal	
22	medicine	at the L.A. County Hospital in 1986.	
23	Q.	Have you done any teaching?	
24	A.	I have.	
25	Q.	Where have you done teaching?	
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1 Α. At the University of Southern California, at 2 the LAC USC Medical Center. 3 Were you the director of postgraduate 0. residency training in the oral and maxillofacial 4 5 surgery department? I was. 6 Α. 7 Did you hold any other positions during that 0. 8 time? 9 Α. Yeah. I -- I also was appointed as a 10 chairman of the department, and I also took over the 11 director of dentistry at the L.A. County Hospital as 12 well. 13 Q. So you were their director both at the 14 hospital, at L.A. County USC Medical Center, as well as 15 the chairman of the maxillofacial surgery department at 16 USC dental school? 17 That's correct. Α. 18 Ο. And what is the American Association of Oral 19 and Maxillofacial Surgeons? 20 Α. That's our organized body that governs the 21 practice and whatnot of our specialty, oral and 22 maxillofacial surgery. 23 And what is the American Board of Oral and 0. 24 Maxillofacial Surgery? 25 That's kind of a branch of the Α. Yeah.

American Association, but it's your -- your boards are 1 2 an additional evaluation, both testing clinically and in written test to become what is called board 3 It verifies that you attended residencies 4 certified. and that you achieved a certain level of training 5 6 competency. 7 And are you board certified? 0. 8 Α. I am. 9 What is the American Society of Dental Q. 10 Anesthesiology? 11 Α. Well, in oral maxillofacial surgery, a lot of 12 us administer our own anesthesia for patients. And 13 people may recall, you go to the oral surgeon commonly 14 to get a wisdom tooth out or something like that. Α 15 lot of patients will prefer general anesthesia or 16 sedation, so anesthesia is a significant part of our 17 specialty. 18 And that is another organized group that 19 governs dental anesthesiology. You don't have to be an 20 oral surgeon to be a member of that, but those of us in 21 dentistry that also practice and administer anesthesia 22 usually are a member of that. And I also am a fellow 23 of that society as well. 24 So you're a fellow of the American Society of **Q**. 25 Dental Anesthesiology?

1	A. Right. That means there was another board
2	examination that was administered and went through that
3	process as well.
4	Q. And how long have you been licensed to
5	practice dentistry?
6	A. Since 1980, 34 years.
7	
	Q. How long have you been a licensed physician?
8	A. Since 1986.
9	Q. Do you have any privileges at any hospitals?
10	A. I do. Arcadia Methodist Hospital in
11	California.
12	Q. And you have a private practice?
13	A. Ido.
14	Q. How long have you had a private practice?
15	A. Since 1986 as well.
16	Q. And are you published?
17	A. I have published, yes.
18	Q. Where have you published?
19	A. I published in the American Association of
20	Oral and Maxillofacial, the journal of our society, our
21	specialty. I publish in the Triple O journal, which is
22	oral pathology, oral medicine, and endodontics, that
23	journal. I've also published in the Journal of
24	American Dental Association.
25	Q. Thank you.

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1 At this time, I would like to MR. FRIEDMAN: 2 qualify Dr. Ardary as an expert in oral surgery and as 3 a medical doctor. 4 THE COURT: Any objection? 5 MR. VOGEL: No, Your Honor. 6 MS. PATIN: No objection. 7 THE COURT: He'll be so recognized. BY MR. FRIEDMAN: 8 9 Now, Doctor, did you review the dental X rays Q. 10 and records from Summerlin Smiles in this matter? 11 I did. Α. 12 And based on your review, you saw that 0. 13 Dr. Traivai examined Patient Reginald Singletary, 14 correct? 15 That's correct. Α. 16 0. And that was on March 24th, 2011? 17 Α. That's correct. 18 Q. Do you recall what her findings were? 19 Yeah. I believe that she diagnosed, like, a Α. 20 severe generalized chronic periodontitis. 21 0. What is periodontitis? 22 Perio -- well, step back to give a little Α. 23 explanation. Periodontia is what is composed of the 24 teeth, the supporting structures of the teeth, 25 periodontal ligament and the supporting alveolar bone,

and the gingiva or the gum tissues. 1 That's the periodontia. Periodontitis is an inflammatory 2 3 condition that involves those structures. And what does it mean that the periodontitis 4 0. 5 was severe? It's -- it's a classification of the 6 Α. 7 intensity of the process compared to maybe mild or moderate. 8 9 What does it mean that the periodontitis was 0. 10 generalized? 11 Α. It involved all the quadrants in the oral 12 cavity. 13 And what does chronic mean? 0. The duration. It would mean that it's 14 Α. 15 long-standing usually greater than times of six months. 16 Chronic would be long-standing duration as opposed to a 17 acute which would be something that just came up like 18 overnight. 19 Based on your view, you saw that Dr. Traivai **Q**. 20 recommended scaling and root planing, correct? 21 Α. That's correct. 22 What is scaling and root planing? Q. 23 Α. Scaling or root planing are an important part 24 of managing periodontitis. To step back again, as we 25 all know, just from general function, use of your

1	teeth, you collect a biofilm which consists generally
2	of maybe plaque, which is more of a softer material,
3	and/or calculus which is calcified material. And in
4	that biofilm, there's harboring your normal oral flora
5	bacteria. And so scaling and root planing is the
6	process of cleansing the teeth and the periodontal
7	general periodontal ligament area under the gum tissues
8	and the teeth themselves, and it's an important part of
9	managing periodontitis.
10	Q. So for the layperson like myself, scaling and
11	root planing is cleaning under the gums to treat
12	periodontitis.
13	A. Essentially, yes.
14	Q. According to the records, was the scaling and
15	root planing done for Mr. Singletary?
16	A. I believe it was done on the 24th by the
17	hygienist, if I recall from the records.
18	Q. Okay. And Dr. Traivai also recommended the
19	extraction of Tooth No. 32, correct?
20	A. She did.
21	Q. Was that extraction completed?
22	A. I believe that was done on, like, April 16th,
23	2011. It was taken out. I believe so, yes.
24	Q. What type of tooth is Tooth No. 32?
25	A. It's a third molar.

