IN THE SUPREME COURT OF THE STATE OF NEVADA

INDICATE FILL CAPTION.

indicate following.			
WESPAC; AND GREG CHRISTIAN,	No	87411	Electronically Filed Oct 23 2023 02:18 PM
Appellants,			Elizabeth A. Brown Schankenischer Speals
VS.		OTVIET	
GREGORY O. GARMONG,			
Respondent.			

GENERAL INFORMATION

Appellants must complete this docketing statement in compliance with NRAP 14(a). The purpose of the docketing statement is to assist the Supreme Court in screening jurisdiction, identifying issues on appeal, assessing presumptive assignment to the Court of Appeals under NRAP 17, scheduling cases for oral argument and settlement conferences, classifying cases for expedited treatment and assignment to the Court of Appeals, and compiling statistical information.

WARNING

This statement must be completed fully, accurately and on time. NRAP 14(c). The Supreme Court may impose sanctions on counsel or appellant if it appears that the information provided is incomplete or inaccurate. *Id.* Failure to fill out the statement completely or to file it in a timely manner constitutes grounds for the imposition of sanctions, including a fine and/or dismissal of the appeal.

A complete list of the documents that must be attached appears as Question 27 on this docketing statement. Failure to attach all required documents will result in the delay of your appeal and may result in the imposition of sanctions.

This court has noted that when attorneys do not take seriously their obligations under NRAP 14 to complete the docketing statement properly and conscientiously, they waste the valuable judicial resources of this court, making the imposition of sanctions appropriate. See KDI Sylvan Pools v. Workman, 107 Nev. 340, 344, 810 P.2d 1217, 1220 (1991). Please use tab dividers to separate any attached documents.

1. Judicial District SECOND	Department 6		
County Washoe	Judge Lynne Simons		
District Ct. Case No. CV12-01271			
2. Attorney filing this docketing statemen	t:		
Attorney Stephen S. Kent	Telephone 775-467-2603		
Firm Gordon Rees Scully Mansukhani, LLP			
Address 1 E. Liberty Street, Suite 424 Reno, NV 89501			
10010, 117 00001			
Client(s) WESPAC and GREG CHRISTIAN			
If this is a joint statement by multiple appellants, add the names of their clients on an additional sheet accompfiling of this statement.			
3. Attorney(s) representing respondents(s) :		
Attorney Carl Hebert	Telephone 775-772-5556		
Firm Law Office of Carl Hebert			
Address 2215 Stone View Drive Sparks, NV 89436			
Client(s) Gregory O. Garmong			
Attorney	Telephone		
Firm			
Address			
Client(s)			

(List additional counsel on separate sheet if necessary)

4. Nature of disposition below (check	all that apply):		
Judgment after bench trial	☐ Dismissal:		
☐ Judgment after jury verdict	☐ Lack of jurisdiction		
☐ Summary judgment	☐ Failure to state a claim		
☐ Default judgment	☐ Failure to prosecute		
☐ Grant/Denial of NRCP 60(b) relief	✓ Other (specify): Judgment after Arbitration		
☐ Grant/Denial of injunction	☐ Divorce Decree:		
☐ Grant/Denial of declaratory relief	☐ Original ☐ Modification		
☐ Review of agency determination	☐ Other disposition (specify):		
5. Does this appeal raise issues conce	erning any of the following?		
☐ Child Custody			
□ Venue			
☐ Termination of parental rights			
_	this court. List the case name and docket number sently or previously pending before this court which		
	he Nevada Supreme Court, appealing the order The writ was denied by order dated December 18,		
On January 7, 2020, Mr. Garmong filed appealing the District Court's arbitration	a Notice of Appeal to the Nevada Supreme Court n confirmation order and attorney fee award in favor ourt of Appeals affirmed the District Courts		
On August 10, 2021, Mr. Garmong filed	a Notice of Appeal of the District Court July 16, on appeal. This appeal was Case No. 8595000. The ourt on July 21, 2022.		
7. Pending and prior proceedings in	other courts. List the case name, number and		

court of all pending and prior proceedings in other courts which are related to this appeal (e.g., bankruptcy, consolidated or bifurcated proceedings) and their dates of disposition:

None

8. Nature of the action. Briefly describe the nature of the action and the result below: Please see attached Exhibit 1.
9. Issues on appeal. State concisely the principal issue(s) in this appeal (attach separate sheets as necessary): Appellants believe NRCP 37(a)(5) which allows recovery expenses for a motion to compel implicitly includes all fees related to that motion, not just the first motion pleading as ordered by the District Court and this order was an abuse of discretion.
10. Pending proceedings in this court raising the same or similar issues. If you are aware of any proceedings presently pending before this court which raises the same or similar issues raised in this appeal, list the case name and docket numbers and identify the same or similar issue raised: No.

11. Constitutional issues. If this appeal challenges the constitutionality of a statute, and the state, any state agency, or any officer or employee thereof is not a party to this appeal, have you notified the clerk of this court and the attorney general in accordance with NRAP 44 and NRS 30.130?
□ N/A
☐ Yes
ĭ No
If not, explain:
12. Other issues. Does this appeal involve any of the following issues?
☐ Reversal of well-settled Nevada precedent (identify the case(s))
☐ An issue arising under the United States and/or Nevada Constitutions
☐ A substantial issue of first impression
☐ An issue of public policy
\square An issue where en banc consideration is necessary to maintain uniformity of this court's decisions
☐ A ballot question
If so, explain:

13. Assignment to the Court of Appeals or retention in the Supreme Court. Briefly set forth whether the matter is presumptively retained by the Supreme Court or assigned to the Court of Appeals under NRAP 17, and cite the subparagraph(s) of the Rule under which the matter falls. If appellant believes that the Supreme Court should retain the case despite its presumptive assignment to the Court of Appeals, identify the specific issue(s) or circumstance(s) that warrant retaining the case, and include an explanation of their importance or significance:

Pursuant to NRAP 17(b)(7) Appeals of post judgment appeals in civil cases this case has presumptive Court of Appeals Jurisdiction.

14. Trial. If this action proceeded to trial, how many days did the trial last? 0

Was it a bench or jury trial? N/A

15. Judicial Disqualification. Do you intend to file a motion to disqualify or have a justice recuse him/herself from participation in this appeal? If so, which Justice? No.

TIMELINESS OF NOTICE OF APPEAL

16. Date of er	try of written judgment or order appealed from August 10, 2023
	on judgment or order was filed in the district court, explain the basis for coellate review:
17. Date writ	ten notice of entry of judgment or order was served September 14, 2023
Was servi	•
☐ Deliver	•
ĭ Mail/el	ectronic/fax
18. If the tim (NRCP 50(b),	e for filing the notice of appeal was tolled by a post-judgment motion 52(b), or 59)
_	ify the type of motion, the date and method of service of the motion, and ate of filing.
□NRCP	Date of filing
\square NRCP	Date of filing
□ NRCP	Date of filing
time fo	s made pursuant to NRCP 60 or motions for rehearing or reconsideration may toll the r filing a notice of appeal. <i>See <u>AA Primo Builders v. Washington</u>, 126 Nev.</i> , 245 90 (2010).
(b) Date	of entry of written order resolving tolling motion
(c) Date	written notice of entry of order resolving tolling motion was served
Was	service by:
□ D e	elivery
	ail

19. Date notice	of appeal filed October 4, 2023
	n one party has appealed from the judgment or order, list the date each peal was filed and identify by name the party filing the notice of appeal:
20. Specify state e.g., NRAP 4(a)	ute or rule governing the time limit for filing the notice of appeal, or other
e.g., NRAP 4(a)	
e.g., NRAP 4(a) NRAP 4(a)(1) 21. Specify the sthe judgment of	or other
e.g., NRAP 4(a) NRAP 4(a)(1) 21. Specify the s	SUBSTANTIVE APPEALABILITY statute or other authority granting this court jurisdiction to review r order appealed from:
e.g., NRAP 4(a) NRAP 4(a)(1) 21. Specify the sthe judgment of (a)	SUBSTANTIVE APPEALABILITY statute or other authority granting this court jurisdiction to review r order appealed from: (b)(1) NRS 38.205
e.g., NRAP 4(a) NRAP 4(a)(1) 21. Specify the sthe judgment of (a) NRAP 3A	SUBSTANTIVE APPEALABILITY statute or other authority granting this court jurisdiction to review r order appealed from: (b)(1) □ NRS 38.205 (b)(2) □ NRS 233B.150

(b) Explain how each authority provides a basis for appeal from the judgment or order: Appellants appeal an order not granting all attorneys fees requested following a motion to compel pursuant to NRAP 3A(b)(8) which allows appeals of orders after final judgments.

22. List all parties involved in the action or consolidated actions in the district court: (a) Parties: WESPAC and GREG CHRISTIAN GREGORY O. GARMONG
(b) If all parties in the district court are not parties to this appeal, explain in detail why those parties are not involved in this appeal, e.g., formally dismissed, not served, or other:
23. Give a brief description (3 to 5 words) of each party's separate claims, counterclaims, cross-claims, or third-party claims and the date of formal disposition of each claim.
Please see Exhibit 2 attached hereto.
24. Did the judgment or order appealed from adjudicate ALL the claims alleged below and the rights and liabilities of ALL the parties to the action or consolidated actions below? \[\subseteq \text{Yes} \] \[\subseteq \text{No} \]
25. If you answered "No" to question 24, complete the following:
(a) Specify the claims remaining pending below: A motion for relief from order to compel answers to interrogatories and responses to requests for production and motion for attorneys fees and costs related to the second writ of execution and motion for sanctions for failure to respond to interrogatories and requests for production ordered by the Court are the only issues pending in the District Court.

(b) Specify the parties remaining below: WESPAC and Greg Christian Gregory O. Garmong
(c) Did the district court certify the judgment or order appealed from as a final judgment pursuant to NRCP 54(b)?
☐ Yes
□ No
(d) Did the district court make an express determination, pursuant to NRCP 54(b), that there is no just reason for delay and an express direction for the entry of judgment?
☐ Yes
□ No
26. If you answered "No" to any part of question 25, explain the basis for seeking appellate review (e.g., order is independently appealable under NRAP 3A(b)):

27. Attach file-stamped copies of the following documents:

- é The latest-filed complaint, counterclaims, cross-claims, and third-party claims
- é Any tolling motion(s) and order(s) resolving tolling motion(s)
- é Orders of NRCP 41(a) dismissals formally resolving each claim, counterclaims, crossclaims and/or third-party claims asserted in the action or consolidated action below, even if not at issue on appeal
- é Any other order challenged on appeal
- Notices of entry for each attached order

VERIFICATION

I declare under penalty of perjury that I have read this docketing statement, that the information provided in this docketing statement is true and complete to the best of my knowledge, information and belief, and that I have attached all required documents to this docketing statement.