1	Q. And a third molar is also referred to by
2	laypeople as a wisdom tooth?
3	A. That's correct.
4	Q. Where is Tooth No. 32 located in the mouth?
5	A. It's in the lower right quadrant. It's the
6	most posterior tooth if you have all 32 of your
7	teeth, it's the most posterior tooth present in the
8	lower right quadrant.
9	Q. When you say "posterior," for the layperson,
10	that's the one way in the back.
11	A. Yeah, way back by close to the angle of
12	the jaw, in this vicinity.
13	Q. And can you describe for us what you mean by
14	"the angle of the jaw."
15	A. Well, that's just a turn in your normal
16	anatomy from what is called the ramus to the body of
17	the mandible. And it sits the wisdom tooth, the
18	third molar, would sit just anterior to that deflection
19	in the body of the mandible.
20	Q. Thank you.
21	Doctor, do you regularly extract third molars
22	or wisdom teeth in your practice?
23	A. Almost daily.
24	Q. Approximately how many third molar
25	extractions would you estimate that you do on a monthly

1 basis? 2 A fair number. Maybe anywhere from 60 to 80 Α. 3 wisdom teeth on a busy month. So you extract 60 to 80 wisdom teeth a month 4 0. 5 in your practice. Approximately, yeah. 6 Α. 7 I'm going to make you do a little bit of math Q. 8 here. Do you have an estimate as to how many third 9 molar extractions you've completed over the course of 10 your career? 11 You know what, that's way too much math for 12 the day. It's a whole lot. 13 It's a few thousand. Α. 14 0. Doctor, based upon your review of the records 15 and other materials in this matter, did Dr. Traivai's 16 treatment plan, recommending scaling and root planing 17 and extraction of Tooth No. 32, comply with the dental 18 standard of care? 19 Yes, it did. Α. 20 0. And you're providing that opinion to a 21 reasonable dental and medical probability? 22 Α. Absolutely. 23 And what is your opinion of Dr. Traivai's Q. 24 treatment of the patient? 25 That her treatment was well within the Α.

standard of care and appropriate for this particular 1 2 patient. 3 0. And upon what do you base that opinion? On my education, training, and experience, 4 Α. and a review of the records. 5 6 And you reviewed Plaintiff Svetlana Ο. 7 Singletary's deposition transcript, correct? 8 Α. Yes, I did. 9 And you saw that she alleges that she called Q. 10 Summerlin Smiles on April 18th, 2011, two days after 11 the extraction of the third molar, correct? 12 That's correct. Α. 13 Q. And you saw in her deposition that she 14 testified that she told whoever it was that answered 15 the phone that Mr. Singletary had a tooth extraction 16 Saturday and he's in a lot of pain, his neck is 17 swollen, his cheek is swollen, can we come in? 18 Do you recall that testimony? 19 I do recall that. Α. 20 Doctor, have you or your office received **Q**. 21 calls from patients after completing third molar 22 extractions? 23 Α. Absolutely, yes. 24 Thousands of times? 0. 25 A lot of calls on questions on postoperative **A**.

questions, yes. Many, many times. Thousands, yeah. 1 2 Is it unusual for a patient to complain of Q. pain two days after a third molar extraction? 3 4 Α. No. It's very -- very typical. 5 Is it unusual for a patient to complain of 0. 6 swelling in the neck two days after Tooth No. 32 is 7 extracted? 8 Α. No, that would not be atypical either. 9 Why do you say that? 0. Because of the location of the tooth and 10 Α. 11 where swelling normally will be anticipated following a 12 third molar surgery. The location of the tooth is, 13 like I was describing, more posteriorly and near the 14 musculature of the jaw. One of the main muscles that 15 makes you close, the masseter. When that surgical 16 insult causes the postoperative swelling and edema, the 17 swelling typically can involve the cheek, the angle of 18 the jaw, and this part of the anterior neck generally 19 (witness indicating). 20 0. Doctor, is it unusual for a patient to 21 complain of swelling in the cheek two days after a 22 third molar extraction? 23 Α. That would be typical as well. No. 24 0. Doctor, was anything that plaintiff alleges 25 she said in the call that she allegedly made atypical

for an extraction of Tooth No. 32 two days post? 1 2 Α. No, it was not, not atypical at all. 3 0. What type -- what types of things would you 4 look for as red flags that maybe there is something other than the usual pain and swelling secondary to a 5 third molar extraction? 6 7 Things that would be atypical would Α. Right. 8 be the presence of fever. Fever, maybe fever and 9 chills; presence of malaise, which is really not 10 feeling very well. Also, just a general fatigue. 11 Those -- those are the types of things. Maybe severe 12 limited opening. Those type of things is what you 13 would -- would maybe be atypical. 14 So those things would need to have been Q. 15 communicated for such post-op symptoms to be considered 16 atypical secondary to an extraction of Tooth No. 32? 17 Α. Yes. You'd have to have something more than 18 typical pain or swelling to alert anybody that 19 something atypical was happening in the postoperative 20 course. 21 And according to plaintiff's deposition, she **Q**. 22 didn't mention any of those things during her alleged 23 phone call, correct? 24 I do not believe that she did. Α. 25 In terms of this case, is it important that Q.

the patient already had an appointment scheduled for 1 2 follow-up? 3 Α. Yes. Why is that? 4 0. 5 Well, it is generally a good medical and Α. dental practice to follow your patients afterwards to 6 7 assure that they're on track with the healing. And it 8 also complies with the standard of care. 9 And, Doctor, you reviewed plaintiff's Q. 10 deposition wherein she testified that the person who 11 answered the phone left her with the impression that 12 the symptoms she described were normal after wisdom 13 tooth extraction and that they should get better the 14 following day or the day after that. 15 You saw that, correct? 16 Α. I do recall that, yes. 17 And in your opinion as an oral surgeon and Q. 18 physician, was the information that plaintiff was 19 allegedly provided accurate and in compliance with the 20 standard of care? 21 Α. Yes. 22 And you state that opinion and all of your Q. 23 opinions here today to a reasonable dental and medical 24 probability, correct? 25 Yes, I do. Α.

1 Ο. Doctor, did you review plaintiff's deposition 2 wherein she testified that on the day after her alleged phone call to Summerlin Smiles, that the swelling in 3 the patient's neck got a little bigger and began to 4 move to the other side of his neck? 5 I do recall that. 6 Α. 7 Now, if plaintiff had called Summerlin Smiles 0. 8 that day and communicated that the swelling in the patient's neck got a little bigger and began to move to 9 10 the other side of his neck, would that have been a red 11 flag that something unusual was occurring? 12 Α. That would have been a red flag. That --13 that's an atypical, especially the migration to the 14 other side of the neck. That would be very atypical. 15 Ο. And based on your review of plaintiff's 16 deposition and the materials in your case -- in this case, it's your understanding that plaintiff did not 17 18 call Summerlin Smiles with this information, correct? 19 Yes, that's my understanding that she did not Α. 20 call Summerlin Smiles or any other healthcare 21 professional, as I recall. 22 Doctor, based upon your review of all the Q. 23 records and materials in this case, is it your opinion 24 that Dr. Lee and Summerlin Smiles complied with the 25 dental standard of care?