WESPAC and GREG CHRISTIAN	STEPHEN S. KENT
Name of appellant	Name of counsel of record
Dolober 23, 2023	Signature of counsel of record
State of Nevada, County of Washoe State and county where signed	
CERTIFICATE OF	FSERVICE
I certify that on the day of October	, 2023 , I served a copy of this
completed docketing statement upon all counsel of	record:
☐ By personally serving it upon him/her; or	
By mailing it by first class mail with suffici- address(es): (NOTE: If all names and addre below and attach a separate sheet with the	sses cannot fit below, please list names
Carl Hebert 2215 Stone View Drive Sparks, Nevada 89436	
Dated this 232 day of October	

Signature

EXHIBIT 1

8 – Nature of the action:

Mr. Garmong alleged in proper person that defendants Wespac and Greg Christian, who are financial advisors, mismanaged his investments.

Mr. Garmong filed his *Complaint* on May 9, 2012, alleging the following claims for relief:

- 1) Breach of Contract;
- 2) Breach of Nevada Deceptive Trade Practices Act;
- 3) Breach of Implied Covenant of Good Faith and Fair Dealing;
- 4) Unjust Enrichment;
- 5) Breach of Fiduciary Duty;
- 6) Malpractice; and
- 7) Negligence.

Complaint, generally.

On September 19, 2012, Defendants filed a Motion to Dismiss and Compel Arbitration.

On December 13, 2012, this Court¹ entered its Order granting Defendants' request to compel arbitration but denying the motion to dismiss. Mr. Garmong then filed his Combined Motions for Leave to Rehear and for Rehearing of the Order of December 13, 2012 Compelling Arbitration ("Reconsider Motion"). The Reconsider Motion was opposed by Defendants. Mr. Garmong did not file a reply and this case was stagnant for nearly a year until January 13, 2014, when the District Court entered its Order to Proceed. Mr. Garmong filed his reply on February 3, 2014. The Reconsider Motion was denied on April 2, 2014.

¹ Judge Brent T. Adams originally presided over this proceeding in Department 6 before his retirement. Judge Lynne K. Simons was sworn in on January 5, 2015, and presides in Department 6.

Mr. Garmong then sought writ relief from the Nevada Supreme Court. ON December 18, 2014, the Nevada Supreme Court in Case No. 65899 entered its *Order Denying Petition for Writ of Mandamus or Prohibition*, entered its *Order Denying Rehearing* on March 18, 2015, and, subsequently, entered its *Order Denying En Banc Reconsideration* on May 1, 2015.

After the Nevada Supreme Court's orders were entered, the District Court again entered its *Order for Response* on November 17, 2015, instructing the parties to proceed with this case. In response, the parties indicated they had initiated an arbitration proceeding with JAMS in Las Vegas. *Notice of Status Report*, December 1, 2015.

On June 8, 2016, Mr. Garmong filed his *Motion for a Court-Appointed Arbitrator* arguing the JAMS arbitrators were prejudiced against him. This matter was fully briefed; and, on July 12, 2016, the District Court entered its *Order re: Arbitration* requiring each party to submit the names of three arbitrators to the Court. The parties then stipulated to select one arbitrator, to reduce costs. *Stipulation to Select One Arbitrator*, October 17, 2016. Thereafter, the District Court entered its *Order Appointing Arbitrator* on October 31, 2016, appointing Michael G. Ornstil, Esq., as arbitrator. After it was determined Mr. Ornstil was unavailable, Mr. Garmong stipulated to the appointment of either retired Judge Phillip M. Pro ("Judge Pro"),² or Lawrence R. Mills. Esq.

On November 13, 2017, the District Court entered its *Order Granting Motion to Strike* which stayed the proceeding pending the outcome of the arbitration, and directed the parties to file an amended complaint and other responsive papers at the direction of Judge Pro. *Order Granting Motion to Strike*, p. 2. On February 21, 2017, the District Court entered its *Order Appointing Arbitrator*, appointing Judge Pro.

² Mr. Garmong stipulated to Judge Pro despite previously moving to preclude a judge from serving as an arbitrator.

On March 27, 2017, Mr. Garmong filed Plaintiff's Objection Pursuant to NRS 38.231(3) and 38.241(e) That There is No Agreement to Arbitrate; Notification of Objection to the Court.

Despite prior determinative orders from this Court, Mr. Garmong again objected to arbitration on the basis there was no agreement to arbitrate.

On May 23, 2017, the District Court entered its *Order to Show Cause Why Action Should* not be Dismissed for Want of Prosecution Pursuant to NRCP 41(E) ("OSC Order"), finding "Mr. Garmong and Defendants have been ordered numerous times to participate in arbitration as early as December 13, 2012." The District Court found the file did not contain any evidence the parties had proceeded to arbitration as ordered. OSC Order, p. 4. Accordingly, the District Court ordered the parties to show cause why the action should not be dismissed for want of prosecution and required each party to file one responsive brief. OSC Order, p. 4.

In the responsive briefs, the parties state they attended their first arbitration conference in April 2017. The District Court acknowledged sufficient cause was shown in the *Order* entered June 30, 2017.

On July 22, 2018, without asking for leave of Court to lift the stay, Mr. Garmong filed Plaintiff's Motion to Disqualify Arbitrator Pro, Vacate Order Denying Motion for Summary Judgment and Appoint New Arbitrator. The District Court thereafter entered its Order Denying Plaintiff's Motion to Disqualify Arbitrator Pro; Order Denying Motion to Vacate Order Denying Motion for Summary Judgment; Order Denying Motion to Appoint New Arbitrator ("Arbitrator Order") on November 29, 2018.

Defendants Wespac and Greg Christian thereafter filed their Motion for Limited Relief

From Stay to File Motion for Attorney's Fees and Sanctions ("Motion for Sanctions") requesting

limited relief from this Court's order staying the proceeding pending the outcome of arbitration.

While the *Motion for Sanctions* was under consideration, Defendants filed their *Notice of Completion of Arbitration Hearing* on October 22, 2018. The District Court found, with completion of the arbitration, Defendants' *Motion for Sanctions* was moot. Additionally, the District Court took notice of Defendants' *Notice of Completion of Arbitration* and determined there were additional decisions to be rendered regarding the *Notice of Completion of Arbitration*.

Judge Pro found Mr. Garmong's claims for: (1) Breach of Contract; (2) Breach of Implied Warranty; (3) Breach of the Implied Covenant of Good Faith and Fair Dealing; (4) Nevada's Deceptive Trade Practices Act; (5) Breach of Fiduciary Duty of Full Disclosure; (6) Intentional Infliction of Emotional Distress; and (7) Unjust Enrichment all failed as a matter of law because Mr. Garmong did not establish his claims by a preponderance of the evidence. See *Final Award*, p. 8-9. Furthermore, after weighing the necessary factors required by Brunzell v. Golden Gate National Bank, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969), Judge Pro found Defendants Wespac and Greg Christian were entitled to an award of reasonable attorneys' fees in the amount of \$111,649.96. *Final Award*, p. 11.

After the Final Award, the litigation continued with several filings. On August 8, 2019, the District Court entered its Order re Motions ("ORM"): (1) granting Defendants' Petition for an Order Confirming Arbitrator's Final Award and Reducing Award to Judgment, Including, Attorneys' Fees and Costs; (2) denying Plaintiff's Motion to Vacate Arbitrator's Final Award; (3) denying Plaintiff's Motion to Vacate Arbitrator's Award of Attorneys' Fees; (4) denying Plaintiff's Motion to Vacate Arbitrator's Award of Plaintiff's Motion for Partial Summary Judgment and for the Court to Decide and Grant Plaintiff's Motion for Partial Summary Judgment; and (5) granting Defendants' Motion for an Order to File Exhibit as Confidential. ORM, p. 15-16.

On August 27, 2019, the District Court entered its *Order*: (1) directing WESPAC to file an *Amended Motion for the Award of Attorneys' Fees*; (2) allowing Mr. Garmong the standard response time to file and serve his opposition to Defendants' *Amended Motion for the Award of Attorneys' Fees*; and (3) directing WESPAC would not be required to file its proposed final judgment until ten (10) days following this Court's ruling on WESPAC's *Amended Motion for the Award of Attorneys' Fees. Order*, p. 1.

On December 6, 2019, the District Court entered its *Order Denying Motion to Alter or Amend Judgment* ("AA Order") maintaining its prior rulings within the ORM. On January 7, 2020, Mr. Garmong filed a Notice of Appeal to the Nevada Supreme Court regarding this Court's Arbitrator Order, ORM, and AA Order. On December 9, 2019, Defendants' Amended Motion for Attorney's Fees was filed. Due to Mr. Garmong's pending appeal, the District Court entered its Order Holding Issuance of Order on Defendants' Amended Motion for Attorney's Fees in Abeyance. On December 1, 2020, the Nevada Court of Appeals issued its Order of Affirmance upholding the District Court's judgment in its entirety and noting Defendants may seek amended fees pursuant to the fee shifting provision in NRCP 68 which extends to fees incurred on and after appeal.

On February 18, 2021, Defendants filed Defendants' Second Amended Motion for Attorney's Fees. On February 22, 2021, the Nevada Court of Appeals entered its Order Denying Rehearing pursuant to NRAP 40(c). Next, the parties entered into a stipulation to extend the time for Mr. Garmong to file an opposition to Defendants' Second Amended Motion for Attorney's Fees. The stipulation was granted on March 1, 2021, by the District Court's Order Extending Time for Plaintiff to File Points and Authorities in Opposition to the Defendants' Second Amended Motion for Fees. On April 6, 2021, the Nevada Supreme Court entered its Order

Defining Petition for Review. On July 16, 2021, the District Court entered its Order Granting

Defendants' Second Amended Motion for Attorney's Fees; Order Confirming Arbitrator's Final

Award ("July 16, 2021, Order"), which confirmed Judge Pro's arbitration award of \$111,649.96,

and awarded Defendants attorneys' fees in the amount of \$45,084.50. On August 10, 2021, Mr.

Garmong filed a Notice of Appeal, appealing the July 16, 2021, Order to the Nevada Supreme

Court.

On November 3, 2021, Defendants filed a Substitution of Attorney replacing Thomas C. Bradley, Esq. with Stephen S. Kent, Esq. as their counsel of record. Mr. Kent was retained to collect the judgment against Garmong. On April 4, 2022, Defendants filed their Affidavit of Judgment and Judgment Lien Abstract of Judgment and Affidavit of Judgment both naming Mr. Garmong as the judgment debtor. On May 10, 2022, Defendants filed a Declaration of Service of the writ of execution and garnishment on Fidelity Investments/Fidelity Brokerage Services, LLC on May 3, 2022, by the Washoe County Sheriff's Office.

On July 25, 2022, the Nevada Court of Appeals entered its Order of Affirmance affirming the *July 16, 2021, Order* in its entirety. On October 24, 2022, the Nevada Supreme Court entered its *Order Denying Rehearing* pursuant to NRAP 40(c). On January 17, 2023, the Nevada Supreme Court issued its *Remittitur*.