1 Α. Yes. 2 And, Doctor, did you come to the opinion that Q. 3 Dr. Lee and Summerlin Smiles -- neither Dr. Lee nor Summerlin Smiles caused any harm to this patient, 4 5 Mr. Singletary, or plaintiff in this matter? 6 Α. No, they caused no harm in my opinion. 7 Doctor, I'm going to ask you the same Q. 8 question relative to Dr. Traivai. 9 Based upon your review of all the records in 10 this matter, is it your opinion that Dr. Traivai 11 complied in all respects with the dental standard of 12 care? 13 Α. Yes, she did. 14 0. And based upon your review of all the 15 materials in this case, is it your opinion that 16 Dr. Traivai did not cause any harm to the plaintiff or 17 the patient in this matter? 18 Α. That's correct, that would be my opinion. 19 And these opinions that you have provided are **Q**. 20 all to a reasonable dental and medical probability? 21 Α. Yes. 22 Q. Thank you. 23 MR. FRIEDMAN: I have nothing further, 24 Doctor. 25 THE COURT: Mr. Vogel.

1 MR. VOGEL: Just a little follow-up. If I 2 may, may I unplug the ELMO and plug my computer in 3 there? THE COURT: That's fine. Is it easier to do 4 5 it there than --MR. VOGEL: No, it's actually not. 6 7 8 CROSS-EXAMINATION 9 BY MR. VOGEL: 10 Q. Can you see that, Doctor? 11 Α. I do. I see a panoramic X ray. 12 Q. Have you seen that before? 13 Α. I have. 14 Q. In this case, which tooth are we talking 15 about, Doctor? 16 And that screen you've got there is a touch 17 You can actually draw on it. screen. 18 Α. Oh, really? Tooth No. 32 is this tooth right 19 there. 20 MS. PATIN: Objection, Your Honor. May we 21 approach? 22 THE COURT: Come on up. 23 (A discussion was held at the bench, 24 not reported.) 25 MR. VOGEL: My apologies, Doctor. That

1 hasn't been admitted into evidence yet. 2 BY MR. VOGEL: 3 Did you review X rays in this case? 0. Α. I did. 4 5 If you could, there's a big binder behind 0. 6 you, No. 5. 7 Α. The thick one? 8 Yeah. And I believe there's an exhibit. 0. It's No. 5. 9 10 Α. Yes. 11 All right. I believe the panoramic X ray, is Q. 12 that the last one in that exhibit there? 13 Α. It appears so. 14 Q. Have you seen that X ray before? 15 Yes, I have. Α. Is that part of the materials you reviewed as 16 0. being documentation from Summerlin Smiles? 17 18 Α. Yes, it is. 19 Is that documentation that you reviewed that 0. 20 was from Summerlin Smiles related to Reginald 21 Singletary? 22 Α. Yes. 23 Q. Is that part of the evidence that you relied upon in coming to your conclusions in this case? 24 25 Α. Yes.

1 Do you have any reason to believe that this Q. 2 is not the document that it's purported to be? 3 No, I do not. Α. MR. VOGEL: Your Honor, I move to admit 4 5 Exhibit No. 5, the panoramic X ray. Objection, Your Honor. 6 MS. PATIN: 7 THE COURT: Come on up, quys. (A discussion was held at the bench, 8 9 not reported.) 10 THE COURT: We're just going to allow the 11 X ray to be used as demonstrative, at least at this 12 time. 13 MR. VOGEL: That's fine. I appreciate that. 14 Thank you. 15 Could we have it back up so the jury can see 16 it? 17 THE COURT: See if I can get that one for 18 you. 19 BY MR. VOGEL: 20 Okay. So is your arrow pointing to Tooth 0. 21 No. 32? 22 Yes, it is. Α. 23 Okay. Now, you reviewed the deposition of Q. Dr. Pallos; is that right? 24 25 I did. **A**.

> 182 PATIN 253 902

1	Q. Do you recall his testimony wherein he
2	indicated something to the extent that he did not see
3	any evidence of an infection?
4	A. Ido.
5	Q. I'll represent to you that just yesterday, he
6	changed that opinion. He testified now that he
7	believes there was infection at the time, and he says
8	what he what he claims he's he's basing that on
9	is that there was an apical abscess or apical
10	radiolucency.
11	Do you see any evidence of that on this
12	X ray?
13	A. There's absolutely no apical radiolucency on
14	this X ray.
15	Q. All right. And if you could why do you
16	say that? What about this X ray makes you say that?
17	A. Well, first off, it's not there. To be
18	descriptive, what is there is what we call a
19	mesioangular incline, tilting to the front, wisdom
20	tooth with a spec of calculus right under my arrow. We
21	also have some bone loss typical of periodontitis.
22	Q. The jury can't see where you're pointing.
23	MR. VOGEL: With the Court's permission, can
24	you come down and
25	THE WITNESS: Yeah, right in that pocket,

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1 that triangle of space. 2 THE COURT: Doctor, he's asking that you step 3 down. 4 THE WITNESS: I'm sorry. 5 The jury can't see what you're MR. VOGEL: 6 pointing to really on the screen. 7 THE WITNESS: Oh, it's on this screen. 8 MR. VOGEL: Yeah, it's on here too. I'm 9 sorry. 10 THE WITNESS: Yeah. I need my pointer would 11 be better. See if you get rid of that arrow. There's 12 a triangular dark shadow. That's bone loss. Bone used 13 to be up on the tooth. It's now dropped down. 14 THE COURT: Okay. You're going to have to 15 talk a lot louder if you're going to talk over there. 16 Okay? 17 MR. VOGEL: There's a microphone right here, 18 if you want to project that. 19 THE WITNESS: If I hold it. I was speaking a 20 little low. Okay. 21 Anyway, the bone level is -- is here, as you 22 can see the crest of it. This is now a pocket or a 23 space in between the tooth. This collects bacteria, 24 plaque, calculus. That's a sign of periodontitis. 25 THE MARSHAL: Doctor, try this.

THE WITNESS: Is that working?

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2 That's a sign of periodontitis. You see 3 here's a little fleck of calculus. That's difficulty in cleaning that tooth. That's what was needing to be 4 5 scaled off of there because that's a risk for further 6 periodontitis. When you have all of the collection of 7 plaque and calculus, the bone gets inflamed and it 8 backs away from that. If that process continues and 9 you start losing teeth, there's already significant 10 bone loss on the back part of the second molar. And 11 that's significant because that's why this tooth should 12 come out because it's a factor in -- in promoting more 13 periodontitis, more periodontal disease.

14 The periapical region is this region right 15 here on the bottom of the tooth. There is no 16 periapical radiolucency. There's no periapical lesion 17 in this case. What you see here is the mandibular 18 canal.