On January 24, 2023, Defendants filed their Motion to Compel and Request for Expenses of Motion ("Motion to Compel"), and on April 10, 2023, the District Court entered its Order Granting Motion to Compel and Request for Expenses of Motion ("Order Granting").

On April 10, 2023, the District Court granted the motion to compel answers to interrogatories and requests for production related to Mr. Garmongs assets and awarded the expenses of the motion.

On April 26, 2023, Defendants filed their motion for fees related to the motion to compel seeking \$4,878.25 in fees and \$13.25 in costs.

On August 10, 2023 the District court awarded fees of only \$2,835.00 because it believed NRCP 37(a)(5)(A) only allowed recovery of \$2,835.00 for fees for the first motion pleading only not the meeting and conferring, not the reading of the opposition, nor preparing a reply or the motion for fees and reply even though court rules require these for a motion to compel.

Appellants believe NRCP 37(a)(5) which allows recovery expenses for a motion to compel implicitly includes all fees related to that motion, not just the first motion pleading and this order limiting fees to the first motion pleading was an abuse of discretion.

It is this Order the Defendants appeal.

EXHIBIT 2

#23 - Brief description

- 1) Breach of Contract;
- 2) Breach of Nevada Deceptive Trade Practices Act;
- 3) Breach of Implied Covenant of Good Faith and Fair Dealing;
- 4) Unjust Enrichment;
- 5) Breach of Fiduciary Duty;
- 6) Malpractice; and
- 7) Negligence.

After arbitration, the District Court affirmed the arbitration award including awarding attorneys fees against Garmong in favor of WESPAC and Greg Christian.

Exhibit 3

Exhibit 3

ORIGINAL I

FILED

Code: \$1425
Gregory Garmong
11 Dee Court
Smith, NV 89430
Tel No. 775-465-2981
Plaintiff In Proper Person

2812 MAY -9 AM 10: 18

OLERK OF THE COURT

BY

DEPUTY

IN THE SECOND JUDICIAL DISTRICT COURT
OF THE STATE OF
NEVADA IN AND FOR THE
COUNTY OF WASHOE

GREGORY GARMONG,)			
Plaintiff	}		CV12	01271
vs.)	Case No		
WESPAC, GREG CHRISTIAN,)	Dept. No.	_4	
and Does 1-10)			
Defendants)			
				4

COMPLAINT

CV12-01271
CV12-01271
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CV12-01271
DC-990035035-067
GREGORY GRHONG VS WESPAC ET 9 Pages
D1strict Court 06/09/2012 10 16 AM
Washoe County 5/1025

COMES NOW Plaintiff, GREGORY GARMONG, appearing In Proper Person, as and for claims for relief against Defendants Wespac, Greg Christian ("Christian"), and Does 1-10 (collectively, "Defendants"), alleges as follows:

14)

- At all times relevant hereto, Plaintiff was a resident of Douglas County Nevada and Lyon County Nevada.
- 2. At all times relevant hereto, Defendants held themselves out to the public as investment advisors and investment managers performing fiduciary and other services for customers; Christian was affiliated with Wespac.
- 3. Does 1-10 are owners/shareholders and/or employees and/or are otherwise associated with Defendants whose identities are unknown to Plaintiff at this time. Plaintiff will ascertain the identities of Does 1-10 during discovery and will move to add these persons to the list of named Defendants.
- 4. At all times relevant hereto, Defendants did business in Washoe County, Nevada.
- 5. The Second Judicial District Court in and for Washoe County, Nevada is a proper venue for this action because of the place of business of Defendants.
- 6. The Second Judicial District Court in and for Washoe County, Nevada has subject matter jurisdiction of this matter because of the dollar amount of damages alleged.
- 7. At a time prior to 2007, Plaintiff entered a contract ("Contract") with Defendants and became a client of Defendants. Plaintiff entrusted a major portion of his life savings and retirement savings to Defendants to manage. The life savings and retirement savings were held in accounts at Schwab, and

Defendants had signature authority and control over these accounts for management purposes. Plaintiff had other accounts with Schwab with which Defendants had no involvement.

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- 8. In late 2007 and early 2008, Defendant Christian solicited, urged, and begged Plaintiff to allow Defendants to take over the sole management of Plaintiff's accounts because of their investment expertise, leaving all discretionary actions to Defendants. Defendant Christian proposed that Plaintiff should not be involved in the active management of his life savings and retirement accounts, and that ultimate investment decisions should be made by Defendants. Plaintiff accepted the proposal.
- In conjunction with Defendants taking over sole management of Plaintiff's accounts, Plaintiff informed Defendants that he had recently retired. Plaintiff further established general investment guidelines with Defendants that it was therefore important that his accounts be managed to conserve capital, and that Defendants' management should be within those guidelines. Plaintiff instructed the Defendants that it was preferable to sacrifice potential gains so as not to lose capital. When losses first appeared, Defendant Christian assured Plaintiff that Defendants were following their plan to manage Plaintiff's life savings and retirement accounts to conserve Plaintiff's capital, and that Defendants should be given the opportunity to allow their plan to work out.
- 10. Despite Defendants' assurances to Plaintiff that they would follow his investment guidelines and manage Plaintiff's life savings and retirement accounts to conserve capital, Defendants failed to do so. Defendants mismanaged Plaintiff's

life savings and retirement accounts, and caused the loss of and wasted a significant portion of Plaintiff's life savings and retirement accounts. When it became apparent in late 2008 that Defendants were not properly managing Plaintiff's life savings and retirement accounts within Plaintiff's guidelines and had misled Plaintiff, Plaintiff ended Defendants' management of Plaintiff's life savings and retirement accounts.

FIRST CLAIM FOR RELIEF

(Breach of Contract)

- 11. Plaintiff incorporates the allegations of Para. 1-10.
- 12. Plaintiff fulfilled all of his obligations under the Contract.
- 13. The Defendants breached their obligations under the Contract, causing damage to Plaintiff.
- 14. Plaintiff was damaged in an amount in excess of \$10,000 of general damages and special damages.

SECOND CLAIM FOR RELIEF

(Breach of Nevada Deceptive Trade Practices Act)

- 15. Plaintiff incorporates the allegations of Para. 1-10.
- 16. At all times relevant hereto, Plaintiff was at least 60 years of age.
- 17. When the Defendants induced Plaintiff to enter the Contract, and thereafter, Defendants failed to disclose material information to Plaintiff. Specifically, Defendants did not disclose to Plaintiff that they would not follow his investment guidelines, would conceal the fact that they would not follow

his investment guidelines, and would concentrate their energies on obtaining and providing services to other clients to the exclusion of Plaintiff's interests. Had Plaintiff known this material information, he would not have entered the Contract.

18. Plaintiff was damaged as a result of the breach by Defendants of the Nevada Deceptive Trade Practices Act in an amount in excess of \$10,000.

THIRD CLAIM FOR RELIEF

(Breach of Implied Covenant of Good Faith and Fair Dealing)

- 19. Plaintiff incorporates the allegations of Para. 1-10.
- 20. By failing to follow Plaintiff's investment guidelines and not properly managing Plaintiff's life savings and retirement accounts, Defendants breached their covenant of good faith and fair dealing implied under the Contract.
- 21. Plaintiff was damaged as a result of the breach by Defendants of the covenant of good faith and fair dealing in an amount in excess of \$10,000.

FOURTH CLAIM FOR RELIEF

(Unjust Enrichment)

- 22. Plaintiff incorporates the allegations of Para. 1-10.
- 23. Plaintiff made payments to Defendants during their business relationship, which payments were accepted and retained by the Defendants.
- 24. Defendants failed to provide the services for which Plaintiff was paying Defendants. Defendants were unjustly enriched by the payments that Plaintiff made to them.

25. Plaintiff was damaged as a result of the unjust enrichment of Defendants in an amount in excess of \$10,000.

FIFTH CLAIM FOR RELIEF

(Breach of Fiduciary Duty)

- 26. Plaintiff incorporates the allegations of Para. 1-10.
- 27. Defendants had a fiduciary duty to Plaintiff arising from their investment advisory and management relation to Plaintiff.
- 28. Defendants breached their fiduciary duty to Plaintiff by failing to exercise a fiduciary responsibility to their management of Plaintiff's life savings and retirement accounts and by deceiving Plaintiff as to their actions and inaction.
- 29. Plaintiff was damaged as a result of the Defendant's breach of their fiduciary duties in an amount in excess of \$10,000.

SIXTH CLAIM FOR RELIEF

(Malpractice)

- 30. Plaintiff incorporates the allegations of Para. 1-10.
- 31. Defendants owed Plaintiff a duty of care as a result of their relationship. Defendants committed malpractice against Plaintiff in their mismanagement of his life savings and retirement accounts by breaching that duty, causing damage to Plaintiff.
- 32. Plaintiff was damaged as a result of the Defendant's malpractice in an amount in excess of \$10,000.

SEVENTH CLAIM FOR RELIEF

(Negligence)

- 33. Plaintiff incorporates the allegations of Para. 1-10.
- 34. Defendants had a duty of care to Plaintiff. Defendants breached that duty of care, in that they failed to represent Plaintiff at the level of skill expected from those managing life savings and retirement accounts.
- 35. Plaintiff was damaged as a result of the Defendant's negligence in an amount in excess of \$10,000.

Prayer and Demand for Relief.

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WHEREFORE, Plaintiff prays for the Court's order, judgment and decree against the Defendants as follows:

FIRST CLAIM FOR RELIEF

- 1. For general and special damages according to proof in excess of TEN THOUSAND DOLLARS (\$10,000).
 - For punitive and exemplary damages.
 - 3. For Plaintiff's costs of suit and attorney's fees.
- 4. For such other and further relief as the Court may deem proper.

SECOND CLAIM FOR RELIEF

- 1. For general and special damages in excess of TEN THOUSAND DOLLARS (\$10,000) according to proof.
 - 2. For punitive and exemplary damages.
 - 3. For Plaintiff's costs of suit and attorney's fees.
 - 4. For such other and further relief as the Court may

deem proper.

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THIRD CLAIM FOR RELIEF

- 1. For general and special damages in excess of TEN THOUSAND DOLLARS (\$10,000) according to proof.
 - 2. For punitive and exemplary damages.
 - 3. For Plaintiff's costs of suit and attorney's fees.
- 4. For such other and further relief as the Court may deem proper.

FOURTH CLAIM FOR RELIEF

- 1. For general and special damages in excess of TEN THOUSAND DOLLARS (\$10,000) according to proof.
 - 2. For punitive and exemplary damages.
 - 3. For Plaintiff's costs of suit and attorney's fees.
- 4. For such other and further relief as the Court may deem proper.

FIFTH CLAIM FOR RELIEF

- 1. For general and special damages in excess of TEN THOUSAND DOLLARS (\$10,000) according to proof.
 - 2. For punitive and exemplary damages.
 - 3. For Plaintiff's costs of suit and attorney's fees.
- 4. For such other and further relief as the Court may deem proper.

SIXTH CLAIM FOR RELIEF

1. For general and special damages in excess of TEN

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THOUSAND DOLLARS (\$10,000) according to proof.

- 2. For punitive and exemplary damages.
- 3. For Plaintiff's costs of suit and attorney's fees.
- 4. For such other and further relief as the Court may deem proper.

SEVENTH CLAIM FOR RELIEF

- 1. For general and special damages in excess of TEN THOUSAND DOLLARS (\$10,000) according to proof.
 - 2. For punitive and exemplary damages.
 - 3. For Plaintiff's costs of suit and attorney's fees.
- 4. For such other and further relief as the Court may deem proper.

The undersigned hereby affirms that this document does not contain a social security number.

Dated this 8th day of May 2012

GREGORY GARMONG

In Proper Person

11 Dee Court, Smith, NV

89430

775-465-2981 (voice)

Exhibit 4

Exhibit 4

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Clerk of the Court
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CODE NO. 3025

IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA

IN AND FOR THE COUNTY OF WASHOE

GREGORY O. GARMONG,

Plaintiff.

Case No. CV12-01271

Dept. No. 6

WESPAC; GREG CHRISTIAN;

DOES 1-10, inclusive,

VS.

Defendants.

ORDER GRANTING, IN PART, AND DENYING, IN PART, MOTION FOR FEES AND COSTS

Before this Court is the Motion for Fees and Costs Pursuant to Order Granting Motion to Compel ("Motion") filed by Defendants WESPAC and GREG CHRISTIAN (collectively "Defendants" unless individually referenced), by and through their counsel of record, Stephen S. Kent, Esq. ("Mr. Kent")

Plaintiff GREGORY O. GARMONG ("Mr. Garmong"), filed his Opposition to

Defendants' Motion for Attorney's Fees ("Opposition"), by and through his counsel of record,

Carl M. Hebert, Esq.

Defendants filed their Reply in Support of Motion for Fees and Costs For Motion to Compel ("Reply") and the matter was submitted to the Court for its consideration.

I. <u>PROCEDURAL BACKGROUND</u>.

The instant *Motion* arises from an action for breach of a financial management agreement and carries with it a robust procedural history. Mr. Garmong filed his *Complaint* on May 9, 2012, alleging the following claims for relief:

- 1) Breach of Contract;
- 2) Breach of Nevada Deceptive Trade Practices Act;
- 3) Breach of Implied Covenant of Good Faith and Fair Dealing;
- 4) Unjust Enrichment;
- 5) Breach of Fiduciary Duty;
- 6) Malpractice; and
- 7) Negligence.

Complaint, generally.

On September 19, 2012, Defendants filed their Motion to Dismiss and Compel Arbitration. On December 13, 2012, this Court¹ entered its Order granting Defendants' request to compel arbitration but denying the motion to dismiss. Mr. Garmong then filed his Combined Motions for Leave to Rehear and for Rehearing of the Order of December 13, 2012 Compelling Arbitration ("Reconsider Motion"). The Reconsider Motion was opposed by Defendants. Mr. Garmong did not file a reply and this case was stagnant for nearly a year until January 13, 2014, when the Court entered its Order to Proceed. Mr. Garmong filed his reply on February 3, 2014. The Reconsider Motion was denied on April 2, 2014.

¹ Judge Brent T. Adams originally presided over this proceeding in Department 6 before his retirement. Judge Lynne K. Simons was sworn in on January 5, 2015, and presides in Department 6

Mr. Garmong then sought writ relief from the Nevada Supreme Court. On December 18, 2014, the Nevada Supreme Court entered its *Order Denying Petition for Writ of Mandamus or Prohibition*, entered its *Order Denying Rehearing* on March 18, 2015, and, subsequently, entered its *Order Denying En Banc Reconsideration* on May 1, 2015.

After the Nevada Supreme Court's orders were entered, this Court again entered its Order for Response on November 17, 2015, instructing the parties to proceed with this case. In response, the parties indicated they had initiated an arbitration proceeding with JAMS in Las Vegas. *Notice of Status Report*, December 1, 2015.

On June 8, 2016, Mr. Garmong filed his *Motion for a Court-Appointed Arbitrator* arguing the JAMS arbitrators were prejudiced against him. This matter was fully briefed; and, on July 12, 2016, this Court entered its *Order re: Arbitration* requiring each party to submit the names of three arbitrators to the Court. The parties then stipulated to select one arbitrator, to reduce costs. *Stipulation to Select One Arbitrator*, October 17, 2016.

Thereafter, this Court entered its *Order Appointing Arbitrator* on October 31, 2016, appointing Michael G. Ornstil, Esq., as arbitrator. After it was determined Mr. Ornstil was unavailable, Mr. Garmong stipulated to the appointment of either retired Judge Phillip M. Pro ("Judge Pro"),² or Lawrence R. Mills. Esq.

On November 13, 2017, this Court entered its *Order Granting Motion to Strike* which stayed the proceeding pending the outcome of the arbitration, and directed the parties to file an amended complaint and other responsive papers at the direction of Judge Pro. *Order Granting Motion to Strike*, p. 2. On February 21, 2017, this Court entered its *Order Appointing Arbitrator*, appointing Judge Pro.

² Mr. Garmong stipulated to Judge Pro despite previously moving to preclude a judge from serving as an arbitrator.

On March 27, 2017, Mr. Garmong filed *Plaintiff's Objection Pursuant to NRS*38.231(3) and 38.241(e) That There is No Agreement to Arbitrate; Notification of Objection to the Court. Despite prior determinative orders from this Court, Mr. Garmong again objected to arbitration on the basis there was no agreement to arbitrate.

On May 23, 2017, this Court entered its *Order to Show Cause Why Action Should not be Dismissed for Want of Prosecution Pursuant to NRCP 41(E)* ("OSC Order"), finding "Mr. Garmong and Defendants have been ordered numerous times to participate in arbitration as early as December 13, 2012." The Court found the file did not contain any evidence the parties had proceeded to arbitration as ordered. *OSC Order*, p. 4. Accordingly, the Court ordered the parties to show cause why the action should not be dismissed for want of prosecution and required each party to file one responsive brief. *OSC Order*, p. 4.

In the responsive briefs, the parties state they attended their first arbitration conference in April 2017. The Court acknowledged sufficient cause was shown in the *Order* entered June 30, 2017.

On July 22, 2018, without asking for leave of Court to lift the stay, Mr. Garmong filed Plaintiff's Motion to Disqualify Arbitrator Pro, Vacate Order Denying Motion for Summary Judgment and Appoint New Arbitrator. The Court thereafter entered its Order Denying Plaintiff's Motion to Disqualify Arbitrator Pro; Order Denying Motion to Vacate Order Denying Motion for Summary Judgment; Order Denying Motion to Appoint New Arbitrator ("Arbitrator Order") on November 29, 2018.

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Defendants thereafter filed their Motion for Limited Relief From Stay to File Motion for Attorney's Fees and Sanctions ("Motion for Sanctions") requesting limited relief from this Court's order staying the proceeding pending the outcome of arbitration. While the Motion for Sanctions was under consideration, Defendants filed their Notice of Completion of Arbitration Hearing on October 22, 2018. The Court found, with completion of the arbitration, Defendants' Motion for Sanctions was moot. Additionally, the Court took notice of Defendants' Notice of Completion of Arbitration and determined there were additional decisions to be rendered regarding the Notice of Completion of Arbitration.

Judge Pro found Mr. Garmong's claims for: (1) Breach of Contract; (2) Breach of Implied Warranty; (3) Breach of the Implied Covenant of Good Faith and Fair Dealing; (4) Nevada's Deceptive Trade Practices Act; (5) Breach of Fiduciary Duty of Full Disclosure; (6) Intentional Infliction of Emotional Distress; and (7) Unjust Enrichment all failed as a matter of law because Mr. Garmong did not establish his claims by a preponderance of the evidence. See Final Award, p. 8-9. Furthermore, after weighing the necessary factors required by Brunzell v. Golden Gate National Bank, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969), Judge Pro found Defendants were entitled to an award of reasonable attorneys' fees in the amount of \$111,649.96. Final Award, p. 11.

After the Final Award, the litigation continued with several filings. On August 8, 2019, this Court entered its Order re Motions ("ORM"): (1) granting Defendants' Petition for an Order Confirming Arbitrator's Final Award and Reducing Award to Judgment, Including, Attorneys' Fees and Costs; (2) denying Plaintiff's Motion to Vacate Arbitrator's Final Award; (3) denying Plaintiff's Motion to Vacate Arbitrator's Award of Attorneys' Fees;

(4) denying Plaintiff's Motions to Vacate Arbitrator's Award of Denial of Plaintiff's Motion for Partial Summary Judgment and for the Court to Decide and Grant Plaintiff's Motion for Partial

Summary Judgment; and (5) granting Defendants' Motion for an Order to File Exhibit as

Summary Judgment; and (5) granting Defendants' Motion for an Order to File Exhibit as Confidential. ORM, p. 15-16.

On August 27, 2019, this Court entered its *Order*: (1) directing WESPAC to file an *Amended Motion for the Award of Attorneys' Fees*; (2) allowing Mr. Garmong the standard response time to file and serve his opposition to Defendants' *Amended Motion for the Award of Attorneys' Fees*; and (3) directing WESPAC would not be required to file its proposed final judgment until ten (10) days following this Court's ruling on WESPAC's *Amended Motion for the Award of Attorneys' Fees. Order*, p. 1.

On December 6, 2019, this Court entered its Order Denying Motion to Alter or Amend Judgment ("AA Order") maintaining its prior rulings within the ORM. On January 7, 2020, Mr. Garmong filed his Notice of Appeal to the Nevada Supreme Court regarding this Court's Arbitrator Order, ORM, and AA Order. On December 9, 2019, Defendants' Amended Motion for Attorney's Fees was filed. Due to Mr. Garmong's pending appeal, this Court entered its Order Holding Issuance of Order on Defendants' Amended Motion for Attorney's Fees in Abeyance. On December 1, 2020, the Nevada Court of Appeals issued its Order of Affirmance upholding this Court's judgment in its entirety and noting Defendants may seek amended fees pursuant to the fee shifting provision in NRCP 68 which extends to fees incurred on and after appeal.

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On February 18, 2021, Defendants filed Defendants' Second Amended Motion for Attorney's Fees. On February 22, 2021, the Nevada Court of Appeals entered its Order Denying Rehearing pursuant to NRAP 40(c). Next, the parties entered into a stipulation to extend the time for Mr. Garmong to file an opposition to Defendants' Second Amended Motion for Attorney's Fees. The stipulation was granted on March 1, 2021, by this Court's Order Extending Time for Plaintiff to File Points and Authorities in Opposition to the Defendants' Second Amended Motion for Fees. On April 6, 2021, the Nevada Supreme Court entered its Order Denying Petition for Review. On July 16, 2021, this Court entered its Order Granting Defendants' Second Amended Motion for Attorney's Fees; Order Confirming Arbitrator's Final Award ("July 16, 2021, Order"), which confirmed Judge Pro's arbitration award of \$111,649.96, and awarded Defendants attorneys' fees in the amount of \$45,084.50. On August 10, 2021, Mr. Garmong filed his Notice of Appeal, appealing the July 16, 2021, Order to the Nevada Supreme Court.