19 If you look here, this is where your sensory 20 nerve comes in from your brain into the lower jaw, into 21 the jaw, and it supplies sensation to all the teeth. 22 And you can see the stripe here. It comes in here at 23 the mandibular foramena, and that's the stripe. That's 24 supposed to be there all the way to here, and it comes 25 out in your chin, called the mental nerve, m-e-n-t-a-l,

> 185 PATIN 256 905

1	mental. And and that's what is the dark line.
2	That's not a periapical lesion. That's normal anatomy.
3	A true lesion would be more similar to if
4	this was right here. If you can see here on this
5	tooth on the top, this is a little bit of periapical
6	shadowing which would be consistent. Because this
7	tooth here, see how it's missing its crown and it's
8	broken down? And now, that nerve is reacting and
9	causing a little bit of inflammation at the end. And
10	it usually causes a circle that can be seen as an as
11	a darkness. That's a apical lesion. There's no
12	periapical radiolucency on this Tooth No. 32.
13	BY MR. VOGEL:
14	Q. All right. Now, it's my understanding that
15	this tooth, though, was had like a necrotic pulp?
16	A. It could have a necrotic pulp. That's true.
17	That would require other testing. It could have been
18	necrotic.
19	Q. And does that mean that it's got an
20	infection?
21	A. No, it does not mean it has infection. That
22	means it is necrotic.
23	Q. Do you know what day those X rays were taken?
24	A. My understanding, they were taken the 24th of
25	March.

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1	Q. March 24th?
2	A. The first day that the patient was seen I
3	believe.
4	Q. So roughly three weeks before the extraction?
5	A. Yes.
6	Q. If that Tooth No. 32 had an abscess or
7	infection on March 24th, 2011, what sort of symptoms
8	would you expect the patient to be exhibiting at that
9	time?
10	A. An acute apical abscess?
11	Q. Correct.
12	A. Oh, boy. This patient would not have waited
13	three weeks to get the tooth out. Because an apical
14	abscess that's acute usually is associated with
15	swelling, pain, pressure, buildup, and usually limiting
16	patient activity, ability to chew, sometimes ability to
17	sleep. An acute apical abscess is not going to be sat
18	upon. Nobody's going to sit on that. That's going to
19	require treatment. There would be definitely symptoms.
20	Q. What's your understanding of what
21	Mr. Singletary's pain complaints were with respect to
22	that tooth at that time?
23	A. There was no pain at this time. I think he
24	had complained that there was some pain a month or two
25	earlier. But at this time, there was pain had

PATIN 258 

resolved. 1 2 Is it fair to say that you disagree with Q. 3 Dr. Pallos's opinion in that respect? 4 Α. In terms of this tooth having an acute apical 5 abscess? 6 Ο. Correct. 7 Α. Absolutely would disagree, 180 degrees. 8 **Q**. Is that based on the lack of pain? 9 Based on radiographic findings and the lack **A**. 10 of symptoms, lack of pain particularly. 11 Ο. Thank you, Doctor. Have a seat. Thank you, 12 Doctor. 13 Now, is there any difference in the technique 14 for removing a third molar versus other teeth? 15 Not in this case. Α. 16 0. Not in this case? Why do you say that? 17 Α. Because it's any erupted tooth. 18 Q. What does that mean? 19 Α. It's into the oral cavity. You have enough 20 tooth structure to get what we call a purchase on. 21 0. Okay. Now, in this gentleman's case, how 22 would you -- how would you characterize the oral 23 condition based on that X ray? 24 Α. It's poor condition. 25 Is it in such a condition Poor condition? Q.

that would require a referral to a periodontist? 1 2 Α. Not necessarily. 3 Is that a judgment call by the dentist? 0. 4 Α. Yes. 5 Just so I'm clear, are all the opinions that Q. you've rendered here today regarding Dr. Traivai 6 7 meeting the standard of care, are those all to a 8 reasonable degree of medical probability? 9 Α. Yes. 10 MR. VOGEL: Thank you, Doctor. I appreciate 11 it. 12 Mr. Lemons? THE COURT: 13 MR. LEMONS: I have no questions, Your Honor. 14 THE COURT: Ms. Patin? 15 MS. PATIN: Just one second, Your Honor. 16 MR. VOGEL: Do you want these left up there? 17 MS. PATIN: No. 18 MR. VOGEL: I assume you don't want that left 19 up there. 20 21 CROSS-EXAMINATION 22 BY MS. PATIN: 23 Hi, Dr. Ardary. Q. 24 Α. Hello there. I actually think I've been pronouncing your 25 Q.

name wrong, so I'm glad you pronounced it for us today. 1 2 Just to go back to the X ray that you were 3 just looking at, during your testimony, you testified that there was no apical radiolucency at Tooth No. 32? 4 5 Α. That's correct. 6 0. So you disagreed with Dr. Pallos's testimony, 7 correct? Α. That's correct. 8 9 Do you also disagree with Dr. Traivai's Q. 10 testimony? 11 Α. If she said there was a periapical lesion? 12 Q. Yes. 13 Α. I would be in disagreement with that. 14 Q. Did you have a chance to review Dr. Traivai's 15 deposition in this case? 16 I did. Α. 17 And do you recall reading that Dr. Traivai Q. 18 said that Tooth No. 32 had to be extracted because it 19 was nonrestorable? 20 Α. I believe so. I don't have an exact recall, 21 but yeah, that would be true. 22 I'll represent to you that she did say that Q. 23 the tooth had to be extracted because it was 24 nonrestorable. And I asked her why it was 25 nonrestorable and she said there was apical

1 radiolucency.

2 And you disagree with Dr. Traivai, correct? 3 In terms of the apical area, there's no Α. apical radiolucency. There is some radiolucency around 4 5 it, but it's not at the apex of the tooth. 6 Okay. So it's your testimony that there is 0. 7 radiolucency, but it's not apical radiolucency as Dr. Traivai testified to. 8 9 Α. Yeah, because you can see there was nothing 10 at the end of the tooth.

11 And you mentioned that you reviewed quite a Ο. 12 few records in this case, including the dental records 13 of Summerlin Smiles, medical records from St. Rose 14 Hospital, and you said you reviewed some other 15 documents, I believe deposition transcripts? 16 Α. I did review other documents including 17 deposition transcripts, that's correct. 18 Did you review any -- any other documents Q. 19 besides depo transcripts? 20 I did review, from an infectious disease Α. 21 doctor, an opinion letter or another write-up. I did. 22 And after you reviewed all those documents, Q. 23 you didn't supplement your report, correct? This is 24 the only report in the case? 25 That's the only report I was asked to write, **A**.