On November 3, 2021, Defendants filed a Substitution of Attorney replacing Thomas C. Bradley, Esq. with Stephen S. Kent, Esq. as their counsel of record. On April 4, 2022, Defendants filed their Affidavit of Judgment and Judgment Lien Abstract of Judgment and Affidavit of Judgment both naming Mr. Garmong as the judgment debtor. On May 10, 2022, Defendants filed a Declaration of Service of the writ of execution and attachment on Fidelity Investments/Fidelity Brokerage Services, LLC on May 3, 2022, by the Washoe County Sheriff's Office.

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On July 25, 2022, the Nevada Court of Appeals entered its *Order of Affirmance* affirming the *July 16, 2021, Order* in its entirety. On October 24, 2022, the Nevada Supreme Court entered its *Order Denying Rehearing* pursuant to NRAP 40(c). On January 17, 2023, the Nevada Supreme Court issued its *Remittitur*.

On January 24, 2023, Defendants filed their Motion to Compel and Request for Expenses of Motion ("Motion to Compel"), and on April 10, 2023, the Court entered its Order Granting Motion to Compel and Request for Expenses of Motion ("Order Granting").

A. MOTION FOR FEES AND COSTS.

Defendants assert, pursuant to the Court's *Order Granting*, they are entitled to an award of fees and costs in the total amount of Four Thousand Eight Hundred Seventy-Eight Dollars and 25/100 (\$4,878.25). *Motion*, pp. 1-2. Defendants maintain their counsel of records spent 13.9 hours at a rate of Three Hundred Fifty Dollars (\$350) per hour on the *Motion to Compel* and incurred Thirteen Dollars and 25/100 (\$13.25) in costs. *Motion*, p. 1.

B. OPPOSITION TO MOTION.

Mr. Garmong argues the discovery was completely unnecessary and unreasonable. He states Defendants had sufficient information in hand to fully execute on the judgment before serving the discovery and, in fact, did fully execute on two separate writs of execution. *Opposition*, p. 3. Mr. Garmong also argues defense counsel failed to analyze the factors in <u>Brunzell v. Golden Gate Nat. Bank</u>, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969). Mr. Garmong contends for this reason alone the Court should decline to award fees. *Opposition*, p. 4. Further, even if the <u>Brunzell</u> factors were addressed, Defendants derived no benefit from their counsel's services. <u>Id.</u>

C. REPLY IN SUPPORT OF MOTION.

The Reply contends Mr. Garmong's objections to the discovery were waived when not timely made. Reply, p. 1. The Reply argues the Court already ordered Mr. Garmong to respond to the discovery and already awarded fees and costs, and only the amount of fees and costs is at issue. Reply, p. 2. The Reply avers Mr. Garmong's refusal to pay what he owes has necessitated Defendants' collection efforts. At the time of the discovery, Defendants did not know if Mr. Garmong still had his Fidelity accounts or if they had sufficient monies. Id. Finally, the Reply asserts the Declaration of Stephen S. Kent attached to the Motion as Ex. 1 ("Declaration") is consistent with Brunzell. Id.

II. APPLICABLE LAW AND ANALYSIS.

A. Rule 37 of the Nevada Rules of Civil Procedure ("NRCP").

NRCP 37 provides, in relevant part:

- (a) (1) On notice to other parties and all affected persons, a party may move for an order compelling disclosure or discovery. The motion must include a certification that the movant has in good faith conferred or attempted to confer with the person or party failing to make disclosure or discovery in an effort to obtain it without court action.
- (5) Payment of Expenses.
- (A) If the motion is granted--or if the disclosure or requested discovery is provided after the motion was filed--the court must, after giving an opportunity to be heard, require the party or deponent whose conduct necessitated the motion, the party or attorney advising that conduct, or both to pay the movant's reasonable expenses incurred in making the motion, including attorney fees. But the court must not order this payment if:
- (i) the movant filed the motion before attempting in good faith to obtain the disclosure or discovery without court action;
- (ii) the opposing party's nondisclosure, response, or objection was substantially justified; or
- (iii) other circumstances make an award of expenses unjust.

NRCP 37.

In its Order Granting, the Court granted Defendants' Motion to Compel. The Court finds and determines Defendants made a good faith attempt to obtain the disclosure without Court action prior to filing their Motion to Compel. Mr. Garmong was provided an opportunity to be heard. Mr. Garmong's nondisclosure and objection are not substantially justified, and there are no other circumstances making an award of expenses unjustified.

B. AN AWARD OF ATTORNEY FEES IS SUPPORTED BY SUBSTANTIAL EVIDENCE.

The Nevada Supreme Court reviews an award of attorney fees for an abuse of discretion and will affirm an award which is supported by substantial evidence. Logan v. Abe, 131 Nev. 260, 266, 350 P.3d 1139, 1143 (2015). Affidavits or other evidence meeting the factors in *Brunzell* constitute substantial evidence to support a request of attorneys' fees. Miller v. Wilfong, 121 Nev. 619, 623–24, 119 P.3d 727, 730 (2005). It has been held counsel's testimony regarding the nature and extent of the services performed constituted substantial evidence. Brunzell v. Golden Gate Nat'l. Bank, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969).

In Nevada, "the method upon which a reasonable fee is determined is subject to the discretion of the court." Shuette v. Beazer Homes Holdings Corp., 121 Nev. 837, 864, 124 P.3d 530, 548 (2005). A court is not limited to one specific approach; rather, a court may analyze a request for fees pursuant to "any method rationally designed to calculate a reasonable amount, including those based on a 'lodestar' amount or a contingency fee." Id. "The lodestar approach involves multiplying 'the number of hours reasonably spent on a case by a reasonable hourly rate.'" Id.

"[W]hichever method is chosen...the court must continue its analysis by considering the requested amount in light of the factors enumerated by this court in *Brunzell v. Golden Gate National Bank.*" Shuette, 121 Nev. at 865. Express findings on each factor are not necessary. Instead, the district court need only demonstrate it considered the required factors, and the award is supported by substantial evidence. Logan, 131 Nev. at 266.

The factors set forth in Brunzell, are as follows:

(1) the qualities of the advocate: his ability, his training, education, experience, professional standing and skill; (2) the character of the work to be done: its difficulty, its intricacy, its importance, time and skill required, the responsibility imposed and the prominence and character of the parties where they affect the importance of the litigation; (3) the work actually performed by the lawyer: the skill, time and attention given to the work; (4) the result: whether the attorney was successful and what benefits were derived.

Brunzell, 85 Nev. at 349.

A reviewing court will not substitute its judgment for a trial court in the absence of an abuse of discretion because "[t]he value to be placed on the services rendered by counsel lies in the exercise of sound discretion by the trier of facts." <u>Id.</u> at 350. However, a trial court's failure to analyze the <u>Brunzell</u> factors is an abuse of discretion. <u>Gunderson v. D.R.</u> <u>Horton, Inc.</u>, 130 Nev. 67, 319 P.3d 606 (2014).

1. The qualities of the advocate.

Mr. Kent is an attorney licensed to practice law in the State of Nevada. Mr. Kent has been practicing law for Forty-Two (42) years. *Declaration*, p. 1.

2. The character of the work to be done.

From January 12, 2023, through April 10, 2023, Mr. Kent spent 8.1 hours preparing the *Motion to Compel. Declaration*, p. 2. Between April 24 and April 26, 2023, Mr. Kent spent 5.8 hours preparing the instant *Motion*. Id.

3. The work actually performed by the attorney.

Mr. Kent spent the majority of the 13.9 hours preparing and revising the *Motion to Compel*, the declaration in support, and the *Reply in Support of the Motion to Compel*.

However, Mr. Kent also includes time spent preparing the instant *Motion* and *Declaration*.

Pursuant to NRCP 37(a)(5)(A), "If the motion is granted...the court must...require the party...whose conduct necessitated the motion...to pay the movant's reasonable **expenses** incurred in making the motion, including attorney fees." (Emphasis added.) The motion described in NRCP 37(a)(5)(A) is properly identified in NRCP 37(a)(1), which states:

A party may move for an order compelling disclosure or discovery. The motion must include a certification that the movant has in good faith conferred or attempted to confer with the person or party failing to make disclosure or discovery in an effort to obtain it without court action.

NRCP 37.

Pursuant to NRCP 37, Defendants' *Motion to Compel* is the only motion for which attorneys' fees may be recovered. The instant *Motion* to recover fees is not the motion granted by the Court's *Order Granting*. Thus, any costs and fees incurred in making the instant *Motion* are not recoverable at this juncture.

4. The result.

Mr. Kent's *Motion to Compel* successfully persuaded the Court to grant the *Motion to Compel* and award him attorneys' fees and costs.

The Court finds the attorneys' fees incurred between January 12, 2023, and April 10, 2023, to be reasonable and actually incurred. However, Defendants are precluded from recovering their requested costs and fees incurred after April 10, 2023, at this juncture. The Court determines an award for attorneys' fees in the amount of Two Thousand Eight Hundred Thirty-Five Dollars and 00/100 (\$2,835.00) is appropriate in this matter.

III. <u>ORDER</u>.

Accordingly, and good cause appearing therefor,

IT IS HEREBY ORDERED the Motion for Fees and Costs Pursuant to Order

Granting Motion to Compel is GRANTED, in part, and DENIED, in part.

- 1. Attorneys' fees incurred in preparing the *Motion to Compel* are GRANTED in the amount of Two Thousand Eight Hundred Thirty-Five Dollars and 00/100 (\$2,835.00);
 - Attorneys' fees and costs incurred after April 10, 2023, are DENIED.
 Dated this 10th day of August, 2023.

DISTRICT JUDGE

CERTIFICATE OF SERVICE

I certify that I am an employee of THE SECOND JUDICIAL DISTRICT COURT; that on the 10th day of August, 2023, I electronically filed the foregoing with the Clerk of the Court system which will send a notice of electronic filing to the following:

STEPHEN KENT, ESQ. CARL HEBERT, ESQ.

And, I deposited in the County mailing system for postage and mailing with the United States Postal Service in Reno, Nevada, a true and correct copy of the attached document addressed as follows:



Exhibit 5

Exhibit 5

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Alicia L. Lerud
Clerk of the Court
Transaction # 9603828

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II

IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA

IN AND FOR THE COUNTY OF WASHOE

GREGORY O. GARMONG,

Plaintiff,

Case No. CV12-01271

Dept. No. 6

WESPAC; GREG CHRISTIAN;

DOES 1-10, inclusive,

VS.

Defendants.

ORDER GRANTING MOTION TO COMPEL AND REQUEST FOR EXPENSES OF MOTION

Before this Court is the *Motion to Compel and Request for Expenses of Motion*("*Motion*") filed by Defendants WESPAC and GREG CHRISTIAN (collectively "Defendants" unless individually referenced), by and through their counsel of record, Stephen S. Kent, Esq.

Plaintiff GREGORY O. GARMONG ("Mr. Garmong"), filed his Opposition to

Defendants' Motion to Compel Discovery ("Opposition"), by and through his counsel of record, Carl M. Hebert, Esq.

Defendants filed their Reply in Support of Motion to Compel ("Reply") and the matter was submitted to the Court for its consideration.

I. PROCEDURAL BACKGROUND.

The instant *Motion* arises from an action for breach of a financial management agreement and carries with it a robust procedural history. Mr. Garmong filed his *Complaint* on May 9, 2012, alleging the following claims for relief:

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- 7) Negligence.

Complaint, generally.

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² Mr. Garmong stipulated to Judge Pro despite previously moving to preclude a judge from serving as an arbitrator.

II

II

On March 27, 2017, Mr. Garmong filed *Plaintiff's Objection Pursuant to NRS*38.231(3) and 38.241(e) That There is No Agreement to Arbitrate; Notification of Objection to the Court. Despite prior determinative orders from this Court, Mr. Garmong again objected to arbitration on the basis there was no agreement to arbitrate.

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In the responsive briefs, the parties state they attended their first arbitration conference in April 2017. The Court acknowledged sufficient cause was shown in the *Order* entered June 30, 2017.

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See Final Award, p. 8-9. Furthermore, after weighing the necessary factors required by Brunzell v. Golden Gate National Bank, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969), Judge Pro found Defendants were entitled to an award of reasonable attorneys' fees in the amount of \$111,649.96. Final Award, p. 11.

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II

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II

Summary Judgment and for the Court to Decide and Grant Plaintiff's Motion for Partial Summary Judgment; and (5) granting Defendants' Motion for an Order to File Exhibit as Confidential. ORM, p. 15-16.

On August 27, 2019, this Court entered its *Order*: (1) directing WESPAC to file an *Amended Motion for the Award of Attorneys' Fees*; (2) allowing Mr. Garmong the standard response time to file and serve his opposition to Defendants' *Amended Motion for the Award of Attorneys' Fees*; and (3) directing WESPAC would not be required to file its proposed final judgment until ten (10) days following this Court's ruling on WESPAC's *Amended Motion for the Award of Attorneys' Fees. Order*, p. 1.

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On February 18, 2021, Defendants filed Defendants' Second Amended Motion for Attorney's Fees. On February 22, 2021, the Nevada Court of Appeals entered its Order Denying Rehearing pursuant to NRAP 40(c). Next, the parties entered into a stipulation to extend the time for Mr. Garmong to file an opposition to Defendants' Second Amended Motion for Attorney's Fees. The stipulation was granted on March 1, 2021, by this Court's Order Extending Time for Plaintiff to File Points and Authorities in Opposition to the Defendants' Second Amended Motion for Fees. On April 6, 2021, the Nevada Supreme Court entered its Order Denying Petition for Review. On July 16, 2021, this Court entered its Order Granting Defendants' Second Amended Motion for Attorney's Fees; Order Confirming Arbitrator's Final Award ("July 16, 2021, Order"), which confirmed Judge Pro's arbitration award of \$111,649.96, and awarded Defendants attorney's fees in the amount of \$45,084.50. On August 10, 2021, Mr. Garmong filed his Notice of Appeal, appealing the July 16, 2021, Order to the Nevada Supreme Court.

On November 3, 2021, Defendants filed a *Substitution of Attorney* replacing Thomas C. Bradley, Esq. with Stephen S. Kent, Esq. as their counsel of record. On April 4, 2022, Defendants filed their *Affidavit of Judgment* and *Judgment Lien Abstract of Judgment and Affidavit of Judgment* both naming Mr. Garmong as the judgment debtor. On May 10, 2022, Defendants filed a *Declaration of Service* of the writ of execution and attachment on Fidelity Investments/Fidelity Brokerage Services, LLC on May 3, 2022, by the Washoe County Sheriff's Office.

On July 25, 2022, the Nevada Court of Appeals entered its *Order of Affirmance* affirming the *July 16, 2021, Order* in its entirety. On October 24, 2022, the Nevada Supreme Court entered its *Order Denying Rehearing* pursuant to NRAP 40(c). On January 17, 2023, the Nevada Supreme Court issued its *Remittitur*.

A. MOTION TO COMPEL.

Defendants made several requests of Mr. Garmong to respond to interrogatories and requests for production. Mr. Garmong failed to respond and the time to respond has expired. Defendants seek this discovery to gather information on Mr. Garmong's assets in order to satisfy the balance of the judgment. *Motion*, p. 2.

B. OPPOSITION TO MOTION TO COMPEL.

Mr. Garmong contends Defendants executed on the judgment and received the total amount due. Defendants recovered One Hundred Seventy-Four Thousand Three Dollars and 36/100 (\$174,003.36), which included principal, interest, and attorneys' fees.

Opposition, p. 1. Defendants have no reason to pursue post-judgment discovery. Further, a motion to compel is a discovery motion, and Defendants' *Motion* is defective pursuant to WDCR 12(6). *Opposition*, p. 2.

C. REPLY IN SUPPORT OF MOTION.

Defendants assert Mr. Garmong has not satisfied the judgment, and is well aware he still owes Defendants money. *Reply*, p. 1. Defendants have made numerous requests of Mr. Garmong to provide information regarding his bank accounts, investment accounts, and other judgments, but received no response. *Reply*, p. 3. Mr. Garmong should be ordered to respond to Defendants' November 28, 2022, interrogatories, and should pay the expense of this *Motion*. *Reply*, p. 4.

II. APPLICABLE LAW AND ANALYSIS.

Rule 37 of the Nevada Rules of Civil Procedure ("NRCP") provides, in relevant part:

- (a) (1) On notice to other parties and all affected persons, a party may move for an order compelling disclosure or discovery. The motion must include a certification that the movant has in good faith conferred or attempted to confer with the person or party failing to make disclosure or discovery in an effort to obtain it without court action.
- (2) A motion for an order to a party must be made in the court where the action is pending. A motion for an order to a nonparty must be made in the court where the discovery is or will be taken.
- (3) (B) A party seeking discovery may move for an order compelling an answer, designation, production, or inspection. This motion may be made if:
- (iii) a party fails to answer an interrogatory submitted under Rule 33; or (iv) a party fails to produce documents or fails to respond that inspection will be permitted--or fails to permit inspection--as requested under Rule 34.
- (5) Payment of Expenses.
- (A) If the motion is granted--or if the disclosure or requested discovery is provided after the motion was filed--the court must, after giving an opportunity to be heard, require the party or deponent whose conduct necessitated the motion, the party or attorney advising that conduct, or both to pay the movant's reasonable expenses incurred in making the motion, including attorney fees. But the court must not order this payment if:
- (i) the movant filed the motion before attempting in good faith to obtain the disclosure or discovery without court action;
- (ii) the opposing party's nondisclosure, response, or objection was substantially justified; or
- (iii) other circumstances make an award of expenses unjust.

NRCP 37.

NRCP 69(a)(2) governs obtaining discovery, and provides, "In aid of the judgment or execution, the judgment creditor...whose interest appears of record may obtain discovery from...the judgment debtor--as provided in these rules or by state law." Rule 12(6) of the Washoe District Court Rules ("WDCR") requires "All discovery motions shall include the certificate of moving counsel certifying that after consultation with opposing counsel, they have been unable to resolve the matter."

As a threshold matter, the Court addresses Mr. Garmong's inaccurate assertion of having satisfied the judgment. Citing the *Affidavit of Stephen S. Kent* ("*Affidavit*"), Mr. Garmong asserts Mr. Kent stated, "I was able to recover on a writ of execution and attachment in the amount of \$174,003.36." *Opposition*, p. 2. While Mr. Garmong's representation of the quote is accurate, the quote itself is belied by the record.

Attached to Defendants' December 2, 2022, *Application for Debtor's Exam* as Exhibit 1 is a copy of the check referenced in the *Affidavit*. The amount of the check demonstrates the writ of execution, returned on June 22, 2022, recovered One Hundred Seventy Thousand Seven Hundred Fifteen Dollars and 79/100 (\$170,715.79). Accordingly, the judgment is not fully satisfied.

Consequently, Defendants may seek discovery from Mr. Garmong pursuant to NRCP 69(a)(2). Defendants have satisfied NRCP 37(a)(1) and (2), and have demonstrated Mr. Garmong has failed to respond pursuant to NRCP 37(3)(B). Further, the Court finds and determines Defendants' *Motion* complies with WDCR 12(6) and Mr. Garmong's *Opposition* provided him an opportunity to be heard on this issue pursuant to NRCP 37(5)(A).

Attached to Defendants' *Motion* is the *Declaration of Stephen S. Kent* ("*Declaration*") ("Mr. Kent"). Mr. Kent asserts Mr. Garmong was served with interrogatories and requests for production on November 28, 2022. *Declaration*, ¶ 2. After receiving no response, Mr. Kent made several attempts to contact Mr. Garmong's counsel of record Carl M. Herbert, Esq. ("Mr. Herbert") regarding the interrogatories and requests for production. On January 12, 2023, Mr. Kent called Mr. Herbert. After receiving no answer, Mr. Kent left a voice mail and sent an email. These efforts were repeated on January 23, 2023. Mr. Herbert failed to respond to any of Mr. Kent's communication attempts. *Declaration*, ¶¶ 3-5.

Also attached to Defendants' *Motion* as Exhibit 1 is the *Interrogatories to Plaintiff* containing six (6) Interrogatories. Attached to Defendants' *Motion* as Exhibit 2 is the *Requests for Production* containing six (6) requests for production. Both documents contain a certificate of service.

III. ORDER.

Accordingly, and good cause appearing therefor,

IT IS HEREBY ORDERED the Motion to Compel and Request for Expenses of Motion is GRANTED.

IT IS FURTHER ORDERED:

- 1. Mr. Garmong is ordered to respond or object, in accordance with NRCP 33 to Defendants' November 28, 2022, *Interrogatories to Plaintiff* and *Requests for Production*.
- 2. Pursuant to NRCP 37(5)(A), Plaintiff is ordered to pay Defendants' reasonable expenses incurred in making the instant *Motion*.

Dated this 10th day of April, 2023.