1 yes. Now, my understanding is that you are 2 Q. licensed in California with the State Board of Dental 3 4 Examiners, correct? 5 Α. That's correct. And you are not licensed here in Nevada, 6 0. 7 correct? 8 Α. No, I am not. 9 Taking a look at your expert report under Q. 10 your Discussion on page 2, you make a comment that 11 "appropriate consent was given." It's in your first 12 paragraph under Discussion. 13 Α. Yes. 14 How do you know appropriate consent was Q. 15 given? Object, Your Honor. This claim 16 MR. VOGEL: 17 has already been dismissed. 18 THE COURT: It has. 19 MS. PATIN: It's part of his opinions. I'm 20 just questioning how he was able to come to this 21 opinion. 22 Objection. MR. FRIEDMAN: Relevance. 23 MR. VOGEL: It's not relevant, Your Honor. 24 THE COURT: Sustained. 25 /////

1 BY MS. PATIN: Dr. Ardary, does scaling and root planing 2 Q. 3 remove all bacteria of the mouth? All of it? No, it's not going to remove all 4 Α. of it. 5 6 So there's no guarantee that even if scaling 0. 7 and root planing is done that all the bacteria in the mouth will be removed, correct? 8 9 Well, of course not, because it's -- bacteria Α. is going to reside in other locations and on -- on the 10 11 teeth. 12 And you would agree that a dental surgery 0. 13 such as an extraction may cause bacteria in the mouth to enter the bloodstream and cause infection. 14 15 Α. I would agree that it may cause bacteria to enter the bloodstream. Whether it causes infection or 16 17 not is -- is not predictable. 18 I'll represent to you that Dr. Traivai 0. 19 testified that after scaling and root planing, there's 20 still no guarantee that an infection will not form 21 following a tooth extraction. 22 Do you agree? 23 Α. You can never guarantee that an infection 24 will not occur in a postoperative wound. That would 25 be -- I would agree with that.

1 0. In your report, you also make a comment that 2 "There are many factors that may lead to infection." 3 Correct? Δ That's correct. 4 5 What are those many factors that you're 0. 6 referring to? 7 I think mostly I was referring to the Α. patient's state of their immune system and general 8 9 health. 10 And based upon your review of the medical 0. 11 records in this case -- well, I should say the dental 12 records in this case, was there any reason to believe 13 that Reginald Singletary had a compromised immune 14 system? 15 Α. No. He presented with a clear medical 16 history. You would not assume that he would. 17 Q. And that he was in good general health. 18 Α. That's my recollection based on a review of 19 his completion of the health history. 20 Would you agree that if the infection is not 0. 21 treated or if an infection is not treated, it can lead 22 to death? 23 Α. I would agree that it is possible that 24 certain infections, if not treated, could lead to 25 death, yes.

1 Ο. What about the infection in this case? 2 Well, I'm not aware that there was any Α. 3 infection at the time of the extraction of the tooth. Are you -- you did review the St. Rose 4 0. 5 medical records, correct? 6 That's correct. Α. 7 So you're aware that Mr. Singletary did 0. 8 develop an infection, correct? 9 He did develop an infection, that's correct. Α. 10 And would you agree that if the infection was 0. 11 not -- if -- is not treated, it can lead to death? 12 I would agree that an infection, if not Α. 13 treated, could lead to death. 14 This infection from the St. Rose medical 0. 15 records that Mr. Singletary developed. 16 Α. The type of infection that he had, if not 17 treated, could lead to death, that's -- that's true. 18 Would you agree that antibiotics is the Q. 19 appropriate treatment for infection? 20 MR. VOGEL: Object to form. Vaque. 21 MR. FRIEDMAN: It's beyond the scope of his 22 expertise. 23 THE COURT: Overruled. 24 THE WITNESS: Now, could you repeat the 25 question, please?

1 BY MS. PATIN:

2 Would you agree that antibiotics is the Q. 3 appropriate treatment for infection? 4 Α. That's a very vague question because 5 antibiotics -- what type of infection? Infection 6 where? You really have to define that further because 7 if you wanted to get into a discussion on the use of 8 antibiotics in infection, it gets to be very complex. And if you want to refer to dental infections 9 10 particularly, not necessarily every dental infection is 11 indicated to have -- to having antibiotics as the 12 treatment of choice. This is where there's a myth in 13 the use of antibiotics. Matter of fact, if you look at 14 the literature --15 Q. I apologize. I'll get more specific for you. 16 I thought we were talking about this case with regard 17 to infection, so let me rephrase that for you. 18 Would you agree that antibiotics is 19 appropriate treatment for the infection that Reginald 20 Singletary developed in this case? 21 Α. Yes, once -- once he presented to the 22 hospital, giving antibiotics was appropriate, that's correct. 23 24 And so is it your opinion that antibiotics **Q**. 25 wasn't indicated prior to his arrival at the hospital?

Α. Absolutely. They were not indicated prior to 1 2 his arrival at the hospital. 3 0. I'll represent to you that Dr. Traivai testified that swelling in the neck after a tooth 4 5 extraction can occur due to infection. 6 Would you agree? 7 Α. It could occur as a result of infection, yes. 8 Q. I'll represent to you that Dr. Traivai 9 testified that antibiotics would be indicated if a 10 patient experienced swelling of the extraction site 11 following a tooth extraction. 12 Do you agree? 13 Α. That antibiotics should be given just on the 14 premise of swelling? 15 0. Dr. Traivai's testimony is that antibiotics 16 would be indicated if a patient experienced swelling of 17 the extraction site following a tooth extraction. 18 Do you agree? 19 Α. No, I do not agree with that. 20 I'll represent to you that Dr. Traivai 0. 21 testified that swelling is one sign of infection. 22 Would you agree? 23 Α. That's correct. 24 And is it your opinion that the infection **Q**. 25 that developed by Reginald Singletary could not have

been prevented by a clinician with prescription of 1 2 antibiotics? 3 MR. FRIEDMAN: Objection. Vague as to time. 4 MS. PATIN: I'm quoting his report, Your 5 Honor. THE COURT: I'll allow it. 6 7 Can you repeat the question, THE WITNESS: 8 please. 9 THE COURT: The question: Is it your opinion 10 that the infection that developed in Reginald 11 Singletary could not have been prevented by a clinician 12 with a prescription of antibiotics? 13 THE WITNESS: Yes. His particular type of 14 infection would not have been prevented or its course 15 altered just with antibiotics alone because it required 16 a surgical intervention in this particular type of infection. 17 18 BY MS. PATIN: 19 At what point was surgical intervention, in **Q**. 20 your opinion, necessary? 21 Α. Once it was assessed that there was an 22 invasion of the deep fascial planes -- once you invaded 23 the deep fascial planes of the jaw and neck area with 24 the accumulation of gases that were detected on the CT 25 scan, that requires urgent surgical treatment.