DISTRICT

CERTIFICATE OF SERVICE I certify I am an employee of THE SECOND JUDICIAL DISTRICT COURT; on the 10th day of April, 2023, I electronically filed the foregoing with the Clerk of the Court system which will send a notice of electronic filing to the following: CARL HEBERT, ESQ. STEPHEN KENT, ESQ. And, deposited in the County mailing system for postage and mailing with the United States Postal Service in Reno, Nevada, a true and correct copy of the attached document addressed as follows: NONE

Exhibit 6

Exhibit 6

FILED Electronically ÅΜ 95

1 2 3 4 5 6 7	2540 STEPHEN S. KENT, ESQ. Nevada Bar No. 1251 GORDON REES SCULLY MANSUKHANI, LLP 1 East Liberty Street, Suite 424 Reno, NV 89501 Telephone: (775) 467-2609 Facsimile: (775) 460-4901 E-mail: skent@grsm.com Attorneys for Defendants Wespac and Greg Christian	CV12-01271 2023-09-14 09:10:46 Alicia L. Lerud Clerk of the Court Transaction # 98857	
8	IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA		
9	IN AND FOR THE COUN'	TY OF WASHOE	
10	CRECORY O CARMONG	GARRIO GIANO CIONO	
11	GREGORY O. GARMONG,	CASE NO. CV12-01271	
12	Plaintiff,	Dept. No. 6	
13	Vs.		
14	WESPAC; GREG CHRISTIAN; DOES 1-10,inclusive,		
15 16	Defendants.		
17	NOTICE OF ENTRY OF ORDER		
18			
19	Please take notice that an Order Granting, In part, and Denying In Part, Motion for Fees and Costs was entered in the above-referenced case on August 10, 2023, a copy of which is		
20			
21	attached as Exhibit 1.		
22	///		
23	///		
24	<i> </i>		
2 25	///		
25 26	<i> </i> ///		
	<i> </i>		
27			
28			
	-1-		

AFFIRMATION The undersigned hereby declares that the within document does not contain the Social Security Number of any person. DATED this 14th day of September, 2023. **GORDON REES** SCULLY MANSUKHANI LLP By: /s/ Stephen S. Kent STEPHEN S. KENT, ESQ. Nevada Bar No. 1251 1 East Liberty Street, Suite 424 Reno, NV 89501 Telephone: (775) 467-2603 Facsimile: (775) 460-4901 skent@grsm.com Attorneys for Defendants Wespac and Greg Christian

CERTIFICATE OF SERVICE

2	Pursuant to Rule 5(b) of the Nevada Rules of Civil Procedure, I hereby certify that I am an		
3	employee of Gordon Rees Scully Mansukhani and that on this date, I served a true and correct copy of		
4	the attached document(s) as follows:		
5		Production to the second Advisory of Advis	
6		By placing the document(s) in a sealed envelope with first-class U.S. postage prepaid, and depositing it for mailing with the U.S. Postal Service in Reno, Nevada addressed to the person at the address listed below.	
8	_x_	By electronic service. By filing the document with the court's electronic filing system which serves counsel listed below electronically.	
9	By personally delivering the document(s) listed above, addressed to the person at the address as set forth below.		
11		By Federal Express.	
12		By facsimile.	
13		Carl Hebert, Esq. 2215 Stone View Drive	
14	i	Sparks, NV 89436	
15		DATED this 14th day of September, 2023.	
16		/s/ Sam Baker	
17		Sam Baker	
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INDEX OF EXHIBITS

NO. DESCRIPTION

1. Order Granting in part and denying in part, motion for fees and costs

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Alicia L. Lerud
Clerk of the Court
Transaction # 9885705

Exhibit 1

Exhibit 1

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Alicia L. Lerud
Clerk of the Court
Transaction # 9824011

CODE NO. 3025

IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA

IN AND FOR THE COUNTY OF WASHOE

GREGORY O. GARMONG,

VS.

Plaintiff,

Case No. CV12-01271

Dept. No. 6

WESPAC; GREG CHRISTIAN; DOES 1-10, inclusive,

Defendants.

ORDER GRANTING, IN PART, AND DENYING, IN PART, MOTION FOR FEES AND COSTS

Before this Court is the Motion for Fees and Costs Pursuant to Order Granting Motion to Compel ("Motion") filed by Defendants WESPAC and GREG CHRISTIAN (collectively "Defendants" unless individually referenced), by and through their counsel of record, Stephen S. Kent, Esq. ("Mr. Kent")

Plaintiff GREGORY O. GARMONG ("Mr. Garmong"), filed his Opposition to

Defendants' Motion for Attorney's Fees ("Opposition"), by and through his counsel of record,

Carl M. Hebert, Esq.

Defendants filed their Reply in Support of Motion for Fees and Costs For Motion to Compel ("Reply") and the matter was submitted to the Court for its consideration.

. PROCEDURAL BACKGROUND.

The instant *Motion* arises from an action for breach of a financial management agreement and carries with it a robust procedural history. Mr. Garmong filed his *Complaint* on May 9, 2012, alleging the following claims for relief:

- 1) Breach of Contract;
- 2) Breach of Nevada Deceptive Trade Practices Act;
- 3) Breach of Implied Covenant of Good Faith and Fair Dealing;
- 4) Unjust Enrichment;
- 5) Breach of Fiduciary Duty;
- 6) Malpractice; and
- 7) Negligence.

Complaint, generally.

On September 19, 2012, Defendants filed their *Motion to Dismiss and Compel Arbitration*. On December 13, 2012, this Court¹ entered its *Order* granting Defendants' request to compel arbitration but denying the motion to dismiss. Mr. Garmong then filed his *Combined Motions for Leave to Rehear and for Rehearing of the Order of December 13, 2012 Compelling Arbitration* ("Reconsider Motion"). The Reconsider Motion was opposed by Defendants. Mr. Garmong did not file a reply and this case was stagnant for nearly a year until January 13, 2014, when the Court entered its *Order to Proceed*. Mr. Garmong filed his reply on February 3, 2014. The *Reconsider Motion* was denied on April 2, 2014.

¹ Judge Brent T. Adams originally presided over this proceeding in Department 6 before his retirement. Judge Lynne K. Simons was sworn in on January 5, 2015, and presides in Department 6.

Mr. Garmong then sought writ relief from the Nevada Supreme Court. On December 18, 2014, the Nevada Supreme Court entered its *Order Denying Petition for Writ of Mandamus or Prohibition*, entered its *Order Denying Rehearing* on March 18, 2015, and, subsequently, entered its *Order Denying En Banc Reconsideration* on May 1, 2015.

After the Nevada Supreme Court's orders were entered, this Court again entered its Order for Response on November 17, 2015, instructing the parties to proceed with this case. In response, the parties indicated they had initiated an arbitration proceeding with JAMS in Las Vegas. *Notice of Status Report*, December 1, 2015.

On June 8, 2016, Mr. Garmong filed his *Motion for a Court-Appointed Arbitrator* arguing the JAMS arbitrators were prejudiced against him. This matter was fully briefed; and, on July 12, 2016, this Court entered its *Order re: Arbitration* requiring each party to submit the names of three arbitrators to the Court. The parties then stipulated to select one arbitrator, to reduce costs. *Stipulation to Select One Arbitrator*, October 17, 2016.

Thereafter, this Court entered its *Order Appointing Arbitrator* on October 31, 2016, appointing Michael G. Ornstil, Esq., as arbitrator. After it was determined Mr. Ornstil was unavailable, Mr. Garmong stipulated to the appointment of either retired Judge Phillip M. Pro ("Judge Pro"),² or Lawrence R. Mills. Esq.

On November 13, 2017, this Court entered its *Order Granting Motion to Strike* which stayed the proceeding pending the outcome of the arbitration, and directed the parties to file an amended complaint and other responsive papers at the direction of Judge Pro. *Order Granting Motion to Strike*, p. 2. On February 21, 2017, this Court entered its *Order Appointing Arbitrator*, appointing Judge Pro.

² Mr. Garmong stipulated to Judge Pro despite previously moving to preclude a judge from serving as an arbitrator.

II

II

On March 27, 2017, Mr. Garmong filed *Plaintiff's Objection Pursuant to NRS*38.231(3) and 38.241(e) That There is No Agreement to Arbitrate; Notification of Objection to the Court. Despite prior determinative orders from this Court, Mr. Garmong again objected to arbitration on the basis there was no agreement to arbitrate.

On May 23, 2017, this Court entered its *Order to Show Cause Why Action Should not be Dismissed for Want of Prosecution Pursuant to NRCP 41(E)* ("OSC Order"), finding "Mr. Garmong and Defendants have been ordered numerous times to participate in arbitration as early as December 13, 2012." The Court found the file did not contain any evidence the parties had proceeded to arbitration as ordered. *OSC Order*, p. 4. Accordingly, the Court ordered the parties to show cause why the action should not be dismissed for want of prosecution and required each party to file one responsive brief. *OSC Order*, p. 4.

In the responsive briefs, the parties state they attended their first arbitration conference in April 2017. The Court acknowledged sufficient cause was shown in the *Order* entered June 30, 2017.

On July 22, 2018, without asking for leave of Court to lift the stay, Mr. Garmong filed Plaintiff's Motion to Disqualify Arbitrator Pro, Vacate Order Denying Motion for Summary Judgment and Appoint New Arbitrator. The Court thereafter entered its Order Denying Plaintiff's Motion to Disqualify Arbitrator Pro; Order Denying Motion to Vacate Order Denying Motion for Summary Judgment; Order Denying Motion to Appoint New Arbitrator ("Arbitrator Order") on November 29, 2018.

Defendants thereafter filed their Motion for Limited Relief From Stay to File Motion for Attorney's Fees and Sanctions ("Motion for Sanctions") requesting limited relief from this Court's order staying the proceeding pending the outcome of arbitration. While the Motion for Sanctions was under consideration, Defendants filed their Notice of Completion of Arbitration Hearing on October 22, 2018. The Court found, with completion of the arbitration, Defendants' Motion for Sanctions was moot. Additionally, the Court took notice of Defendants' Notice of Completion of Arbitration and determined there were additional decisions to be rendered regarding the Notice of Completion of Arbitration.

Judge Pro found Mr. Garmong's claims for: (1) Breach of Contract; (2) Breach of Implied Warranty; (3) Breach of the Implied Covenant of Good Faith and Fair Dealing; (4) Nevada's Deceptive Trade Practices Act; (5) Breach of Fiduciary Duty of Full Disclosure; (6) Intentional Infliction of Emotional Distress; and (7) Unjust Enrichment all failed as a matter of law because Mr. Garmong did not establish his claims by a preponderance of the evidence.

See Final Award, p. 8-9. Furthermore, after weighing the necessary factors required by Brunzell v. Golden Gate National Bank, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969), Judge Pro found Defendants were entitled to an award of reasonable attorneys' fees in the amount of \$111,649.96. Final Award, p. 11.

After the Final Award, the litigation continued with several filings. On August 8, 2019, this Court entered its Order re Motions ("ORM"): (1) granting Defendants' Petition for an Order Confirming Arbitrator's Final Award and Reducing Award to Judgment, Including, Attorneys' Fees and Costs; (2) denying Plaintiff's Motion to Vacate Arbitrator's Final Award; (3) denying Plaintiff's Motion to Vacate Arbitrator's Award of Attorneys' Fees;

(4) denying Plaintiff's Motions to Vacate Arbitrator's Award of Denial of Plaintiff's Motion for Partial Summary Judgment and for the Court to Decide and Grant Plaintiff's Motion for Partial

Summary Judgment; and (5) granting Defendants' Motion for an Order to File Exhibit as Confidential. ORM, p. 15-16.