1	Q. And that was determined when he was in the
2	hospital as of April 21st of 2011, correct?
3	A. That's right. You would need special testing
4	to determine that.
5	Q. Is it common practice and within the standard
6	of care for a dentist to prescribe antibiotics to a
7	patient when it's necessary?
8	A. When it's necessary, yes. It is in the
9	standard of care to prescribe antibiotics when they are
10	necessary, that's that's right.
11	Q. And based upon the standard of care, if there
12	are complaints two days post wisdom tooth extraction of
13	pain, swelling in the neck and cheek, is it your
14	opinion opinion that antibiotics would be indicated
15	at that point?
16	A. Not necessarily, no.
17	Q. So antibiotics would not be necessary if
18	there was pain complaints of pain or swelling in the
19	neck and cheek.
20	A. No, because it could be consistent with
21	surgical edema, and that's not an indication for the
22	use of antibiotics.
23	Q. And what would be the signs and symptoms of
24	surgical edema?
25	A. Pain, swelling, edema.

Q. Swelling where?

1

25

2 Of the surgical site and the surrounding Α. 3 Depends upon the magnitude of the response of tissues. You operate on people and do surgical 4 the patient. procedures that induces an inflammatory response, and 5 6 that is -- part of that response is swelling and a 7 release of chemicals by your body that produce pain. 8 0. How would you treat surgical edema? 9 Α. Usually it's managed by supportive care, like 10 the application of ice. If it was significant, you 11 could prescribe certain steroidal medicine. And you 12 can do local pressure techniques following the 13 procedure to minimize that surgical edema. But most of 14 the time, it's going to run its course and resolve 15 naturally by the healing process of the patient. 16 0. And if a patient came into the office with 17 complaints of pain and had swelling in the neck and in 18 the cheek, would you be able to determine if it was 19 from an infection versus surgical edema? 20 Α. You may or may not be able to make a 21 distinction of that at that time without further 22 evaluation. 23 0. Under what circumstances would antibiotics be 24 indicated following a tooth extraction?

A. When there's infection that involves -- a

1 systemic -- significant systemic infection. 2 How would you diagnose that? Q. 3 You diagnose it based on the patient's Α. symptoms, clinical signs, clinical findings, additional 4 5 tests like radiographs, blood tests, cultures, sensitivities. 6 7 And all of that would be done in the dental 0. 8 office? 9 Α. Could be. But testing would require a 10 microbiology lab. You would send the specimen to a 11 lab. 12 Would you agree that a treating dentist is Q. 13 responsible for the follow-up care of his or her 14 patient? 15 Α. Sure. 16 0. Would you agree that -- let me rephrase. 17 If a patient called your office with 18 complaints of pain and swelling in the cheek and the 19 neck, would you have the patient come into the office? 20 I may or may not. Depends on the clinical Α. 21 circumstances of -- of how that information was -- was 22 received. 23 0. Under -- I'm sorry. 24 Under what circumstances would you have the 25 patient come in?

1 Α. When there would be clinical findings or 2 symptoms that would be atypical for what you would 3 expect two days postoperative procedure. At two days, it would be very typical to have pain and swelling, 4 especially on a wisdom tooth in those areas. 5 And I 6 think, as I've already indicated, where I would have . 7 definitely want to see the patient if they complained 8 of fever, chills, malaise, altered mental status, 9 things like that, that show -- and something different 10 than routine postoperative edema.

11 When you say you would have to base that on Ο. 12 clinical findings, how would you make these clinical 13 findings without seeing the patient in the office? 14 Well, you wouldn't. You would -- you Α. 15 could -- ask additional questions. If the patient was 16 talking to me, I would ask additional questions 17 regarding the state of that. And if it seemed 18 consistent with postoperative edema, most prudent 19 practitioners could -- could make a statement that, 20 well, that sounds pretty typical for this point in 21 If there's any changes to that condition, we time. 22 would -- we would need to check you. 23 You mentioned typical versus atypical **Q**. 24 Should both typical and atypical symptoms be symptoms.

25 explained or communicated to the patient following the

1 tooth extraction?

2	A. If the patient had indicated something that		
3	was atypical, then you could make the distinction and		
4	comparison between what would be expected, like pain		
5	and swelling, to something that would not be expected,		
6	like fever and chills, and say that this is something		
7	that's now a little atypical. It's not normally		
8	expected. That would raise a red flag that something		
9	else is going on other than normal postoperative		
10	recovery, and that evaluation could then be		
11	suggested to be more more urgent.		
12	Q. Based upon the standard of care, should the		
13	typical and atypical symptoms be included in the		
14	post-op instructions?		
15	A. Um, not not necessarily. The typical		
16	because you have to do what's typical and common. And		
17	what is common is is swelling, recovery, and and		
18	what to expect. Because that's what most people		
19	expect. I mean, you can't list every single atypical.		
20	It would not be within the standard of care to have to		
21	list every atypical possible symptom that could		
22	could develop because you can't predict those. You		
23	have to be reasonable in what you're in informing		
24	patients.		
25	Q. So you would depend on the patient to call		

1 you if he or she developed any atypical symptoms 2 following a tooth extraction even though it's not 3 necessary that you inform the patient as to what 4 typical versus atypical symptoms are?

A. Well, most of us would encourage our patients
to call with -- with any of these problems so that you
can be evaluated or can make an assessment if there was
any questions. I mean, I think that's prudent of most
offices.

10 But we do depend upon -- not everyone can go 11 home with the patient, so you really do depend upon the 12 patient telling you or indicating that something is up, 13 to try to make some type of a fair assessment on the 14 postoperative period because the patient goes home, and 15 they're there to recover on their own. So we do depend 16 upon patients calling back in or coming back into the 17 office if there are particular questions or problems 18 develop.

Q. Or if they have atypical symptoms, correct?
 A. Atypical symptoms as well as typical symptoms
 mainly.
 MS. PATIN: No further questions at this

23 time.

24THE COURT: Anybody else?25MR. VOGEL: Couple of quick follow-ups.

204 PATIN 275 924

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2	RECROSS-EXAMINATION		
3	BY MR. VOGEL:		
4	Q. Doctor, does bacteria equal infection?		
5	A. No.		
6	Q. What's the difference?		
7	A. Well, bacteria is a microorganism, and we all		
8	have bacteria in us, in our especially if we're		
9	talking the oral cavity, GI tract, respiratory tract.		
10	It lives there. So just because bacteria are there		
11	doesn't mean you're going to get an infection.		
12	Q. Is one of the purpose of scaling and root		
13	planing to reduce the amount of bacteria?		
14	A. That's absolutely correct.		
15	Q. Is that what was done in this case?		
16	A. That's right.		
17	Q. You were quoted several passages, ostensibly		
18	from Dr. Traivai's deposition, that were kind out of		
19	context.		
20	But does that change any of your opinions		
21	regarding whether or not Dr. Traivai met the standard		
22	of care?		
23	A. No, it does not.		
24	Q. And is it fair to state that you, as a		
25	physician and oral surgeon, you expect and rely upon		

your patients to follow your reasonable post-op 1 2 instructions? 3 That's correct. Α. And would you agree with Dr. Pallos when he's 4 0. 5 testified in this case that post-op instructions in this case met the standard of care? 6 7 Would I agree with that -- that the Α. 8 postoperative instructions met the standard of care 9 that were given as far as I know that they were given? 10 They -- as far as I know, the post-op instructions that 11 were given met the standard of care. 12 Thank you, Doctor. MR. VOGEL: 13 MR. FRIEDMAN: I have nothing, Your Honor. 14 THE COURT: Ms. Patin, any follow-ups? 15 MS. PATIN: One follow-up. 16 17 **RECROSS-EXAMINATION** 18 BY MS. PATIN: 19 Dr. Ardary, does it meet the standard of care **Q**. 20 if a patient is told by office staff that pain and 21 swelling are normal and that they should follow up in 22 four to five days and they'll be called in for an appointment? 23 24 Α. Well, when -- you have to go back and look at And standard of care is what 25 what is standard of care.

most prudent offices, office staff, do under similar 1 2 circumstances. And so my answer to that is yes, it met the standard of care. Because in this circumstances 3 with what was presented or allegedly presented to the 4 office staff was typical and routine for a wisdom tooth 5 6 or third molar removal, and that most offices initially 7 would expect that to be something that was normal and 8 usual and customary, and that if everything worsened to 9 then please call back and return and then you'll need 10 evaluation. So it met the standard of care. 11 What if the office staff that answered the Ο. 12 phone doesn't have any dental training? 13 MR. FRIEDMAN: Objection. Irrelevant. 14 THE COURT: Overruled. 15 THE WITNESS: Well, to be honest with you, most of staff that are hired in dental or medical 16 17 offices may not have any official medical or dental 18 staff training. That -- there are some schools that 19 teach in dentistry how to deal with the assisting 20 aspects of things. But most of us hire people off and 21 then train them. 22 So there is no organization or educational 23 opportunity or state-run operation that I'm aware of 24 that prepares front office staff on how to manage the 25 phone or the front office. So most people, it would

1	not require specialized training to be able to to do	
2	that job.	
3	BY MS. PATIN:	
4	Q. And so is it your opinion that it's within	
5	the standard of care that front office staff that	
6	doesn't have specialized training advise patients as to	
7	care or postoperative care?	
8	MR. FRIEDMAN: Object to relevance to this	
9	case.	
10	MR. VOGEL: Misstates his testimony.	
11	MR. FRIEDMAN: Misstates testimony. He	
12	testified about the information that's provided.	
13	THE COURT: I'm going to allow it based upon	
14	how it was asked.	
15	THE WITNESS: Repeat the question again for	
16	me, please.	
17	MS. PATIN: Sorry, Your Honor.	
18	THE COURT: Is it your opinion that it's	
19	within the standard of care that front office staff	
20	that doesn't have specialized training advise patients	
21	as to care or postoperative care?	
22	THE WITNESS: It can be within the standard	
23	of care for them to advise patients if if instructed	
24	so correctly, yes.	
25	/////	

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208 PATIN 279 928

1 BY MS. PATIN: 2 Q. Instructed by whom? 3 Well, the dentist or physician that's in the Α. office. 4 5 MS. PATIN: No further questions. 6 THE COURT: Anything else? 7 MR. VOGEL: No, Your Honor. 8 MR. LEMONS: Nothing, Your Honor. 9 Ladies and gentlemen, any THE COURT: 10 questions? I don't see any hands. 11 Thank you, Doctor. Appreciate your time. 12 Got another witness or you want to take a 13 break? 14 MS. GOODEY: Need to take a quick break, Your 15 Honor. I'm sorry. 16 THE COURT: Let's take a quick break. 17 Ladies and gentlemen, during our break, 18 you're instructed not to talk with each other or with 19 anyone else, about any subject or issue connected with 20 this trial. You are not to read, watch, or listen to 21 any report of or commentary on the trial by any person 22 connected with this case or by any medium of 23 information, including, without limitation, newspapers, 24 television, the Internet, or radio. You are not to 25 conduct any research on your own, which means you

cannot talk with others, Tweet others, text others, 1 Google issues, or conduct any other kind of book or 2 3 computer research with regard to any issue, party, witness, or attorney, involved in this case. 4 You're 5 not to form or express any opinion on any subject 6 connected with this trial until the case is finally 7 submitted to you. 8 See you in about five or ten minutes. 9 (The following proceedings were held 10 outside the presence of the jury.) 11 THE COURT: We're outside the presence of the 12 jury. Anything we need to take care of on the record, 13 Counsel? 14 MS. PATIN: No, Your Honor. 15 MR. VOGEL: No, Your Honor. 16 MR. FRIEDMAN: No, Your Honor. 17 THE COURT: Is that the last expert you had 18 for today? 19 MR. VOGEL: Yes. 20 MR. FRIEDMAN: Yes. 21 THE COURT: Going to put one of the -- one 22 of -- you want to put your defendant on the stand at 23 least for a while today? 24 MR. VOGEL: Sure. 25 Still want to end by about 3:30, THE COURT:

but that still gives us about an hour. 1 2 All right. Off the record. 3 (Whereupon a short recess was taken.) 4 THE MARSHAL: All rise for the presence of 5 the jury. (The following proceedings were held in 6 7 the presence of the jury.) Go ahead and be seated. We're 8 THE COURT: 9 back on the record, Case No. A656091. 10 Do the parties stipulate to the presence of 11 the jury? 12 Yes, Your Honor. MR. VOGEL: 13 MR. FRIEDMAN: Yes, Your Honor. Yes, Your Honor. 14 MR. LEMONS: 15 MS. PATIN: Yes, Your Honor. 16 THE COURT: Okay, folks, I'm going to do the 17 same thing I did to you yesterday, had to bring you 18 back in to get your personal stuff. It's 2:30. I told 19 you that we were going to end at 3:30 today anyway. 20 Instead of breaking up one of the witnesses today and 21 into Tuesday, since it's a long weekend, we're just 22 going to wait and put the next witness on on Tuesday 23 morning. We're going to start Tuesday at 24 10:00 o'clock. I have a calendar that morning, but 25 we'll be done so that we can start by 10:00 o'clock.

And the attorneys all still assure me that the case
 will be done on Wednesday. So you just got Tuesday and
 Wednesday left of next week. So we'll go to that
 point.

5 During our break -- I'm going to admonish you 6 again. I'll say it slow because we have a long 7 weekend. Please don't go home and talk to anybody 8 about the case.

9 During our break, you're instructed not to 10 talk with each other or with anyone else, about any 11 subject or issue connected with this trial. You are 12 not to read, watch, or listen to any report of or 13 commentary on the trial by any person connected with 14 this case or by any medium of information, including, without limitation, newspapers, television, the 15 Internet, or radio. You are not to conduct any 16 17 research on your own, which means you cannot talk with 18 others, Tweet others, text others, Google issues, or 19 conduct any other kind of book or computer research 20 with regard to any issue, party, witness, or attorney, 21 involved in this case. You're not to form or express 22 any opinion on any subject connected with this trial 23 until the case is finally submitted to you.

We'll see you back Tuesday morning at 10:00.Have a good weekend. You can leave the notepads right

there in the chairs. Nobody else will be here. (The following proceedings were held outside the presence of the jury.) All right. We're outside the THE COURT: presence. Anything else we need to take care of, Counsel? MR. VOGEL: No, Your Honor. MS. PATIN: No, Your Honor. THE COURT: All right. Off the record. We'll see you Tuesday. (Thereupon, the proceedings adjourned at 2:40 p.m.) 

1 CERTIFICATE OF REPORTER 2 STATE OF NEVADA 3 ss: COUNTY OF CLARK I, Kristy L. Clark, a duly commissioned 4 5 Notary Public, Clark County, State of Nevada, do hereby certify: That I reported the proceedings commencing on 6 7 Friday, January 17, 2014, at 8:48 o'clock a.m. That I thereafter transcribed my said 8 9 shorthand notes into typewriting and that the 10 typewritten transcript is a complete, true and accurate 11 transcription of my said shorthand notes. 12 I further certify that I am not a relative or 13 employee of counsel of any of the parties, nor a 14 relative or employee of the parties involved in said 15 action, nor a person financially interested in the 16 action. 17 IN WITNESS WHEREOF, I have set my hand in my 18 office in the County of Clark, State of Nevada, this 19 13th day of March, 2014. 20 21 CCR #708 22 23 24 25

		Electronically Filed 6/5/2017 9:50 AM Steven D. Grierson
		CLERK OF THE COURT
1	NEO	China .
2	RESNICK & LOUIS, P.C. PRESCOTT JONES	
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6	Attorneys for Plaintiff,	
7	Ton Vinh Lee	
8	DISTRIC	ΓCOURT
9	CLARK COUN	TY, NEVADA
10	TON VINH LEE,	CASE NO.: A-15-723134-C
11	Plaintiff, v.	DEPT: 26 XXVI
12		NOTICE OF ENTRY OF ORDER
13 14	INGRID PATIN, an individual, and PATIN LAW GROUP, PLLC, a Nevada Professional LLC,	DENYING DEFENDANT INGRID PATIN'S MOTION FOR SUMMARY
15		JUDGMENT
16	Defendants.	
17		
18	PLEASE TAKE NOTICE that the Order	r Denying Defendant Ingrid Patin's Motion for
19	Summary Judgment was entered on June 2, 2017.	A copy of the document is attached.
20	DATED this 5 <sup>th</sup> day of June, 2017.	
21	RES	SNICK & LOUIS, P.C.
22	/s/ P	Prescott T. Jones
23		SCOTT JONES
24		ada Bar No. 11617 ) S. Rainbow Blvd.
25		Vegas, NV 89118 es@rlattorneys.com
26	Tele	phone: (702) 997-3800 imile: (702) 997-3800
27	Atto	rneys for Plaintiff, Vinh Lee
28		
	1	935
		M 3 7

1	CERTIFICATE OF SERVICE			
2				
3	I HEREBY CERTIFY that service of the foregoing NOTICE OF ENTRY OF ORDER DENYING DEFENDANT INGRID PATIN'S MOTION FOR SUMMARY			
4	JUDGMENT was served this 5 <sup>th</sup> day of June, 2017, by:			
5				
6 7	[] <b>BY U.S. MAIL</b> : by placing the document(s) listed above in a sealed envelope with postage thereon fully prepaid, in the United States mail at Las Vegas, Nevada, addressed as set forth below.			
8	[] <b>BY FACSIMILE</b> : by transmitting via facsimile the document(s) listed above to the fax			
9	number(s) set forth below on this date before 5:00 p.m. pursuant to EDCR Rule 7.26(a). A printed transmission record is attached to the file copy of this document.			
10	[] <b>BY PERSONAL SERVICE</b> : by causing personal delivery by an employee of Resnick			
11 12	& Louis, P.C. of the document(s) listed above to the person(s) at the address(es) set forth below.			
12	[X] <b>BY ELECTRONIC SERVICE</b> : by transmitting via the Court's electronic filing			
13	[X] <b>BY ELECTRONIC SERVICE</b> : by transmitting via the Court's electronic filing services the document(s) listed above to the Counsel set forth on the service list on this date pursuant to EDCR Rule 7.26(c)(4).			
15	date pursuant to EDCK Kule 7.20(0)(4).			
16	Unit M Tell			
17	An Employee of Resnick & Louis, P.C.			
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1 2 3 4 5 6 7 8	ORDR RESNICK & LOUIS, P.C. PRESCOTT JONES Nevada Bar No. 11617 piones@rlattorneys.com 5940 S. Rainbow Blvd. Las Vegas, Nevada 89118 Telephone: (702) 997-3800 Facsimile: (702) 997-3800 Attorneys for Plaintiff, Ton Vinh Lee	Electronically Filed 6/2/2017 3:07 PM Steven D. Grierson CLERK OF THE COURT	
9	DISTRICT COURT		
10	CLARK COUNTY, NEVADA		
11			
12	TON VINH LEE,	CASE NO.: A-15-723134-C	
13	Plaintiff,	DEPT: 26	
14 15 16	v. INGRID PATIN, an individual, and PATIN LAW GROUP, PLLC, a Nevada Professional LLC,	ORDER DENYING DEFENDANT INGRID PATIN'S MOTION FOR SUMMARY JUDGMENT	
17 18	Defendants.		
19			
20		mmary Judgment, filed February 10, 2017, and	
21	Defendant, Patin Law Group, PLLC's Joinder to		
22	15, 2017, came on for Hearing on May 9, 2017, before the Honorable Judge Gloria J. Sturman.		
23	The Court having read and considered the pleadings on file, oppositions and replies		
24	thereto, having heard the oral arguments of count fully advised, and good cause appearing therefore		
25	fully advised, and good cause appearing therefore, finds as follows:		
26	THIS COURT FINDS that an issues of fact related to the truth or falsity of the alleged defamatory statement exists which necessitates denial of summary judgment;		
27	terration summary judgment;		
28	1		

THIS COURT HEREBY ORDERS that Defendant, Ingrid Patin's Motion for Summary Judgment, and all joinders thereto, are DENIED WITHOUT PREJUDICE. DATED this day of Jun, 2017. DISTRICT COURT JUDGE Submitted by: **RESNICK & LOUIS, P.C.** PRESCOTT JONES Nevada Bar No. 11617 5940 S. Rainbow Blvd. Las Vegas, NV 89118 pjones@rlattorneys.com Telephone: (702) 997-3800 Facsimile: (702) 997-3800 Attorneys for Plaintiff, Ton Vinh Lee