On August 27, 2019, this Court entered its *Order*: (1) directing WESPAC to file an *Amended Motion for the Award of Attorneys' Fees*; (2) allowing Mr. Garmong the standard response time to file and serve his opposition to Defendants' *Amended Motion for the Award of Attorneys' Fees*; and (3) directing WESPAC would not be required to file its proposed final judgment until ten (10) days following this Court's ruling on WESPAC's *Amended Motion for the Award of Attorneys' Fees. Order*, p. 1.

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On November 3, 2021, Defendants filed a Substitution of Attorney replacing Thomas C. Bradley, Esq. with Stephen S. Kent, Esq. as their counsel of record. On April 4, 2022, Defendants filed their Affidavit of Judgment and Judgment Lien Abstract of Judgment and Affidavit of Judgment both naming Mr. Garmong as the judgment debtor. On May 10, 2022, Defendants filed a Declaration of Service of the writ of execution and attachment on Fidelity Investments/Fidelity Brokerage Services, LLC on May 3, 2022, by the Washoe County Sheriff's Office.

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On July 25, 2022, the Nevada Court of Appeals entered its *Order of Affirmance* affirming the *July 16, 2021, Order* in its entirety. On October 24, 2022, the Nevada Supreme Court entered its *Order Denying Rehearing* pursuant to NRAP 40(c). On January 17, 2023, the Nevada Supreme Court issued its *Remittitur*.

On January 24, 2023, Defendants filed their Motion to Compel and Request for Expenses of Motion ("Motion to Compel"), and on April 10, 2023, the Court entered its Order Granting Motion to Compel and Request for Expenses of Motion ("Order Granting").

A. MOTION FOR FEES AND COSTS.

Defendants assert, pursuant to the Court's *Order Granting*, they are entitled to an award of fees and costs in the total amount of Four Thousand Eight Hundred Seventy-Eight Dollars and 25/100 (\$4,878.25). *Motion*, pp. 1-2. Defendants maintain their counsel of records spent 13.9 hours at a rate of Three Hundred Fifty Dollars (\$350) per hour on the *Motion to Compel* and incurred Thirteen Dollars and 25/100 (\$13.25) in costs. *Motion*, p. 1.

B. OPPOSITION TO MOTION.

Mr. Garmong argues the discovery was completely unnecessary and unreasonable. He states Defendants had sufficient information in hand to fully execute on the judgment before serving the discovery and, in fact, did fully execute on two separate writs of execution. *Opposition*, p. 3. Mr. Garmong also argues defense counsel failed to analyze the factors in <u>Brunzell v. Golden Gate Nat. Bank</u>, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969). Mr. Garmong contends for this reason alone the Court should decline to award fees. *Opposition*, p. 4. Further, even if the <u>Brunzell</u> factors were addressed, Defendants derived no benefit from their counsel's services. <u>Id.</u>

C. REPLY IN SUPPORT OF MOTION.

The Reply contends Mr. Garmong's objections to the discovery were waived when not timely made. Reply, p. 1. The Reply argues the Court already ordered Mr. Garmong to respond to the discovery and already awarded fees and costs, and only the amount of fees and costs is at issue. Reply, p. 2. The Reply avers Mr. Garmong's refusal to pay what he owes has necessitated Defendants' collection efforts. At the time of the discovery, Defendants did not know if Mr. Garmong still had his Fidelity accounts or if they had sufficient monies. Id. Finally, the Reply asserts the Declaration of Stephen S. Kent attached to the Motion as Ex. 1 ("Declaration") is consistent with Brunzell. Id.

II. APPLICABLE LAW AND ANALYSIS.

A. Rule 37 of the Nevada Rules of Civil Procedure ("NRCP").

NRCP 37 provides, in relevant part:

- (a) (1) On notice to other parties and all affected persons, a party may move for an order compelling disclosure or discovery. The motion must include a certification that the movant has in good faith conferred or attempted to confer with the person or party failing to make disclosure or discovery in an effort to obtain it without court action.
- (5) Payment of Expenses.
- (A) If the motion is granted--or if the disclosure or requested discovery is provided after the motion was filed--the court must, after giving an opportunity to be heard, require the party or deponent whose conduct necessitated the motion, the party or attorney advising that conduct, or both to pay the movant's reasonable expenses incurred in making the motion, including attorney fees. But the court must not order this payment if:
- (i) the movant filed the motion before attempting in good faith to obtain the disclosure or discovery without court action;
- (ii) the opposing party's nondisclosure, response, or objection was substantially justified; or
- (iii) other circumstances make an award of expenses unjust.

NRCP 37.

In its *Order Granting*, the Court granted Defendants' *Motion to Compel*. The Court finds and determines Defendants made a good faith attempt to obtain the disclosure without Court action prior to filing their *Motion to Compel*. Mr. Garmong was provided an opportunity to be heard. Mr. Garmong's nondisclosure and objection are not substantially justified, and there are no other circumstances making an award of expenses unjustified.

B. AN AWARD OF ATTORNEY FEES IS SUPPORTED BY SUBSTANTIAL EVIDENCE.

The Nevada Supreme Court reviews an award of attorney fees for an abuse of discretion and will affirm an award which is supported by substantial evidence. Logan v. Abe, 131 Nev. 260, 266, 350 P.3d 1139, 1143 (2015). Affidavits or other evidence meeting the factors in *Brunzell* constitute substantial evidence to support a request of attorneys' fees. Miller v. Wilfong, 121 Nev. 619, 623–24, 119 P.3d 727, 730 (2005). It has been held counsel's testimony regarding the nature and extent of the services performed constituted substantial evidence. Brunzeli v. Golden Gate Nat'l. Bank, 85 Nev. 345, 349, 455 P.2d 31, 33 (1969).

In Nevada, "the method upon which a reasonable fee is determined is subject to the discretion of the court." Shuette v. Beazer Homes Holdings Corp., 121 Nev. 837, 864, 124 P.3d 530, 548 (2005). A court is not limited to one specific approach; rather, a court may analyze a request for fees pursuant to "any method rationally designed to calculate a reasonable amount, including those based on a 'lodestar' amount or a contingency fee." Id. "The lodestar approach involves multiplying 'the number of hours reasonably spent on a case by a reasonable hourly rate.'" Id.

"[W]hichever method is chosen...the court must continue its analysis by considering the requested amount in light of the factors enumerated by this court in *Brunzell v. Golden Gate National Bank.*" Shuette, 121 Nev. at 865. Express findings on each factor are not necessary. Instead, the district court need only demonstrate it considered the required factors, and the award is supported by substantial evidence. Logan, 131 Nev. at 266.

The factors set forth in Brunzell, are as follows:

(1) the qualities of the advocate: his ability, his training, education, experience, professional standing and skill; (2) the character of the work to be done: its difficulty, its intricacy, its importance, time and skill required, the responsibility imposed and the prominence and character of the parties where they affect the importance of the litigation; (3) the work actually performed by the lawyer: the skill, time and attention given to the work; (4) the result: whether the attorney was successful and what benefits were derived.

Brunzell, 85 Nev. at 349.

A reviewing court will not substitute its judgment for a trial court in the absence of an abuse of discretion because "[t]he value to be placed on the services rendered by counsel lies in the exercise of sound discretion by the trier of facts." <u>Id.</u> at 350. However, a trial court's failure to analyze the <u>Brunzell</u> factors is an abuse of discretion. <u>Gunderson v. D.R.</u> <u>Horton, Inc.</u>, 130 Nev. 67, 319 P.3d 606 (2014).

1. The qualities of the advocate.

Mr. Kent is an attorney licensed to practice law in the State of Nevada. Mr. Kent has been practicing law for Forty-Two (42) years. *Declaration*, p. 1.

2. The character of the work to be done.

From January 12, 2023, through April 10, 2023, Mr. Kent spent 8.1 hours preparing the *Motion to Compel. Declaration*, p. 2. Between April 24 and April 26, 2023, Mr. Kent spent 5.8 hours preparing the instant *Motion*. Id.

3. The work actually performed by the attorney.

Mr. Kent spent the majority of the 13.9 hours preparing and revising the *Motion to Compel*, the declaration in support, and the *Reply in Support of the Motion to Compel*.

However, Mr. Kent also includes time spent preparing the instant *Motion* and *Declaration*.

Pursuant to NRCP 37(a)(5)(A), "If the motion is granted...the court must...require the party...whose conduct necessitated the motion...to pay the movant's reasonable **expenses** incurred in making the motion, including attorney fees." (Emphasis added.) The motion described in NRCP 37(a)(5)(A) is properly identified in NRCP 37(a)(1), which states:

A party may move for an order compelling disclosure or discovery. The motion must include a certification that the movant has in good faith conferred or attempted to confer with the person or party failing to make disclosure or discovery in an effort to obtain it without court action.

NRCP 37.

Pursuant to NRCP 37, Defendants' *Motion to Compel* is the only motion for which attorneys' fees may be recovered. The instant *Motion* to recover fees is not the motion granted by the Court's *Order Granting*. Thus, any costs and fees incurred in making the instant *Motion* are not recoverable at this juncture.

4. The result.

Mr. Kent's *Motion to Compel* successfully persuaded the Court to grant the *Motion to Compel* and award him attorneys' fees and costs.

The Court finds the attorneys' fees incurred between January 12, 2023, and April 10, 2023, to be reasonable and actually incurred. However, Defendants are precluded from recovering their requested costs and fees incurred after April 10, 2023, at this juncture. The Court determines an award for attorneys' fees in the amount of Two Thousand Eight Hundred Thirty-Five Dollars and 00/100 (\$2,835.00) is appropriate in this matter.

III. ORDER.

Accordingly, and good cause appearing therefor,

IT IS HEREBY ORDERED the Motion for Fees and Costs Pursuant to Order

Granting Motion to Compel is GRANTED, in part, and DENIED, in part.

- 1. Attorneys' fees incurred in preparing the *Motion to Compel* are GRANTED in the amount of Two Thousand Eight Hundred Thirty-Five Dollars and 00/100 (\$2,835.00);
 - 2. Attorneys' fees and costs incurred after April 10, 2023, are DENIED.

 Dated this 10th day of August, 2023.

DISTRICT JUDGE

CERTIFICATE OF SERVICE

I certify that I am an employee of THE SECOND JUDICIAL DISTRICT COURT; that on the 10th day of August, 2023, I electronically filed the foregoing with the Clerk of the Court system which will send a notice of electronic filing to the following:

STEPHEN KENT, ESQ. CARL HEBERT, ESQ.

And, I deposited in the County mailing system for postage and mailing with the United States Postal Service in Reno, Nevada, a true and correct copy of the attached document addressed as follows:

